

RIGID BOOLEAN ALGEBRAS

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0. Introduction

Boolean algebra is called rigid if it has no one non-trivial automorphisms.

Already Birkhof [2] arose the question of existence of rigid Boolean algebra. Katetov [5] was first to construct rigid Boolean algebra of the power 2^ω . To answer de Groot's and McDowell's [4] question Lozier [6] constructed rigid Boolean algebra of the power 2^κ , for every cardinal $\kappa \geq \omega$. McKenzie and Monk [7] constructed rigid Boolean algebra of power λ , for every strong limit cardinal $\lambda > \omega$. de Groot [3] shows that there exist exactly 2^{2^ω} isomorphism types of rigid Boolean algebras of power 2^ω , while McKenzie and Monk [7] show that there exist exactly 2^{2^κ} isomorphism types of rigid Boolean algebras of power 2^κ , where κ is such a regular cardinal that $2^\lambda \leq \kappa$, for every $\lambda < \kappa$.

In this paper we shall show that for every cardinal $\kappa > \omega$ there exist exactly 2^κ isomorphism types of rigid Boolean algebras of power κ (there is no rigid Boolean algebra of power $1, 3, 4, 5, \dots, \omega$). This completes the discussion of the given problem (especially, by this we get the answers to problem 8 and 9 of [7]). Besides, we can positively answer on problem 6 of the same paper. At the end we give one answer on problem 7 of the same paper.

1. The Main theorems

Theorem 1.1. *For every cardinal $\kappa > \omega$ there exist exactly 2^κ isomorphism types of rigid Boolean algebras of power κ .*

Proof: Let κ be a regular uncountable cardinal and let it keep this property until we especially change its properties. Let $S \subseteq \kappa$ be an arbitrary stationary subset and let $f: S \rightarrow \kappa$ be an arbitrary mapping. Let $S_1 = \{\alpha \in S \mid f(\alpha) < \alpha\}$. If S_1 is stationary, then according to known Lemma about regressive function we know that there exist stationary $S_2 \subseteq S_1$ and $\beta < \kappa$, so that

$f''(S_2) = \{\beta\}$. Let us assume now that S_1 is not stationary. Then, the set $S_3 = S - S_1$ is stationary and for every $\alpha \in S_3$, $f(\alpha) \geq \alpha$ holds. Let

$$C = \{\alpha < \kappa \mid \lim(\alpha) \wedge (\beta \in S_3 \wedge \beta < \alpha \rightarrow f(\beta) < \alpha)\}.$$

It is clear that C is a closed and unbounded subset of κ and so $C \cap S_2$ is stationary. We can easily verify that $f(\alpha) < f(\beta)$, for every $\alpha, \beta \in C \cap S_2$, $\alpha < \beta$. So, for an arbitrary stationary $S \subseteq \kappa$ and arbitrary mapping $f: S \rightarrow \kappa$ there exist either stationary $S_1 \subseteq S$ and $\beta < \kappa$, so that $f''(S_1) = \{\beta\}$, or there exists stationary $S_2 \subseteq S$, such that $f \upharpoonright S_2$ is 1-1 mapping and $f(\alpha) \geq \alpha$ for every $\alpha \in S_2$. We shall use this fact later on.

Let $D = \{\alpha < \kappa \mid \lim(\alpha) \wedge cf(\alpha) = \omega\}$. For every $\alpha \in D$ we fix in advance a strictly increasing continuous function $f_\alpha: \omega + 1 \rightarrow \kappa$, such that $f_\alpha(0) = 0$ and $f_\alpha(\omega) = \alpha$.

For every subset $S \subseteq D$ by $E(S)$ we denote the set $\{f_\alpha \mid \alpha \in S\}$, which we always consider to be ordered by relation $<$ of lexicographical order. The following fact will be useful:

(*) If $(E(S'), <)$ is order-isomorphic to a subset of $(E(S''), <)$, then $S' - S''$ is non-stationary subset of κ (for the proof see [1, Theorem 5.3. (i)]).

