

GRAPHS WITH LEAST EIGENVALUE AT LEAST $-\sqrt{3}$

Dragoš Cvetković and Dragan Stevanović

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ABSTRACT. We determine the graphs whose least eigenvalue is at least $-\sqrt{3}$.

1. Introduction

Let $G = (V, E)$ be a simple graph with n vertices. We write $V(G)$ for the vertex set of G , and $E(G)$ for the edge set of G .

The *complement* of a graph G is denoted by \overline{G} . For $v \in V(G)$, $G - v$ denotes the graph obtained from G by deleting the vertex v and all edges incident with v . More generally, for $U \subseteq V(G)$, $G - U$ is the subgraph of G induced by $V(G) \setminus U$.

The characteristic polynomial $\det(xI - A)$ of the adjacency matrix A of G is called the *characteristic polynomial of G* and denoted by $P_G(x)$. The eigenvalues of A (i.e., the zeros of $\det(xI - A)$) and the spectrum of A (which consists of the n eigenvalues) are also called the *eigenvalues* and the *spectrum* of G , respectively. The eigenvalues of G are usually denoted by $\lambda_1, \lambda_2, \dots, \lambda_n$; they are real because A is symmetric. Unless we indicate otherwise, we shall assume that $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$ and use the notation $\lambda_i = \lambda_i(G)$ for $i = 1, 2, \dots, n$. The least eigenvalue $\lambda_n(G)$ of a graph G will also be denoted by $\lambda(G)$.

As usual, K_n, C_n and P_n denote respectively the *complete graph*, the *cycle* and the *path* on n vertices. Further, $K_{m,n}$ denotes the *complete bipartite* graph on $m+n$ vertices. The graph $K_{1,n}$ is called a *star* and its vertex of maximal degree is denoted as *central*. A *double star* $D_{m,n}$ is the graph formed by adding an edge between the central vertices of stars $K_{1,m}$ and $K_{1,n}$.

The *cocktail-party graph* $CP(n)$ is the unique regular graph with $2n$ vertices of degree $2n - 2$; it is obtained from K_{2n} by deleting n mutually non-adjacent edges.

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A connected graph with n vertices is said to be a *tree* if it has $n - 1$ edges. If T is a tree, a vertex of T of degree one is called a *leaf*. An *end-edge* of T is an edge one of whose endvertices is a leaf.

A connected graph with n vertices is said to be *unicyclic* if it has n edges. It is called *even (odd)* if its unique cycle is even (odd).

The *join* $G \nabla H$ of graphs G and H is obtained from G and H by joining with an edge each vertex of G to each vertex of H .

If G is a graph of order n , the *corona* $G \otimes H$ of graphs G and H is obtained from G and n copies of the graph H by adding edges between the i -th vertex of G and each vertex in the i -th copy of H ($i = 1, 2, \dots, n$).

The *line graph* $L(H)$ of any graph H is defined as follows. The vertices of $L(H)$ are the edges of H and two vertices of $L(H)$ are adjacent whenever the corresponding edges of H have a vertex of H in common.

A *generalized line graph* $L(H; a_1, \dots, a_n)$ is defined for graphs H with vertex set $\{1, \dots, n\}$ and non-negative integers a_1, \dots, a_n by taking the graphs $L(H)$ and $CP(a_i)$ ($i = 1, \dots, n$) and adding extra edges: a vertex e in $L(H)$ is joined to all vertices in $CP(a_i)$ if i is an end-vertex of e as an edge of H . We include as special cases an ordinary line graph ($a_1 = a_2 = \dots = a_n = 0$) and the cocktail-party graph $CP(n)$ ($n = 1$ and $a_1 = n$).

An *exceptional* graph is a connected graph with least eigenvalue greater than or equal to -2 which is not a generalized line graph.

The following result of M. Doob and D. Cvetković [11] is our starting point. (It appears as Theorem 1.3 of [3] with a misprint in part (v).)

THEOREM 1. *If G is a connected graph with least eigenvalue greater than -2 then one of the following holds:*

- (i) $G = L(T; 1, 0, \dots, 0)$ where T is a tree;
- (ii) $G = L(H)$ where H is a tree or an odd unicyclic graph;
- (iii) G is one of 20 graphs on 6 vertices represented in the root system E_6 ;
- (iv) G is one of 110 graphs on 7 vertices represented in the root system E_7 ;
- (v) G is one of 443 graphs on 8 vertices represented in the root system E_8 .

The exceptional graphs with least eigenvalue greater than -2 are those appearing in parts (iii)–(v) of Theorem 1 (573 in total). Those of type (v) are one-vertex extensions of graphs of type (iv), which are in turn one-vertex extensions of graphs of type (iii). The 443 graphs of type (v) are tabulated in [1]. The 110 graphs of type (iv) are identified in [5] by means of the list of 7-vertex graphs in [3]. The twenty 6-vertex graphs of type (iii) are identified in [7]. All 573 exceptional graphs with least eigenvalue greater than -2 are also given in the technical report [6] together with related data.

By the well-known interlacing theorem for graph eigenvalues (cf., e.g., [4, p. 19]), the property $\lambda(G) \geq a$ for a fixed real a , is a hereditary property.

