

ON THE RANGE OF A BOOLEAN TRANSFORMATION

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Abstract. A Boolean transformation $F: \mathbf{D} \rightarrow \mathbf{B}^m$ is defined by a system $F = (f_1, \dots, f_m)$ of Boolean functions $f_i: \mathbf{B}^n \rightarrow \mathbf{B}$ ($i = 1, \dots, m$) and a Boolean domain $\mathbf{D} \subseteq \mathbf{B}^n$ (i.e., \mathbf{D} is characterized by a Boolean equation $d(X) = 1$). In this paper we determine the range $F(\mathbf{D})$ of a Boolean transformation and study a few related problems. Previous results of Schröder, Whitehead, Löwenheim, Eggert and the author are obtained as particular cases.

§ 0. Statement of the problem and prerequisites

Let $(\mathbf{B}, \cup, \cdot, ', 0, 1)$ be an arbitrary Boolean algebra. A *Boolean function* of p variables is a mapping $f: \mathbf{B}^p \rightarrow \mathbf{B}$ which can be obtained from variables and constants by superpositions of the basic operations $\cup, \cdot, '$. A *Boolean equation* is obtained by equating two Boolean functions and in particular by equating a Boolean function to 0 or to 1; it is well known that any (system of) Boolean equation(s) can be brought to this latter form. The set of solutions to a Boolean equation will be called a *Boolean domain*. Let further n and m be two arbitrary but fixed positive integers. A *Boolean transformation* is a mapping $F: \mathbf{D} \rightarrow \mathbf{B}^m$, where $\mathbf{D} \subseteq \mathbf{B}^n$ is a Boolean domain defined by a Boolean equation $d(X) = 1$, while F is of the form $F = (f_1, \dots, f_m)$, where each f_i is a Boolean function $f: \mathbf{B}^n \rightarrow \mathbf{B}$ ($i = 1, \dots, m$); in other words, $F(X)$ is defined for every $X \in \mathbf{D}$ by $F(X) = (f_1(X), \dots, f_m(X))$.

In this paper we determine the range $F(\mathbf{D})$ of a Boolean transformation F (theorem 1), thus generalizing the theorem obtained by Whitehead for $m = 1$. As a corollary we deduce a necessary and sufficient condition for F to be surjective, previously obtained in [5] and generalizing a theorem of Whitehead and Löwenheim. A result of Eggert is then obtained as another corollary. Theorem 3 establishes a necessary and sufficient condition in order that $F(\mathbf{D})$ be a given Boolean domain of \mathbf{B}^m . Theorems 3 and 4 give necessary and sufficient conditions for F to be a constant and a given constant, respectively. In order to facilitate the understanding of all these results, they are transcribed in § 2 for $m = 1, 2$.

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Before proceeding to the statement and proof of the above announced results, we still need a few prerequisites.

Notations like $X=(x_1, \dots, x_p)$, $Y=(y_1, \dots, y_p)$, ... denote vectors with components in \mathbf{B} , while $A=(\alpha_1, \dots, \alpha_p)$, $B=(\beta_1, \dots, \beta_p)$, ... stand for *elementary vectors*, that is, vectors with components in the subset $\{0, 1\} \subseteq \mathbf{B}$; the number p of components will result from the context. As is well known, Boolean functions $f: \mathbf{B}^p \rightarrow \mathbf{B}$ are characterized by the property that they can be written in *canonical disjunctive form*

$$f(X) = \bigcup_A f(A) X^A,$$

where \bigcup_A means that $A=(\alpha_1, \dots, \alpha_p)$ runs over the 2^p elementary vectors, $X^A = x_1^{\alpha_1} \dots x_p^{\alpha_p}$ and $x^0 = x'$, $x^1 = x$. Quite naturally, notations like

$$F^C(X) = f_1^{\gamma_1}(X) \dots f_p^{\gamma_p}(X), \quad F^C(A) = f_1^{\gamma_1}(A) \dots f_p^{\gamma_p}(A)$$

will also be used, where $C=(\gamma_1, \dots, \gamma_p)$, $f^\gamma(X)$ stands for $[f(X)]^\gamma$, etc. Recall that computation with canonical disjunctive forms is facilitated by the rules

$$\bigcup_A X^A = 1,$$

$$X^A X^B = X^A \text{ if } A=B, \quad X^A X^B = 0 \text{ if } A \neq B,$$

$$(\bigcup_A b_A X^A) \cup (\bigcup_A c_A X^A) = \bigcup_A (b_A \cup c_A) X^A,$$

$$(\bigcup_A b_A X^A) (\bigcup_A c_A X^A) = \bigcup_A b_A c_A X^A,$$

$$(\bigcup_A b_A X^A)' = \bigcup_A b_A' X^A;$$

more generally, these rules also apply to *orthonormal systems*, i.e., families of elements $\{a_\lambda\}_{\lambda \in \Lambda}$ such that $\bigcup_{\lambda \in \Lambda} a_\lambda = 1$, $a_\lambda a_\mu = 0$ for $\lambda, \mu \in \Lambda$ and $\lambda \neq \mu$.

Computation is also facilitated by the use of *symmetric difference* $x+y = xy' \cup x'y$, mostly due to the property $x+y=0 \Leftrightarrow x=y$.

The Boolean equation $f(X)=0$ is consistent if and only if $\prod_A f(A)=0$. Thus in particular the equation in one unknown $ax \cup bx' = 0$ is consistent if and only if $ab=0$. When this is the case, the set of solutions is the *interval* $[b, a'] = \{x \in \mathbf{B} \mid b \leq x \leq a'\}$.

