

MINIMAL MODAL SYSTEMS IN WHICH HEYTING AND CLASSICAL LOGIC CAN BE EMBEDDED

Kosta Došen

By a *translation* from a system S_1 into a system S_2 we shall understand a mapping from formulae of S_1 into formulae of S_2 ; and we shall say that S_1 can be *embedded* in S_2 by a translation t (from S_1 into S_2) iff $[\vdash_{S_1} A \text{ iff } \vdash_{S_2} t(A)]$.

It is well known (v. e.g. Czermak 1975) that the Heyting and classical propositional calculi can be embedded in the propositional calculi S_4 and S_5 respectively, by the following translation (we shall use “ $A, B \dots, A_1, \dots$ ” as schemata for propositional formulae)

$$\begin{aligned} t_1(A) &= \Box A, & \text{where } A \text{ is an atomic formula } (\perp \\ & & \text{and } \top \text{ are also atomic formulae)} \\ t_1(A \rightarrow B) &= \Box(t_1(A) \rightarrow t_1(B)) \\ t_1(A \wedge B) &= \Box(t_1(A) \wedge t_1(B)) \\ t_1(A \vee B) &= \Box(t_1(A) \vee t_1(B)) \\ t_1(\Box A) &= \Box \Box t_1(A); \end{aligned}$$

i.e. t_1 prefixes $\Box$ to every subformula.

This is a variant of the McKinsey-Tarski translation. Another variant, derived from McKinsey & Tarski 1948, is obtained from t_1 by substituting “ t_2 ” for “ t_1 ” everywhere except in the clauses for $\wedge$ and $\vee$, where we have

$$\begin{aligned} t_2(A \wedge B) &= t_2(A) \wedge t_2(B) \\ t_2(A \vee B) &= t_2(A) \vee t_2(B). \end{aligned}$$

These two variants are equivalent for S_4 and S_5 since in both of these systems we have as theorems

$$\begin{aligned} \text{(a)} \quad & \Box(\Box A \wedge \Box B) \leftrightarrow (\Box A \wedge \Box B) \\ \text{(b)} \quad & \Box(\Box A \vee \Box B) \leftrightarrow (\Box A \vee \Box B). \end{aligned}$$

Systems stronger than $S4$ in which Heyting logic can be embedded by t_1 , or t_2 , have also been studied. The system sometimes named “ $S4\ Grz$ ” (after Grzegorzczuk 1967), which is important for the “probability interpretations of modal logic” (v. Boolos 1979), is the strongest in a family of such extensions of $S4$, studied by Esakia 1974 and 1979.

In this paper we shall investigate systems weaker than $S4$ and $S5$ in which Heyting classical logic, respectively, can be embedded by the translation t_1 . We shall rely for this investigation on some already known results of modal logic. Our notation and terminology will try to follow that of Chellas 1980.

A modal will be called *normal* iff the set of its theorems is closed under

$$\begin{array}{l} \text{MP. } \frac{A \quad A \rightarrow B}{B} \\ \text{RN. } \frac{A}{\Box A} \end{array}$$

and substitution, and contains all tautologies and all the formulae

$$\text{K. } \Box(A \rightarrow B) \rightarrow (\Box A \rightarrow \Box B).$$

Any normal system is closed under

$$\begin{array}{l} \text{RK. } \frac{A_1 \rightarrow (A_2 \rightarrow \cdots \rightarrow (A_{n-1} \rightarrow A_n) \cdots)}{\Box A_1 \rightarrow (\Box A_2 \rightarrow \cdots \rightarrow (\Box A_{n-1} \rightarrow \Box A_n) \cdots)} \\ \text{REP. } \frac{B \leftrightarrow B'}{A \leftrightarrow A[B/B']} \end{array}$$

where $A[B/B']$ results from A by replacing zero or more occurrences of B in A by B' , and has all the formulae

$$\text{R. } \Box(A \wedge B) \leftrightarrow (\Box A \wedge \Box B)$$

as theorems (v. Chellas 1980, Chapter 4).

