

GRAPHS WITH LEAST EIGENVALUE AT LEAST $-\sqrt{3}$

Dragoš Cvetković and Dragan Stevanović

Communicated by Slobodan Simić

ABSTRACT. We determine the graphs whose least eigenvalue is at least $-\sqrt{3}$.

1. Introduction

Let $G = (V, E)$ be a simple graph with n vertices. We write $V(G)$ for the vertex set of G , and $E(G)$ for the edge set of G .

The *complement* of a graph G is denoted by \overline{G} . For $v \in V(G)$, $G - v$ denotes the graph obtained from G by deleting the vertex v and all edges incident with v . More generally, for $U \subseteq V(G)$, $G - U$ is the subgraph of G induced by $V(G) \setminus U$.

The characteristic polynomial $\det(xI - A)$ of the adjacency matrix A of G is called the *characteristic polynomial of G* and denoted by $P_G(x)$. The eigenvalues of A (i.e., the zeros of $\det(xI - A)$) and the spectrum of A (which consists of the n eigenvalues) are also called the *eigenvalues* and the *spectrum* of G , respectively. The eigenvalues of G are usually denoted by $\lambda_1, \lambda_2, \dots, \lambda_n$; they are real because A is symmetric. Unless we indicate otherwise, we shall assume that $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$ and use the notation $\lambda_i = \lambda_i(G)$ for $i = 1, 2, \dots, n$. The least eigenvalue $\lambda_n(G)$ of a graph G will also be denoted by $\lambda(G)$.

As usual, K_n, C_n and P_n denote respectively the *complete graph*, the *cycle* and the *path* on n vertices. Further, $K_{m,n}$ denotes the *complete bipartite* graph on $m+n$ vertices. The graph $K_{1,n}$ is called a *star* and its vertex of maximal degree is denoted as *central*. A *double star* $D_{m,n}$ is the graph formed by adding an edge between the central vertices of stars $K_{1,m}$ and $K_{1,n}$.

The *cocktail-party graph* $CP(n)$ is the unique regular graph with $2n$ vertices of degree $2n - 2$; it is obtained from K_{2n} by deleting n mutually non-adjacent edges.

2000 *Mathematics Subject Classification*. Primary 05C50.

The first author was supported by the Grant 1389 of the Serbian Ministry of Science, Technology and Development (MNTR).

The second author is on leave from Faculty of Science and Mathematics, University of Niš, Yugoslavia. This author was supported by a research fellowship from SMG and the Grant 1389 of the Serbian Ministry of Science, Technology and Development (MNTR).

A connected graph with n vertices is said to be a *tree* if it has $n - 1$ edges. If T is a tree, a vertex of T of degree one is called a *leaf*. An *end-edge* of T is an edge one of whose endvertices is a leaf.

A connected graph with n vertices is said to be *unicyclic* if it has n edges. It is called *even (odd)* if its unique cycle is even (odd).

The *join* $G \nabla H$ of graphs G and H is obtained from G and H by joining with an edge each vertex of G to each vertex of H .

If G is a graph of order n , the *corona* $G \otimes H$ of graphs G and H is obtained from G and n copies of the graph H by adding edges between the i -th vertex of G and each vertex in the i -th copy of H ($i = 1, 2, \dots, n$).

The *line graph* $L(H)$ of any graph H is defined as follows. The vertices of $L(H)$ are the edges of H and two vertices of $L(H)$ are adjacent whenever the corresponding edges of H have a vertex of H in common.

A *generalized line graph* $L(H; a_1, \dots, a_n)$ is defined for graphs H with vertex set $\{1, \dots, n\}$ and non-negative integers a_1, \dots, a_n by taking the graphs $L(H)$ and $CP(a_i)$ ($i = 1, \dots, n$) and adding extra edges: a vertex e in $L(H)$ is joined to all vertices in $CP(a_i)$ if i is an end-vertex of e as an edge of H . We include as special cases an ordinary line graph ($a_1 = a_2 = \dots = a_n = 0$) and the cocktail-party graph $CP(n)$ ($n = 1$ and $a_1 = n$).

An *exceptional* graph is a connected graph with least eigenvalue greater than or equal to -2 which is not a generalized line graph.

The following result of M. Doob and D. Cvetković [11] is our starting point. (It appears as Theorem 1.3 of [3] with a misprint in part (v).)

THEOREM 1. *If G is a connected graph with least eigenvalue greater than -2 then one of the following holds:*

- (i) $G = L(T; 1, 0, \dots, 0)$ where T is a tree;
- (ii) $G = L(H)$ where H is a tree or an odd unicyclic graph;
- (iii) G is one of 20 graphs on 6 vertices represented in the root system E_6 ;
- (iv) G is one of 110 graphs on 7 vertices represented in the root system E_7 ;
- (v) G is one of 443 graphs on 8 vertices represented in the root system E_8 .

The exceptional graphs with least eigenvalue greater than -2 are those appearing in parts (iii)–(v) of Theorem 1 (573 in total). Those of type (v) are one-vertex extensions of graphs of type (iv), which are in turn one-vertex extensions of graphs of type (iii). The 443 graphs of type (v) are tabulated in [1]. The 110 graphs of type (iv) are identified in [5] by means of the list of 7-vertex graphs in [3]. The twenty 6-vertex graphs of type (iii) are identified in [7]. All 573 exceptional graphs with least eigenvalue greater than -2 are also given in the technical report [6] together with related data.

By the well-known interlacing theorem for graph eigenvalues (cf., e.g., [4, p. 19]), the property $\lambda(G) \geq a$ for a fixed real a , is a hereditary property.

