

ON THE FEKETE-SZEGŐ THEOREM FOR CLOSE-TO-CONVEX FUNCTIONS

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Abstract. Let $K(\beta)$ be the class of normalised close-to-convex functions with order $\beta \geq 0$, defined in the unit disc D by

$$\left| \arg e^{i\lambda} \frac{zf'(z)}{g(z)} \right| \leq \frac{\pi\beta}{2},$$

for $|\lambda| < \pi/2$ and g starlike in D . For $f \in K(\beta)$ with $f(z) = z + a_2z^2 + a_3z^3 + \dots$ and $z \in D$, sharp bounds are given for $|a_3 - \mu a_2^2|$ for real μ .

Let S denote the class of analytic univalent functions f , defined for $z \in D = \{z : |z| < 1\}$ by

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n. \quad (1)$$

Fekete and Szegő [6], showed that for $f \in S$, given by (1),

$$|a_3 - \mu a_2^2| \leq \begin{cases} 3 - 4\mu, & \text{if } \mu \leq 0 \\ 1 + 2e^{-2\mu/(1-\mu)}, & \text{if } 0 \leq \mu < 1 \\ 4\mu - 3, & \text{if } \mu \geq 1. \end{cases}$$

The inequalities are sharp in the sense that for each μ , there exists a function in S such that equality holds. Pfluger [11], [12] has recently considered the problem for complex μ .

Let S^* and K denote the classes of normalised starlike and close-to-convex functions respectively. Thus $f \in K$ if, and only if, there exists $g \in S^*$ and a real λ , with $|\lambda| < \pi/2$, such that for $z \in D$,

$$\operatorname{Re} e^{i\lambda} \frac{zf'(z)}{g(z)} > 0.$$

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Let K_0 be the subset of K when $\lambda = 0$. For $f \in K_0$, Keogh and Merkes [8] showed that

$$|a_3 - \mu a_2^2| \leq \begin{cases} 3 - 4\mu, & \text{if } \mu \leq 1/3, \\ 1/3 + 4/9\mu, & \text{if } 1/3 \leq \mu \leq 2/3, \\ 1, & \text{if } 2/3 \leq \mu \leq 1, \\ 4\mu - 3, & \text{if } \mu \geq 1. \end{cases}$$

Again, for each μ , there are functions in K_0 such that equality holds in all cases.

Eenigenburg and Silvia [5] were able to extend the result of Keogh and Merkes to the whole class K , whilst Koepf [9], apparently unaware of [5] and [8], gave a proof for $\mu \in [0, 1]$.

Denote by $K(\beta)$ the class of close-to-convex functions of order $\beta \geq 0$. Thus $f \in K(\beta)$, if, and only if, for $\beta \geq 0$, there exists $g \in S^*$ and a real λ , with $|\lambda| < \pi/2$, such that for $z \in D$,

$$\left| \arg e^{i\lambda} \frac{zf'(z)}{g(z)} \right| \leq \frac{\beta\pi}{2}. \quad (2)$$

Clearly for $0 \leq \beta \leq 1$, $K(\beta)$ is a subset of S , whilst for $\beta > 1$, $K(\beta)$ can contain functions with infinite valence [7]. We also note that $K(0) = C$, the class of normalised convex functions. For C , the Fekete-Szegő problem has been solved in [8]. Let $K_0(\beta)$ be the subset of $K(\beta)$ when $\lambda = 0$. Then in [1] it was shown that the result of Keogh and Merkes extends to:

THEOREM A. *Let $f \in K_0(\beta)$ and be given by (1). Then for $0 \leq \beta \leq 1$,*

$$|a_3 - \mu a_2^2| \leq \begin{cases} 1 - \mu + \frac{\beta(2 - 3\mu)(\beta + 2)}{3}, & \text{if } \mu \leq \frac{2\beta}{3(\beta + 1)}, \\ 1 - \mu + \frac{2\beta}{3} + \frac{\beta(2 - 3\mu)^2}{3[2 - \beta(2 - 3\mu)]}, & \text{if } \frac{2\beta}{3(\beta + 1)} \leq \mu \leq \frac{2}{3}, \\ \frac{2\beta + 1}{3}, & \text{if } \frac{2}{3} \leq \mu \leq \frac{2(\beta + 2)}{3(\beta + 1)}, \\ \mu - 1 + \frac{\beta(3\mu - 2)(\beta + 2)}{3}, & \text{if } \mu \geq \frac{2(\beta + 2)}{3(\beta + 1)}, \end{cases}$$

whilst for $\beta > 1$, the first two inequalities hold. For each μ there are functions in $K_0(\beta)$ such that equality holds in all cases.