The system KD 4!

Take the language of the propositional calculus with $\rightarrow$, $\perp$ and $\Box$ as primitive constants ($\wedge$, $\vee$, $\neg$, $\top$ and $\leftrightarrow$ are defined as usual in classical logic; “ $\Diamond A$ ” is defined as “ $\Box(A \rightarrow \perp) \rightarrow \perp$ ”). KD 4! is axiomatized by adding to a sufficient axiomatic basis for the classical propositional calculus with MP, the following rules and axion-schemata

$$\begin{array}{ll} \text{RN.} & \frac{A}{\Box A} \\ \text{K.} & \Box(A \rightarrow B) \rightarrow (\Box A \rightarrow \Box B) \\ \text{D.} & \Box A \rightarrow \Diamond A \\ \text{4.} & \Box A \rightarrow \Box \Box A \\ \text{4 c.} & \Box \Box A \rightarrow \Box A \end{array}$$

(“ $\Box \Box A \leftrightarrow \Box A$ ” is called “4!”).

It is clear that KD4! is normal. For any normal system we have that D. can be replaced by

$$D \perp . \quad \Box \perp \rightarrow \perp,$$

for we have

$$\begin{aligned} (\Box A \rightarrow (\Box(A \rightarrow \perp) \rightarrow \perp)) &\leftrightarrow ((\Box A \wedge \Box(A \rightarrow \perp)) \rightarrow \perp) \\ &\leftrightarrow (\Box A \wedge (A \rightarrow \perp)) \rightarrow \perp \\ &\leftrightarrow (\Box \perp \rightarrow \perp) \end{aligned}$$

Standard models for KD4!

Let a *standard model* of modal propositional logic $\mathcal{M} = \langle W, R, P \rangle$ be defined as in Chellas 1980 (pp. 67 ff): W is a set of “worlds”, R is a binary relation on W (i.e. $R \subseteq W \times W$), and P is a function from atomic formulae, without $\perp$, into $\mathcal{P}W$. The conditions for A being true at an $\alpha \in W$ in $\mathcal{M}$ (i.e. for $\models_{\alpha}^{\mathcal{M}} A$) are given as usual:

$$\begin{aligned} \models_{\alpha}^{\mathcal{M}} A &\quad \text{iff } \alpha \in P(A) \subseteq W, \text{ where } A \text{ is atomic and is not } \perp \\ \models_{\alpha}^{\mathcal{M}} A \rightarrow B &\quad \text{iff } \left[\text{if } \models_{\alpha}^{\mathcal{M}} A \text{ then } \models_{\alpha}^{\mathcal{M}} B \right] \\ \text{not } \models_{\alpha}^{\mathcal{M}} \perp & \\ \models_{\alpha}^{\mathcal{M}} \Box A &\quad \text{iff } \forall \beta \in W, \alpha R \beta \cdot \models_{\beta}^{\mathcal{M}} A. \end{aligned}$$

A formula A is valid (i.e. $\models A$) iff for every $\mathcal{M} \forall \alpha \in W \cdot \models_{\alpha}^{\mathcal{M}} A$.

Relying on results of Lemmon & Scott 1977 (Section 4) it can be shown that

$$\vdash_{KD4!} A \quad \text{iff} \quad \models A$$

with respect to models $\mathcal{M}$ where R is

- (1) *serial*, i.e. $\forall \alpha \in W \exists \beta \in W \cdot \alpha R \beta$
 - (2) *transitive*, i.e. $\forall \alpha, \beta, \gamma \in W$ [if $\alpha R \beta$ and $\beta R \gamma$, then $\alpha R \gamma$]
 - (3) *(weakly) dense*, i.e. $\forall \alpha, \gamma \in W$ [if $\alpha R \gamma$, then $\exists \beta \in W [\alpha R \beta \text{ and } \beta R \gamma]$]
- ((2) and (3) give together that $R^2 = R$).