It is shown in [15] that, for $n \geq 4$, if G is not a complete graph on n vertices, then

$$\lambda(G) < -\frac{1}{2} \left(1 + \sqrt{1 + 4 \frac{n-3}{n-1}} \right)$$

When n tends to infinity, this upper bound tends to $\tau = -(1 + \sqrt{5})/2 \approx -1.61803$. We are interested to find such graphs G whose smallest eigenvalue $\lambda(G)$ falls in the gap between $\tau = -(1 + \sqrt{5})/2$ and this upper bound, i.e., that satisfy $\lambda_n \geq -(1 + \sqrt{5})/2$. Such graphs will be called τ -graphs.

Recall that x is a *limit point* of a set S of reals if any open interval containing x contains an element of S different from x .

The value τ is the largest limit point of the least eigenvalue of graphs. The second largest limit point is $\omega = -\sqrt{3}$. This follows from some results of A. J. Hoffman who determined in [12] all reals exceeding -2 which are limit points of the set Λ of least eigenvalues of graphs. Let T be a tree with at least two edges, e an end-edge of T . Let $\hat{A}(T, e)$ be the adjacency matrix of $L(T)$, modified by putting -1 in the diagonal position corresponding to e . We will say that the pair (T, e) is *proper* provided $\lambda(\hat{A}(T, e)) < \lambda(L(T))$. (It was conjectured in [12] that every (T, e) is proper, but so far there is no proof.) The main result of [12] is given in the following theorem.

THEOREM 2. *If (T, e) is proper, $\lambda(\hat{A}(T, e))$ is a limit point of Λ . Conversely, if $\lambda > -2$ is a limit point of Λ , then $\lambda = \lambda(\hat{A}(T, e))$ for some proper (T, e) .*

The limit point τ is obtained if $T = K_{1,2}$ while the next limit point ω is obtained for $T = K_{1,3}$. We will also determine all ω -graphs.

Before [15] it was established in [13] that if we order connected graphs on n ($n > 2$) vertices by decreasing least eigenvalues the first graph is K_n and the second one is K_{n-1} with a pendant edge attached, which is here denoted by L_n . The sequence $\lambda(L_n)$ can be easily calculated and it is decreasing and tends to τ .

τ -graphs are related to the problem of characterizing graphs with $\lambda_2 \leq (\sqrt{5} - 1)/2 = \sigma \approx 0.61803$ [9], [10]. For let $\bar{\lambda}_1 \geq \bar{\lambda}_2 \geq \dots \geq \bar{\lambda}_n$ be the eigenvalues of \bar{G} . The Courant-Weyl inequalities [14, Theorem 34.2.1], imply that $\lambda_2 + \bar{\lambda}_{n-1} \geq -1$, while $\lambda_2 + \bar{\lambda}_n \leq -1$ (cf. [2]). This shows that if $\lambda_n \geq -(1 + \sqrt{5})/2$, then $\bar{\lambda}_2 \leq (\sqrt{5} - 1)/2$. Hence, the complements of τ -graphs have $\lambda_2 \leq \sigma$.

Let $\alpha > -2$ and let S_α denote the set of all graphs G satisfying $\lambda(G) \geq \alpha$. From Theorem 1 it follows that a graph G from S_α is:

- one of 573 exceptional graphs, or
- the generalized line graph $L(T; 1, 0, \dots, 0)$ for some tree T , or
- the line graph $L(T)$ for some tree T , or
- the line graph $L(H)$ for some odd unicyclic graph H .

Suppose that G is isomorphic to either $L(T; 1, 0, \dots, 0)$ or $L(T)$ for some tree T . Note that if T' is an induced subgraph of T , then $L(T')$ is an induced subgraph of $L(T)$. Since the sequence $\lambda_d(P_d)$ is monotonic decreasing and $\lim_{d \rightarrow \infty} \lambda_d(P_d) = -2$, it follows that there is $d_\alpha \in \mathbb{N}$ such that $\alpha > \lambda_{d_\alpha}(P_{d_\alpha})$. If T has a diameter at least d_α then it contains $P_{d_\alpha+1}$ as an induced subgraph, and $G = L(T)$ contains $P_{d_\alpha} = L(P_{d_\alpha+1})$ as an induced subgraph, which is contradiction, since from the interlacing theorem it follows that $\alpha > \lambda_{d_\alpha}(P_{d_\alpha}) \geq \lambda_n(G)$. Therefore, we conclude that a tree T has diameter at most $d_\alpha - 1$.

Now, suppose that G is isomorphic to $L(H)$ for some odd unicyclic graph H . Since the sequence $\lambda_{2l+1}(C_{2l+1})$ is monotonic decreasing and $\lim_{l \rightarrow \infty} \lambda_{2l+1}(C_{2l+1}) = -2$, it follows that there is $l_\alpha \in \mathbb{N}$ such that $\alpha > \lambda_{2l_\alpha+1}(C_{2l_\alpha+1})$. If H contains (as an induced subgraph) an odd cycle of length at least $2l_\alpha + 1$, then $G = L(H)$ contains $C_{2l_\alpha+1} = L(C_{2l_\alpha+1})$ as an induced subgraph too, which is contradiction, since from the interlacing theorem it follows that $\alpha > \lambda_{2l_\alpha+1}(C_{2l_\alpha+1}) \geq \lambda_n(G)$. Therefore, we conclude that H has a cycle of length at most $2l_\alpha - 1$.

In the following two sections, we apply previous considerations to determine the sets S_τ and S_ω .

