

ON REDUCED PRODUCTS OF KRIPKE MODELS

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Abstract. Ultraproducts of Kripke models for Intuitionistic theories were defined by Cleave [1] and Gabbay [2]. In [3] and [4] Gabbay proved the “Los’s theorem” and some other analogues of classical results. Here we consider the products of Kripke models reduced over arbitrary filters, so called reduced products. Several classes of formulas are defined, for which preservation results are proved. Some preliminary results on this topic were contained in [6].

Introduction. We regard a Kripke model for a language L , classically, as a partially ordered set of classical structures \mathfrak{U}_t for the language $L : \mathfrak{M} = \langle \langle T, \leq, 0 \rangle; \mathfrak{U}_t : t \in T \rangle$ where $\langle T, \leq, 0 \rangle$ is a partially ordered index set, with the least element 0, satisfying the condition: $s \leq t$ implies \mathfrak{U}_s is a positive submodel of \mathfrak{U}_t . Forcing relation is defined as usual ([5, 6]).

Let $\mathfrak{M}_i = \langle \langle T_i, \leq_i, 0_i \rangle; \mathfrak{U}_t : t \in T_i \rangle (i \in I)$ be a set of Kripke models for a language L . We may assume $T_i \cap T_j = \emptyset$ for $i \neq j$. Let F be a filter over I and let $\Pi_F \langle T_i, \leq_i, 0_i \rangle = \langle T, \leq_F, 0_F \rangle$ be the reduced product of structures $\langle T_i, \leq_i, 0_i \rangle$. We denote the elements of T by α_F, β_F, \dots where $\alpha, \beta, \dots \in \prod_{i \in I} T_i$. Since the theory of partial order with the least element is a Horn theory, $\langle T, \leq_F, 0_F \rangle$ is a partially ordered set with the least element $0_F = \{ \alpha \in \prod_{i \in I} T_i : \{ i : \alpha(i) = 0_i \} \in F \}$. If the context permits, shall avoid subscripts in \leq_i and \leq_F . We define now the classical structure for L associated with $\alpha_F \in T$. For $i \in I$ let $A_i = \cup_{t \in T_i} A_t$ and let $A = \prod_{i \in I} A_i$. Elements of A shall be denoted by ξ, η, \dots . Let $\xi_F = \{ \eta \in A : \{ i : \xi(i) = \eta(i) \} \in F \}$. Now define $A_{\alpha_F} = \{ \xi_F : \{ i : \xi(i) \in A_{\alpha(i)} \} \in F \}$ the universe of the classical structure associated with α_F . We obtain the structure \mathfrak{U}_{α_F} by defining e.g., the interpretation of an n -ary relation symbol $R \in L$ as $R^{\alpha_F} = \{ \langle \xi_F^1, \dots, \xi_F^n \rangle : \{ i : \langle \xi^1(i), \dots, \xi^n(i) \rangle \in R^{\alpha(i)} \} \in F \}$, and similarly for function and individual constant symbols. It is proved in [6] that all these definitions are unambiguous.

Remark. The more intuitive definition of \mathfrak{U}_{α_F} as $\prod_F \mathfrak{U}_{\alpha(i)}$ (as in [3, 4]) is not correct since in case $\alpha_F = \beta_F$ and $\alpha \neq \beta$ we have $\prod_F A_{\alpha(i)} \neq \prod_F A_{\beta(i)}$. However,

each of these structures is isomorphic to $\mathfrak{U}_{\alpha F}$ as defined above, so that this error does not have consequences for the results obtained in [3]. If $\beta \in \alpha_F$, the natural isomorphism of $\mathfrak{U}_{\alpha F}$ and $\prod_F \mathfrak{U}_{\beta(i)}$ is defined by mapping any $\xi_F \in A_{\alpha F}$ to the set $\{\eta \in \prod_{i \in I} A_{\beta(i)} : \{i : \xi(i) = \eta(i)\} \in F\}$.

Results. In the context of reduced products of models, the question of reduced product formulas and reduced factor formulas naturally arises. We shall consider first the class of formulas which hold in the reduced product iff they hold in filter-many factors of the product.

Definition 1. Let (RPF) be the class of formulas $\varphi(x_1, \dots, x_n)$ satisfying the condition:

For any collection $\mathfrak{M}_i (i \in I)$ of Kripke structures for φ , any filter F over I and any $\alpha_F \in \prod T_i$ and any $\xi_F^1, \dots, \xi_F^n \in A_{\alpha F}$

$$\alpha \Vdash \varphi[\xi_F^1, \dots, \xi_F^n] \text{ iff } \{i \in I : \alpha(i) \Vdash \varphi[\xi^1(i), \dots, \xi^n(i)]\} \in F$$

In order to simplify the notation somewhat, from now on we shall suppress the valuation ξ_F^1, \dots, ξ_F^n (i.e. write φ instead of $\varphi[\dots]$). Unless explicitly stated, this does not imply that the formula in question is a sentence.