Relying on this modelling it can easily be shown that not every instance of $\Box A \rightarrow A$ is a theorem of KD4! , and hence that KD4! is property contained in $S4$.

However, KD4! is closed under the rule

$$\text{RNc. } \frac{\Box A}{A}.$$

To show that, suppose that not $\vdash_{\text{KD4!}} A$. Hence, there is a model $\mathcal{M}$ and an $\alpha \in W$ such that not $\vdash_{\alpha}^{\mathcal{M}} A$. Now extend $\mathcal{M}$ to $\mathcal{M}'$ so that $W' = W \cup \{\beta\}$ and

$$\begin{aligned} \forall \gamma, \delta \in W' [\gamma R' \delta \text{ iff } [\gamma R \delta \text{ or } [\gamma = \beta \text{ and } \delta = \beta] \\ \text{or } [\gamma = \beta \text{ and } \delta = \alpha] \text{ or } [\gamma = \beta \text{ and } \alpha R \delta]]]. \end{aligned}$$

It is easy to show for $\mathcal{M}'$ that R' is serial, transitive and (weakly) dense. Since not $\vdash_{\beta}^{\mathcal{M}'} A \Box A$, not $\vdash_{\text{KD4!}} \Box$ (cf. Chellas 1980, p. 99).

For the modalities of KD4! , which are closely related to the modalities of $S4$, consult Chellas 1980 (pp. 155, 170).

The system H

Let H be the Heyting propositional calculus based on $\rightarrow, \wedge, \vee, \perp, \top$, and $\Box$, and axiomatized by

- MP. $\frac{A \quad A \rightarrow B}{B}$
- a1. $(A \rightarrow (B \rightarrow C)) \rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C))$
- a2. $A \rightarrow (B \rightarrow A)$
- a3. $(C \rightarrow A) \rightarrow ((C \rightarrow B) \rightarrow (C \rightarrow (A \wedge B)))$
- a4. $(A \wedge B) \rightarrow A$
- a5. $(A \wedge B) \rightarrow B$
- a6. $(A \rightarrow C) \rightarrow ((B \rightarrow C) \rightarrow ((A \vee B) \rightarrow C))$
- a7. $A \rightarrow (A \vee B)$
- a8. $B \rightarrow (A \vee B)$
- a9. $\perp \rightarrow A$
- a10. $\top$
- a11. $(A \rightarrow B) \rightarrow (\Box B \rightarrow \Box A)$
- a12. $A \rightarrow \Box \Box A$
- a13. $\Box \Box A \rightarrow (\Box A \rightarrow A)$

(v. Kanger 1955).

We can show

LEMMA 1. *If $\vdash_H A$, then $\vdash_{KD4!} t_1(A)$.*

Demonstration: By induction on the length of proof of A in H . If A is al. we have

$$\begin{array}{c}
\frac{\Box(\Box C \rightarrow \Box D) \rightarrow (\Box\Box C \rightarrow \Box\Box D)}{\Box(\Box C \rightarrow \Box D) \rightarrow (\Box C \rightarrow \Box D)} \\
\frac{\Box B \rightarrow \Box(\Box C \rightarrow C \rightarrow \Box D)) \rightarrow (\Box B \rightarrow (\Box C \rightarrow \Box D))}{(\Box B \rightarrow \Box(\Box C \rightarrow \Box D)) \rightarrow ((\Box B \rightarrow \Box C) \rightarrow (\Box B \rightarrow \Box D))} \\
\frac{(\Box B \rightarrow \Box(\Box C \rightarrow \Box D)) \rightarrow ((\Box B \rightarrow \Box C) \rightarrow (\Box B \rightarrow \Box D))}{\Box(\Box B \rightarrow \Box(\Box C \rightarrow \Box D)) \rightarrow (\Box(\Box B \rightarrow \Box C) \rightarrow \Box(\Box B \rightarrow \Box D))} \\
\frac{\Box\Box(\Box B \rightarrow \Box(\Box C \rightarrow \Box D)) \rightarrow \Box(\Box(\Box B \rightarrow \Box C) \rightarrow \Box(\Box B \rightarrow \Box D))}{\Box(\Box(\Box B \rightarrow \Box(\Box C \rightarrow \Box D)) \rightarrow \Box(\Box(\Box B \rightarrow \Box C) \rightarrow \Box(\Box B \rightarrow \Box D)))}.
\end{array}$$

We proceed analogously for a2.—a13. Then we can use the theorems proved that way because $t_1(D)$ is always of the form $\Box E$ for some E .

