

**IDEMPOTENT SEPARATING CONGRUENCES  
ON AN ORTHODOX SEMIGROUP  
VIA THE LEAST INVERSE CONGRUENCE**

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Dedicated to Professor M. Yamada on his 60-th birthday

**Abstract.** The least inverse congruence  $Y$  on an orthodox semigroup  $S$  was considered by Yamada [14] for the case where the band of idempotents of  $S$  is normal. It was considered in the general orthodox case by Schein [12] and Hall [4]. An explicit construction for idempotent separating congruences on an orthodox semigroup  $S$  in terms of idempotent separating congruences on  $S/Y$  was given by McAlister [8]. In this paper we describe these congruences by inverse congruences contained in  $\mu \circ Y$ , where  $\mu$  is the greatest idempotent separating congruence on  $S$ . Also, we obtain some mutually inverse complete lattice isomorphisms of intervals  $[Y, \mu \circ Y]$  and  $[\varepsilon, \mu]$ , where  $\varepsilon$  is the identity relation on  $S$ .

**1. Preliminaries.** In the following we shall use the notation and terminology of [3], [5] and [10]. This will be supplemented with the following.

Let  $S$  be a regular semigroup. A congruence  $\rho$  on  $S$  is uniquely determined by its kernel  $\ker \rho = \{x \in S \mid x\rho e \text{ for some } e \in E\}$  and trace  $\text{tr } \rho = \rho|_E$ , where  $E$  is the set of idempotents of  $S$  [2].

**RESULT 1.** (Lemma 1.3 of [6], Lemma 2.5 of [9]) *For any family  $F$  of congruences on a regular semigroup  $S$ ,  $\ker \bigcap_{\rho \in F} \rho = \bigcap_{\rho \in F} \ker \rho$ .*

A congruence  $\rho$  on  $S$  is idempotent separating if  $\text{tr } \rho = \varepsilon|_E$ . The greatest idempotent separating congruence on  $S$  is denoted by  $\mu$ .

Let  $\mathcal{C}$  be a class of semigroups and let  $\rho$  be a congruence on  $S$ . Then  $\rho$  is a  $\mathcal{C}$ -congruence if  $S/\rho \in \mathcal{C}$ . The least inverse congruence on a regular semigroup is denoted by  $Y$ .

**RESULT 2.** [1, Lemma 3.1] *Let  $\rho$  and  $\xi$  be any congruences on an orthodox semigroup  $S$ . Then*

$$(\rho \subseteq \mu \text{ and } \xi \subseteq Y) \Rightarrow \rho \vee \xi = \rho \circ \xi.$$

If  $S$  is a regular semigroup and  $a \in S$ , then  $V(a)$  denotes the set of all inverses of  $a$  in  $S$ .

RESULT 3. [7, Lemma 1] *Let  $\rho$  be an inverse congruence on a regular semigroup  $S$ . Then*

$$(\forall a, b \in S)(a\rho b \Rightarrow (\forall a' \in V(a))(\forall b' \in V(b))a'\rho b').$$

RESULT 4. ([4],[12], [5, Theorem VI.1.12]) *If  $S$  is an orthodox semigroup, then the relation  $Y$  defined by  $aYb \Leftrightarrow V(a) = V(b)$  ( $a, b \in S$ ) is the least inverse congruence on  $S$ .*

RESULT 5. [3] *If  $f$  is an order isomorphism of a lattice  $L$  onto a lattice  $L'$ , then  $f$  is a lattice isomorphism.*

LEMMA 1. *Let  $\rho$  be an idempotent separating congruence on a regular semigroup  $S$  and  $a, b \in S$ . The following conditions are equivalent:*

- (i)  $a\rho b$ ,
- (ii)  $a\mathcal{H}b$  and  $(\forall a' \in V(a))(\exists b' \in V(b))a'\rho b'$ ,
- (iii)  $a\mathcal{H}b$  and  $(\exists a' \in V(a))(\exists b' \in V(b))a'\rho b'$ .