It is shown in [15] that, for $n \geq 4$, if G is not a complete graph on n vertices, then

$$\lambda(G) < -\frac{1}{2} \left(1 + \sqrt{1 + 4 \frac{n-3}{n-1}} \right)$$

When n tends to infinity, this upper bound tends to $\tau = -(1 + \sqrt{5})/2 \approx -1.61803$. We are interested to find such graphs G whose smallest eigenvalue $\lambda(G)$ falls in the gap between $\tau = -(1 + \sqrt{5})/2$ and this upper bound, i.e., that satisfy $\lambda_n \geq -(1 + \sqrt{5})/2$. Such graphs will be called τ -graphs.

Recall that x is a *limit point* of a set S of reals if any open interval containing x contains an element of S different from x .

The value τ is the largest limit point of the least eigenvalue of graphs. The second largest limit point is $\omega = -\sqrt{3}$. This follows from some results of A. J. Hoffman who determined in [12] all reals exceeding -2 which are limit points of the set Λ of least eigenvalues of graphs. Let T be a tree with at least two edges, e an end-edge of T . Let $\hat{A}(T, e)$ be the adjacency matrix of $L(T)$, modified by putting -1 in the diagonal position corresponding to e . We will say that the pair (T, e) is *proper* provided $\lambda(\hat{A}(T, e)) < \lambda(L(T))$. (It was conjectured in [12] that every (T, e) is proper, but so far there is no proof.) The main result of [12] is given in the following theorem.

THEOREM 2. *If (T, e) is proper, $\lambda(\hat{A}(T, e))$ is a limit point of Λ . Conversely, if $\lambda > -2$ is a limit point of Λ , then $\lambda = \lambda(\hat{A}(T, e))$ for some proper (T, e) .*

The limit point τ is obtained if $T = K_{1,2}$ while the next limit point ω is obtained for $T = K_{1,3}$. We will also determine all ω -graphs.

Before [15] it was established in [13] that if we order connected graphs on n ($n > 2$) vertices by decreasing least eigenvalues the first graph is K_n and the second one is K_{n-1} with a pendant edge attached, which is here denoted by L_n . The sequence $\lambda(L_n)$ can be easily calculated and it is decreasing and tends to τ .

τ -graphs are related to the problem of characterizing graphs with $\lambda_2 \leq (\sqrt{5} - 1)/2 = \sigma \approx 0.61803$ [9], [10]. For let $\bar{\lambda}_1 \geq \bar{\lambda}_2 \geq \dots \geq \bar{\lambda}_n$ be the eigenvalues of \bar{G} . The Courant-Weyl inequalities [14, Theorem 34.2.1], imply that $\lambda_2 + \bar{\lambda}_{n-1} \geq -1$, while $\lambda_2 + \bar{\lambda}_n \leq -1$ (cf. [2]). This shows that if $\lambda_n \geq -(1 + \sqrt{5})/2$, then $\bar{\lambda}_2 \leq (\sqrt{5} - 1)/2$. Hence, the complements of τ -graphs have $\lambda_2 \leq \sigma$.

Let $\alpha > -2$ and let S_α denote the set of all graphs G satisfying $\lambda(G) \geq \alpha$. From Theorem 1 it follows that a graph G from S_α is:

- one of 573 exceptional graphs, or
- the generalized line graph $L(T; 1, 0, \dots, 0)$ for some tree T , or
- the line graph $L(T)$ for some tree T , or
- the line graph $L(H)$ for some odd unicyclic graph H .

Suppose that G is isomorphic to either $L(T; 1, 0, \dots, 0)$ or $L(T)$ for some tree T . Note that if T' is an induced subgraph of T , then $L(T')$ is an induced subgraph of $L(T)$. Since the sequence $\lambda_d(P_d)$ is monotonic decreasing and $\lim_{d \rightarrow \infty} \lambda_d(P_d) = -2$, it follows that there is $d_\alpha \in \mathbb{N}$ such that $\alpha > \lambda_{d_\alpha}(P_{d_\alpha})$. If T has a diameter at least d_α then it contains $P_{d_\alpha+1}$ as an induced subgraph, and $G = L(T)$ contains $P_{d_\alpha} = L(P_{d_\alpha+1})$ as an induced subgraph, which is contradiction, since from the interlacing theorem it follows that $\alpha > \lambda_{d_\alpha}(P_{d_\alpha}) \geq \lambda_n(G)$. Therefore, we conclude that a tree T has diameter at most $d_\alpha - 1$.

Now, suppose that G is isomorphic to $L(H)$ for some odd unicyclic graph H . Since the sequence $\lambda_{2l+1}(C_{2l+1})$ is monotonic decreasing and $\lim_{l \rightarrow \infty} \lambda_{2l+1}(C_{2l+1}) = -2$, it follows that there is $l_\alpha \in \mathbb{N}$ such that $\alpha > \lambda_{2l_\alpha+1}(C_{2l_\alpha+1})$. If H contains (as an induced subgraph) an odd cycle of length at least $2l_\alpha + 1$, then $G = L(H)$ contains $C_{2l_\alpha+1} = L(C_{2l_\alpha+1})$ as an induced subgraph too, which is contradiction, since from the interlacing theorem it follows that $\alpha > \lambda_{2l_\alpha+1}(C_{2l_\alpha+1}) \geq \lambda_n(G)$. Therefore, we conclude that H has a cycle of length at most $2l_\alpha - 1$.