If $\vdash_{KD4!} t_1(B)$ and $\vdash_{KD4!} t_1(B \rightarrow C)$, then we have

$$\frac{\frac{T_1(B)}{\Box t_1(B)} \quad \frac{\Box(t_1(B) \rightarrow t_1(C))}{\Box t_1(B) \rightarrow \Box t_1(C)}}{\frac{\Box t_1(C)}{t_1(C)}}$$

since $t_1(C)$ is $\Box D$ for some D . Q.E.D

Negation is best treated separately in H , and not as defined with $\rightarrow$ and $\perp$, because $t_1(\lceil A \rceil) = \Box \lceil t_1(A) \rceil = \Box(t_1(A) \rightarrow \perp)$, whereas $t_1(A \rightarrow \perp) = \Box(t_1(A) \rightarrow \Box \perp)$. However, due to $\Box \perp \leftrightarrow \perp$, this last formula is equivalent with $\Box(t_1(A) \rightarrow \perp)$ in $KD4!$.

Since if $\vdash_{KD4!} t_1(A)$, then $\vdash_{S4} t_1(A)$, it follows from Lemma 1 and the embedding theorem for $S4$ that

THEOREM 1. $\vdash_H A$ iff $\vdash_{KD4!} t_1(A)$.

Though

$$\begin{array}{ll}
\text{(a)} & \Box(\Box A \wedge \Box B) \leftrightarrow (\Box A \wedge \Box B) \\
\text{(b'')} & (\Box A \vee \Box B) \rightarrow \Box(\Box A \vee \Box B)
\end{array}$$

are theorems of $KD4!$,

$$\text{(b')} \quad \Box(\Box A \vee \Box B) \rightarrow (\Box A \vee \Box B)$$

is not. This can be shown with a suitable model invalidating (b'). This can serve to show that **H** *cannot* be embedded in **KD4!** by the translation t_2 . For suppose it can; then since

$$\vdash_{\mathbf{H}} (\top \rightarrow (A \vee B)) \rightarrow (A \vee B),$$

where A and B are atomic, we have

$$\vdash_{\mathbf{KD4!}} \Box(\Box(\Box\top \rightarrow (\Box A \vee \Box B)) \rightarrow (\Box A \vee \Box B)).$$

And since in every normal system $\vdash \Box\top \leftrightarrow \top$ and $\vdash (\perp \rightarrow C) \leftrightarrow C$, we have

$$\vdash_{\mathbf{KD4!}} \Box(\Box(\Box A \vee \Box B) \rightarrow (\Box A \vee \Box B)).$$

But **KD4!** is closed under **RNc.**, as we have shown, and so we get a contradiction.

For (b'), and the corresponding condition on R for models of systems having (b'), consult Lemmon & Scott 1977 (pp. 68–71). Note that (b') entails 4 c. for normal systems.

