

A REMARK ON FILTER REGULARITY

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In this paper we give two statements on filter regularity.

Definition 1. 1. For a filter D over the set I we say that it is (α, β) -regular if and only if there is an $E \subset D$ such that:

1. $|E| = \beta$ ($|E|$ denotes the cardinality of E)
2. $X \subset E$ and $|X| \geq \alpha$ implies $\bigcap X = \emptyset$.

In this case we say that E is an (α, β) -regular family in D . If D is (ω, β) -regular, we say β -regular, if $|I| = \beta$ and D is β -regular we say that D is regular (this definition is from [2]).

The first statement is related to the following result of Magidor [3].

1.2. If there is an ω -regular, non-uniform ultrafilter on ω_1 which is not ω_1 -regular then

$$\forall \alpha < \omega \quad 2^{\aleph_\alpha} = \aleph_{\alpha+1} \Rightarrow 2^{\aleph_{\omega_1}} = \aleph_{\omega_1+1}$$

(we think that in the above, $\forall \alpha < \omega_1$ should stay instead of $\forall \alpha < \omega$).

Proposition 1. 3. *Given any two cardinals $\alpha, \beta (\alpha \leq \beta)$ there is an ultrafilter U over β which is only α -regular.*

Proof. According to proposition 4.3.5. of [1] there is an α -regular ultrafilter A over α . We may suppose $\alpha < \beta$. We have $\alpha \subset \beta$; hence $A \subset S(\alpha) \subset S(\beta)$. ($S(\alpha)$ is the power set of α). Therefore A can be extended to an ultrafilter over β . Suppose that U is such an ultrafilter. U is α -regular. Suppose that U is γ -regular for some $\gamma > \alpha$, and E' is a γ -regular family in U . Let f be the mapping given by $f: E' \rightarrow U$, $f(e') = e' \cap \alpha$; $f(e') \in U$ for all $e' \in E'$. Let $E'' = f(E')$. Then $|E''| = \gamma$, for otherwise there would be some $X' \subset E'$ such that $|X'| \geq \omega$ and $|f(X')| = 1$. This means that there is a $u \in U$ such that $u = e' \cap \alpha = f(e')$ for all $e' \in X'$. Hence, $\bigcap X' \supset u \neq \emptyset$ contradicting the regularity of E' . Therefore $|E''| = \gamma$. Let $g: \alpha \rightarrow S(E'')$ such that $g(j) = \{e'' \mid e'' \in E'' \ \& \ j \in e''\}$; for all $j \in \alpha$ we have $|g(j)| < \omega$; $\bigcup_{j \in \alpha} g(j) = E''$. It follows that $|E''| \leq \sum_{j \in \alpha} |g(j)| = \alpha$, contradicting $|E''| = \gamma > \alpha$. Therefore U is not γ -regular

Corollary 1. 4, Replacing α, β in the above proposition by ω, ω_1 , we obtain a non uniform ω -regular ultrafilter over ω_1 which is not ω_1 -regular; thus, one can exclude the filter existence hypothesis from 1.2. obtaining,

$$\forall \alpha < \omega, 2^{\aleph_\alpha} = \aleph_{\alpha+1} \Rightarrow 2^{\aleph_{\omega_1}} = \aleph_{\omega_1+1}.$$

To list the second statement we need the definition of product of ultrafilters.

Definition 1. 5. (Following [1])

Let D, E be ultrafilters over sets I, J , define $D \times E$ to be the set of all $Y \in S(I \times J)$ such that $\{j \in J : \{i \in I : \langle i, j \rangle \in Y\} \in D\} \in E$.

Proposition 1. 6.

Let D, E be uniform ultrafilters over k, k^+ then $D \times E$ and $E \times D$ are (k, k^+) -regular.

Proof. Let $\beta \in k^+$; then $|\beta| \leq k$ and β can be written as a sequence of length $|\beta|$:

$$1. \beta = \{\xi_0^\beta, \dots, \xi_i^\beta, \dots \mid v < |\beta| \leq k\}.$$

Let

$$2. \sigma(v, \beta) = \{\xi_i^\beta \mid i < v < |\beta| \leq k\};$$

define $e_\xi = \{\langle v, \beta \rangle \mid \xi \in \sigma(v, \beta)\}$ for all $\xi \in k^+$, and

$$\mathcal{G} = \{e_\xi \mid \xi < k^+\}.$$

We shall prove that \mathcal{G} is a (k, k^+) -regular family in $D \times E$. Let $e_\xi \in \mathcal{G}$ and let $\beta \geq \max(\xi, k) + 1$. Then $\xi \in \beta$, so by 1. and 2. it follows that there is a $v < k$ such that $\xi \in \sigma(v, \beta)$. By 2., for all $v' \in [v, k)$, we have $\xi \in \sigma(v', \beta)$ and hence $\langle v', \beta \rangle \in e_\xi$. Thus, for all $\beta \in [\max(\xi, k) + 1, k^+)$ there is a $v(\beta)$ such that $\{\langle v, \beta \rangle \mid v \in [v(\beta), k)\} = \sigma_\beta^\xi \subset e_\xi$. Hence, $e_\xi \supset \bigcup_{\beta \in [\max(\xi, k) + 1, k^+)} \sigma_\beta^\xi \subset D \times E$. It follows that $\mathcal{G} \subset D \times E$. Let $\xi \neq \xi'$. We shall prove that $e_\xi \neq e_{\xi'}$. This implies

$$|\mathcal{G}| = k^+.$$

Let $\beta = \max(\xi, \xi') + 1$; then $\xi, \xi' \in \beta$, and let following 1., $\xi = \xi_{v_\xi}^\beta, \xi' = \xi_{v_{\xi'}}^\beta$. Suppose $v_\xi < v_{\xi'}$; then we have $\xi \in \sigma(v_{\xi'}, \beta)$ and $\xi' \notin \sigma(v_{\xi'}, \beta)$. This implies $\langle v_{\xi'}, \beta \rangle \in e_\xi$ and $\langle v_{\xi'}, \beta \rangle \notin e_{\xi'}$. Hence $e_\xi \supset e_{\xi'}$ and $|\mathcal{G}| = k^+$. Now suppose $X \subset \mathcal{G}$, $|X| \geq k$ and $\bigcap X \neq \emptyset$. Then there is a pair $\langle v, \beta \rangle \in k \times k^+$ such that $\langle v, \beta \rangle \in \bigcap X$; since

$$X = \{e_\xi \mid \xi \in X_0 \subset k^+ \ \& \ |X_0| \geq k\},$$

we have

$$\langle v, \beta \rangle \in \bigcap_{\xi \in X_0} e_\xi \text{ or, equivalently,}$$

for all $\xi \in X_0, \xi \in \sigma(v, \beta)$; hence

$$|X_0| \leq |\sigma(v, \beta)| = |v| \leq v < |\beta| \leq k,$$

contradicting

$$|X_0| \geq k; \text{ therefore } \bigcap X = \emptyset.$$

REFERENCES

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- [2] Comfort, Negrepontis, *The theory of ultrafilters*, Springer — Verlag 1974.
- [3] J. Silver, *On the singular cardinals problem*, Proc. of Intern. congress of mathematicians, Vancouver 1974. pp 265—268.