Koepf [10] considered the problem for the class $K(\beta)$ and gave the solution when $\mu = 2/3$. He also showed that the first inequality in Theorem A extends to $K(\beta)$ in the case $\beta \geq 1$, for all $|\lambda| < \pi/2$, and established the sharp inequalities

$$|a_3 - a_2^2| \leq \begin{cases} \frac{2\beta + 1}{3}, & \text{if } 0 \leq \beta \leq 1 \\ \frac{\beta(\beta + 2)}{3}, & \text{if } \beta \geq 1. \end{cases} \quad (3)$$

The purpose of this paper is to examine the question of extending Theorem A to $K(\beta)$.

Results

THEOREM 1. *Let $f \in K(\beta)$ and be given by (1), then for $\beta \geq 0$,*

$$|a_3 - \mu a_2^2| \leq \begin{cases} 1 - \mu + \frac{\beta(2-3\mu)(\beta+2)}{3}, & \text{if } \mu \leq \frac{2\beta}{3(\beta+1)}, \\ 1 - \mu + \frac{2\beta}{3} + \frac{\beta(2-3\mu)^2}{3[2-\beta(2-3\mu)]}, & \text{if } \frac{2\beta}{3(\beta+1)} \leq \mu \leq \frac{2}{3}, \end{cases}$$

provided $\cos^2 \lambda \leq 1/2$ or $\lambda = 0$.

The inequalities are sharp in the sense that for each μ , there exists a function in $K(\beta)$, such that equality holds.

Proof. From (2) write

$$zf'(z) = g(z)\tilde{p}(z)^\beta, \quad (4)$$

for $g \in S^*$ given by $g(z) = z + b_2z^2 + b_3z^3 + \dots$ and $\operatorname{Re} e^{i\lambda}\tilde{p}(z) > 0$ with $\tilde{p}(z) = 1 + \tilde{p}_1z + \tilde{p}_2z^2 + \dots$. Thus for some p satisfying $\operatorname{Re} p(z) > 0$ and given by $p(z) = 1 + p_1z + p_2z^2 + \dots$, we have $\tilde{p}_n = p_n e^{-i\lambda} \cos \lambda$, so that $|\tilde{p}_n| = |p_n| \cos \lambda$ for $n \geq 1$.

Equating coefficients in (4) we have

$$\begin{aligned} 2a_2 &= b_2 + \beta\tilde{p}_1, \\ 3a_3 &= b_3 + \frac{\beta(\beta-1)}{2}\tilde{p}_1^2 + \beta\tilde{p}_2 + \beta\tilde{p}_1b_2. \end{aligned}$$

and so

$$\begin{aligned} a_3 - \mu a_2^2 &= \frac{1}{3} \left(b_3 - \frac{3}{4}\mu b_2^2 \right) + \frac{\beta}{3} \left(\tilde{p}_2 + \left(\frac{\beta(2-3\mu)}{4} - \frac{1}{2} \right) \tilde{p}_1^2 \right) \\ &\quad + \beta \left(\frac{1}{3} - \frac{\mu}{2} \right) \tilde{p}_1 b_2. \end{aligned} \quad (5)$$

Since $\frac{2\beta}{3(\beta+1)} \leq \mu \leq \frac{2}{3}$, it follows from (5) that

$$\begin{aligned} |a_3 - \mu a_2^2| &\leq 1 - \mu + \frac{\beta \cos \lambda}{3} \left(2 - \frac{|p_1|^2}{2} (1 - |\sin \lambda|) \right) \\ &\quad + \frac{\beta^2}{12} (2 - 3\mu) |p_1|^2 \cos^2 \lambda + \frac{\beta(2-3\mu)}{3} |p_1| \cos \lambda, \end{aligned} \quad (6)$$

where we have used the inequalities $|b_3 - \nu b_2^2| \leq \max\{1, |4\nu - 3|\}$ for $g \in S^*$ with ν real [8], $|b_2| \leq 2$ and

$$\left| \tilde{p}_2 - \frac{\tilde{p}_1^2}{2} \right| \leq \cos \lambda \left(2 - \frac{|p_1|^2}{2} (1 - |\sin \lambda|) \right),$$

proved in [9].