THEOREM 1. (i) (RPF) contains atomic formulas (ii) (RPF) is closed under \wedge, \exists , and \forall .

Proof. (i) By definition of reduced products. (ii) Let φ and ψ be in (RPF) and let $\mathfrak{M}_i (i \in I)$ be Kripke structures for φ and ψ and let F and α_F be as in Definition 1.

$$(a) \alpha_F \Vdash \varphi \wedge \psi \quad \text{iff } \alpha_F \Vdash \varphi \text{ and } \alpha_F \Vdash \psi \text{ iff (since } \varphi, \psi \in \text{(RPF))}$$

$$\{i : \alpha(i) \Vdash \varphi\} \in F \text{ and } \{i : \alpha(i) \Vdash \psi\} \in F \quad \text{iff } (\{i : \alpha(i) \Vdash \varphi \wedge \psi\} \in F.$$

(b) Let $\alpha_F \Vdash \exists x \varphi(x)$. Then for some $\xi_F \in A_{\alpha F}$, $\alpha_F \Vdash \varphi[\xi_F]$. Since φ is an (RPF) formula, it follows that $\{i : \alpha(i) \Vdash \varphi[\xi(i)]\} \in F$. But $\alpha(i) \Vdash \varphi[\xi(i)]$ implies $\alpha(i) \Vdash \exists x \varphi(x)$, so $\{i : \alpha(i) \Vdash \exists x \varphi(x)\} \in F$.

Conversely, let $X = \{i : \alpha(i) \Vdash \exists x \varphi(x)\} \in F$. Then for $i \in X$, there exists $a_i \in A_{\alpha(i)}$ such that $\alpha(i) \Vdash \varphi[a_i]$. Let ξ be an element of $\prod_{i \in I} A_{\alpha(i)}$ such that for $i \in X$, $\xi(i) = a_i$. Then $\xi_F \in A_{\alpha F}$ and $\{i : \alpha(i) \Vdash \varphi[\xi(i)]\} \supseteq X \in F$. Since φ is an (RPF) formula, it follows that $\alpha_F \Vdash \varphi[\xi_f]$ and so $\alpha_F \Vdash \exists x \varphi(x)$.

(c) Suppose $X = \{i : \alpha(i) \Vdash \forall x \varphi(x)\} \notin F$. Then for $i \in I - X$ there exists an $s_i \in T_i$ such that $\alpha(i) \leq s_i$ and there exists an $a_i \in A_{s_i}$ such that it is not case that $s_i \Vdash \varphi[a_i]$. Let for $i \in I$,

$$\beta(i) = \begin{cases} s_i & \text{iff } i \notin X \\ \alpha(i) & \text{iff } i \in X \end{cases} \text{ and, } \xi(i) = \begin{cases} a_i & \text{for } i \notin X \\ a & \text{for } i \in X \end{cases}$$

where a is an arbitrary element of $A_{\alpha(i)}$.

Now clearly for every $i \in I$ we have $\alpha(i) \leq \beta(i)$ and $\xi(i) \in A_{\beta(i)}$, thus $\alpha_F \leq \beta_F$ and $\xi_F \in A_{\beta_F}$. But $\{i : \beta(i) \Vdash \varphi[\xi(i)]\} \subseteq X \notin F$ so not $\beta_F \Vdash \varphi[\xi_F]$ since φ is an (RPF) formula. Therefore not $\alpha_F \Vdash \forall x\varphi(x)$.

Conversely, assume $X = \{i : \alpha(i) \Vdash \forall x\varphi(x)\} \in F$ and let $\alpha_F \leq \beta_F$ and $\xi_F \in A_{\beta_F}$. This means that $X_\beta = \{i : \alpha(i) \leq \beta(i)\} \in F$ and $X_\xi = \{i : \xi(i) \in A_{\beta(i)}\} \in F$. Then $Z = X \cap X_\beta \cap X_\xi \in F$. Now $i \in Z$ implies $\beta(i) \Vdash \varphi[\xi(i)]$ so $\{i : \beta(i) \Vdash \varphi[\xi(i)]\} \in F$. As φ is an (RPF) formula this implies $\beta_F \Vdash \varphi[\xi_F]$. Since β_F and ξ_F were arbitrary, it follows that $\alpha_F \Vdash \forall x\varphi(x)$.

Definition 2. Let (RF) (Reduced Factor formulas) be the class of all formulas $\varphi(x_1, \dots, x_n)$ satisfying the following condition:

For any collection $\mathfrak{M}_i (i \in I)$ of Kripke structures for φ , any filter F over I , any $\alpha_F \in \Pi_F T_i$ and any $\xi_F^1, \dots, \xi_F^n \in A_{\alpha_F}$.

$$\alpha_F \Vdash \varphi[\xi_F^1, \dots, \xi_F^n] \text{ implies } \{i \in I : \alpha(i) \Vdash \varphi[\xi^1(i), \dots, \xi^n(i)]\} \in F$$

THEOREM 2. (i) (RF) contains (RPF) (ii) (RF) is closed under \vee, \wedge, \exists and \forall .