2. The set S_τ

LEMMA 1. *The wheel W_5 , shown in Fig. 1a, is the only exceptional graph which belongs to S_τ .*

PROOF. Looking at the tables of [6] we see that out of 573 exceptional graphs with least eigenvalue greater than -2 only the wheel W_5 , shown in Fig. 1a, belongs to S_τ . In fact, W_5 has least eigenvalue equal to τ . \square

LEMMA 2. *An odd unicyclic graph H such that $L(H) \in S_\tau$ contains an odd cycle of length either 3 or 5.*

PROOF. Since $\lambda_7(C_7) \approx -1.8019 < \tau$, we conclude that an odd unicyclic graph H such that $L(H) \in S_\tau$ contains an odd cycle of length either 3 or 5. \square

LEMMA 3. *The only unicyclic graph H with a cycle C of length 5 for which $L(H)$ belongs to S_τ is the cycle C_5 itself, shown in Fig. 1b.*

PROOF. Suppose that $G = L(H) \in S_\tau$ where H is a unicyclic graph with a cycle C of length 5. If there exists a vertex v of H adjacent to a vertex of C , then H contains as an induced subgraph the graph B_1 from Fig. 2, which is a contradiction, since then $\lambda_n(G) \leq \lambda_6(L(B_1)) \approx -1.7566$. Therefore, H does not have any vertex adjacent to a vertex from C . The cycle C_5 , shown in Fig. 1b, has the smallest eigenvalue equal to τ and it belongs to S_τ . \square

LEMMA 4. *The line graphs of a unicyclic graph with a cycle C of length 3 which belong to S_τ , are shown in Fig. 1c–f.*

PROOF. Let $G = L(H)$ and suppose that H is a unicyclic graph with a cycle C of length 3 consisting of vertices c_1, c_2, c_3 . If any of these vertices has degree at least 4, then H contains as an induced subgraph the graph B_2 from Fig. 2, which is a contradiction, since then $\lambda_n(G) \leq \lambda_5(L(B_2)) \approx -1.6813$. Therefore, each of vertices c_1, c_2, c_3 has degree either 2 or 3. If there is a vertex v of H adjacent to vertex c_i for some $i \in \{1, 2, 3\}$, and the degree of v is at least 2, then H contains as an induced subgraph the graph B_3 from Fig. 2, which is also a contradiction, since then $\lambda_n(G) \leq \lambda_5(L(B_3)) \approx -1.7757$. Therefore, possible neighbors of vertices c_1, c_2, c_3 may be only pendant vertices and we conclude that there are four possibilities, the line graphs of which all belong to S_τ , and which are shown in Fig. 1c–f. \square

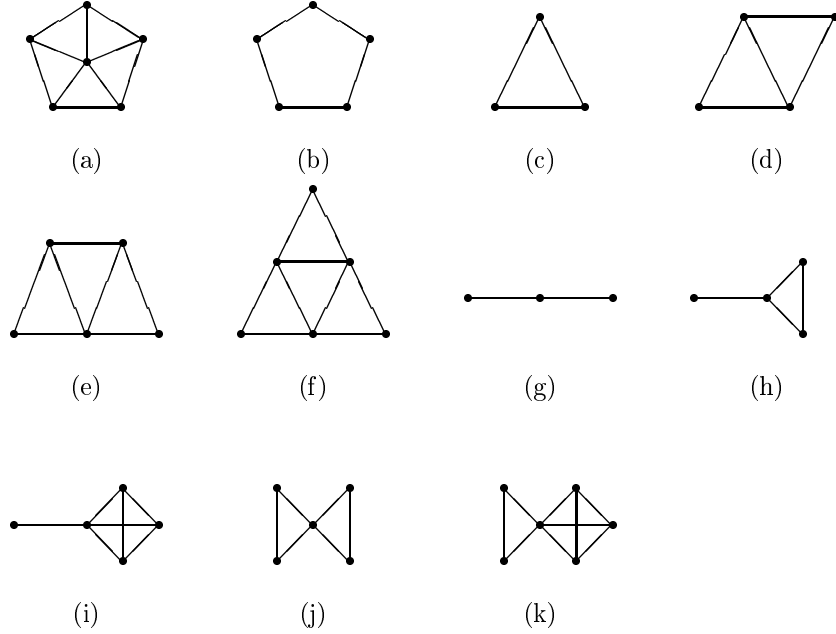


FIGURE 1. Some τ -graphs.

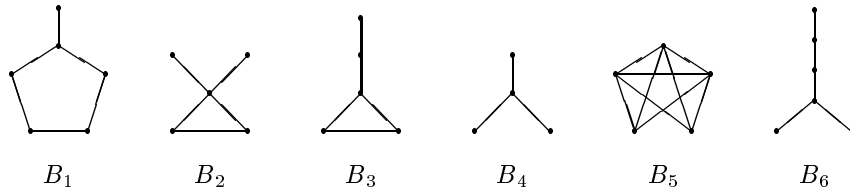


FIGURE 2. Forbidden subgraphs.