Now write $u = |p_1|$ and $v = \cos \lambda$. Then (6) can be written as $|a_3 - \mu a_2^2| \leq \phi(u, v)$, where

$$\begin{aligned} \phi(u, v) = & 1 - \mu + \frac{\beta v}{3} \left(2 - \frac{u^2}{2} \left(1 - \sqrt{1 - v^2} \right) \right) \\ & + \frac{\beta^2 u^2 v^2}{12} (2 - 3\mu) + \frac{\beta uv}{3} (2 - 3\mu), \end{aligned}$$

where, since $|p_1| \leq 2$, it follows that $(u, v) \in [0, 2] \times [0, 1]$.

Fix $v = v_0$ and assume first that $\phi(u, v_0)$ has a turning point at u . Then $\phi'(u, v_0) = 0$ implies that

$$2u \left(1 - \sqrt{1 - v_0^2} \right) = \beta u v_0 X + 2X, \quad (7)$$

where $X = 2 - 3\mu$, so that $0 \leq X \leq 2/(1 + \beta)$.

From (6) and (7) one obtains

$$\begin{aligned} \phi(u, v_0) &= 1 - \mu + \frac{2\beta v_0}{3} + \frac{\beta u v_0}{6} X \\ &\leq 1 - \mu + \frac{2\beta v_0}{3} + \frac{\beta v_0 X}{3} \\ &\leq 1 - \mu + \frac{2\beta}{3} + \frac{\beta X^2}{3[2 - \beta X]}, \end{aligned}$$

if

$$v_0 \leq \frac{2(2 - \beta X) + X^2}{(2 + X)(2 - \beta X)} = \Psi(\beta, X) \quad \text{say.}$$

An elementary argument shows that $\Psi(\beta, X)$ has a minimum value of $2\sqrt{2} - 2$ when $\beta \geq 0$. Next suppose that $u = 0$. Then $\phi(0, v) = 1 - \mu + 2\beta v/3 \leq 1 - \mu + 2\beta/3$. Finally let $u = 2$. Then if $v \leq 1/\sqrt{2}$,

$$\begin{aligned} \phi(2, v) &= 1 - \mu + \frac{2\beta v}{3} \sqrt{1 - v^2} + \frac{\beta^2 v^2}{3} X + \frac{2\beta v}{3} X, \\ &\leq 1 - \mu + \frac{\beta}{3} + \frac{\beta^2}{6} X + \frac{\sqrt{2}\beta}{3} X, \\ &\leq 1 - \mu + \frac{2\beta}{3} + \frac{\beta X^2}{3[2 - \beta X]}, \end{aligned}$$

since $0 \leq X \leq 2/(1 + \beta)$.

Thus in all cases, the second inequality in Theorem 1 is established, provided $v \leq 1/\sqrt{2}$.

Choosing $\lambda = 0$, $b_2 = p_2 = 2$, $b_3 = 3$ and $p_1 = \frac{2(2 - 3\mu)}{2 - \beta(2 - 3\mu)}$ shows that the inequality is sharp on the interval $\frac{2\beta}{3(\beta + 1)} \leq \mu \leq \frac{2}{3}$, since $|p_1| \leq 2$.

Next consider the case $\mu \leq \frac{2\beta}{3(\beta+1)}$. Then

$$\begin{aligned} |a_3 - \mu a_2^2| &\leq \left| a_3 - \frac{2\beta}{3(\beta+1)} a_2^2 \right| + \left(\frac{2\beta}{3(\beta+1)} - \mu \right) |a_2|^2, \\ &\leq 1 + \frac{2\beta}{3} + \left(\frac{2\beta}{3(\beta+1)} - \mu \right) (\beta+1)^2 \\ &= 1 - \mu + \frac{\beta(2-3\mu)(\beta+2)}{3}, \end{aligned}$$

for $\beta \geq 0$, where we have used the result already proved in the case $\mu = 2\beta/3(\beta+1)$, and the fact that for $f \in K(\beta)$, the inequality $|a_2| \leq \beta+1$ holds [2], [3], [4]. Equality is attained on choosing $\lambda = 0$, $p_1 = p_2 = b_2 = 2$ and $b_3 = 3$.

