

ON THE DISTRIBUTION OF M-TUPLES OF B-NUMBERS

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ABSTRACT. In the classical sense, the set B consists of all integers which can be written as a sum of two perfect squares. In other words, these are the values attained by norms of integral ideals over the Gaussian field $\mathbb{Q}(i)$. G. J. Rieger (1965) and T. Cochrane, R. E. Dressler (1987) established bounds for the number of pairs $(n, n + h)$, resp., triples $(n, n + 1, n + 2)$ of B -numbers up to a large real parameter x . The present article generalizes these investigations into two directions: The result obtained deals with arbitrary M -tuples of arithmetic progressions of positive integers, excluding the trivial case that one of them is a constant multiple of one of the others. Furthermore, the estimate applies to the case of an arbitrary normal extension K of the rational field instead of $\mathbb{Q}(i)$.

1. Introduction. Already E. Landau's in his classic monograph [4] provided a proof of the result that the set B of all positive integers which can be written as a sum of two squares of integers is distributed fairly regularly: It satisfies the asymptotic formula

$$(1.1) \quad \sum_{1 \leq n \leq x, n \in B} 1 \sim \frac{cx}{\sqrt{\log x}} \quad (c > 0).$$

Almost six decades later, G. J. Rieger [9] was the first to deal with the question of “ B -twins”: How frequently does it happen that both n and $n + 1$ belong to the

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set B ? A bit more general, he was able to show that, for any positive integer h and large real x ,

$$(1.2) \quad \sum_{\substack{1 \leq n \leq x \\ n \in B, n+h \in B}} 1 \ll \prod_{\substack{p|h \\ p \equiv 3 \pmod{4}}} \left(1 + \frac{1}{p}\right) \frac{x}{\log x}.$$

Later on, C. Hooley [2] and K.-H. Indlekofer [3], independently and at about the same time, showed that this bound is essentially best possible. In 1987, T. Cochrane and R. E. Dressler [1] extended the question to triples of B -numbers. Replacing Rieger's sieve technique by a more recent variant of Selberg's method, they succeeded in proving that

$$(1.3) \quad \sum_{\substack{1 \leq n \leq x \\ n \in B, n+1 \in B, n+2 \in B}} 1 \ll \frac{x}{(\log x)^{3/2}}.$$

2. Statement of result. In this article we intend to generalize these estimates in two different directions: Firstly, instead of pairs or triples we consider M -tuples of arithmetic progressions $(a_m n + b_m)$, $m = 1, \dots, M \geq 2$, where $a_m \in \mathbb{Z}^+$, $b_m \in \mathbb{Z}$ throughout. Secondly, we deal with an arbitrary number field K which is supposed to be a normal extension of the rationals of degree $[K : \mathbb{Q}] = N \geq 2$. Denoting by \mathcal{O}_K the ring of algebraic integers in K , we put

$$\mathbf{b}_K(n) := \begin{cases} 1 & \text{if there exists an integral ideal } \mathfrak{A} \text{ in } \mathcal{O}_K \text{ of norm } \mathcal{N}(\mathfrak{A}) = n, \\ 0 & \text{else.} \end{cases}$$

Our target is then the estimation of the sum

$$(2.1) \quad S(x) = S(a_1, b_1, \dots, a_M, b_M; x) := \sum_{1 \leq n \leq x} \prod_{m=1}^M \mathbf{b}_K(a_m n + b_m).$$

Of course, the classic case reported in section 1 is contained in this, by the special choice $K = \mathbb{Q}(i)$, the Gaussian field.

THEOREM. *Suppose that $(a_m, b_m) \in \mathbb{Z}^+ \times \mathbb{Z}$ for $m = 1, \dots, M$, and, furthermore,*

$$\prod_{\substack{m, k=1 \\ m \neq k}}^M (a_m b_k - a_k b_m) \neq 0.$$

Then, for large real x ,

$$S(a_1, b_1, \dots, a_M, b_M; x) \ll \gamma(a_1, b_1, \dots, a_M, b_M) \frac{x}{(\log x)^{M(1-1/N)}},$$

with

$$\gamma(a_1, b_1, \dots, a_M, b_M) = \prod_{p \in \mathbb{P}'} \left(1 + \frac{M}{p}\right),$$

the finite set of primes $\mathbb{P}' = \mathbb{P}'(a_1, b_1, \dots, a_M, b_M)$ to be defined below in (4.6). The \ll -constant depends on M and the field K , but not on $a_1, b_1, \dots, a_M, b_M$.