The system **KD45**

Everything is as for **KD4!** except that instead of 4 c. we have the axiom-schema

$$5. \quad (\Box A \rightarrow \perp) \rightarrow \Box(\Box A \rightarrow \perp).$$

4 c. is a theorem of **KD45**, for we have

$$\begin{array}{l} \frac{(\Box A \rightarrow \perp) \rightarrow \Box(\Box A \rightarrow \perp)}{(\Box A \rightarrow \perp) \rightarrow (\Box\Box A \rightarrow \Box\perp)} \\ \frac{(\Box A \rightarrow \perp) \rightarrow (\Box\Box A \rightarrow \Box\perp)}{(\Box A \rightarrow \perp) \rightarrow (\Box\Box A \rightarrow \perp)} \\ \hline \Box\Box A \rightarrow \Box A. \end{array}$$

Standard models for **KD45**

It can be shown that

$$\vdash_{\mathbf{KD45}} A \quad \text{iff} \quad \models A$$

with respect to models $\mathcal{M}$ where R is

- (1) *serial*
- (2) *transitive*
- (3) *euclidean*, i.e. $\forall \alpha, \beta, \gamma \in W$ [if $\alpha R \beta$ and $\alpha R \gamma$, then $\beta R \gamma$]

(v. Chellas 1980, Chapter 5).

It can also be shown that not every instance of $\Box A \rightarrow A$ is a theorem of **KD45**, and hence that **KD45** is properly contained in **S5** (v. Chellas 1980, p. 168).

For the modalities of **KD45**, which are closely related to the modalities of **S5**, consult Chellas 1980 (pp. 154, 169).

Contrary to KD4!, KD45 is *not* closed under RNC. (v. Chellas 1980, p. 168). However, we can shown the following

LEMMA 2. 2.1. If $\Box A$ is $t_1(B \rightarrow C)$, or $t_1(B \wedge C)$, or $t_1(\Box B)$, for some B and C of the language of $\mathbf{H}$, then $\vdash_{KD4!} \Box A \rightarrow A$.

2.2. If $\Box A$ is $t_1(B \vee C)$, for some $\vdash_H B \vee C$, then $\vdash_{KD4!} \Box A \rightarrow A$.

2.3. If $\Box A$ is $t_1(B \vee C)$, for some B and C of the language of $\mathbf{H}$, then $\vdash_{KD45} \Box A \rightarrow A$.

Demonstration: 2.1. We have

$$\frac{\Box(\Box A_1 \rightarrow \Box A_2) \rightarrow (\Box\Box A_1 \rightarrow \Box\Box A_2)}{\Box(\Box A_1 \rightarrow \Box A_2) \rightarrow (\Box A_1 \rightarrow \Box A_2);}$$

$$\frac{\Box(\Box A_1 \wedge \Box A_2) \rightarrow (\Box\Box A_1 \wedge \Box\Box A_2)}{\Box(\Box A_1 \wedge \Box A_2) \rightarrow (\Box A_1 \wedge \Box A_2);}$$

$$\frac{\Box(\Box A_1 \rightarrow \perp) \rightarrow (\Box\Box A_1 \rightarrow \Box \perp)}{\Box(\Box A_1 \rightarrow \perp) \rightarrow (\Box A_1 \rightarrow \perp);}$$

2.2. If $\vdash_H B \vee C$, then either $\vdash_H B$ or $\vdash_H C$. If $\Box A$ is $\Box(\Box A_1 \vee \Box A_2)$, then by Lemma 1, either $\vdash_{KD4!} \Box A_1$ or $\vdash_{KD4!} \Box A_2$. Hence, $\vdash_{KD4!} \Box A_1 \vee \Box A_2$, and $\vdash_{KD4!} \Box(\Box A_1 \vee \Box A_2) \rightarrow (\Box A_1 \vee \Box A_2)$.

2.3. We have

$$\frac{\Box(\Box A \rightarrow \Box B) \rightarrow (\Box\Box A \rightarrow \Box\Box B)}{\Box(\Box A \rightarrow \Box B) \rightarrow (\Box A \rightarrow \Box B),}$$

i.e. (b') is a theorem of KD45. Q.E.D.

The system C

C will be the classical propositional calculus specified exactly like **H**, save that it has in addition the axiom-schema

$$\text{a14. } ((A \rightarrow B) \rightarrow A) \rightarrow A.$$

We can show

LEMMA 3. If $\vdash_C A$, then $\vdash_{KD45} t_1(A)$.

