

## FIRST ORDER CLASSES OF GROUPS HAVING NO GROUPS WITH A GIVEN PROPERTY

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**Abstract.** A result of Miller [8], that there exists a finitely axiomatizable theory having no nontrivial models with solvable word problem, is generalized. It is proved here that for every strong hereditary property  $P$  of  $fp$  group there exist a finitely axiomatizable first-order theory  $\mathcal{I}(P)$  having no nontrivial models that enjoy  $P$ .

Investigating some problems in the theory of finitely generable groups Miller has obtained [8] an interesting model-theoretic result: there exists a finitely axiomatizable theory  $\text{ETG}^*$  having no nontrivial models with solvable word problem. In this note we extend that result by constructing such theories for a broad class  $\mathcal{S}$  of abstract properties of algebras: for each property  $P \in \mathcal{S}$  a finitely axiomatizable first-order theory  $\mathcal{I}(P)$  is found such that every model of  $\mathcal{I}(P)$  enjoys the property  $P$ . The examples we give below are group theoretical, but the results are transferable to other algebras as well. (In particular, hereditary and residual properties, subdirect product and subdirect decomposability etc. can be defined for arbitrary algebras.)

In what follows we consider finitely generable ( $fg$ ) groups only. An algebraic property  $P$  of such groups, for which it is true that whenever a group  $G$  enjoys  $P$  so does every  $fg$  subgroup of  $G$ , we call a *hereditary* property. By  $\mathcal{G}$  we denote the class of all  $fg$  groups, by  $\mathcal{R}$  the class of all recursively presentable ( $rp$ ) groups and by  $\mathcal{F}$  the class of all finitely presentable ( $fp$ ) groups. Let  $\mathcal{S}$  be a subclass of  $\mathcal{G}$ ; property  $P$  is *proper* in  $\mathcal{S}$  if  $P \neq \mathcal{S}$  and  $P \neq \{1\}$ .

For a given  $P$ , the property  $\text{res } P$  is defined by

$$\text{res } P(G) \Leftrightarrow \bigcap_N \{N \triangleleft G \mid P(G/N)\} = \{1\}.$$

Equivalently,  $G$  enjoys  $\text{res } P$  if for every nontrivial element  $g \in G$  there exists a normal subgroup  $N_g \triangleleft G$  such that  $g \notin N_g$  and  $P(G/N_g)$ . Residual properties can

be defined in yet another way, utilizing the subdirect product (SDP) of groups. A group  $G$  is an SDP of groups  $G_i (i \in I)$  if  $G$  is a subgroup of the direct product  $\Pi_i G_i$  such that  $\pi_i(G) = G_i$ ; here  $\pi_i$  is the  $i$ -th *projection*,  $\pi_i : \Pi_i G_i \rightarrow G_i$ . It can be proved (see e.g. [3]) that a group  $G$  enjoys  $\text{res } P$  iff  $G$  is an SDP of group possessing the property  $P$ . A group  $G$  is *SDP-decomposable* if it contains a family  $\{N_i \mid i \in I\}$  of nontrivial normal subgroups  $N_i$  such that  $\cap_i N_i = \{1\}$ . If the opposite is true, the group is *SDP-indecomposable*; for example, such are all simple groups as well as all  $S_n$  groups with  $n > 4$ .

From the above definition of  $\text{res } P$ , it follows that

$$P \subseteq \text{res } P \quad (1)$$

for every  $'P \subset \mathcal{G}$ . In some cases,  $P = \text{res } P$ ; e.g. this is true for the property “being an Abelian group” as well as for every other hereditary property closed with respect to the direct product. On the other hand, for the property ‘being a finite group’ evidently  $P \neq \text{res } P$ , since some residually finite groups (e.g. the free groups) are infinite.

In the sequel we investigate the following problem: if a given property  $P$  is proper in some class  $\mathcal{S}$  of  $fg$  groups, whether  $\text{res } P$  is proper in  $\mathcal{S}$  or not. Notice that for hereditary properties the above problem is solved for the classes  $\mathcal{F}$  and  $\mathcal{R}$  simultaneously: for a given hereditary property  $P$   $\text{res } P$  is proper in  $\mathcal{F}$  iff it is proper in  $\mathcal{R}$ . Namely, if  $P$  is hereditary it is easily seen that  $\text{res } P$  is also hereditary itself. Next, from the theorem of Higman [6] it follows that every group which is universal in  $\mathcal{F}$  is also universal in  $\mathcal{R}$ . (A group  $G$  is *universal* in the class  $\mathcal{S}$  if it contains, as subgroups, isomorphic copies of every group from  $\mathcal{S}$ .)