LEMMA 5. *Suppose that $G = L(T; 1, 0, \dots, 0) \in S_\tau$, where T is a tree with at least one edge. Then G is either graph (g) or graph (d) as shown in Fig. 1.*

PROOF. Suppose that $L(T; 1, 0, \dots, 0) \in S_\tau$, where T is a tree with at least one edge. Consider the vertex v_1 of T . The vertices of $L(T; 1, 0, \dots, 0)$, corresponding to the edges e of T having v_1 as an endvertex, are adjacent to both vertices of $CP(1) \cong K_2$ in $L(T; 1, 0, \dots, 0)$. If there is a vertex w at distance 2 from v_1 in T , then $L(T; 1, 0, \dots, 0)$ contains as an induced subgraph the graph $B_4 \cong K_{1,3}$ from Fig. 2, which is a contradiction, since then $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_3(B_4) \approx -1.7321$. Therefore, all other vertices of T are neighbors of v_1 . If v_1 has one neighbor, i.e., if $T \cong K_2$, then $L(T; 1, 0) \cong P_3 \in S_\tau$ and it is shown in Fig. 1g. If v_1

has two neighbors, i.e., if $T \cong P_3$, then $L(T; 1, 0, 0)$ is isomorphic to the graph in Fig. 1d. However, if v_1 has at least three neighbors, then $L(T; 1, 0, \dots, 0)$ contains as an induced subgraph the graph B_5 from Fig. 2, which is a contradiction, since then $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_5(B_5) \approx -1.6458$. \square

LEMMA 6. *Suppose that $G = L(T) \in S_\tau$, where T is a tree with at least one edge. Then G is one of the graphs (g)–(k) shown in Fig. 1 or belongs to the family $Y_{k,l}$, shown in Fig. 3b.*

PROOF. Suppose that $G = L(T) \in S_\tau$, where T is a tree with at least one edge. Since $\lambda_5(P_5) = -\sqrt{3} < \tau$, from observations in previous section, we conclude that T has diameter at most 4.

If T has diameter 1, then $T \cong K_2$ and $L(T) \cong K_1$, which has no edges. If T has diameter 2, then for some $n \in \mathbb{N}$ we have $T \cong K_{1,n}$ and $L(T) \cong K_n$. The complete graphs have smallest eigenvalue equal to -1 and they belong to S_τ . However, they form a subfamily of a larger family which we later find is contained in S_τ .

If T has diameter 3, then T is isomorphic to a *double star* $D_{m,n}$ for some $m \geq n \geq 1$. In that case, $L(T)$ is isomorphic to a graph formed by identifying a pair of vertices of complete graphs K_{m+1} and K_{n+1} . Since $\lambda_7(L(D_{4,2})) \approx -1.6262$, in order that $L(D_{m,n}) \in S_\tau$ we must have that either $n = 1$ or $m \leq 3$. For $n = 1$ the graphs $L(D_{m,1})$ form a subfamily of a larger family which we later find is contained in S_τ . If $m \leq 3$, then we have in all six possibilities for the pairs (m, n) and double stars $D_{m,n}$. Their line graphs all belong to S_τ , except for the case $m = n = 3$, and they are shown in Fig. 1g–k (since $L(D_{1,1}) \cong P_3$, which is already shown in Fig. 1g).

Finally, suppose that T has diameter 4, let u and v be two vertices of T with $d(u, v) = 4$ and let c be the unique vertex of T such that $d(c, u) = d(c, v) = 2$. If there is a vertex w of T such that $d(c, w) = 2$ and either $d(u, w) \leq 2$ or $d(v, w) \leq 2$, then T contains as an induced subgraph the graph B_6 from Fig. 2, which is a contradiction, since then $\lambda_n(L(T)) \leq \lambda_5(B_6) \approx -1.6751$. Therefore, for each vertex w of T such that $d(c, w) = 2$ we conclude that $d(u, w) = d(v, w) = 4$. Thus, there exist non-negative integers k, l ($k \geq 2$), such that T is isomorphic to the tree $X_{k,l}$, shown in Fig. 3a, while $L(T)$ is isomorphic to the graph $Y_{k,l}$, shown in Fig. 3b. \square

Note that the complete graph K_n is just $Y_{0,n}$, while the graph $L(D_{m,1})$ is just $Y_{1,m}$.

All graphs $Y_{k,l}$ belong to S_τ . To see this, it is enough to show that $Y_{k,0} \in S_\tau$, since $Y_{k,l}$ is an induced subgraph of $Y_{k+l,0}$. Actually, the graphs $Y_{k,0}$ may be obtained by adding a pendant vertex to each vertex of K_k . On page 60 of [4] one can find a formula for the characteristic polynomial of a graph obtained in this way (alternatively, one can use a more general formula for the corona of two graphs in the next section):

$$P(Y_{k,0}; \lambda) = \lambda^k P(K_k; \lambda - 1/\lambda) = (\lambda^2 - (k-1)\lambda - 1)(\lambda^2 + \lambda - 1)^{k-1}.$$

Thus, the eigenvalues of $Y_{k,0}$ are simple eigenvalues $(k-1 \pm \sqrt{(k-1)^2 + 4})/2$, and eigenvalues $(\sqrt{5}-1)/2$ and $-(1+\sqrt{5})/2$, each with multiplicity $k-1$.

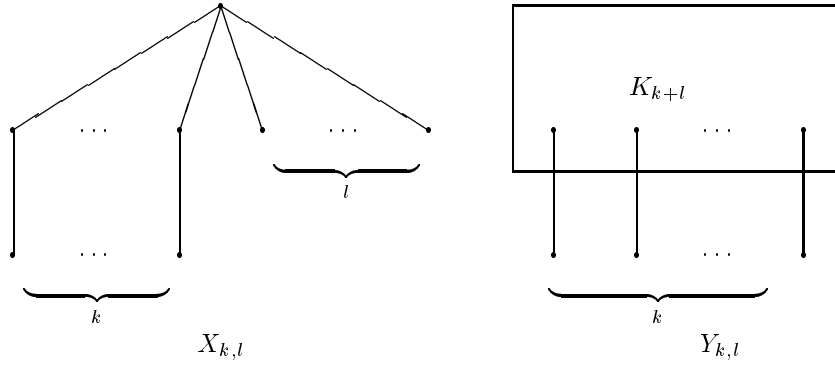


FIGURE 3. A family of graphs.