In the following two sections, we apply previous considerations to determine the sets S_τ and S_ω .

2. The set S_τ

LEMMA 1. *The wheel W_5 , shown in Fig. 1a, is the only exceptional graph which belongs to S_τ .*

PROOF. Looking at the tables of [6] we see that out of 573 exceptional graphs with least eigenvalue greater than -2 only the wheel W_5 , shown in Fig. 1a, belongs to S_τ . In fact, W_5 has least eigenvalue equal to τ . \square

LEMMA 2. *An odd unicyclic graph H such that $L(H) \in S_\tau$ contains an odd cycle of length either 3 or 5.*

PROOF. Since $\lambda_7(C_7) \approx -1.8019 < \tau$, we conclude that an odd unicyclic graph H such that $L(H) \in S_\tau$ contains an odd cycle of length either 3 or 5. \square

LEMMA 3. *The only unicyclic graph H with a cycle C of length 5 for which $L(H)$ belongs to S_τ is the cycle C_5 itself, shown in Fig. 1b.*

PROOF. Suppose that $G = L(H) \in S_\tau$ where H is a unicyclic graph with a cycle C of length 5. If there exists a vertex v of H adjacent to a vertex of C , then H contains as an induced subgraph the graph B_1 from Fig. 2, which is a contradiction, since then $\lambda_n(G) \leq \lambda_6(L(B_1)) \approx -1.7566$. Therefore, H does not have any vertex adjacent to a vertex from C . The cycle C_5 , shown in Fig. 1b, has the smallest eigenvalue equal to τ and it belongs to S_τ . \square

LEMMA 4. *The line graphs of a unicyclic graph with a cycle C of length 3 which belong to S_τ , are shown in Fig. 1c–f.*

PROOF. Let $G = L(H)$ and suppose that H is a unicyclic graph with a cycle C of length 3 consisting of vertices c_1, c_2, c_3 . If any of these vertices has degree at least 4, then H contains as an induced subgraph the graph B_2 from Fig. 2, which is a contradiction, since then $\lambda_n(G) \leq \lambda_5(L(B_2)) \approx -1.6813$. Therefore, each of vertices c_1, c_2, c_3 has degree either 2 or 3. If there is a vertex v of H adjacent to vertex c_i for some $i \in \{1, 2, 3\}$, and the degree of v is at least 2, then H contains as an induced subgraph the graph B_3 from Fig. 2, which is also a contradiction, since then $\lambda_n(G) \leq \lambda_5(L(B_3)) \approx -1.7757$. Therefore, possible neighbors of vertices c_1, c_2, c_3 may be only pendant vertices and we conclude that there are four possibilities, the line graphs of which all belong to S_τ , and which are shown in Fig. 1c–f. \square

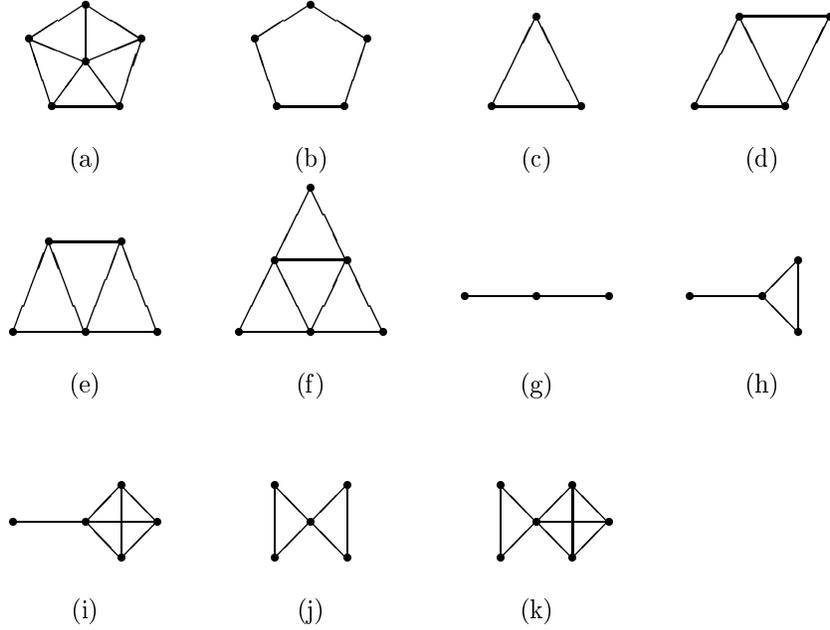


FIGURE 1. Some τ -graphs.

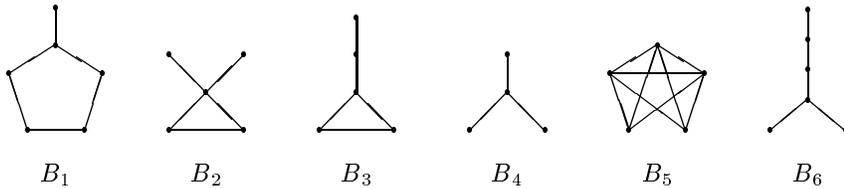


FIGURE 2. Forbidden subgraphs.