**LEMMA 1.** *Let  $P$  be a hereditary property which is proper in  $\mathcal{F}$  and which does not contain all  $rp$  SDP-indecomposable groups. Then  $\text{res } P$  is also proper in  $\mathcal{F}$ .*

*Proof.* Let  $K$  be an SDP-indecomposable group from  $\mathcal{R}$ , which does not enjoy the property  $P$  described above. Evidently, the intersection of all nontrivial normal subgroups of  $K$  cannot be trivial itself. Then the intersection of all normal subgroups of  $K$ , such that the corresponding factor-groups enjoy  $P$ , cannot be trivial either, and  $K$  does not belong to  $\text{res } P$  by definition. (In fact, if an SDP-indecomposable group  $G$  possesses a property  $\text{res } P$  it must also possess the property  $P$  itself.) Now,  $K$  can be embedded into an  $fp$  group  $G$  (see [6]) which does not enjoy  $\text{res } P$  either (because  $\text{res } P$  is hereditary) and hence the property  $\text{res } P$  is proper in  $\mathcal{F}$ .  $\square$

The properties satisfying the assumptions of the above Lemma 1 will be called *strong hereditary* (SH) properties in what follows. It immediately follows from [13] that for every SH property  $P$ , the property  $\text{res } P$  is algorithmically unrecognizable.

**LEMMA 2.** *Let  $P$  be an SH property. Then there exists an  $fp$  group  $U_P$  universal in  $\mathcal{F}$ , such that every nontrivial factor-group of  $U_P$  enjoys  $\neg P$ .*

*Proof.* Let  $U$  be a universal  $fp$  group which has a nontrivial factor group enjoying the property  $P$ . Such a group exists indeed for every  $P$  nontrivial in  $\mathcal{F}$ ; for example,  $U = U_1 \times G_1$  where  $U_1$  is an arbitrary universal  $fp$  group, and  $G_1$  is an  $fp$  group enjoying  $P$ . Let further  $N_P = \cap_N \{N \triangleleft U \mid P(U/N)\}$ .

Indeed,  $N_P \neq \{1\}$  since  $U$  has a normal subgroup such that the corresponding factor-group enjoys  $P$  and since  $\text{res } P$  is a proper hereditary property in  $\mathcal{F}$  so that it can contain no groups universal in  $\mathcal{F}$ . Let  $v \in N_P$  and  $v \neq 1$ ; applying the construction  $\Psi$  of Rabin [13] one maps a pair  $(U, v)$  effectively onto an  $fp$  group  $U(v)$ . Now  $U < U(v)$  since  $v \neq 1$  and hence  $U(v)$  is also a universal  $fp$  group. We prove now that  $U(v)$  has no nontrivial factor-groups with property  $P$ , so that the Lemma is proved. Assume, on the contrary, that  $H' \triangleleft U(v)$  is a proper normal subgroup of  $U(v)$  such that  $P(U(v)/H')$  is true. The construction  $\Psi : (U, v) \mapsto U(v)$  ensures that  $U(v)/N \cong \{1\}$  if  $v \in N$ ,  $N \triangleleft U(v)$ . Thus  $v \notin H'$ , because  $H'$  is a proper subgroup of  $U(v)$ . Let  $H = U \cap H'$ ; then  $H \triangleleft U$  and  $H'U/H' \cong U/H$ , i. e.  $U/H$  is isomorphic to a subgroup of  $U(v)/H'$ . This  $U/H$  is an  $fg$  group, and hence  $P(U/H)$  is true as  $P$  is hereditary. Thus  $N_P < H$ , i. e.  $v \in H$  contrary to the above assumption, implying that neither  $U/H$  nor  $U(v)/H'$  can enjoy  $P$ .  $\square$

In combinatorial group theory another type of universality is frequently utilized, viz. SQ-universality. A group  $G$  is SQ-universal if every countable group can be embedded in a quotient of the group  $G$ . Now we can state the following

**COROLLARY 1.** *For every SH property  $P$ , there exists an SQ-universal  $fp$  group  $S_p$ , every factor-group of which enjoys  $\neg P$ .*