*Proof.* (i)  $\Rightarrow$  (ii) Let  $a\rho b$  and  $a' \in V(a)$ . Then  $a\mathcal{H}b$  that is  $a'a = b'b$  and  $aa' = bb'$  for some  $b' \in V(b)$  (by [5, Proposition 4.1]). Therefore  $a' = a'aa' = b'ba'\rho b'aa' = b'bb' = b'$ .

(ii)  $\Rightarrow$  (iii) This is trivial.

(iii)  $\Rightarrow$  (i) Let  $a\mathcal{H}b$  and  $a'\rho b'$  for some  $a' \in V(a)$  and  $b' \in V(b)$ . Then  $a\mathcal{H}b$  implies  $a'a = b''b$  and  $bb' = aa''$  for some  $a'' \in V(a)$  and  $b'' \in V(b)$  (by [5, Proposition 4.1]). So we have  $a = aa''a = bb'a\rho ba'a = bb''b = b$ .

The implication (iii)  $\Rightarrow$  (i) is valid for any semigroup  $S$  and any congruence  $\rho$  on  $S$  [8, Lemma 2.1].

**2. The congruence  $\rho \circ Y$ .** In the remainder of the paper,  $S$  will denote an orthodox semigroup with the band of idempotents  $E$ .

Let  $a \in S$ ,  $a' \in V(a)$  and  $e \in E$ . Then by Result 4 we have  $aYe \Leftrightarrow V(a) = V(e)$  which yields  $a' \in V(e)$ . According to [11] (see also Theorem VI.1.1 of [5]) we have  $a' \in E$ . Since  $a \in V(a')$ , by the same argument we get  $a \in E$ . Therefore,  $\ker Y = E$ .

LEMMA 2. *Let  $\rho$  be an idempotent separating congruence on  $S$  and let  $\xi$  be a congruence on  $S$  such that  $\xi \subseteq Y$ . Then*

$$(1) \operatorname{tr}(\rho \circ \xi) = \operatorname{tr} \xi, \quad (2) \ker(\rho \circ \xi) = \ker \rho.$$

*Proof.* According to Result 2, we have  $\rho \vee \xi = \rho \circ \xi$ .

(1) For  $e, f \in E$  we obtain

$$\begin{aligned} e(\rho \circ \xi)f &\Rightarrow e\rho a \text{ and } a\xi f \text{ for some } a \in E && \text{(since } \ker \xi = E) \\ &\Rightarrow e = a \text{ and } a\xi f && \text{(since } \operatorname{tr} \rho = \varepsilon) \\ &\Rightarrow e\xi f. \end{aligned}$$

Therefore  $\text{tr}(\rho \circ \xi) \subseteq \text{tr} \xi$  and thus  $\text{tr}(\rho \circ \xi) = \text{tr} \xi$ .

(2) For  $a \in S$  and  $e \in E$ , we get

$$\begin{aligned} a(\rho \circ \xi)e &\Rightarrow a\rho b \text{ and } b\xi e \text{ for some } b \in E \quad (\text{since } \ker \xi = E) \\ &\Rightarrow a \in \ker \rho. \end{aligned}$$

Therefore  $\ker(\rho \circ \xi) \subseteq \ker \rho$  and hence  $\ker(\rho \circ \xi) = \ker \rho$ .

Notice that (1) is a consequence of Proposition of [13], and (2) is a special case of Lemma 2.1 of [1].

If  $\rho$  and  $\xi$  are congruences on  $S$  such that  $\rho \subseteq \xi$ , then the relation  $\xi/\rho$  on  $S/\rho$  defined by  $(a\rho)\xi/\rho(b\rho) \Leftrightarrow a\xi b$  ( $a, b \in S$ ) is a congruence.