Demonstration. We proceed as in the demonstration of Lemma 1 with an additional case in the basis of the induction. If A is a14., we have

$$\frac{\frac{\Box A \rightarrow (\Box A \rightarrow \Box B)}{\Box\Box A \rightarrow \Box(\Box A \rightarrow \Box B)} \quad \frac{\Box B \rightarrow (\Box A \rightarrow \Box B)}{\Box\Box B \rightarrow \Box(\Box A \rightarrow \Box B)}}{\frac{\Box A \rightarrow \Box(\Box A \rightarrow \Box B) \quad \Box B \rightarrow \Box(\Box A \rightarrow \Box B)}{(\Box A \rightarrow \Box B) \rightarrow \Box(\Box A \rightarrow \Box B)}}}$$

$$\frac{(\Box(\Box A \rightarrow \Box B) \rightarrow \Box A) \rightarrow ((\Box A \rightarrow \Box B) \rightarrow \Box A)}{(\Box(\Box A \rightarrow \Box B) \rightarrow \Box A) \rightarrow \Box A}$$

$$\Box(\Box(\Box A \rightarrow \Box B) \rightarrow \Box A) \rightarrow \Box A.$$

Q.E.D.

Since if $\vdash_{KD45} t_1(A)$, then $\vdash_{S5} t_1(A)$, it follows from Lemma 3 and the embedding theorem for S5 that

THEOREM 2. $\vdash_C A$ iff $\vdash_{KD45} t_1(A)$.

Since we have as theorems in KD45 (a) and (b) (v. the demonstration of Lemma 2.3), t_2 could also be used to embed C in KD45. Furthermore, since

$$\begin{aligned} \vdash_{KD45} \Box(\Box A \rightarrow \Box B) &\leftrightarrow (\Box A \rightarrow \Box B) \\ \vdash_{KD45} \Box[\Box A \leftrightarrow] \Box A \end{aligned}$$

(v. the demonstrations of Lemmata 2.1 and 3), we could use for that embedding the translation t_3 , which differs from t_2 in having

$$\begin{aligned} t_3(A \rightarrow B) &= t_3(A) \rightarrow t_3(B) \\ t_3(\Box A) &= \Box t_3(A); \end{aligned}$$

i.e. in t_3 $\Box$ is prefixed only to atomic formulae, the translation of the rest being literal. Of course, this embedding is somewhat trivial since C can be embedded by t_3 (as well as by the literal translation of every formula) in *any* normal system.

* * *

The minimal modal systems in which the Heyting and classical propositional calculi can be embedded by t_1 are, respectively, those which have as theorems only $\{t_1(A) \mid \vdash_H A\}$ and $\{t_1(A) \mid \vdash_C A\}$. Let us call these two systems “SH” and “SC”. SH can be axiomatized by taking as axioms all the formulae $t_1(A)$, where A is an axiom of H , and as a rule

$$\frac{t_1(A) \quad t_1(A \rightarrow B)}{t_1(B)}.$$

We proceed analogously with SC. SH and SC do not have non-modal theorems, and are hence weaker than KD4! and KD45, respectively.

Let us say that a system is axiomatized in the *Lemmon style* if to a basis for the non-modal calculus are added modal axioms or rules. It is obvious that SH and SC cannot be axiomatized in the Lemmon style. *A fortiori*, SH and SC are not normal.

If we take into account axiomatization in the Lemmon style and normality, there is a sense in which KD4! and KD45 are minimal modal systems in which we can embed H and C, respectively:

THEOREM 3 3.1 KD4! is the minimal normal modal system closed under $\frac{\Box A}{A}$, where $\Box A$ is $t_1(B)$ for some $\vdash_H B$, in which H can be embedded by t_1 .

3.2 KD45 is the minimal normal modal system closed under $\frac{\Box A}{A}$, where $\Box A$ is $t_1(B)$ for some $\vdash_C B$, in which C can be embedded by t_1 .