*Proof.* Let  $U, v$  be defined as above and let us apply the construction  $\Psi$  onto the pair  $(U \times F_2, v)$  where  $F_2$  is the free group with two generators. One obtains  $\Psi : (U \times F_2, v) \mapsto \overline{U}$  such that  $\Psi : (U \times (F_2/N), v) \mapsto \overline{U}/\overline{N}$

where  $N \triangleleft F_2$  so that  $v \notin N$  and where  $\overline{N}$  is the normal closure of  $N$  in  $\overline{U}$ . Since  $F_2$  is an SQ-universal group, every countable group  $G$  can be, for certain  $N \triangleleft F_2$ , embedded into  $F_2/N$ , and therefore into the group  $\overline{U}/\overline{N}$  as well. Hence,  $\overline{U}$  is an SQ-universal group itself, and in view of Lemma 2 every nontrivial quotient of  $\overline{U}$  enjoys  $\neg P$ .  $\square$

Notice that the group  $\overline{U}$  constructed above is also a universal group; this opens an interesting question whether an SQ-universal  $fp$  group can be constructed such that it is *not* universal, and having only quotients that enjoy  $\neg P$ . For certain properties the answer is positive, e. q. for the property “being a finite group”. Many infinite groups having no finite quotients have been described in the literature; e. q. the group  $G(p, q, r)$  defined by the presentation

$$\Pi = \langle a, b, c; \quad a^p b = b a^{p+1}, \quad b^q c = c b^{q+1}, \quad c^r a = a r^{r+1} \rangle$$

(see [11], which has a solvable word problem. Repeating the above reasoning one can prove that there exists an infinite SQ-universal group with unsolvable word problem which is not universal in  $\mathcal{F}$  and which has no finite nontrivial quotients. Namely, if  $T$  is a torsion-free  $fp$  group with unsolvable word problem, then  $T$

is not residually finite (since every residually finite  $fp$  group has a solvable word problem [4, 9]). The group  $T_1 = T \times F_2$  is a torsion-free group also, but it is not residually finite; it has a finite quotient (since  $F_2$  has such a quotient) and hence the intersection  $K$  of all normal subgroups of finite index in  $T_1$  is not trivial. Let then  $w \in K$ ,  $w \neq 1$  and let  $\Psi : (T_1, w) \mapsto \overline{T}$ , the group  $\overline{T}$  is a torsion-free SQ-universal  $fp$  group, see [2]. Hence,  $\overline{T}$  is not a universal  $fp$  group and it has no nontrivial finite quotients. Similarly, for  $P$  “being an Abelian group” it is sufficient to choose  $v$  to belong to the commutant of the group  $T_1$  and to construct  $\Psi : (T_1, v) \mapsto T'$ . For an arbitrary SH property the problem requires more extensive consideration.

Let us also remark that from Lemma 2 and Corollary 1 it follows immediately that for every nontrivial subproperty  $Q$  of an SH property  $P$  there exists a universal  $fp$  group  $U_Q$  and an SQ-universal  $fp$  group  $S_Q$  such that neither of them has nontrivial quotients enjoying  $Q$ . The property  $Q$  need not be hereditary (it is a Markov property in  $\mathcal{F}$ ).

Thus we conclude that there exists a universal  $fp$  group (as well as an SQ-universal  $fp$  group) having no nontrivial solvable quotients, a universal  $fp$  group having on torsion-free quotients, or no quotients which is a one-relator group, or no  $rp$  simple quotients etc. Miller [8] has constructed an  $fp$  group  $G$  such that every nontrivial quotient of  $G$  has unsolvable word problem; in that example every  $rp$  quotient has the word problem of degree 0'. In our terminology, in [8] it is proved that the property  $R$ : “having solvable word problem” is an SH property. Clearly, one can construct the groups  $U_P$  and  $S_P$  for every  $P$  which is a subproperty of  $R$ ; such are e.g. the properties mentioned as examples  $b, d$ — $g$  in Corollary 2. However, the same statement is true for some other properties which are not subproperties of  $R$ ; e.g. such are  $a$  and  $c$  in Corollary 2.