According to Lemma 2 we have that for any idempotent separating congruence  $\rho$  on  $S$ ,  $(\rho \circ Y)/Y$  is an idempotent separating congruence on  $S/Y$ . In particular,  $(\mu \circ Y)/Y$  is an idempotent separating congruence on  $S/Y$  [8, Lemma 2.2].

The next theorem describes the inverse congruence  $\rho \circ Y$ , where  $\rho$  is an idempotent separating congruence on  $S$ .

**THEOREM 1.** *Let  $\rho$  be an idempotent separating congruence on  $S$  and  $a, b \in S$ . Then the following conditions are equivalent:*

- (i)  $a(\rho \circ Y)b$ ,
- (ii)  $(\exists a' \in V(a))(\exists b' \in V(b))aa' = ab'ba'$ ,  $bb' = ba'ab'$ ,  $ab' \in \ker \rho$ ,
- (iii)  $(\forall a' \in V(a))(\exists b' \in V(b))a'\rho b'$ ,
- (iv)  $(\exists a' \in V(a))(\exists b' \in V(b))a'\rho b'$ .

*Proof.* (i) $\Leftrightarrow$ (ii) Since  $\rho \circ Y$  is an inverse congruence on  $S$ , we have

$$\begin{aligned} a(\rho \circ Y)b &\Leftrightarrow (\exists a' \in V(a))(\exists b' \in V(b))a'a(\rho \circ Y)b'b, \quad ab' \in \ker \rho \\ &\quad (\text{by Theorem 1 of [7]}) \\ &\Leftrightarrow (\exists a' \in V(a))(\exists b' \in V(b))a'aYb'b, \quad ab' \in \ker \rho \\ &\quad (\text{by Lemma 2}) \\ &\Leftrightarrow (\exists a' \in V(a))(\exists b' \in V(b))a'a = a'ab'ba'a, \quad b'b = b'ba'ab'b, \\ &\quad ab' \in \ker \rho \\ &\Leftrightarrow (\exists a' \in V(a))(\exists b' \in V(b))aa' = ab'ba', \quad bb' = ba'ab', \quad ab' \in \ker \rho \end{aligned}$$

(i) $\Rightarrow$ (iii) Let  $a, b \in S$  and  $a' \in V(a)$ . Then

$$\begin{aligned} a(\rho \circ Y)b &\Rightarrow a\rho c \text{ and } cYb \text{ for some } c \in S \\ &\Rightarrow (\exists c' \in V(c))a'\rho c' \text{ and } V(c) = V(b) \quad (\text{by Lemma 1 and Result 4}) \\ &\Rightarrow (\exists b' \in V(b))a'\rho b'. \end{aligned}$$

(iii) $\Rightarrow$ (iv) This is trivial.

(iv) $\Rightarrow$ (i) Let  $a' \in V(a)$  and  $b' \in V(b)$ . Then

$$\begin{aligned} a'\rho b' &\Rightarrow a'(\rho \circ Y)b' \\ &\Rightarrow a(\rho \circ Y)b \quad (\text{by Result 3}). \end{aligned}$$

Let  $\rho$  be an idempotent separating congruence on  $S$  and let  $\xi$  be a congruence on  $S$  such that  $\xi \subseteq Y$ . Let  $a, b \in S$ . The proof of Theorem 1 shows that  $a(\rho \circ \xi)b \Rightarrow$  (iii)  $\Rightarrow$  (iv). But (iv) $\Rightarrow$ (i) follows from the fact that  $\rho \circ Y$  is an inverse congruence on  $S$ . In this context, the following result is of interest.