3. Some auxiliary results. *Notation.* Variables of summation automatically range over all integers satisfying the conditions indicated. p denotes rational primes throughout, and \mathbb{P} is the set of all rational primes. \mathfrak{P} stands for prime ideals in \mathcal{O}_K . For any subset $\mathbb{P}^\circ \subseteq \mathbb{P}$, we denote by $\mathcal{D}(\mathbb{P}^\circ)$ the set of all positive integers whose prime divisors all belong to \mathbb{P}° . The constants implied in the symbols $O(\cdot)$, \ll , \gg , etc., may depend throughout on the field K and on M , but not on $a_1, b_1, \dots, a_M, b_M$.

LEMMA 1. *For each prime power p^α , $\alpha \geq 1$, let $\bar{\Omega}(p^\alpha)$ be a set of distinct residue classes \bar{c} modulo p^α . Define further*

$$\Omega(p^\alpha) = \left\{ n \in \mathbb{Z}^+ : n \in \bigcup_{\bar{c} \in \bar{\Omega}(p^\alpha)} \bar{c} \right\},$$

and let

$$\theta(p^\alpha) := 1 - \sum_{j=1}^{\alpha} \frac{\#\bar{\Omega}(p^j)}{p^j} > 0, \quad \theta(1) := 1.$$

Suppose that $\Omega(p^\alpha) \cap \Omega(p^{\alpha'}) = \emptyset$ for all primes p and positive integers $\alpha \neq \alpha'$. For real $x > 0$, let finally

$$A(x) = \left\{ n \in \mathbb{Z}^+ : n \leq x \ \& \ n \notin \bigcup_{p \in \mathbb{P}, \alpha \in \mathbb{Z}^+} \Omega(p^\alpha) \right\}.$$

Then, for arbitrary real $Y > 1$,

$$\#A(x) \leq \frac{x + Y^2}{V_Y},$$

where

$$V_Y := \sum_{0 < d < Y} \prod_{p^\alpha \parallel d} \left(\frac{1}{\theta(p^\alpha)} - \frac{1}{\theta(p^{\alpha-1})} \right).$$

Proof. This is a deep sieve theorem due to A. Selberg [10]. It can be found in Y. Motohashi [5, p. 11], and also in T. Cochrane and R. E. Dressler [1].

LEMMA 2. *Let $(c_n)_{n \in \mathbb{Z}^+}$ be a sequence of nonnegative reals, and suppose that the Dirichlet series*

$$f(s) = \sum_{n=1}^{\infty} c_n n^{-s}$$

converges for $\operatorname{Re}(s) > 1$. Assume further that, for some real constants A and $\beta > 0$,

$$f(s) = (A + o(1))(s-1)^{-\beta},$$

as $s \rightarrow 1+$. Then, for $x \rightarrow \infty$,

$$\sum_{1 \leq n \leq x} \frac{c_n}{n} = \left(\frac{A}{\Gamma(1+\beta)} + o(1) \right) (\log x)^\beta.$$

Proof. This is a standard Tauberian theorem. For the present formulation, cf. Cochrane and Dressler [1, Lemma B].

4. Proof of the Theorem. We recall the decomposition laws in a normal extension K over \mathbb{Q} of degree $N \geq 2$ (cf. W. Narkiewicz [6, Theorem 7.10]): Every rational prime p which does not divide the field discriminant $\text{disc}(K)$ belongs to one of the classes

$$\mathbb{P}_r = \{p \in \mathbb{P} : (p) = \mathfrak{P}_1 \cdots \mathfrak{P}_{N/r}, \mathcal{N}(\mathfrak{P}_1) = \cdots = \mathcal{N}(\mathfrak{P}_{N/r}) = p^r\},$$

where r ranges over the divisors of N , and $\mathfrak{P}_1, \dots, \mathfrak{P}_{N/r}$ are distinct. As an easy consequence, if $p \in \mathbb{P}_r$, $\alpha \in \mathbb{Z}^+$,

$$(4.1) \quad \mathbf{b}_K(p^\alpha) = \begin{cases} 1, & \text{if } r|\alpha, \\ 0, & \text{else.} \end{cases}$$