Proof. (i) Obvious (ii) The proofs for \wedge, \exists and \forall are practically the same as the first halves of (a), (b) and (c) in the proof of Theorem 1 (ii).

Assume $\alpha_F \Vdash \varphi \vee \psi$. Then $\alpha_F \Vdash \varphi$ or $\alpha_F \Vdash \psi$. If φ and ψ are in (RF) it follows that $\{i : \alpha(i) \Vdash \varphi\} \in F$ or $\{i : \alpha(i) \Vdash \psi\} \in F$. But, the set $\{i : \alpha(i) \Vdash \varphi \vee \psi\}$ contains both, so it also is in F .

We shall need the following result ([6, Lemma III 2, 3]).

LEMMA 3. Assume that $\{i : \alpha(i) \Vdash \varphi \vee \psi\} \in F$ and that φ is a reduced factor formula. Then either $\alpha_F \Vdash \varphi$ or $\{i : \alpha(i) \Vdash \psi\} \in F$.

Proof. Suppose that not $\alpha_F \Vdash \varphi$. Then for some $\beta_F \geq \alpha_F$, $\beta_F \Vdash \varphi$. Let $X = \{i : \alpha(i) \Vdash \varphi \vee \psi\} \in F$, $X_\beta = \{i : \alpha(i) \leq \beta(i)\} \in F$. Since φ is a reduced factor formula, we have $Z = \{i : \beta(i) \Vdash \varphi\} \in F$. Let $U = \{i : \alpha(i) \Vdash \varphi\}$ and $V = \{i : \alpha(i) \Vdash \psi\}$. Clearly $U \cup V \in F$. Also $X_\beta \cap Z \in F$. Then $(X_\beta \cap Z) \cap (U \cup V) \in F$. However $X_\beta \cap Z \cap U = \emptyset$, so we must have $X_\beta \cap Z \cap V \in F$. Therefore $V \in F$.

Definition 3. Let (RP) (Reduced product formulas) be the class of all formulas $\varphi(x_1, \dots, x_n)$ satisfying the following condition:

For any collection $\mathfrak{M}_i (i \in I)$ of Kripke structures for φ , any filter F over I , any $\alpha_F \in \Pi_F T_i$ and any $\xi_F^1, \dots, \xi_F^n \in A_{\alpha_F}$.

$$\{i : \alpha(i) \Vdash \varphi[\xi^1(i), \dots, \xi^n(i)]\} \in F \text{ implies } \alpha_F \Vdash \varphi[\xi_F^1, \dots, \xi_F^n]$$

THEOREM 4. (i) (RP) contains (RPF)

- (ii) If $\varphi \in (\text{RF})$ and $\psi \in (\text{RP})$ then
- (a) $(\varphi \rightarrow \psi) \in (\text{RP})$
 - (b) $\lceil \varphi \in (\text{RP})$
 - (c) $(\lceil \varphi \vee \psi) \in (\text{RP})$

(iii) (RP) is closed under \wedge, \exists and \forall .

Proof. (i) Obvious. (ii) (a) Let $X = \{i : \alpha(i) \Vdash \varphi \rightarrow \psi\} \in F$. We have to show that for any $\beta_F \geq \alpha_F$, if $\beta_F \Vdash \varphi$ then $\beta_F \Vdash \psi$. Assume $\alpha_F \leq \beta_F$ and $\beta_F \Vdash \varphi$ and let $X_\beta = \{i : \alpha(i) \leq \beta(i)\} \in F$. Since φ is a reduced factor formula, we have $X_\varphi = \{i : \beta(i) \Vdash \varphi\} \in F$. Then $Z = X \cap X_\beta \cap X_\varphi \in F$. But $i \in Z$ implies $\beta(i) \Vdash \psi$, so $\{i : \beta(i) \Vdash \psi\} \supseteq Z \in F$. As ψ is assumed to be a reduced product formula, it follows that $\beta_F \Vdash \psi$.

(b) $\lceil \varphi$ is defined as $\varphi \rightarrow \square$ where \square (absurdity) is an atomic formula, so this is a special case of (a).

(c) Let $X = \{i : \alpha(i) \Vdash \lceil \varphi \vee \psi\} \in F$. As φ is a reduced factor formula, using Lemma 3. we get that $\alpha_F \Vdash \lceil \varphi$ or $\{i : \alpha(i) \Vdash \psi\} \in F$. In the latter case we have $\alpha_F \Vdash \psi$, as ψ is a reduced product formula.

(iii) The proofs are practically the same as second halves of (a) (b) and (c) in the proof of Theorem 1. (ii).

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