LEMMA 5. *Suppose that $G = L(T; 1, 0, \dots, 0) \in S_\tau$, where T is a tree with at least one edge. Then G is either graph (g) or graph (d) as shown in Fig. 1.*

PROOF. Suppose that $L(T; 1, 0, \dots, 0) \in S_\tau$, where T is a tree with at least one edge. Consider the vertex v_1 of T . The vertices of $L(T; 1, 0, \dots, 0)$, corresponding to the edges e of T having v_1 as an endvertex, are adjacent to both vertices of $CP(1) \cong K_2$ in $L(T; 1, 0, \dots, 0)$. If there is a vertex w at distance 2 from v_1 in T , then $L(T; 1, 0, \dots, 0)$ contains as an induced subgraph the graph $B_4 \cong K_{1,3}$ from Fig. 2, which is a contradiction, since then $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_3(B_4) \approx -1.7321$. Therefore, all other vertices of T are neighbors of v_1 . If v_1 has one neighbor, i.e., if $T \cong K_2$, then $L(T; 1, 0) \cong P_3 \in S_\tau$ and it is shown in Fig. 1g. If v_1

has two neighbors, i.e., if $T \cong P_3$, then $L(T; 1, 0, 0)$ is isomorphic to the graph in Fig. 1d. However, if v_1 has at least three neighbors, then $L(T; 1, 0, \dots, 0)$ contains as an induced subgraph the graph B_5 from Fig. 2, which is a contradiction, since then $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_5(B_5) \approx -1.6458$. \square

LEMMA 6. *Suppose that $G = L(T) \in S_\tau$, where T is a tree with at least one edge. Then G is one of the graphs (g)–(k) shown in Fig. 1 or belongs to the family $Y_{k,l}$, shown in Fig. 3b.*

PROOF. Suppose that $G = L(T) \in S_\tau$, where T is a tree with at least one edge. Since $\lambda_5(P_5) = -\sqrt{3} < \tau$, from observations in previous section, we conclude that T has diameter at most 4.

If T has diameter 1, then $T \cong K_2$ and $L(T) \cong K_1$, which has no edges. If T has diameter 2, then for some $n \in \mathbb{N}$ we have $T \cong K_{1,n}$ and $L(T) \cong K_n$. The complete graphs have smallest eigenvalue equal to -1 and they belong to S_τ . However, they form a subfamily of a larger family which we later find is contained in S_τ .

If T has diameter 3, then T is isomorphic to a *double star* $D_{m,n}$ for some $m \geq n \geq 1$. In that case, $L(T)$ is isomorphic to a graph formed by identifying a pair of vertices of complete graphs K_{m+1} and K_{n+1} . Since $\lambda_7(L(D_{4,2})) \approx -1.6262$, in order that $L(D_{m,n}) \in S_\tau$ we must have that either $n = 1$ or $m \leq 3$. For $n = 1$ the graphs $L(D_{m,1})$ form a subfamily of a larger family which we later find is contained in S_τ . If $m \leq 3$, then we have in all six possibilities for the pairs (m, n) and double stars $D_{m,n}$. Their line graphs all belong to S_τ , except for the case $m = n = 3$, and they are shown in Fig. 1g–k (since $L(D_{1,1}) \cong P_3$, which is already shown in Fig. 1g).

Finally, suppose that T has diameter 4, let u and v be two vertices of T with $d(u, v) = 4$ and let c be the unique vertex of T such that $d(c, u) = d(c, v) = 2$. If there is a vertex w of T such that $d(c, w) = 2$ and either $d(u, w) \leq 2$ or $d(v, w) \leq 2$, then T contains as an induced subgraph the graph B_6 from Fig. 2, which is a contradiction, since then $\lambda_n(L(T)) \leq \lambda_5(B_6) \approx -1.6751$. Therefore, for each vertex w of T such that $d(c, w) = 2$ we conclude that $d(u, w) = d(v, w) = 4$. Thus, there exist non-negative integers k, l ($k \geq 2$), such that T is isomorphic to the tree $X_{k,l}$, shown in Fig. 3a, while $L(T)$ is isomorphic to the graph $Y_{k,l}$, shown in Fig. 3b. \square

Note that the complete graph K_n is just $Y_{0,n}$, while the graph $L(D_{m,1})$ is just $Y_{1,m}$.

All graphs $Y_{k,l}$ belong to S_τ . To see this, it is enough to show that $Y_{k,0} \in S_\tau$, since $Y_{k,l}$ is an induced subgraph of $Y_{k+l,0}$. Actually, the graphs $Y_{k,0}$ may be obtained by adding a pendant vertex to each vertex of K_k . On page 60 of [4] one can find a formula for the characteristic polynomial of a graph obtained in this way (alternatively, one can use a more general formula for the corona of two graphs in the next section):

$$P(Y_{k,0}; \lambda) = \lambda^k P(K_k; \lambda - 1/\lambda) = (\lambda^2 - (k-1)\lambda - 1)(\lambda^2 + \lambda - 1)^{k-1}.$$

Thus, the eigenvalues of $Y_{k,0}$ are simple eigenvalues $(k-1 \pm \sqrt{(k-1)^2 + 4})/2$, and eigenvalues $(\sqrt{5}-1)/2$ and $-(1+\sqrt{5})/2$, each with multiplicity $k-1$.