In order to apply Lemma 1, we need a bit of preparation. Let

$$\begin{aligned} \mathbb{P}_r^* &= \left\{ p \in \mathbb{P}_r : p \nmid \prod_{m=1}^M a_m \prod_{\substack{m,k=1 \\ m \neq k}}^M (a_m b_k - a_k b_m), p \neq M-1 \right\}, \\ \mathbb{P}^* &= \bigcup_{r|N, r>1} \mathbb{P}_r^*. \end{aligned}$$

Then we choose

$$\bar{\Omega}(p^\alpha) := \bigcup_{m=1}^M \left\{ \overline{a_m}^{(-1)} \overline{(j p^{\alpha-1} - b_m)} : j = 1, \dots, p-1 \right\},$$

if $p \in \mathbb{P}_r^*$ and $r \nmid (\alpha-1)$, while $\bar{\Omega}(p^\alpha) := \emptyset$ in all other cases. Here $\bar{\cdot}$ denotes residue classes modulo p^α , in particular $\overline{a_m}^{(-1)}$ is the class which satisfies $\overline{a_m} \overline{a_m}^{(-1)} = \bar{1} \pmod{p^\alpha}$. We summarize the relevant properties of these sets $\bar{\Omega}(p^\alpha)$, and of the corresponding sets $\Omega(p^\alpha)$ (see Lemma 1), as follows.

PROPOSITION. *Suppose throughout that $p \in \mathbb{P}^*$ and $\alpha \in \mathbb{Z}^+$.*

- (i) *If $p \in \mathbb{P}_r^*$, $r \nmid (\alpha-1)$, then $\bar{\Omega}(p^\alpha)$ contains exactly $M(p-1)$ elements.*
- (ii) *If a positive integer k lies in some $\Omega(p^\alpha)$, it follows that there exists an $m \in \{1, \dots, M\}$ such that $p^{\alpha-1} \parallel (a_m k + b_m)$.*
- (iii) *It is impossible that there exist $m, n \in \{1, \dots, M\}$, $m \neq n$, and a positive integer k , such that some $p \in \mathbb{P}^*$ divides both $a_m k + b_m$ and $a_n k + b_n$.*
- (iv) *If $k \in \Omega(p^\alpha)$, it follows that*

$$p^{\alpha-1} \parallel \prod_{m=1}^M (a_m k + b_m).$$

Consequently, $\Omega(p^\alpha) \cap \Omega(p^{\alpha'}) = \emptyset$ for any positive integers $\alpha \neq \alpha'$.

(v) If $k \in \Omega(p^\alpha)$, then

$$\prod_{m=1}^M \mathbf{b}_K(a_m k + b_m) = 0.$$

As a consequence,

$$S(x) \leq \#A(x),$$

where $S(x)$ and $A(x)$ have been defined in (2.1) and Lemma 1, respectively.

Proof of the Proposition. (i) Assume that two of these residue classes would be equal, say, $\overline{a_m}^{(-1)}(u p^{\alpha-1} - b_m)$ and $\overline{a_n}^{(-1)}(v p^{\alpha-1} - b_n)$, where $u, v \in \{1, \dots, p-1\}$, $m, n \in \{1, \dots, M\}$. Multiplying by $\overline{a_m} \overline{a_n}$, we could conclude that

$$a_n(u p^{\alpha-1} - b_m) \equiv a_m(v p^{\alpha-1} - b_n) \pmod{p^\alpha},$$

or, equivalently, that

$$(4.2) \quad (a_n u - a_m v) p^{\alpha-1} \equiv a_n b_m - a_m b_n \pmod{p^\alpha}.$$

Hence $p \mid (a_n b_m - a_m b_n)$, which is only possible if $m = n$. This in turn simplifies (4.2) to

$$a_m(u - v) p^{\alpha-1} \equiv 0 \pmod{p^\alpha},$$

thus also $u = v$. □

(ii) If $k \in \Omega(p^\alpha)$, there exist $j \in \{1, \dots, p-1\}$, $m \in \{1, \dots, M\}$, and an integer q , such that

$$a_m k = j p^{\alpha-1} - b_m + q p^\alpha.$$

From this the assertion is obvious. □

(iii) Assuming the contrary, we would infer that p divides

$$(a_m k + b_m) b_n - (a_n k + b_n) b_m = (a_m b_n - a_n b_m) k,$$

hence $p \mid k$, thus p divides also b_m and b_n , which contradicts $p \in \mathbb{P}^*$. □