This ends our search and establishes the following theorem.

THEOREM 3. *The set S_τ consists of connected induced subgraphs of the following graphs:*

- (1) graph (a) of Fig. 1 (i.e., the wheel W_5),
- (2) graph (f) of Fig. 1,
- (3) graph (k) of Fig. 1,
- (4) the graph $Y_{n,0}$ for some $n = 1, 2, \dots$.

3. The set S_ω

We obviously have $S_\tau \subseteq S_\omega$, since $\tau = -(1 + \sqrt{5})/2 > -\sqrt{3} = \omega$. Thus, in order to save space, in Lemmas 7–12 below we will specify the graphs belonging to $S_\omega \setminus S_\tau$ only.

LEMMA 7. *The exceptional graphs belonging to $S_\omega \setminus S_\tau$ are $P_4 \nabla K_2$ and $C_5 \nabla 2K_1$.*

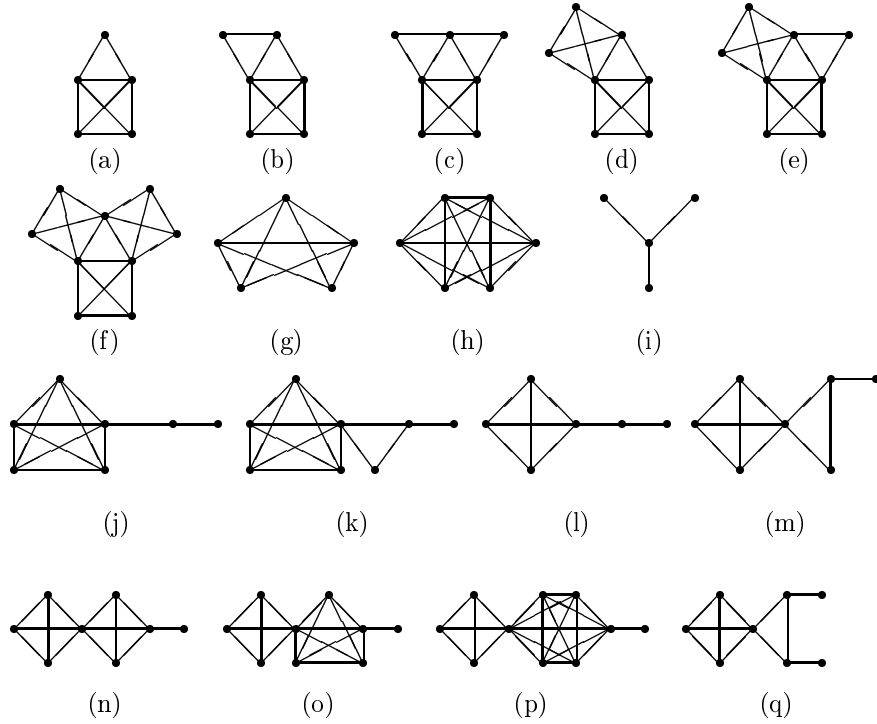
PROOF. Looking at the tables of [6] we see that out of 573 exceptional graphs with least eigenvalue greater than -2 , only the graphs $P_4 \nabla K_2$ and $C_5 \nabla 2K_1$ belong to $S_\omega \setminus S_\tau$. \square

LEMMA 8. *An odd unicyclic graph H such that $L(H) \in S_\omega$ contains an odd cycle of length either 3 or 5.*

PROOF. As in Lemma 2, it is impossible that H contains a cycle of length at least 7, since for $k \geq 3$ we have $\lambda_{2k+1}(C_{2k+1}) \leq \lambda_7(C_7) \approx -1.8019 < \omega$. \square

LEMMA 9. *There exists no unicyclic graph H with a cycle C of length 5 for which $L(H)$ belongs to $S_\omega \setminus S_\tau$.*

PROOF. This follows from the proof of Lemma 3, where it is shown that the line graph of such H has smallest eigenvalue at most $\lambda_6(L(B_1)) \approx -1.7566 < \omega$. \square

FIGURE 4. Some ω -graphs.

LEMMA 10. *The line graphs of a unicyclic graph with a cycle C of length 3 which belong to $S_\omega \setminus S_\tau$ are those shown in Fig. 4a–f.*

PROOF. Let $G = L(H)$ and suppose that H is a unicyclic graph with a cycle C of length 3 consisting of vertices c_1, c_2, c_3 . If any of these vertices has degree at least 5, then H contains as an induced subgraph the graph B_7 from Fig. 5, which is a contradiction, since then $\lambda_n(G) \leq \lambda_6(L(B_7)) \approx -1.7466$. Therefore, each of vertices c_1, c_2, c_3 has degree at most 4.