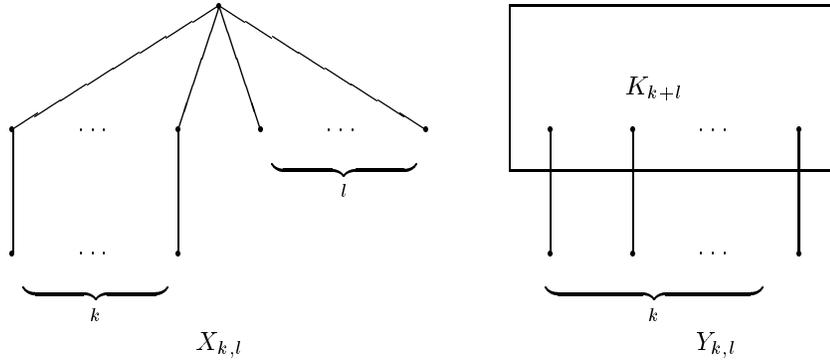


FIGURE 3. A family of graphs.

This ends our search and establishes the following theorem.

THEOREM 3. *The set S_τ consists of connected induced subgraphs of the following graphs:*

- (1) graph (a) of Fig. 1 (i.e., the wheel W_5),
- (2) graph (f) of Fig. 1,
- (3) graph (k) of Fig. 1,
- (4) the graph $Y_{n,0}$ for some $n = 1, 2, \dots$.

3. The set S_ω

We obviously have $S_\tau \subseteq S_\omega$, since $\tau = -(1 + \sqrt{5})/2 > -\sqrt{3} = \omega$. Thus, in order to save space, in Lemmas 7–12 below we will specify the graphs belonging to $S_\omega \setminus S_\tau$ only.

LEMMA 7. *The exceptional graphs belonging to $S_\omega \setminus S_\tau$ are $P_4 \nabla K_2$ and $C_5 \nabla 2K_1$.*

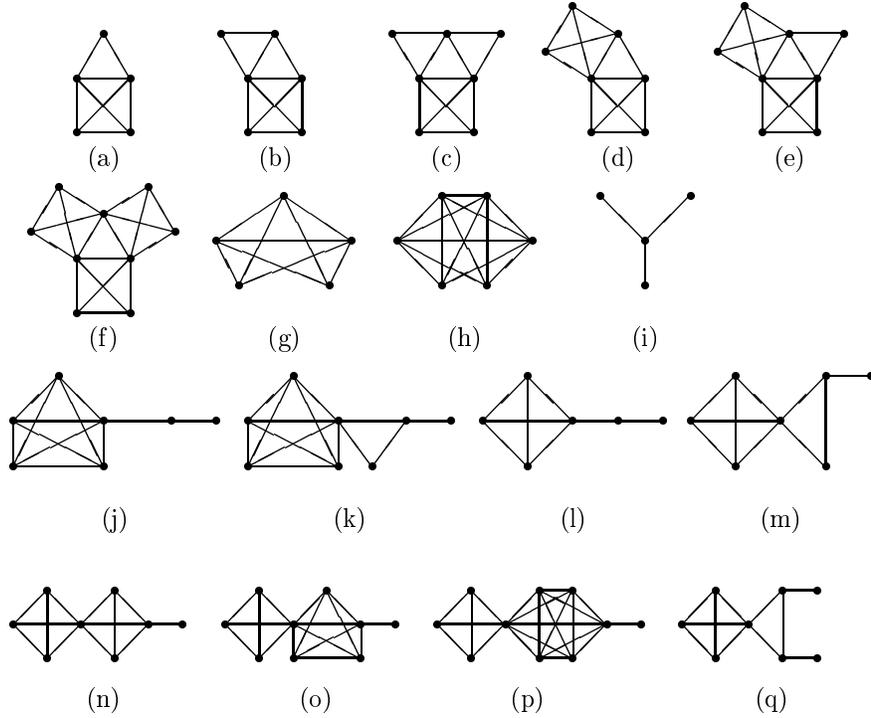
PROOF. Looking at the tables of [6] we see that out of 573 exceptional graphs with least eigenvalue greater than -2 , only the graphs $P_4 \nabla K_2$ and $C_5 \nabla 2K_1$ belong to $S_\omega \setminus S_\tau$. \square

LEMMA 8. *An odd unicyclic graph H such that $L(H) \in S_\omega$ contains an odd cycle of length either 3 or 5.*

PROOF. As in Lemma 2, it is impossible that H contains a cycle of length at least 7, since for $k \geq 3$ we have $\lambda_{2k+1}(C_{2k+1}) \leq \lambda_7(C_7) \approx -1.8019 < \omega$. \square

LEMMA 9. *There exists no unicyclic graph H with a cycle C of length 5 for which $L(H)$ belongs to $S_\omega \setminus S_\tau$.*

PROOF. This follows from the proof of Lemma 3, where it is shown that the line graph of such H has smallest eigenvalue at most $\lambda_6(L(B_1)) \approx -1.7566 < \omega$. \square

FIGURE 4. Some ω -graphs.