Demonstration: 3.1 Let S be a normal modal system in which H can be embedded by t_1 . Since we have

$$\vdash_H \top \perp,$$

we must have

$$\begin{aligned} &\vdash_S \Box \top \Box \perp, \quad \text{i.e.} \\ &\vdash_S \Box(\Box \perp \rightarrow \perp), \end{aligned}$$

which by the closure condition for S gives

$$\vdash_S \Box \perp \rightarrow \perp.$$

Since we have

$$\begin{aligned} &\vdash_H A \rightarrow (\top \rightarrow A) \\ &\vdash_H (\top \rightarrow A) \rightarrow A, \end{aligned}$$

where A is atomic, we must have

$$\begin{aligned} &\vdash_S \Box(\Box A \rightarrow \Box(\Box \top \rightarrow \Box A)) \\ &\vdash_S \Box(\Box(\Box \top \rightarrow \Box A) \rightarrow \Box A), \end{aligned}$$

which by the closure condition for S gives

$$\begin{aligned} &\vdash_S \Box A \rightarrow \Box(\Box \top \rightarrow \Box A) \\ &\vdash_S \Box(\Box \top \rightarrow \Box A) \rightarrow \Box A. \end{aligned}$$

Since S is normal, we have $\vdash_S \Box \top \leftrightarrow \top$ and $\vdash_S (\top \rightarrow B) \leftrightarrow B$; hence

$$\begin{aligned} &\vdash_S \Box A \rightarrow \Box \Box A \\ &\vdash_S \Box \Box A \rightarrow \Box A. \end{aligned}$$

Since S is closed under substitution, this must hold for any A .

$KD4!$ satisfies the closure condition for S by Lemma 2 (or by closure under RNc). Hence, S is at least as strong as $KD4!$, and it follows from Theorem 1 that it need not be stronger.

3.2 We proceed as for 3.1, having in addition that since

$$\vdash_C A \vee \neg A,$$

where A is atomic, we must have

$$\begin{aligned} &\vdash_S \Box(\Box A \vee \Box \neg A), \quad \text{i.e.} \\ &\vdash_S \Box((\Box A \rightarrow \perp) \rightarrow \Box(\Box A \rightarrow \perp)), \end{aligned}$$

which by the closure condition for S, and closure under substitution, gives 5. To show that KD45 satisfies the closure condition for S we use Lemma 2.

Q.E.D.

Alternatively, the results of Theorem 3 could be expressed by saying that KD4! and KD45 are the minimal normal modal systems in which H and C, respectively, can be embedded by the translation which prefixes $\Box$ to every *proper* subformula. This is essentially Gödel's translation (v. Gödel 1933, McKinsey & Tarski 1948 and Czermak 1975).

In an analogous way we can show the following

THEOREM 4. 4.1 *S4 is the minimal normal modal system having all the formulae $\Box A \rightarrow A$ as theorems, in which H can be embedded by t_1 .*

4.2. *S5 is the minimal normal modal system having all the formulae $\Box A \rightarrow A$ as theorems, in which C can be embedded by t_1 .*

Let $K\Box(D!)$ and $K\Box(D45)$ be obtained from KD4! and KD45, respectively, by taking as axiom-schemata

$$\begin{aligned} \Box D. & \quad \Box(\Box A \rightarrow \Diamond A) \\ \Box 4. & \quad \Box(\Box A \rightarrow \Box\Box A) \\ \Box 4c. & \quad \Box(\Box\Box A \rightarrow \Box A) \\ \Box 5. & \quad \Box((\Box A \rightarrow \perp) \rightarrow \Box(\Box A \rightarrow \perp)) \end{aligned}$$

instead of D., 4., 4c. and 5., respectively. That these two systems are properly contained in KD4! and KD45, respectively, is shown by interpreting $\Box A$ as $\top$, for every A . Then all the theorems of these two systems are tautologies, but $\Box \perp \rightarrow \perp$ is not a tautology with this interpretation; hence, D. is not a theorem of either of these systems.