Let $S \subseteq D$ be stationary, then omitting nonstationary subset of S we can get a $S' \subseteq S$ with the property that $\{\gamma \in S' \mid f_\alpha < f_\gamma < f_\beta\}$ is stationary, for every $\alpha, \beta \in S$, $f_\alpha < f_\beta$ (see [1, proof of the Corollary 5.6.]). This fact can be expressed by words that every non-trivial interval in $E(S)$ is stationary.

Let $S \subseteq D$ be stationary and let $E(S)$ have all intervals stationary. By $B(S)$ we denote Boolean algebra of all finite unions of intervals from $E(S)$ of the form $[x, y)$, $x, y \in E(S) \cup \{-\infty, +\infty\}$. So, $|B(S)| = |E(S)| = \kappa$.

Let $S', S'' \subseteq D$ be stationary and let $E(S')$ and $E(S'')$ have minimal elements and all non-trivial intervals stationary. Let us assume that there exists a strictly increasing mapping $H: B(S') \rightarrow B(S'')$. Let us then prove that $S = S' - S''$ is not stationary.

Let us assume the contrary — that $S \subseteq \kappa$ be stationary. For every $\alpha \in S$ we put $b_\alpha = [0, f_\alpha) \in B(S')$. So, for $f_\alpha < f_\beta$, $\alpha, \beta \in S$ we have that $H(b_\alpha) \subset H(b_\beta)$. Since $H(b_\alpha) \in B(S'')$, there exist unique decomposition

$$H(b_\alpha) = \cup \{[x_\alpha^i, y_\alpha^i) \mid i < n(\alpha)\},$$

where $n(\alpha) \in \omega$, $x_\alpha^i, y_\alpha^i \in E(S'') \cup \{+\infty\}$ and $x_\alpha^i < y_\alpha^i < x_\alpha^{i+1}$, for every $i < n(\alpha) - 1$. Since S is stationary, there exists stationary $T \subseteq S$ and $n < \omega$, so that $n(\alpha) = n$, for every $\alpha \in T$. Without loss of generality we can assume that $T = S$, namely, that $n(\alpha) = n$, for every $\alpha \in S$. Mapping $h: S \rightarrow \kappa$ is defined by $h(\alpha) = \beta$, if $f_\beta = x_\alpha^0$, $\alpha \in S$, $\beta \in S''$. According to the above there exist either stationary $S_1 \subseteq S$ and $\beta_0 < \kappa$ so that $h''(S_1) = \{\beta_0\}$ or there exists stationary $S_1' \subseteq S$ such that $h \upharpoonright S_1'$ is 1-1 mapping and $h(\alpha) > \alpha$ for every $\alpha \in S_1'$ (because of the fact that $\text{dom}(h) \cap \text{rang}(h) = \emptyset$). Let us show that the second case cannot happen. Let us assume the contrary, i.e., that there exists such $S_1' \subseteq S$. Let $\alpha, \beta \in S_1'$ and $f_\alpha < f_\beta$. Then $x_\alpha^0 \geq x_\beta^0$, since $H(b_\alpha) \subset H(b_\beta)$. Then $x_\alpha^0 > x_\beta^0$, i.e., $f_{h(\alpha)} > f_{h(\beta)}$, since $h \upharpoonright S_1'$ is 1-1 mapping. So, we showed that $(\{f_\alpha \mid \alpha \in S_1'\}, <)$ is inversely similar to the subset of $(E(S''), <)$. But, this cannot hold, for we can directly check

that $\{f_\alpha \mid \alpha \in S_1\}$ contains uncountable $<$ -well ordered subset and $E(S'')$ has no uncountable $>$ -well ordered subset. So, there exists stationary $S_1 \subseteq S$, such that $x_\alpha^0 = x_\beta^0 = x^0$, for every $\alpha, \beta \in S_1$.