Remark 1. As mentioned above, Koepf [10] established the first inequality of Theorem 1 for all λ , such that $|\lambda| < \pi/2$, provided $\beta \geq 1$ and $\mu \geq 0$. We note that maximising the expression for $H_\beta(y)$ on page 424 gives another proof of the same inequality when $0 \leq \beta \leq 1$, provided $\cos^2 \lambda \leq 1/2$ or $\lambda = 0$.

We now consider the case $\mu \geq 2/3$. We prove:

THEOREM 2. *Let $f \in K(\beta)$ and be given by (1). Then*

$$|a_3 - \mu a_2^2| \leq \begin{cases} \frac{2\beta+1}{3}, & \text{if } \frac{2}{3} \leq \mu \leq \frac{2(\beta+2)}{3(\beta+1)}, \\ \mu - 1 + \frac{\beta(3\mu-2)(\beta+2)}{3}, & \text{if } \mu \geq \frac{2(\beta+2)}{3(\beta+1)}, \end{cases}$$

for $0 \leq \beta \leq 1$ if $\cos^2 \lambda \leq 1/2$ or if $\lambda = 0$. For $\beta \geq 1$, the inequalities hold if $\cos^2 \lambda \leq (3 - \sqrt{5})/2$. The inequalities are sharp.

Proof. We first deal with the case when $\mu = \frac{2(\beta+2)}{3(\beta+1)}$. In [8] it was shown that for $g \in S^*$ given by $g(z) = z + b_2 z^2 + b_3 z^3 + \dots$

$$\left| b_3 - \frac{3\mu}{4} b_2^2 \right| \leq 1 + \frac{|b_2^2|}{4} (3|\mu-1| - 1). \quad (8)$$

Also, since $\operatorname{Re} p(z) > 0$, it follows that (see e.g. [8])

$$\left| p_2 - \frac{p_1^2}{2} \right| \leq 2 - \frac{|p_1^2|}{2}. \quad (9)$$

Thus with $\mu = \frac{2(\beta+2)}{3(\beta+1)}$ we have from (8) that if $0 \leq \beta \leq 1$,

$$\left| b_3 - \frac{3\mu}{4} b_2^2 \right| \leq 1 - \frac{\beta|b_2^2|}{2(1+\beta)}, \quad (10)$$

and so from (5), (9) and (10) we obtain

$$\begin{aligned}
\left| a_3 - \frac{2(\beta+2)}{3(\beta+1)} a_2^2 \right| &\leq \frac{1}{3} \left(1 - \frac{\beta}{2(1+\beta)} |b_2^2| \right) + \frac{\beta \cos \lambda}{3} \left(2 - \frac{|p_1^2|}{2} \right) \\
&\quad + \frac{\beta |p_1^2| \cos \lambda}{6} \sqrt{1 - \left(\frac{1+2\beta}{(1+\beta)^2} \right) \cos^2 \lambda} + \frac{\beta |p_1 b_2| \cos \lambda}{3(1+\beta)} \\
&= \frac{2\beta \cos \lambda + 1}{3} - \frac{\beta}{6(1+\beta)} (|b_2| - |p_1| \cos \lambda)^2 - \frac{\beta |p_1^2| \cos \lambda}{6} \\
&\quad + \frac{\beta |p_1^2| \cos \lambda}{6} \sqrt{1 - \left(\frac{1+2\beta}{(1+\beta)^2} \right) \cos^2 \lambda} + \frac{\beta |p_1^2| \cos^2 \lambda}{6(1+\beta)} \\
&\leq \frac{2\beta \cos \lambda + 1}{3} + \frac{\beta |p_1^2| \cos \lambda}{6} \left[-1 + \sqrt{1 - \left(\frac{1+2\beta}{(1+\beta)^2} \right) \cos^2 \lambda} + \frac{\cos \lambda}{1+\beta} \right] \\
&\leq \frac{2\beta + 1}{3},
\end{aligned}$$

if $\cos^2 \lambda \leq (1+\beta)/2$, or if $\cos^2 \lambda = 1$, where we have used the inequality $|p_1| \leq 2$. Since $(1+\beta)/2$ increases for $0 \leq \beta \leq 1$, the above inequality is valid for $\cos^2 \lambda \leq 1/2$.