For these systems we can show the following

THEOREM 5. 5.1 *$K\Box(D4!)$ is the minimal normal modal system in which H can be embedded by t_1 .*

5.2. *$K\Box(D45)$ is the minimal normal modal system in which C can be embedded by t_1 .*

Demonstration: 5.1 First we show by an easy induction on the length of proof that if $\frac{}{K D 4!} B$ then $\frac{}{K \Box(D 4!)} \Box B$. Now suppose that $\frac{}{K D 4!} t_1(A)$. $t_1(A)$ must be $\Box B$, for some B . Then we know that $\frac{}{K D 4!} B$, by Lemma 2 (or closure under RNc.). As we have shown above, it follows that $\frac{}{K \Box(D 4!)} \Box B$. The converse being trivial, we have

$$\frac{}{K D 4!} t_1(A) \quad \text{iff} \quad \frac{}{K \Box(D 4!)} t_1(A),$$

Then consider the demonstration of Theorem 3.1 and note that in the minimal normal modal system satisfying the condition of 5.1 we must have $\Box D.$, $\Box 4.$ and $\Box 4c.$

For 5.2 we proceed analogously. Q.E.D.

Let $KD4b$ and $K\Box(D4b)$ be obtained by replacing 4c. and $\Box$ 4c. in $KD4!$ and $K\Box(D4!)$ by, respectively, (b') and

$$\Box(b') \quad \Box(\Box(\Box A \vee \Box B) \rightarrow (\Box A \vee \Box B)).$$

It can be shown that $K\Box(D4b)$ is properly contained in $KD4b$, which is properly contained in $S4$.

For these systems we can show the following theorem, with which we conclude this paper:

THEOREM 6. 6.1 $KD4b$ is the minimal normal modal system closed under $\frac{\Box A}{A}$, where $\Box A$ is $t_2(B)$ for some $\vdash_H B$, in which H can be embedded by t_2 .

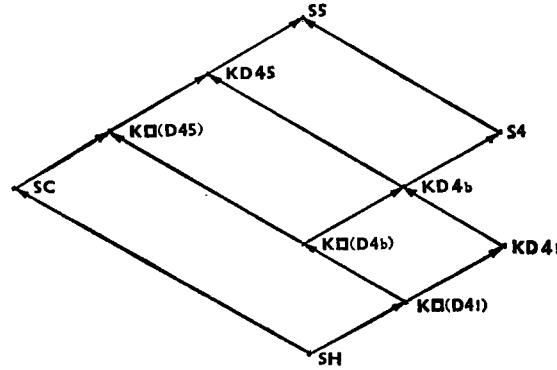
6.2 $K\Box(D4b)$ is the minimal normal modal system in which H can be embedded by t_2 .

Demonstration: 6.1 It is easily shown that H can be embedded in $KD4b$ by t_2 . That (b') is essential we have shown in the remarks after Theorem 1. That $KD4b$ satisfies the closure condition can be shown by demonstrating closure under RNc .

6.2 We first shown that $\vdash_{KD4b} t_2(a)$ iff $\vdash_{K\Box(D4b)} t_2(A)$. From left to right we make an induction on the complexity of $t_2(A)$, using the facts: $\vdash_{KD4b} B \wedge C$ iff $\vdash_{KD4b} B$ and $\vdash_{KD4b} C$; $\vdash_{KD4b} B \vee C$ iff either $\vdash_{KD4b} B$ or $\vdash_{KD4b} C$, where $B \vee C = t_2(D)$ for some D ; and if $\vdash_{KD4b} B$, then $\vdash_{K\Box(D4b)} \Box B$. The rest follows easily.

Q.E.D.

On the following diagram we display the interrelations of the modal systems mentioned on this paper (arrows indicate proper inclusion):



The results presented here can be extended to the corresponding predicate calculi*

*I would like to thank Mr. M. Božić for reading a draft of this paper and making some useful comments.

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Математички Институт
11000 Београд,