Let us consider now a mapping $l: S \rightarrow \kappa$ defined by $l(\alpha) = \beta$, if $\alpha \in S_1$, $\beta \in S''$ and $f_\beta = y_\alpha^0$ and $l(\alpha) = 0$ if $y_\alpha^0 = +\infty$. We know that there exist, either stationary $S_2 \subseteq S_1$ and $\beta_1 < \kappa$, so that $l''(S_2) = \{\beta_1\}$ or there exists stationary $S_2' \subseteq S_1$, such that $l \upharpoonright S_2'$ is 1-1 mapping and $l(\alpha) > \alpha$ for every $\alpha \in S_2'$. Let us show that the second case cannot occur. Let us assume the contrary, i.e. that there exists such S_2' . Let $\alpha, \beta \in S_2'$ and $f_\alpha < f_\beta$. Then $y_\alpha^0 \leq y_\beta^0$, since $H(b_\alpha) \subset H(b_\beta)$. Then $y_\alpha^0 < y_\beta^0$, i.e. $f_{l(\alpha)} < f_{l(\beta)}$, since $l \upharpoonright S_2'$ is 1-1 mapping. So, we showed that $(\{f_\alpha \mid \alpha \in S_2'\}, <)$ and $(\{f_\beta \mid \beta \in l''(S_2')\}, <)$ are isomorphic. Since S_2' is stationary, then, according to (*) we conclude that $l''(S_2')$ is a stationary subset of κ . However, this is in contradiction with the fact that $l^{-1} \upharpoonright l''(S_2')$ is a 1-1 regressive mapping. So, there exists stationary $S_2 \subseteq S_1$ such that $y_\alpha^0 = y_{\alpha'}^0 = y^0$ for every $\alpha, \alpha' \in S_2$.

Repeating this procedure $2n$ times we get a stationary set $S_{2n} \subseteq S_{2n-1} \subseteq \dots \subseteq S$, such that $x_\alpha^i = x_{\alpha'}^i = x^i$ and $y_\alpha^i = y_{\alpha'}^i = y^i$ for every $i < n$ and $\alpha, \alpha' \in S_{2n}$. It means that $H(b_\alpha) = H(b_{\alpha'})$ for every $\alpha, \alpha' \in S_{2n}$, which is contradictory with $H: B(S') \rightarrow B(S'')$ is a strictly increasing mapping. This contradiction proves that $S' - S''$ is nonstationary.

Let $S \subseteq D$ be a stationary set such that every non-trivial interval in $E(S)$ is stationary. Let us prove that $B(S)$ is a rigid Boolean algebra. Let us assume the contrary, i.e., that there exists non-trivial automorphism $H: B(S) \rightarrow B(S)$. We can easily find $b, c \in B(S)$, $b \cap c = \emptyset$, such that $H \upharpoonright (B(S) \upharpoonright b)$ is isomorphism of Boolean algebras $B(S) \upharpoonright b$ and $B(S) \upharpoonright c$. Let $S' = \{\alpha \in S \mid f_\alpha \in b\}$, $S'' = \{\alpha \in S \mid f_\alpha \in c\}$, then by assumption S' and S'' are stationary sets and $S' \cap S'' = \emptyset$. Analogously to the above we should get a contradiction. So, $B(S)$ is a rigid Boolean algebra.

According to [9] there exists a family $T_\alpha \subseteq D$, $\alpha < \kappa$ of mutually disjoint stationary subsets. It is also known that there exists a family X_α , $\alpha < 2^\kappa$ of subsets of κ , such that $X_\alpha - X_\beta \neq \emptyset$ for every $\alpha, \beta < 2^\kappa$, $\alpha \neq \beta$. For every $\alpha < 2^\kappa$ we put $S_\alpha = \cup \{T_\beta \mid \beta \in X_\alpha\}$. The family S_α , $\alpha < 2^\kappa$ has a property that $S_\alpha - S_\beta$ is stationary for every $\alpha, \beta < 2^\kappa$, $\alpha \neq \beta$. Without depraving this property we can assume, omitting nonstationary subset of S_α , that every non-trivial interval in $E(S_\alpha)$ is stationary.

According to what was already proved we know that $B(S_\alpha)$, $\alpha < 2^\kappa$, is a family of power 2^κ of mutually nonisomorphic rigid Boolean algebras of power κ .