(iv) This is immediate from (ii) and (iii). □

(v) By (ii), $p^{\alpha-1} \parallel (a_m k + b_m)$ for some $m \in \{1, \dots, M\}$. Recalling that $r \nmid (\alpha-1)$ (otherwise $\Omega(p^\alpha)$ would be empty), along with (4.1) and the multiplicativity of $\mathbf{b}_K(\cdot)$, it is clear that $\mathbf{b}_K(a_m k + b_m) = 0$. The last inequality is obvious from the relevant definitions. □

We are now ready to apply Lemma 1. Choosing $Y = \sqrt{x}$ and appealing to part (v) of the Proposition, we see that

$$(4.3) \quad S(x) \leq \frac{2x}{V_Y}.$$

To derive a lower bound for V_Y , observe that $\bar{\Omega}(p) = \emptyset$ for every prime p , and $\#\bar{\Omega}(p^2) = M(p-1)$ for each $p \in \mathbb{P}^*$. Further, if $p \notin \mathbb{P}^*$, then $\bar{\Omega}(p^j) = \emptyset$ throughout. Therefore, if $p \in \mathbb{P}^*$, then $\theta(p) = 1$ and

$$\theta(p^2) = 1 - \frac{1}{p^2} \#\bar{\Omega}(p^2) = 1 - \frac{M(p-1)}{p^2},$$

hence

$$(4.4) \quad \frac{1}{\theta(p^2)} - \frac{1}{\theta(p)} = \frac{M(p-1)}{p^2 - M(p-1)} \geq \frac{M}{p}.$$

Furthermore, for any $\alpha > 2$,

$$\theta(p^\alpha) \geq 1 - M(p-1) \sum_{2 \leq j \leq \alpha} p^{-j} > 1 - \frac{M}{p} \geq 0,$$

since $M(p-1) \leq p^2 - 1$ according to clause (i) of the Proposition, and $M = p+1$ is impossible for $p \in \mathbb{P}^*$. Thus actually $\theta(p^\alpha) > 0$ for all primes p and all $\alpha \in \mathbb{Z}^+$. Thus all the terms in the sum V_Y are nonnegative, and restricting the summation to the set

$$Q := \{d = d_1^2 : d_1 \in \mathbb{Z}^+, \mu(d_1) \neq 0, d_1 \in \mathcal{D}(\mathbb{P}^*)\},$$

we conclude by (4.4) that

$$(4.5) \quad \begin{aligned} V_Y &\geq \sum_{0 < d < Y, d \in Q} \prod_{p|d} \left(\frac{1}{\theta(p^2)} - \frac{1}{\theta(p)} \right) \geq \sum_{0 < d_1 < \sqrt{Y}, d_1 \in \mathcal{D}(\mathbb{P}^*)} \mu^2(d_1) \prod_{p|d_1} \frac{M}{p} = \\ &= \sum_{0 < d_1 < \sqrt{Y}, d_1 \in \mathcal{D}(\mathbb{P}^*)} \mu^2(d_1) \frac{M^{\omega(d_1)}}{d_1}, \end{aligned}$$

where $\omega(d_1)$ denotes the number of primes dividing d_1 . Our next step is to take care of the primes excluded in the construction of \mathbb{P}^* . We define

(4.6)

$$\mathbb{P}' = \mathbb{P}'(a_1, b_1, \dots, a_M, b_M) := \left\{ p \in \bigcup_{\substack{r|N \\ r > 1}} \mathbb{P}_r : p \mid \prod_{m=1}^M a_m \prod_{\substack{m,k=1 \\ m \neq k}}^M (a_m b_k - a_k b_m) \right\}$$

and

$$(4.7) \quad \gamma = \gamma(a_1, b_1, \dots, a_M, b_M) := \prod_{p \in \mathbb{P}'} \left(1 + \frac{M}{p} \right) = \sum_{k_1 \in \mathcal{D}(\mathbb{P}')} \mu^2(k_1) \frac{M^{\omega(k_1)}}{k_1}.$$