**PROPOSITION 1.** *Let  $\rho$  be an idempotent separating congruence on  $S$  and let  $\xi$  be a congruence on  $S$  such that  $\xi \subseteq Y$ . Then*

$$(1) \quad Y \subseteq \rho \circ \xi \Leftrightarrow \xi = Y, \quad (2) \quad \mu \subseteq \rho \circ \xi \Leftrightarrow \rho = \mu.$$

*Proof.* It is evident that  $\xi = Y$  implies  $Y \subseteq \rho \circ \xi$  and  $\rho = \mu$  implies  $\mu \subseteq \rho \circ \xi$ .

$$\begin{aligned} Y \subseteq \rho \circ \xi &\Rightarrow \text{tr } Y \subseteq \text{tr } (\rho \circ \xi) \\ &\Rightarrow \text{tr } Y \subseteq \text{tr } \xi \quad (\text{by Lemma 2}) \\ &\Rightarrow \text{tr } Y = \text{tr } \xi \quad (\text{since } \xi \subseteq Y). \end{aligned} \tag{1}$$

Also,  $\xi \subseteq Y \Rightarrow \ker \xi \subseteq \ker Y \Rightarrow \ker \xi = E$  (since  $\ker Y = E$ ). Therefore  $\text{tr } Y = \text{tr } \xi$  and  $\ker Y = \ker \xi$ , so by [2] we have  $Y = \xi$ .

$$\begin{aligned} \mu \subseteq \rho \circ \xi &\Rightarrow \ker \mu \subseteq \ker(\rho \circ \xi) \\ &\Rightarrow \ker \mu \subseteq \ker \rho \quad (\text{by Lemma 2}) \\ &\Rightarrow \ker \mu = \ker \rho \quad (\text{since } \rho \subseteq \mu). \end{aligned} \tag{2}$$

Since  $\text{tr } \rho = \text{tr } \mu$ , by [2] we have  $\rho = \mu$ .

**LEMMA 3.** *Let  $\rho$  be an idempotent separating congruence on  $S$ .*

(1) *Then  $\rho = (\rho \circ Y) \cap \mathcal{H} = (\rho \circ Y) \cap \mu$ . In particular,  $Y \cap \mathcal{H} = Y \cap \mu = \varepsilon$ .*

(2) *If  $\xi$  is a congruence on  $S$  such that  $\xi \subseteq \rho \circ Y$ , then  $\xi \cap \rho = \xi \cap \mathcal{H} = \xi \cap \mu$ .*

*Proof.* (1) From Lemma 1 and Theorem 1 we have  $\rho = (\rho \circ Y) \cap \mathcal{H}$ . Hence  $\mu = (\mu \circ Y) \cap \mathcal{H}$ . So we have  $(\rho \circ Y) \cap \mu = (\rho \circ Y) \cap (\mu \circ Y) \cap \mathcal{H} = (\rho \circ Y) \cap \mathcal{H}$ . From the preceding equalities for  $\rho = \varepsilon$  we get  $Y \cap \mathcal{H} = Y \cap \mu = \varepsilon$ .

(2) Let  $\xi \subseteq \rho \circ Y$ . By (1) we get  $\xi \cap \rho = \xi \cap (\rho \circ Y) \cap \mathcal{H} = \xi \cap \mathcal{H}$ , and also  $\xi \cap \rho = \xi \cap (\rho \circ Y) \cap \mu = \xi \cap \mu$ .

The equality  $Y \cap \mathcal{H} = \varepsilon$  can be found in [5] and [8].

**3. Description of  $[Y, \mu \circ Y]$ .** In this section we describe inverse congruences on  $S$  contained in  $\mu \circ Y$ . This leads to a characterization of idempotent separating congruences on  $S$  (Theorem 2).

LEMMA 4. *Let  $\xi$  be a congruence on  $S$  such that  $\xi \in [Y, \mu \circ Y]$ . Then  $\xi = (\xi \cap \mu) \circ Y$ .*

$$\begin{aligned} \text{Proof. } Y \subseteq \xi \subseteq \mu \circ Y &\Rightarrow \text{tr } Y \subseteq \text{tr } \xi \subseteq \text{tr } (\mu \circ Y) \\ &\Rightarrow \text{tr } Y \subseteq \text{tr } \xi \subseteq \text{tr } Y && \text{(by Lemma 2)} \\ &\Leftrightarrow \text{tr } Y = \text{tr } \xi \\ &\Leftrightarrow \text{tr } ((\xi \cap \mu) \circ Y) = \text{tr } \xi && \text{(by Lemma 2)}. \end{aligned}$$