LEMMA 10. *The line graphs of a unicyclic graph with a cycle C of length 3 which belong to $S_\omega \setminus S_\tau$ are those shown in Fig. 4a–f.*

PROOF. Let $G = L(H)$ and suppose that H is a unicyclic graph with a cycle C of length 3 consisting of vertices c_1, c_2, c_3 . If any of these vertices has degree at least 5, then H contains as an induced subgraph the graph B_7 from Fig. 5, which is a contradiction, since then $\lambda_n(G) \leq \lambda_6(L(B_7)) \approx -1.7466$. Therefore, each of vertices c_1, c_2, c_3 has degree at most 4.

As in the proof of Lemma 4, we may conclude that the possible neighbors of vertices c_1, c_2, c_3 must be pendant vertices. We have already seen in the proof of Lemma 4 that if the degrees of c_1, c_2 and c_3 are at most 3, then all the corresponding line graphs belong to S_τ . Therefore, we only need to consider those graphs where one of these vertices has degree 4. There are six such nonisomorphic graphs, coded by the nonincreasing degrees of vertices c_1, c_2 and c_3 :

$$\{(4, 2, 2), (4, 3, 2), (4, 3, 3), (4, 4, 2), (4, 4, 3), (4, 4, 4)\}.$$

The line graphs of all these graphs have least eigenvalue at least $-\sqrt{3}$ (three of them have least eigenvalue strictly greater than $-\sqrt{3}$ while the other three have least eigenvalue equal to $-\sqrt{3}$), and they are shown in Fig. 4a–f. \square

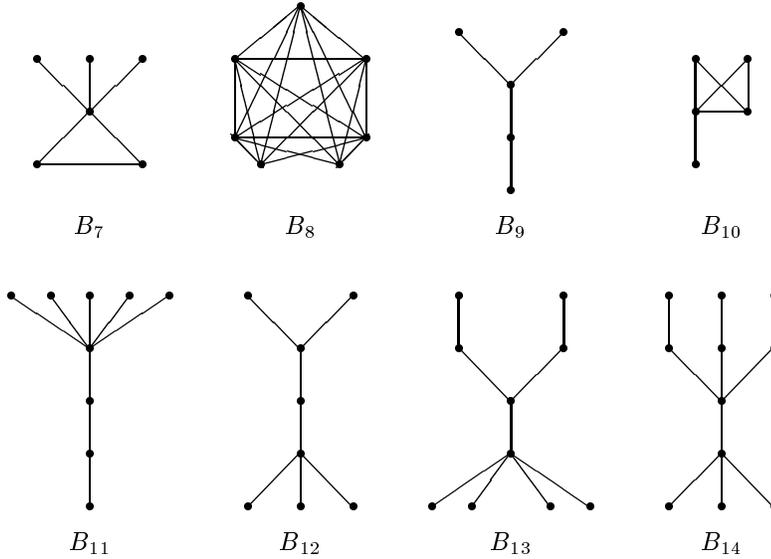


FIGURE 5. Additional forbidden subgraphs.

LEMMA 11. *Suppose that $G = L(T; 1, 0, \dots, 0) \in S_\omega \setminus S_\tau$, where T is a tree with at least one edge. Then G is either one of the graphs (g)–(i) or the graph (d) shown in Fig. 4.*

PROOF. Suppose that $L(T; 1, 0, \dots, 0) \in S_\omega \setminus S_\tau$, where T is a tree with at least one edge. Consider the vertex v_1 of T . The vertices of $L(T; 1, 0, \dots, 0)$, corresponding to the edges e of T having v_1 as its endvertex, are adjacent to both vertices of $CP(1) \cong K_2$ in $L(T; 1, 0, \dots, 0)$.

The vertex v_1 has at most four neighbors in T , since otherwise $L(T; 1, 0, \dots, 0)$ contains as an induced subgraph the graph B_8 from Fig. 5, which is a contradiction, since then $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_7(B_8) \approx -1.7417$.

If there is a vertex w at distance 3 from v_1 in T , then $L(T; 1, 0, \dots, 0)$ contains as an induced subgraph the graph B_9 from Fig. 5, which is a contradiction, since then $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_5(B_9) \approx -1.8478$. Therefore, all other vertices of T are at distance at most 2 from v_1 .

Suppose that w is a vertex at distance 2 from v_1 in T , and let v be a common neighbor of w and v_1 . If v_1 has another neighbor, say u , then $L(T; 1, 0, \dots, 0)$ contains as an induced subgraph the graph B_{10} from Fig. 5, which is a contradiction, since then $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_5(B_{10}) \approx -1.7491$.