Putting finally

$$\mathbb{P}_\cup := \bigcup_{r|N, r > 1} \mathbb{P}_r,$$

we readily infer from (4.5) and (4.7) that

$$(4.8) \quad \gamma V_Y \gg \sum_{k < \sqrt{Y}, k \in \mathcal{D}(\mathbb{P}_\cup)} \mu^2(k) \frac{M^{\omega(k)}}{k}.$$

We shall estimate this latter sum by the corresponding generating function

$$f(s) := \sum_{k \in \mathcal{D}(\mathbb{P}_\cup)} \mu^2(k) \frac{M^{\omega(k)}}{k^s} = \prod_{p \in \mathbb{P}_\cup} \left(1 + \frac{M}{p^s}\right) \quad (\operatorname{Re}(s) > 1),$$

applying Lemma 2. By $h_1(s), h_2(s), \dots$ we will denote functions which are holomorphic and bounded, both from above and away from zero, in every half-plane $\operatorname{Re}(s) \geq \sigma_0 > 1/2$. We first observe that

$$(4.9) \quad f(s) = h_1(s) \prod_{p \in \mathbb{P}_\cup} (1 - p^{-s})^{-M} \quad (\operatorname{Re}(s) > 1).$$

This follows by a standard argument which can be found exposed neatly in G. Tenenbaum [11, p. 200]. The next step is to consider the Euler product of the Dedekind zeta-function $\zeta_K(s)$: For $\operatorname{Re}(s) > 1$,

$$\begin{aligned} \zeta_K(s) &= \prod_{\mathfrak{p}} (1 - \mathcal{N}(\mathfrak{p})^{-s})^{-1} = h_2(s) \prod_{r|N} \left(\prod_{p \in \mathbb{P}_r} (1 - p^{-rs})^{-N/r} \right) = \\ &= h_3(s) \prod_{p \in \mathbb{P}_1} (1 - p^{-s})^{-N}. \end{aligned}$$

Therefore,

$$\frac{(\zeta(s))^M}{(\zeta_K(s))^{M/N}} = h_4(s) \prod_{p \in \mathbb{P}_\cup} (1 - p^{-s})^{-M} \quad (\operatorname{Re}(s) > 1).$$

Comparing this with (4.9), we arrive at

$$f(s) = h_5(s) \frac{(\zeta(s))^M}{(\zeta_K(s))^{M/N}}.$$

From this it is evident that, as $s \rightarrow 1+$,

$$f(s) \sim h_5(1) \rho_K^{-M/N} (s-1)^{-M+M/N},$$

where ρ_K denotes the residue of $\zeta_K(s)$ at $s = 1$. Lemma 2 now immediately implies that

$$\sum_{k < \sqrt{Y}, k \in \mathcal{D}(\mathbb{P}_\cup)} \mu^2(k) \frac{M^{\omega(k)}}{k} \gg (\log Y)^{M-M/N} \gg (\log x)^{M-M/N},$$

in view of our earlier choice $Y = \sqrt{x}$. Combing this with (4.3) and (4.8), we complete the proof of our Theorem. \square

5. Concluding remarks. 1. Taking more care and imposing special conditions on the numbers $a_1, b_1, \dots, a_M, b_M$, one could improve slightly on the factor γ in our estimate. (Observe that Rieger's bound (1.2) is in fact a bit sharper than our general result.) But it is easy to see that γ is rather small anyway: By elementary facts about the Euler totient function (see K. Prachar [8, p. 24–28]),

$$\gamma(a_1, b_1, \dots, a_M, b_M) \ll \prod_{p \in \mathbb{P}'} \left(1 - \frac{1}{p}\right)^{-M} \ll (\log \log x)^M,$$

under the very mild restriction that, for some constant $c > 0$,

$$\max_{m=1, \dots, M} (a_m, |b_m|) \ll \exp((\log x)^c).$$

2. As far as the asymptotics (1.1) is concerned, the generalization to an arbitrary normal extension K of \mathbb{Q} can be found in W. Narkiewicz' monograph [6, p. 361, Prop. 7.11], where it is attributed to E. Wirsing. For this question, the case of non-normal extensions K has been dealt with by R. W. K. Odoni [7]. It may be interesting to extend our present problem to the non-normal case as well. We might return to this at a later occasion.

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