Let us assume now that $\kappa > \omega$ is a singular cardinal, i.e., that there exists strictly increasing sequence κ_α , $\alpha < \lambda = cf(\kappa)$ of successors with supremum equal to κ . Let $S_\alpha \subseteq D(\kappa_\alpha)$ be stationary subsets, such that $E(S_\alpha)$, for every $\alpha < \lambda$, has all non-trivial intervals stationary. Let us assume that $E(S_\alpha)$, $\alpha < \lambda$ are disjoint and that they have minimal elements. Let $E = \cup \{E(S_\alpha) \mid \alpha < \lambda\}$. Let $x, y \in E$, then we put $x < y$ if $x \in E(S_\alpha)$, $y \in E(S_\beta)$ and $\alpha < \beta$ or $x, y \in E(S_\alpha)$ and $x < y$, where $<$ is the lexicographical order of the set $E(S_\alpha)$. We denote

by $B(E)$ Boolean algebra of all finite unions of intervals from $(E, <)$ of the form $[x, y)$, $x, y \in E \cup \{-\infty, +\infty\}$. So $|B(E)| = |E| = \kappa$.

Let $B(E)$ and $B(E')$ be two so obtained Boolean algebras and let $H: B(E) \rightarrow B(E')$ be isomorphism. Since $E(S_\alpha) \in B(E)$, H maps $B(E) \upharpoonright E(S_\alpha) \cong B(S_\alpha)$ onto $B(E') \upharpoonright H(E(S_\alpha))$. Since κ_α , $\alpha < \lambda$ is a strictly increasing sequence, according to the fact that $|B(E) \upharpoonright E(S_\alpha)| = \kappa_\alpha$ it implies that $H(E(S_\alpha)) = E(S'_\alpha) \in B(E')$, for every $\alpha < \lambda$. So isomorphism of Boolean algebras $B(S_\alpha)$ and $B(S'_\alpha)$ is induced by H for every $\alpha < \lambda$. By similar arguments we conclude that every algebra of the form $B(E)$ is rigid. This, with already shown, proves that there exists a family of power $\prod \{2^{\kappa_\alpha} \mid \alpha < \lambda\} = 2^\kappa$ of mutually nonisomorphic rigid Boolean algebras of power κ . This finishes the proof of the theorem.

Stone space of Boolean algebra of the form $B(S)$ (resp. $B(E)$) is ordered and is obtained from Dedekind's completion of linearly ordered set $(E(S), <)$ (resp. $(E, <)$) by doubling every nonend-point from $E(S)$ (resp. E).

McKenzie and Monk [7, Problem 6] put the following question: Is there an infinite BA with no non-trivial one-one endomorphism?

The answer on this question gives the following theorem which we already proved.

Theorem 1.2. *Let κ be a regular uncountable cardinal. Then there exists a family B_α , $\alpha < 2^\kappa$, of Boolean algebras, each of power κ , so that $B_\alpha \neq B_\beta$, for all $\alpha < \beta < 2^\kappa$, and every strictly increasing mapping $H: B_\alpha \rightarrow B_\beta$, $\alpha, \beta < 2^\kappa$ must be equal to the identical mapping of the Boolean algebra B_α .*

It is now natural to put a question whether theorem 1.2. also holds for every other cardinal $\kappa > \omega$. We can answer positively to this question under assumption that $V=L$. More precisely, of $V=L$ we only use the fact that for every successor $\kappa > \omega$ there exists stationary $S \subseteq \kappa$ such that $S \cap \alpha$ is nonstationary subset of α for every $\alpha < \kappa$ and $cf(\alpha) = \omega$ for every $\alpha \in S$. The proof of this can be settled applying the same procedure as in proof of the theorem 1.1. and using the fact that every subset of $E(S)$ of power $< \kappa$ is equal to the union of countable many of its $<$ -well ordered subsets (see [1, Lemma 7.1]).

McKenzie and Monk [7, Problem 7] also state the following question: For which infinite cardinals κ do there exists BA's of power κ with no non-trivial onto endomorphisms?

The authors propose this problem in this form because Rieger [8] constructed Boolean algebra without non-trivial onto endomorphisms, but its power is rather large. The following theorem gives, completely enough, the answer to this question.