Therefore, either all neighbors of v_1 are pendant vertices, or v_1 is a pendant vertex of a nontrivial star. In the first case, the generalized line graph is isomorphic to a complete graph with one edge deleted. If v_1 has degree 1 or 2, then the two corresponding generalized line graphs belong to S_τ (shown in Fig. 1g and Fig. 1d, respectively). If v_1 has degree 3 or 4, then the two corresponding generalized line graphs belong to $S_\omega \setminus S_\tau$ and they are shown in Fig. 4g and Fig. 4h, respectively.

In the second case, the generalized line graph is isomorphic to a complete graph K_n with two pendant vertices attached to one vertex of K_n . For these graphs, the least eigenvalue is monotonic non-increasing as n increases. For $n = 2$ the corresponding graph has the least eigenvalue $-\sqrt{3}$ and it belongs $S_\omega \setminus S_\tau$ (shown in Fig. 4i). For $n = 3$ the corresponding graph has least eigenvalue -1.8136 and thus none of these graphs belong to $S_\omega \setminus S_\tau$ for $n \geq 3$. \square

LEMMA 12. *Suppose that $G = L(T) \in S_\omega$, where T is a tree with at least one edge. Then G is one of the following a) a complete graph, b) a graph formed by identifying a pair of vertices of complete graphs K_{m+1} and K_{n+1} where either $n = 2$ and m is arbitrary, or $n = 3$ and $3 \leq m \leq 9$, or $n = 4$ and $4 \leq m \leq 5$, c) G is one of the graphs shown in Fig. 4j–q, d) G belongs to the family Y_{k,l_2,l_1} , shown in Fig. 6.*

PROOF. Suppose that $G = L(T) \in S_\omega \setminus S_\tau$, where T is a tree with at least one edge. If T has diameter at least 5, then it contains P_6 as an induced subgraph, which is impossible, since $\lambda_6(P_6) \approx -1.8019 < \omega$. Therefore, T has diameter at most 4.

If T has diameter 1, then $T \cong K_2$ and $L(T) \cong K_1$, which has no edges. If T has diameter 2, then for some $n \in \mathbb{N}$ we have $T \cong K_{1,n}$ and $L(T) \cong K_n$. The complete graphs have smallest eigenvalue equal to -1 and they already belong to S_τ .

If T has diameter 3, then T is isomorphic to a *double star* $D_{m,n}$ for some $m \geq n \geq 1$. In that case, $L(T)$ is isomorphic to a graph formed by identifying a pair of vertices of complete graphs K_{m+1} and K_{n+1} . We have already seen that the graphs $L(D_{m,1})$ belong to S_τ . Later we will show that the graphs $L(D_{m,2})$ belong to S_ω . If $n \geq 3$, then we have that $D_{10,3}$, $D_{6,4}$ and $D_{5,5}$ are the minimal double stars whose least eigenvalue is less than $-\sqrt{3}$. Thus, as new graphs in S_ω we will have the graphs of the form $L(D_{m,2})$ for all $m \in \mathbb{N}$, as well as $L(D_{m,3})$ for $3 \leq m \leq 9$ and $L(D_{m,4})$ for $4 \leq m \leq 5$.

Finally, suppose that T has diameter 4, let u and v be two vertices of T with $d(u, v) = 4$ and let c be the unique vertex of T such that $d(c, u) = d(c, v) = 2$. Denote the neighbors of c by w_1, w_2, \dots, w_k . Each of the vertices w_i may be adjacent to at most 4 leaves; otherwise, T contains as an induced subgraph the graph B_{11} from Fig. 5, which has $\lambda_8(L(B_{11})) \approx -1.7350$. Further, if w_i is adjacent to at least 3 leaves for some i , then w_j , for $j \neq i$, may be adjacent to at most one leaf; otherwise, T contains as an induced subgraph the graph B_{12} from Fig. 5, which has $\lambda_7(L(B_{12})) \approx -1.7616$.

Without loss of generality, suppose that the vertices w_1, w_2, \dots, w_k are ordered by nonincreasing degrees. Then the following cases are possible:

a) w_1 is adjacent to 4 leaves. Then we may suppose that w_2, \dots, w_l ($2 \leq l \leq k$) are each adjacent to one leaf, while w_{l+1}, \dots, w_k are themselves leaves.

It must be that $l = 2$; otherwise, if $l \geq 3$ then T contains as an induced subgraph the graph B_{13} from Fig. 5, which has $\lambda_9(L(B_{13})) \approx -1.7558$.

With $l = 2$, the least eigenvalue of these graphs is monotonic as k increases. For $k = 2$ and $k = 3$ we obtain graphs in S_ω , shown in Fig. 4j and k. The graphs obtained for $k \geq 5$ have least eigenvalue less than $-\sqrt{3}$.

b) w_1 is adjacent to 3 leaves. Then we may suppose that w_2, \dots, w_l ($2 \leq l \leq k$) are each adjacent to one leaf, while w_{l+1}, \dots, w_k are themselves leaves.

It must be that $l \leq 3$; otherwise, if $l \geq 4$ then T contains as an induced subgraph the graph B_{14} from Fig. 5, which has $\lambda_{10}(L(B_{14})) \approx -1.7462$.

With l fixed, the least eigenvalue of these graphs is monotonic as k increases. Thus, once the least eigenvalue of a graph from this sequence drops below $-\sqrt{3}$, then all the graphs following it also have the least eigenvalue less than $-\sqrt{3}$.