Utilizing Lemma 2, in analogy with [8], we prove the following

**THEOREM.** *For every SH property  $P$  of groups there exists a finitely axiomatizable theory  $\mathcal{I}(P)$  having no models that enjoy  $P$ .*

*Proof.* Let  $P$  be an SH property. In view of Lemma 2, there exists an  $fp$  group  $U_P$  together with  $v \in U_P$ ,  $v \neq 1$ , such that every quotient with respect to a normal subgroup not containing  $v$ , is not trivial and enjoys  $\neg P$ . Let  $U_P$  be presented by

$$\Pi = \langle a_1, \dots, a_n; R_1(a_1, \dots, a_n) = 1, \dots, R_m(a_1, \dots, a_n) = 1 \rangle$$

and let  $\mathcal{I}(P)$  be a first-order theory with group axioms and

$$\begin{aligned} (\exists x_1, \dots, x_n)(R_1(x_1, \dots, x_n) = 1 \wedge \dots \wedge R_m(x_1, \dots, x_n) = \\ = 1 \wedge \neg(v(x_1, \dots, x_n) = 1)). \end{aligned}$$

Let  $G$  denote an arbitrary nontrivial model of this theory (which certainly exists in view of Lemma 2). Hence  $G$  is a group in which the formula

$$\begin{aligned} (\exists x_1, \dots, x_n)(R_1(x_1, \dots, x_n) = 1 \wedge \dots \wedge R_m(x_1, \dots, x_n) = \\ = 1 \wedge \neg(v(x_1, \dots, x_n) = 1)). \end{aligned}$$

is satisfiable. In other words, for some  $g_1, \dots, g_n \in G$  one has  $R_1(g_1, \dots, g_n) = 1, \dots, R_m(g_1, \dots, g_n) = 1$ ,  $v(g_1, \dots, g_n) \neq 1$ . Hence, there exists a subgroup  $H$  of  $G$ , generated by  $g_1, \dots, g_n$  and such that  $v(g_1, \dots, g_n) \neq 1$  and  $v \in H$ , so that  $H$  is not trivial; the relators  $R_1(g_1, \dots, g_n) = 1, \dots, R_m(g_1, \dots, g_n) = 1$  (and perhaps some independent other ones, too) are true in  $H$  so that  $H$  is (isomorphic to) a quotient of the group  $U_P$ . Hence  $H$  does not enjoy the property  $P$ , and since  $P$  is hereditary the same is true for every group  $G$  containing  $H$  as a subgroup  $\square$

**COROLLARY 2.** *There exists a finitely axiomatizable theory of groups having no models which are:*

*a) solvable, b) nilpotent, c) torsion-free, d) rp simple, e) one-relator, f) free product of groups with property  $P$ ,  $P \subseteq R$ , g) direct product of groups with property  $P$ ,  $P \subseteq R$ , where  $R$  is the property “to have a solvable word problem”.*

*Proof.* a) Being solvable is a hereditary property. Furthermore, there exists a simple group which is not solvable (e. g. any  $A_n$  group for  $n \geq 5$ ), and hence “being solvable” is an  $SH$  property. (Note that it is not a subproperty of  $R$ , since there exists a solvable  $fp$  group with unsolvable word problem [5].)

b) Nilpotent groups are solvable, and hence all residually-nilpotent groups are also residually-solvable. (That “being a nilpotent group” is an  $SH$  property can be seen in yet another way: every  $fg$  nilpotent group has a solvable word problem [12].)

c) Torsion-free (or locally infinite) group contains no nontrivial elements of finite order. Hence no finite SDP-indecomposable group can be torsion-free and hence this property is an  $SH$  property, too. (“Being torsion-free” is not a subproperty of  $R$  because there exist torsion-free  $fp$  groups with word problems of arbitrary degree of unsolvability [1].)

d)—g) These properties are subproperties of  $R$ .  $\square$

Let us mention one additional possibility of application of the above theorem. Neumann [10] and Macintyre [7] have proved that for an  $fp$  group  $G$  the following conditions are equivalent:

- (i)  $G$  is a subgroup of every existentially complete group
- (ii)  $G$  is an  $rp$  group with solvable word problem.

Hence, existentially complete groups are universal for the class  $S$  of all  $rp$  groups with solvable word problem. In view of the above theorem, one has the following:

**COROLLARY 3.** *Every nontrivial existentially complete group contains, for every  $SH$  property  $P$ , an  $fg$  subgroup enjoying the property  $\neg P$ .*

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