Theorem 1.3. *Let κ be a regular uncountable cardinal. Then there exists a family B_α , $\alpha < 2^\kappa$, of Boolean algebras, each of power κ , so that $B_\alpha \neq B_\beta$, for all $\alpha < \beta < 2^\kappa$, and every onto homomorphism $H: B_\alpha \rightarrow B_\beta$, $\alpha < \beta < 2^\kappa$, must be equal to the identical mapping of the Boolean algebra B_α .*

Proof: Let $\kappa = cf(\kappa) > \omega$, and $D = \{\alpha < \kappa \mid cf(\alpha) = \omega\}$. Let $S \subseteq D$ be stationary subset that every non-trivial interval in $E(S)$ is stationary (see the proof of theorem 1.1.). Let us prove that Boolean algebra $B(S)$ defined above has no one non-trivial onto endomorphism. Let $H: B(S) \rightarrow B(S)$ be

an arbitrary onto endomorphism. Let $b_\alpha = (\cdot, f_\alpha) \in B(S)$, for $\alpha \in S$. It is clear that $\{b_\alpha \mid \alpha \in S\} \cup \{\emptyset, E(S)\}$ is a base of the Boolean algebra $B(S)$. If H is one to one mapping on $\{b_\alpha \mid \alpha \in S\}$ then H is automorphism of Boolean algebra $B(S)$ hence, according to the proof of theorem 1.1 $H = id$. So, we can suppose that there exist $\alpha_0, \beta_0 \in S, \alpha_0 \neq \beta_0$, so that $H(b_{\alpha_0}) = H(b_{\beta_0})$. For example, let $f_{\alpha_0} < f_{\beta_0}$, then by assumption $S' = \{\alpha \in S \mid f_{\alpha_0} < f_\alpha < f_{\beta_0}\}$ is stationary and $H(b_\alpha) = H(b_{\alpha_0}) = H(b_{\beta_0})$, for every $\alpha \in S'$. Now, let \sim be an equivalence relation on $E(S)$ defined by: $f_\alpha \sim f_\beta$ iff $H(b_\alpha) = H(b_\beta)$, $\alpha, \beta \in S$. Equivalence classes of \sim are, clearly, convex subsets of $E(S)$. Let $\{f_\alpha \mid \alpha \in T\}$, $T \subseteq S$ be a set of representatives of equivalence classes and let f_{α_0} be the representative for the class $[f_{\alpha_0}] (= [f_{\beta_0}])$, i. e. $\alpha_0 \in T$. Hence, $S \cap T = \emptyset$. Besides, we can immediately verify that $\{H(b_\alpha) \mid \alpha \in T\}$ is a monotonous base of algebra $B(S)$ and that $H(b_\alpha) \neq H(b_\beta)$ for $\alpha, \beta \in T, \alpha \neq \beta$. Let $\alpha \in S'$ be an arbitrary ordinal. Then there exists representation

$$b_\alpha = \cup \{ -H(x_\alpha^i) \cap H(y_\alpha^i) \mid i < n(\alpha) \} \tag{**}$$

where $n(\alpha) \in \omega, x_\alpha^i, y_\alpha^i \in \{b_\gamma \mid \gamma \in T\} \cup \{\emptyset, E(S)\}, i < n(\alpha)$ and $H(x_\alpha^i) \subsetneq H(y_\alpha^i) \subsetneq H(x_\alpha^{i+1})$, for $i < n(\alpha) - 1$. Since S' is stationary there exists stationary $U \subseteq S'$ and $n \in \omega$, so that $n(\alpha) = n$, for every $\alpha \in U$. Let $\alpha, \beta \in U$ and $f_\alpha < f_\beta$. Then, according to (**), we conclude that $H(x_\alpha^0) \supseteq H(x_\beta^0)$ must hold.

Let us define $h: U \rightarrow \kappa$ by $h(\alpha) = \beta, \alpha \in U, \beta \in T$ if $b_\beta = x_\alpha^0$ and $h(\alpha) = 0$ if $x_\alpha^0 = \emptyset$ (let us remember that $b_\beta = (\cdot, f_\beta) \in B(S)$). Using previous procedure we know that there exists either stationary $U_1 \subseteq U$ and $\beta_0 < \kappa$ so that $h''(U_1) = \{\beta_0\}$ or stationary $U_1' \subseteq U$ so that $h \upharpoonright U_1'$ is one to one mapping (and $h(\alpha) > \alpha, \alpha \in U_1'$). Let us prove that the second case is impossible. Let us suppose the contrary, i. e. that there exists a stationary $U_1' \subseteq U$ with properties mentioned above. Let $\alpha, \beta \in U_1'$ and $f_\alpha < f_\beta$. Then $f_{h(\beta)} < f_{h(\alpha)}$, since $b_{h(\alpha)} \subseteq b_{h(\beta)}$ and $h(\alpha) \neq h(\beta)$. It means that $f_\alpha \mapsto f_{h(\alpha)}, \alpha \in U_1'$, is inverse isomorphism of linearly ordered sets $(\{f_\alpha \mid \alpha \in U_1'\}, <)$ and $(\{f_{h(\alpha)} \mid \alpha \in U_1'\}, <)$ what is impossible because of the explanation given in the proof of theorem 1.1.