If $l = 2$, then the corresponding graph belongs to S_ω only for $k \leq 6$, giving 5 new graphs in S_ω ; they are shown in Fig. 4l-p.

If $l = 3$, then the corresponding graph belongs to S_ω only for $k = 3$, giving one new graph in S_ω ; it is shown in Fig. 4q. \square

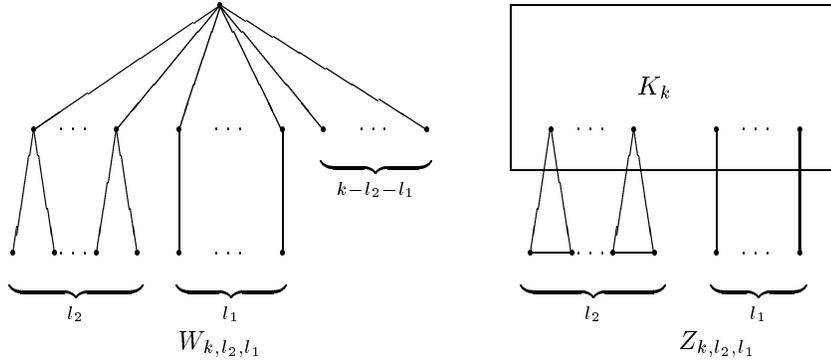


FIGURE 6. Another family of graphs.

Vertices w_1, \dots, w_{l_2} are each adjacent to two leaves, vertices $w_{l_2+1}, \dots, w_{l_2+l_1}$ are each adjacent to one leaf, and vertices $w_{l_2+l_1+1}, \dots, w_k$ are themselves leaves.

We denote such a graph by W_{k,l_2,l_1} (see Fig. 6) and prove that its line graph Z_{k,l_2,l_1} (see Fig. 6) always belongs to S_ω . The easiest way to show this is first to notice that Z_{k,l_2,l_1} is always an induced subgraph of $Z_{k,k,0}$, and then to show that $Z_{k,k,0}$ belongs to S_ω . The graph $Z_{k,k,0}$ can be represented as $K_k \otimes K_2$, where \otimes denotes the corona of graphs. A formula for the characteristic polynomial of the corona of two regular graphs is given in [3, p. 50], according to which we have

$$\begin{aligned}
 & P_{K_p \otimes K_q}(\lambda) \\
 &= \left(\lambda - \frac{q}{\lambda - q + 1} - p + 1 \right) \left(\lambda - \frac{q}{\lambda - q + 1} + 1 \right)^{p-1} (\lambda - q + 1)^p (\lambda + 1)^{p(q-1)} \\
 &= (\lambda^2 - (p + q - 2)\lambda + pq - p - 2q + 1) (\lambda^2 - (q - 2)\lambda - 2q + 1)^{p-1} (\lambda + 1)^{p(q-1)}.
 \end{aligned}$$

The first factor above yields simple eigenvalues $\frac{1}{2}(p+q-2 \pm \sqrt{(p-q)^2+4q})$, and the second factor yields eigenvalues $\frac{1}{2}(q-2 \pm \sqrt{q^2+4q})$ of the multiplicity $p-1$. For fixed q , the function $\frac{1}{2}(p+q-2 \pm \sqrt{(p-q)^2+4q})$ is monotone increasing and thus, the least eigenvalue of $K_p \otimes K_q$ is $\frac{1}{2}(q-2 - \sqrt{q^2+4q})$, equal to τ for $q=1$ and ω for $q=2$.

Notice also that $L(D_{m,2})$, mentioned above, is just $Z_{m+1,1,0}$, and thus it also belongs to S_ω for arbitrary m .

This ends our search and establishes the following theorem.

THEOREM 4. *The set S_ω consists of connected induced subgraphs of the following graphs:*

- (1) *the exceptional graphs $P_4 \nabla K_2$ and $C_5 \nabla 2K_1$,*
- (2) *one of the graphs (f), (h), (i), (k), (p) and (q) of Fig. 4,*
- (3) *the graph formed by identifying a pair of vertices of the complete graphs K_{m+1} and K_{n+1} where $(m,n) = (9,3)$ or $(m,n) = (5,4)$,*
- (4) *graph $Z_{k,k,0}$ of Fig. 6 for some $k = 1, 2, \dots$.*

Theorems 3 and 4 show the existence of some interesting points of a different type when compared to limit points considered by A.J. Hoffman. Namely, the sequence

$$\lambda_{n(q+1)}(K_n \otimes K_q) = \frac{1}{2} \left(q - 2 - \sqrt{q^2 + 4q} \right), \quad n = 1, 2, \dots$$

is constant for fixed q . We do not know whether other non-trivial such graph sequences of line graphs of trees exist, or what is the relation between the points defined by constant sequences and the limit points considered by A.J. Hoffman.

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Elektrotehnički fakultet
11000 Beograd
Serbia

`ecvetkod@etf.bg.ac.yu`

Service de Mathématiques de la Gestion
Université Libre de Bruxelles
Brussels
Belgium

`dragance106@yahoo.com`

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