For $\beta \geq 1$ and $\mu = \frac{2(\beta+2)}{3(\beta+1)}$, it follows from (8) that

$$\left| b_3 - \frac{3\mu}{4} b_2^2 \right| \leq 1 - \frac{|b_2^2|}{2(1+\beta)},$$

and so again using (5) and (9) we obtain

$$\begin{aligned}
\left| a_3 - \frac{2(\beta+2)}{3(\beta+1)} a_2^2 \right| &\leq \frac{1}{3} \left(1 - \frac{|b_2^2|}{2(1+\beta)} \right) + \frac{\beta \cos \lambda}{3} \left(2 - \frac{|p_1^2|}{2} \right) \\
&\quad + \frac{\beta |p_1^2| \cos \lambda}{6} \sqrt{1 - \left(\frac{1+2\beta}{(1+\beta)^2} \right) \cos^2 \lambda} + \frac{\beta |p_1 b_2| \cos \lambda}{3(1+\beta)} \\
&= \frac{2\beta \cos \lambda + 1}{3} - \frac{1}{6(1+\beta)} (|b_2| - \beta |p_1| \cos \lambda)^2 - \frac{\beta |p_1^2| \cos \lambda}{6} \\
&\quad + \frac{\beta |p_1^2| \cos^2 \lambda}{6} \sqrt{1 - \left(\frac{1+2\beta}{(1+\beta)^2} \right) \cos^2 \lambda} + \frac{\beta^2 |p_1^2| \cos^2 \lambda}{6(1+\beta)} \\
&\leq \frac{2\beta \cos \lambda + 1}{3} + \frac{\beta |p_1^2| \cos \lambda}{6} \left[-1 + \sqrt{1 - \left(\frac{1+2\beta}{(1+\beta)^2} \right) \cos^2 \lambda} + \frac{\beta \cos \lambda}{1+\beta} \right] \\
&\leq \frac{2\beta + 1}{3},
\end{aligned}$$

if $\cos^2 \lambda \leq (1+3\beta - \sqrt{(5\beta+3)(\beta-1)})/(2(1+\beta))$, again since $|p_1| \leq 2$. Since $(1+3\beta - \sqrt{(5\beta+3)(\beta-1)})/(2(1+\beta))$ decreases for $\beta \geq 1$, the inequality is valid for $\cos^2 \lambda \leq (3 - \sqrt{5})/2$.

Next suppose that $\frac{2}{3} \leq \mu \leq \frac{2(\beta+2)}{3(\beta+1)}$. Then writing

$$\begin{aligned} a_3 - \mu a_2^2 &= \frac{(\beta+1)(3\mu-2)}{2} \left(a_3 - \frac{2(\beta+2)}{3(\beta+1)} a_2^2 \right) \\ &\quad + \frac{3(\beta+1)}{2} \left(\frac{2(\beta+2)}{3(\beta+1)} - \mu \right) \left(a_3 - \frac{2}{3} a_2^2 \right), \end{aligned}$$

the result follows on using the Theorem already proved at the end points $\mu = 2/3$ and $\mu = \frac{2(\beta+2)}{3(\beta+1)}$.

Finally let $\mu \geq \frac{2(\beta+2)}{3(\beta+1)}$. Then, since

$$a_3 - \mu a_2^2 = \left(a_3 - \frac{2(\beta+2)}{3(\beta+1)} a_2^2 \right) + \left(\frac{2(\beta+2)}{3(\beta+1)} - \mu \right) a_2^2,$$

the result follows again on using the case $\mu = \frac{2(\beta+2)}{3(\beta+1)}$ already established and the inequality $|a_2| \leq 1 + \beta$, proved in [7]. Equality is attained when $\lambda = 0$, $p_1 = p_2 = b_2 = 2$ and $b_3 = 3$.