As in the proof of Lemma 4, we may conclude that the possible neighbors of vertices c_1, c_2, c_3 must be pendant vertices. We have already seen in the proof of Lemma 4 that if the degrees of c_1, c_2 and c_3 are at most 3, then all the corresponding line graphs belong to S_τ . Therefore, we only need to consider those graphs where one of these vertices has degree 4. There are six such nonisomorphic graphs, coded by the nonincreasing degrees of vertices c_1, c_2 and c_3 :

$$\{(4, 2, 2), (4, 3, 2), (4, 3, 3), (4, 4, 2), (4, 4, 3), (4, 4, 4)\}.$$

The line graphs of all these graphs have least eigenvalue at least $-\sqrt{3}$ (three of them have least eigenvalue strictly greater than $-\sqrt{3}$ while the other three have least eigenvalue equal to $-\sqrt{3}$), and they are shown in Fig. 4a–f. \square

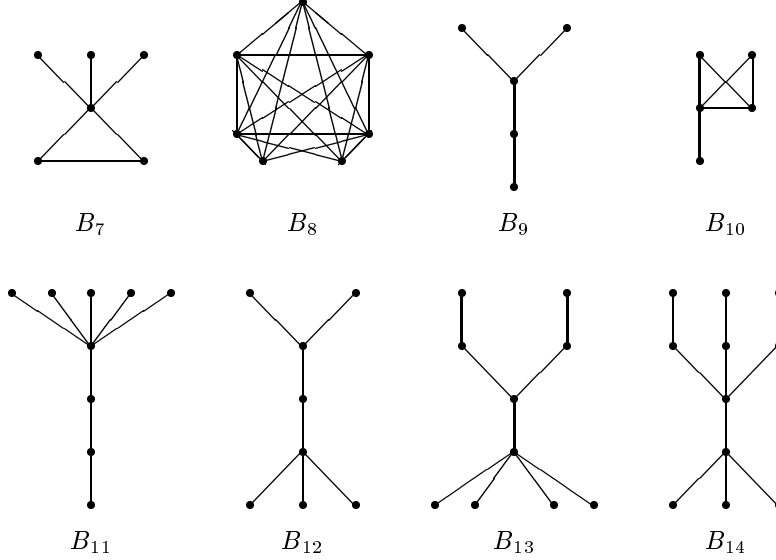


FIGURE 5. Additional forbidden subgraphs.

LEMMA 11. *Suppose that $G = L(T; 1, 0, \dots, 0) \in S_\omega \setminus S_\tau$, where T is a tree with at least one edge. Then G is either one of the graphs (g)–(i) or the graph (d) shown in Fig. 4.*

PROOF. Suppose that $L(T; 1, 0, \dots, 0) \in S_\omega \setminus S_\tau$, where T is a tree with at least one edge. Consider the vertex v_1 of T . The vertices of $L(T; 1, 0, \dots, 0)$, corresponding to the edges e of T having v_1 as its endvertex, are adjacent to both vertices of $CP(1) \cong K_2$ in $L(T; 1, 0, \dots, 0)$.

The vertex v_1 has at most four neighbors in T , since otherwise $L(T; 1, 0, \dots, 0)$ contains as an induced subgraph the graph B_8 from Fig. 5, which is a contradiction, since then $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_7(B_8) \approx -1.7417$.

If there is a vertex w at distance 3 from v_1 in T , then $L(T; 1, 0, \dots, 0)$ contains as an induced subgraph the graph B_9 from Fig. 5, which is a contradiction, since then $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_5(B_9) \approx -1.8478$. Therefore, all other vertices of T are at distance at most 2 from v_1 .

Suppose that w is a vertex at distance 2 from v_1 in T , and let v be a common neighbor of w and v_1 . If v_1 has another neighbor, say u , then $L(T; 1, 0, \dots, 0)$ contains as an induced subgraph the graph B_{10} from Fig. 5, which is a contradiction, since then $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_5(B_{10}) \approx -1.7491$.

Therefore, either all neighbors of v_1 are pendant vertices, or v_1 is a pendant vertex of a nontrivial star. In the first case, the generalized line graph is isomorphic to a complete graph with one edge deleted. If v_1 has degree 1 or 2, then the two corresponding generalized line graphs belong to S_τ (shown in Fig. 1g and Fig. 1d, respectively). If v_1 has degree 3 or 4, then the two corresponding generalized line graphs belong to $S_\omega \setminus S_\tau$ and they are shown in Fig. 4g and Fig. 4h, respectively.

In the second case, the generalized line graph is isomorphic to a complete graph K_n with two pendant vertices attached to one vertex of K_n . For these graphs, the least eigenvalue is monotonic non-increasing as n increases. For $n = 2$ the corresponding graph has the least eigenvalue $-\sqrt{3}$ and it belongs $S_\omega \setminus S_\tau$ (shown in Fig. 4i). For $n = 3$ the corresponding graph has least eigenvalue -1.8136 and thus none of these graphs belong to $S_\omega \setminus S_\tau$ for $n \geq 3$. \square

LEMMA 12. *Suppose that $G = L(T) \in S_\omega$, where T is a tree with at least one edge. Then G is one of the following a) a complete graph, b) a graph formed by identifying a pair of vertices of complete graphs K_{m+1} and K_{n+1} where either $n = 2$ and m is arbitrary, or $n = 3$ and $3 \leq m \leq 9$, or $n = 4$ and $4 \leq m \leq 5$, c) G is one of the graphs shown in Fig. 4j–q, d) G belongs to the family Y_{k,l_2,l_1} , shown in Fig. 6.*

PROOF. Suppose that $G = L(T) \in S_\omega \setminus S_\tau$, where T is a tree with at least one edge. If T has diameter at least 5, then it contains P_6 as an induced subgraph, which is impossible, since $\lambda_6(P_6) \approx -1.8019 < \omega$. Therefore, T has diameter at most 4.

If T has diameter 1, then $T \cong K_2$ and $L(T) \cong K_1$, which has no edges. If T has diameter 2, then for some $n \in \mathbb{N}$ we have $T \cong K_{1,n}$ and $L(T) \cong K_n$. The complete graphs have smallest eigenvalue equal to -1 and they already belong to S_τ .