Also,

$$\begin{aligned} \xi \subseteq \mu \circ Y &\Rightarrow \ker \xi \subseteq \ker(\mu \circ Y) \\ &\Rightarrow \ker \xi \subseteq \ker \mu && \text{(by Lemma 2)} \\ &\Leftrightarrow \ker(\xi \cap \mu) = \ker \xi && \text{(by Result 1)} \\ &\Leftrightarrow \ker((\xi \cap \mu) \circ Y) = \ker \xi && \text{(by Lemma 2)}. \end{aligned}$$

Hence, by [2] we get  $\xi = (\xi \cap \mu) \circ Y$ .

PROPOSITION 2. *Let  $\xi \in [Y, \mu \circ Y]$  and let  $\zeta$  be any inverse congruence on  $S$ . Then  $\xi \cap \mu \subseteq \zeta \cap \mu \Rightarrow \xi \subseteq \zeta$ .*

*Proof.* Let  $a, b \in S$  and let  $\xi \cap \mu \subseteq \zeta \cap \mu$ . Then

$$\begin{aligned} a\xi b &\Rightarrow a'\xi b' \text{ and } a'\mu b' \text{ for some } a' \in V(a), b' \in V(b) \\ &\quad \text{(by Result 3 and Theorem 1)} \\ &\Rightarrow a'(\xi \cap \mu)b' \text{ for some } a' \in V(a), b' \in V(b) \\ &\Rightarrow a'(\zeta \cap \mu)b' \text{ for some } a' \in V(a), b' \in V(b) \\ &\Rightarrow a'\zeta b' \Rightarrow a\zeta b && \text{(by Result 3)}. \end{aligned}$$

The following theorem describes idempotent separating congruences on  $S$  by means of inverse congruences contained in  $\mu \circ Y$ .

THEOREM 2. *Let  $\rho$  be an idempotent separating congruence on  $S$ . Then  $\xi = \rho \circ Y$  is the unique inverse congruence on  $S$  contained in  $\mu \circ Y$  and for which  $\rho = \mathcal{H} \cap \xi$ . Conversely, if  $\xi$  is a congruence on  $S$  such that  $\xi \subseteq \mu \circ Y$ , then  $\mathcal{H} \cap \xi$  is an idempotent separating congruence on  $S$ .*

*Proof.* Let  $\rho$  be an idempotent separating congruence on  $S$  and let  $\xi = \rho \circ Y$ . Then by Lemma 3,  $\rho = \mathcal{H} \cap \xi$ . Clearly,  $\xi$  is an inverse congruence on  $S$  contained in  $\mu \circ Y$ . Let  $\zeta \in [Y, \mu \circ Y]$  such that  $\mathcal{H} \cap \xi = \mathcal{H} \cap \zeta$ . Then by Lemma 3 we have  $\mu \cap \xi = \mu \cap \zeta$ . According to Lemma 4 we have  $\zeta = (\zeta \cap \mu) \circ Y = (\xi \cap \mu) \circ Y = \xi$ .

Now suppose that  $\xi$  is a congruence on  $S$  such that  $\xi \subseteq \mu \circ Y$ . Then by Lemma 3 we have  $\mathcal{H} \cap \xi = \mu \cap \xi$ . Hence  $\mathcal{H} \cap \xi$  is an idempotent separating congruence on  $S$ .

If  $\rho$  is a congruence on  $S$  and  $\alpha$  is a congruence on  $S/\rho$ , then the relation  $\bar{\alpha}$  on  $S$  defined by  $a\bar{\alpha}b \Leftrightarrow (a\rho)\alpha(b\rho)$ , ( $a, b \in S$ ) is a congruence.