So, there exists a stationary $U_1 \subseteq U$ and $\beta_0 < \kappa$ so that $h''(U_1) = \{\beta_0\}$ which, by definition, means that $H(x_\alpha^0) = H(x_\beta^0)$, for every $\alpha, \beta \in U_1$. Let $\alpha, \beta \in U_1$ and $f_\alpha < f_\beta$. Then $H(y_\alpha^0) \subseteq H(y_\beta^0)$, according to the property of the set U_1 and (**). Mapping $l: U_1 \rightarrow \kappa$ is defined by $l(\alpha) = \beta, \alpha \in U_1, \beta \in T$ if $b_\beta = y_\alpha^0$ or $l(\alpha) = 0$ if $y_\alpha^0 = E(S)$. So, there exists either stationary $U_2 \subseteq U_1$ and $\beta_1 < \kappa$ so that $l''(U_2) = \{\beta_1\}$ or stationary $U_1' \subseteq U_1$ so that $l \upharpoonright U_1'$ is one to one mapping and $l(\alpha) > \alpha$ for $\alpha \in U_1'$. Let us prove that the second case is impossible. Let us assume the contrary, i. e., that $U_1' \subseteq U_1$ has mentioned properties. Let $\alpha, \beta \in U_1'$ and $f_\alpha < f_\beta$. Then $f_{l(\alpha)} < f_{l(\beta)}$, since $b_{l(\alpha)} \subseteq b_{l(\beta)}$ and $l(\alpha) \neq l(\beta)$. This means that $(\{f_\alpha \mid \alpha \in U_1'\}, <)$ is similar to the $(\{f_\alpha \mid \alpha \in l''(U_1')\}, <)$. Since U_1' is stationary, according to (*), we conclude that $l''(U_1')$ is stationary what is in contradiction with the fact that $l^{-1} \upharpoonright l''(U_1')$ is one to one regressive mapping. This contradiction proves that there exists stationary $U_2 \subseteq U_1$ and $\beta_1 < \kappa$ so that $l''(U_2) = \{\beta_1\}$. This means that $H(y_\alpha^0) = H(y_\beta^0)$, for every $\alpha, \beta \in U_2$.

Repeating this procedure $2n$ times we obtain stationary set $U_{2n} \subseteq U_{2n-1} \subseteq \dots \subseteq U_1 \subseteq S'$ such that $H(x_\alpha^i) = H(x_\beta^i)$ and $H(y_\alpha^i) = H(y_\beta^i)$ for every $i < n$ and

every $\alpha, \beta \in U_{2^n}$. According to (**) this means that $b_\alpha = b_\beta$ for every $\alpha, \beta \in U_{2^n}$ which is impossible. This contradiction finally proves that $H = id$.

Analogously, we can prove that there is no onto homomorphism $H: B(S) \rightarrow B(S')$ if $S' - S$ is stationary. This shows that the family $B(S_\alpha)$, $\alpha < 2^\kappa$, constructed in the proof of theorem 1.1 satisfies the condition of theorem 1.3 what finishes the proof.

The natural question arises again: Does theorem 1.3 hold for every cardinal $\kappa > \omega$. We can positively answer to this question with assumption that for every successor $\kappa > \omega_1$ there exists stationary set $S \subseteq \{\alpha < \kappa \mid cf(\alpha) = \omega\}$, such that $S \cap \alpha$ is a nonstationary subset of α for every $\alpha < \kappa$. This can be proved applying arguments similar to the above and according to the remark after theorem 1.2.

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