If T has diameter 3, then T is isomorphic to a *double star* $D_{m,n}$ for some $m \geq n \geq 1$. In that case, $L(T)$ is isomorphic to a graph formed by identifying a pair of vertices of complete graphs K_{m+1} and K_{n+1} . We have already seen that the graphs $L(D_{m,1})$ belong to S_τ . Later we will show that the graphs $L(D_{m,2})$ belong to S_ω . If $n \geq 3$, then we have that $D_{10,3}$, $D_{6,4}$ and $D_{5,5}$ are the minimal double stars whose least eigenvalue is less than $-\sqrt{3}$. Thus, as new graphs in S_ω we will have the graphs of the form $L(D_{m,2})$ for all $m \in \mathbb{N}$, as well as $L(D_{m,3})$ for $3 \leq m \leq 9$ and $L(D_{m,4})$ for $4 \leq m \leq 5$.

Finally, suppose that T has diameter 4, let u and v be two vertices of T with $d(u, v) = 4$ and let c be the unique vertex of T such that $d(c, u) = d(c, v) = 2$. Denote the neighbors of c by w_1, w_2, \dots, w_k . Each of the vertices w_i may be adjacent to at most 4 leaves; otherwise, T contains as an induced subgraph the graph B_{11} from Fig. 5, which has $\lambda_8(L(B_{11})) \approx -1.7350$. Further, if w_i is adjacent to at least 3 leaves for some i , then w_j , for $j \neq i$, may be adjacent to at most one leaf; otherwise, T contains as an induced subgraph the graph B_{12} from Fig. 5, which has $\lambda_7(L(B_{12})) \approx -1.7616$.

Without loss of generality, suppose that the vertices w_1, w_2, \dots, w_k are ordered by nonincreasing degrees. Then the following cases are possible:

a) w_1 is adjacent to 4 leaves. Then we may suppose that w_2, \dots, w_l ($2 \leq l \leq k$) are each adjacent to one leaf, while w_{l+1}, \dots, w_k are themselves leaves.

It must be that $l = 2$; otherwise, if $l \geq 3$ then T contains as an induced subgraph the graph B_{13} from Fig. 5, which has $\lambda_9(L(B_{13})) \approx -1.7558$.

With $l = 2$, the least eigenvalue of these graphs is monotonic as k increases. For $k = 2$ and $k = 3$ we obtain graphs in S_ω , shown in Fig. 4j and k. The graphs obtained for $k \geq 5$ have least eigenvalue less than $-\sqrt{3}$.

b) w_1 is adjacent to 3 leaves. Then we may suppose that w_2, \dots, w_l ($2 \leq l \leq k$) are each adjacent to one leaf, while w_{l+1}, \dots, w_k are themselves leaves.

It must be that $l \leq 3$; otherwise, if $l \geq 4$ then T contains as an induced subgraph the graph B_{14} from Fig. 5, which has $\lambda_{10}(L(B_{14})) \approx -1.7462$.

With l fixed, the least eigenvalue of these graphs is monotonic as k increases. Thus, once the least eigenvalue of a graph from this sequence drops below $-\sqrt{3}$, then all the graphs following it also have the least eigenvalue less than $-\sqrt{3}$.

If $l = 2$, then the corresponding graph belongs to S_ω only for $k \leq 6$, giving 5 new graphs in S_ω ; they are shown in Fig. 4l-p.

If $l = 3$, then the corresponding graph belongs to S_ω only for $k = 3$, giving one new graph in S_ω ; it is shown in Fig. 4q. \square

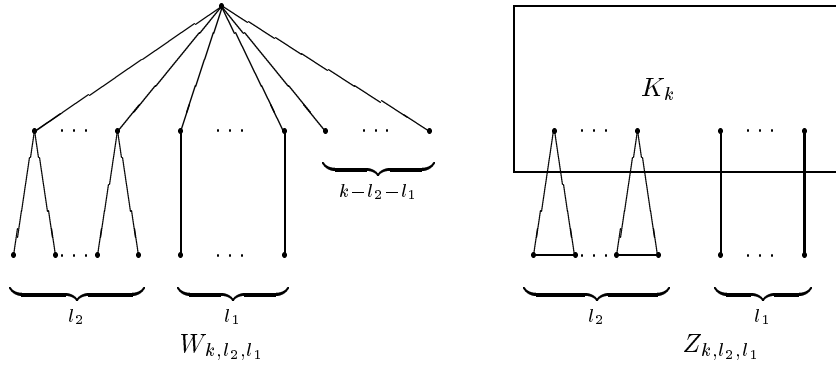


FIGURE 6. Another family of graphs.

Vertices w_1, \dots, w_{l_2} are each adjacent to two leaves, vertices $w_{l_2+1}, \dots, w_{l_2+l_1}$ are each adjacent to one leaf, and vertices $w_{l_2+l_1+1}, \dots, w_k$ are themselves leaves.