Remark 2. An examination of the proof of Theorem 2 in the case $0 \leq \beta \leq 1$ when $\mu = \frac{2(\beta+2)}{3(\beta+1)}$ shows that

$$\left| a_3 - \frac{2(\beta+2)}{3(\beta+1)} a_2^2 \right| \leq \frac{1}{3} + \frac{2\beta}{3} \psi_1(\cos \lambda),$$

where

$$\psi_1(t) = t \left[\sqrt{1 - \left(\frac{1+2\beta}{(1+\beta)^2} \right) t^2} + \frac{t}{1+\beta} \right].$$

An elementary argument shows that ψ_1 attains its maximum at $t_0 \in (0, 1)$ when

$$t_0^2 = \frac{2(1+\beta)^2 + (1+\beta)\sqrt{2(1+\beta)}}{4(1+2\beta)},$$

and that

$$\psi_1(t_0) = \frac{(1+\beta)[\sqrt{2(1+\beta)} + 1]}{2(1+2\beta)}.$$

Thus if $0 \leq \beta \leq 1$ and $|\lambda| < \pi/2$,

$$\left| a_3 - \frac{2(\beta+2)}{3(\beta+1)} a_2^2 \right| \leq \frac{1}{3} + \frac{\beta(1+\beta)[\sqrt{2(1+\beta)} + 1]}{3(1+2\beta)}. \quad (11)$$

Similarly, for $\beta \geq 1$, one obtains

$$\left| a_3 - \frac{2(\beta+2)}{3(\beta+1)} a_2^2 \right| \leq \frac{1}{3} + \frac{2\beta}{3} \psi_2(\cos \lambda),$$

where

$$\psi_2(t) = t \left[\sqrt{1 - \left(\frac{1+2\beta}{1+\beta} \right)^2 t^2} + \frac{\beta t}{1+\beta} \right].$$

It is easy to see that ψ_2 increases on $[0, 1]$ and so for $|\lambda| < \pi/2$,

$$\left| a_3 - \frac{2(\beta+2)}{3(\beta+1)} a_2^2 \right| \leq \frac{1}{3} + \frac{4\beta^2}{3(1+\beta)}. \quad (12)$$

It is unlikely that either of (11) or (12) is sharp.

Finally, it is easy to see that, using (3), the following result obtains:

THEOREM 3. *Let $f \in K(\beta)$ and be given by (1). Then if $0 \leq \beta \leq 1$,*

$$|a_3 - \mu a_2^2| \leq \begin{cases} \frac{2\beta+1}{3}, & \text{if } \frac{2}{3} \leq \mu \leq 1 \\ \frac{2\beta+1}{3} + (\mu-1)(1+\beta)^2, & \text{if } \mu \geq 1, \end{cases}$$

and if $\beta \geq 1$,

$$|a_3 - \mu a_2^2| \leq \begin{cases} \frac{2\beta+1}{3} + \frac{(\beta^2-1)(3\mu-2)}{3}, & \text{if } \frac{2}{3} \leq \mu \leq 1 \\ \mu-1 + \frac{\beta(3\mu-2)(\beta+2)}{3}, & \text{if } \mu \geq 1. \end{cases}$$

We note that if $0 \leq \beta \leq 1$, the inequality for $2/3 \leq \mu \leq 1$ is sharp when $\lambda = 0$, $b_2 = 0$, $b_3 = 1$, $p_1 = 0$ and $p_2 = 2$. When $\beta \geq 1$, the inequality for $\mu \geq 1$ is sharp for $\lambda = 0$, $p_1 = p_2 = b_2 = 2$ and $b_3 = 3$. The inequality for $0 \leq \beta \leq 1$ and $\mu \geq 1$ appears sharp only when $\mu = 1$, and the inequality for $\beta \geq 1$ when $2/3 \leq \mu \leq 1$ appears sharp only at the end points $\mu = 2/3$ and $\mu = 1$. However, in view of Theorem A, splitting the real line at $\mu = 1$ is probably not optimum, unless $\beta = 1$.

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