COROLLARY 1. [8, Theorem 2.4] *Let  $\rho$  be an idempotent separating congruence on  $S$ . Then  $\alpha = (\rho \circ Y)/Y$  is the unique congruence on  $S/Y$  contained in  $(\mu \circ Y)/Y$  and for which  $\rho = \mathcal{H} \cap \bar{\alpha}$ . Conversely, if  $\alpha$  is a congruence on  $S/Y$  such that  $\alpha \subseteq (\mu \circ Y)/Y$ , then  $\mathcal{H} \cap \bar{\alpha}$  is an idempotent separating congruence on  $S$ .*

*Proof.* Let  $\rho$  be an idempotent separating congruence on  $S$  and let  $\alpha = (\rho \circ Y)/Y$ . Then  $\alpha \subseteq (\mu \circ Y)/Y$  and  $\bar{\alpha} = \rho \circ Y$ . By Theorem 2 we have  $\rho = \mathcal{H} \cap \bar{\alpha}$ . Let  $\gamma$  be a congruence on  $S/Y$  such that  $\gamma \subseteq (\mu \circ Y)/Y$  and  $\rho = \mathcal{H} \cap \bar{\gamma}$ . It is clear that  $Y \subseteq \bar{\gamma} \subseteq \mu \circ Y$ . According to Theorem 2 we have  $\bar{\alpha} = \bar{\gamma}$ , that is  $\alpha = \gamma$ .

Conversely, let  $\alpha$  be a congruence on  $S/Y$  such that  $\alpha \subseteq (\mu \circ Y)/Y$ . Then  $\bar{\alpha} \subseteq \mu \circ Y$ . By Theorem 2,  $\mathcal{H} \cap \bar{\alpha}$  is an idempotent separating congruence on  $S$ .

**4. An isomorphism theorem.** The preceding characterizations lead to the following theorem.

**THEOREM 3.** *For  $S$ , the mappings  $\varphi$  and  $\psi$  defined by*

$$\begin{aligned}\varphi : \rho &\longmapsto \rho \circ Y & (\rho \in [\varepsilon, \mu]), \\ \psi : \xi &\longmapsto \xi \cap \mu & (\xi \in [Y, \mu \circ Y])\end{aligned}$$

*are mutually inverse complete lattice isomorphisms between  $[\varepsilon, \mu]$  and  $[Y, \mu \circ Y]$ .*

*Proof.* Let  $\rho \in [\varepsilon, \mu]$  and  $\xi \in [Y, \mu \circ Y]$ . Then

$$\begin{aligned}\rho(\varphi\psi) &= (\rho\varphi)\psi = (\rho \circ Y)\psi = (\rho \circ Y) \cap \mu = \rho && \text{(by Lemma 3), and} \\ \xi(\psi\varphi) &= (\xi\psi)\varphi = (\xi \cap \mu)\varphi = (\xi \cap \mu) \circ Y = \xi && \text{(by Lemma 4).}\end{aligned}$$

So we have  $\varphi\psi = I_{[\varepsilon, \mu]}$  and  $\psi\varphi = I_{[Y, \mu \circ Y]}$ . Since  $[\varepsilon, \mu]$  and  $[Y, \mu \circ Y]$  are complete lattices, and  $\varphi$  and  $\psi$  are order preserving, they are both complete lattice isomorphisms [3].

$$\begin{aligned}\text{COROLLARY 2. (1)} \quad & (\bigcap_{\rho \in F} \rho) \vee Y = \bigcap_{\rho \in F} (\rho \vee Y) && (F \subseteq [\varepsilon, \mu]), \\ \text{(2)} \quad & (\bigvee_{\rho \in F} \rho) \cap \mu = \bigvee_{\rho \in F} (\rho \cap \mu) && (F \subseteq [Y, \mu \circ Y]).\end{aligned}$$

Notice that the first part of Corollary 2 is a special case of Theorem 2.4 of [1].

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