We denote such a graph by W_{k,l_2,l_1} (see Fig. 6) and prove that its line graph Z_{k,l_2,l_1} (see Fig. 6) always belongs to S_ω . The easiest way to show this is first to notice that Z_{k,l_2,l_1} is always an induced subgraph of $Z_{k,k,0}$, and then to show that $Z_{k,k,0}$ belongs to S_ω . The graph $Z_{k,k,0}$ can be represented as $K_k \otimes K_2$, where \otimes denotes the corona of graphs. A formula for the characteristic polynomial of the corona of two regular graphs is given in [3, p. 50], according to which we have

$$\begin{aligned}
 & P_{K_p \otimes K_q}(\lambda) \\
 &= \left(\lambda - \frac{q}{\lambda - q + 1} - p + 1 \right) \left(\lambda - \frac{q}{\lambda - q + 1} + 1 \right)^{p-1} (\lambda - q + 1)^p (\lambda + 1)^{p(q-1)} \\
 &= (\lambda^2 - (p + q - 2)\lambda + pq - p - 2q + 1) (\lambda^2 - (q - 2)\lambda - 2q + 1)^{p-1} (\lambda + 1)^{p(q-1)}.
 \end{aligned}$$

The first factor above yields simple eigenvalues $\frac{1}{2}(p+q-2 \pm \sqrt{(p-q)^2+4q})$, and the second factor yields eigenvalues $\frac{1}{2}(q-2 \pm \sqrt{q^2+4q})$ of the multiplicity $p-1$. For fixed q , the function $\frac{1}{2}(p+q-2 \pm \sqrt{(p-q)^2+4q})$ is monotone increasing and thus, the least eigenvalue of $K_p \otimes K_q$ is $\frac{1}{2}(q-2 - \sqrt{q^2+4q})$, equal to τ for $q=1$ and ω for $q=2$.

Notice also that $L(D_{m,2})$, mentioned above, is just $Z_{m+1,1,0}$, and thus it also belongs to S_ω for arbitrary m .

This ends our search and establishes the following theorem.

THEOREM 4. *The set S_ω consists of connected induced subgraphs of the following graphs:*

- (1) *the exceptional graphs $P_4 \nabla K_2$ and $C_5 \nabla 2K_1$,*
- (2) *one of the graphs (f), (h), (i), (k), (p) and (q) of Fig. 4,*
- (3) *the graph formed by identifying a pair of vertices of the complete graphs K_{m+1} and K_{n+1} where $(m,n) = (9,3)$ or $(m,n) = (5,4)$,*
- (4) *graph $Z_{k,k,0}$ of Fig. 6 for some $k = 1, 2, \dots$.*

Theorems 3 and 4 show the existence of some interesting points of a different type when compared to limit points considered by A.J. Hoffman. Namely, the sequence

$$\lambda_{n(q+1)}(K_n \otimes K_q) = \frac{1}{2} \left(q - 2 - \sqrt{q^2 + 4q} \right), \quad n = 1, 2, \dots$$

is constant for fixed q . We do not know whether other non-trivial such graph sequences of line graphs of trees exist, or what is the relation between the points defined by constant sequences and the limit points considered by A.J. Hoffman.

Acknowledgement. The authors are grateful to the referees for useful remarks which have improved the presentation of our results.

References

- [1] F. C. Bussemaker, A. Neumaier, *Exceptional graphs with smallest eigenvalue -2 and related problems*, Math. Comput. 59 (1992), 583–608.
- [2] D. Cvetković, *On graphs whose second largest eigenvalue does not exceed 1*, Publ. Inst. Math. (Beograd) 31(45) (1982), 15–20.
- [3] D. Cvetković, M. Doob, I. Gutman, A. Torgašev, *Recent Results in the Theory of Graph Spectra*, North-Holland, Amsterdam, 1988.
- [4] D. Cvetković, M. Doob, H. Sachs, *Spectra of Graphs*, 3rd edition, Johann Ambrosius Barth Verlag, Heidelberg, 1995.
- [5] D. Cvetković, M. Lepović, P. Rowlinson, S. Simić, *A database of star complements of graphs*, Univ. Beograd, Publ. Elektrotehn. Fak., Ser. Mat. 9 (1998), 103–112.
- [6] D. Cvetković, M. Lepović, P. Rowlinson, S. Simić, *Computer investigations of the maximal exceptional graphs*, University of Stirling, Technical Report CSM-160, Stirling, 2001.
- [7] D. Cvetković, M. Petrić, *A table of connected graphs on six vertices*, Discrete Math. 50 (1984), 37–49.
- [8] D. Cvetković, P. Rowlinson, S. Simić, *Spectral Generalizations of Line Graphs; A Research Monograph on Graphs with Least Eigenvalue -2* , Cambridge University Press, Cambridge, to appear.
- [9] D. Cvetković, S. Simić, *On the graphs whose second largest eigenvalue does not exceed $(\sqrt{5}-1)/2$* , Discrete Math. 138 (1995), 213–227.

- [10] D. Cvetković, S. Simić, *The second largest eigenvalue of a graph – a survey*, Filomat 9(3) (1995), Int. Conf. on Algebra, Logic and Discrete Math., Niš, April 14–16, 1995, ed. S. Bogdanović, M. Ćirić, Ž. Perović, 449–472.
- [11] M. Doob, D. Cvetković, *On Spectral Characterizations and Embedding of Graphs*, Linear Algebra Appl. 27 (1979), 17–26.
- [12] A. J. Hoffman, *On limit points of the least eigenvalue of a graph*, Ars Combinatoria 3 (1977), 3–14.
- [13] Y. Hong, *On the least eigenvalue of a graph*, System Sci. Math. Sci. 6 (1993), 269–275.
- [14] V. V. Prasolov, *Problems and Theorems in Linear Algebra*, Amer. Math. Soc., Providence, RI, 1994.
- [15] X. Yong, *On the distribution of eigenvalues of a simple undirected graph*, Linear Algebra Appl. 295 (1999), 73–80.

Elektrotehnički fakultet
11000 Beograd
Serbia

(Received 04 02 2003)
(Revised 16 06 2003)

`ecvetkod@etf.bg.ac.yu`

Service de Mathématiques de la Gestion
Université Libre de Bruxelles
Brussels
Belgium

`dragance106@yahoo.com`