The reader is urged to transcribe the above results in *dual* form, interchanging \cup with \cdot , 0 with 1, and \leq with \geq . For more details and many other properties of Boolean functions and equations, see [5]

1. The range of a Boolean transformation

Throughout this section n and m are two arbitrary but fixed positive integers. Let the letter X (the letters A, B) denote (elementary) vectors from \mathbf{B}^n , while the letter Y (the letters C, D) will stand for (elementary) vectors from \mathbf{B}^m . Let further $\mathbf{D} \subseteq \mathbf{B}^n$ denote the Boolean domain defined by a Boolean equation $d(X)=1$ and

$$(1) \quad F: \mathbf{D} \rightarrow \mathbf{B}^m$$

the Boolean transformation defined by the restriction to \mathbf{D} of a vector $F = (f_1, \dots, f_m)$ of Boolean functions $f_i: \mathbf{B}^n \rightarrow \mathbf{B}$ ($i = 1, \dots, m$).

Theorem 1. *The range $F(\mathbf{D})$ of the Boolean transformation (1) coincides with the set of solutions Y to the Boolean equation*

$$(2) \quad \cup_C [\cup_A d(A) F^C(A)] Y^C = 1.$$

Comment. For $m := 1$ we have $F = f: \mathbf{B}^n \rightarrow \mathbf{B}$, equation (2) reduces to

$$[\cup_A d(A) f(A)] y \cup [\cup_A d(A) f'(A)] y' = 1$$

and its set of solutions is the interval

$$(3) \quad [\prod_A [d'(A) \cup f(A)], \cup_A d(A) f(A)].$$

discovered by Whitehead [7] (see also [5], theorem 2.5).

Proof. The condition $Y \in F(\mathbf{D})$ can be written successively in the following equivalent forms:

$$(\exists X) d(X) = 1 \ \& \ Y = F(X),$$

$$(\exists X) \cup_A d(A) X^A = 1 \ \& \ \prod_{i:=1}^m [y_i f_i(X) \cup y'_i f'_i(X)] = 1,$$

$$(\exists X) \cup_A \{d(A) \prod_{i:=1}^m [y_i f_i(A) \cup y'_i f'_i(A)]\} X^A = 1,$$

$$\cup_A \{d(A) \prod_{i:=1}^m [y_i f_i(A) \cup y'_i f'_i(A)]\} = 1,$$

$$\cup_C [\cup_A \{d(A) \prod_{i:=1}^m [\gamma_i f_i(A) \cup \gamma'_i f'_i(A)]\}] Y^C = 1$$

and the latter condition coincides with (2) because

$$\prod_{i:=1}^m [\gamma_i f_i(A) \cup \gamma'_i f'_i(A)] = \prod_{i:=1}^m f_i^{\gamma_i}(A) = F^C(A).$$

Corollary 1. *The Boolean transformation (1) is surjective if and only if*

$$\prod_C \cup_A d(A) F^C(A) = 1.$$

Comment. This is theorem 8.2 in [5].

Proof. The equation (2) is identically satisfied if and only if all the coefficients equal 1, i.e.,

$$\cup_A d(A) F^C(A) = 1$$

for every C .

Corollary 2. *The range $F(\mathbf{B}^n)$ of the Boolean transformation $F: \mathbf{B}^n \rightarrow \mathbf{B}^m$ coincides with the set of solutions Y to the Boolean equation*

$$(5) \quad \cup_C [\cup_A F^C(A)] Y^C = 1.$$

Comment. For $m := 1$ we have $F = f: \mathbf{B}^n \rightarrow \mathbf{B}$, equation (5) reduces to

$$[\cup_A f(A)] y \cup [\cup_A f'(A)] y' = 1$$

and its set of solutions is the range

$$(6) \quad [\prod_A f(A), \cup_A f(A)],$$

a classical result due to Schröder [6] (see also [5], theorem 2.4).

Proof. Take $d \equiv 1$ in theorem 1.

Corollary 3. *The Boolean transformation $F: \mathbf{B}^n \rightarrow \mathbf{B}^m$ is surjective if and only if*

$$(7) \quad \prod_C \cup_A F^C(A) = 1.$$

Comment. This result was first discovered by Whitehead [8] and Löwenheim [4] (see also [5], theorem 8.3).

Proof. Take $d \equiv 1$ in corollary 1.

As another application of theorem 1, let us give a shorter proof of the following.

Theorem (Eggart [2]). *Given a Boolean function $f: \mathbf{B}^n \rightarrow \mathbf{B}$ and two elements $a, b \in \mathbf{B}$ with $a \leq b$, the range of the Boolean transformation*

$$(8) \quad f: [a, b]^n \rightarrow \mathbf{B}$$

is

$$(9) \quad [\prod_{\Xi \in \{a, b\}} n f(\Xi), \cup_{\Xi \in \{a, b\}} n f(\Xi)].$$

Proof. The range $f([a, b]^n)$ of the Boolean transformation (8) is the interval (3) given in theorem 1 for $m: = 1$, where $d(X) = 1$ is the characteristic equation of the Boolean domain $[a, b]^n$, that is,

$$d(X) = \prod_{j: = 1}^n (bx_j \cup a'x_j).$$

Therefore, setting $O = (0, \dots, 0)$, $I = (1, \dots, 1)$ and noticing that $a \leq b$ implies $a' \cup b = 1$, it follows that the range (3) reduces to

$$(10) \quad \begin{aligned} & [\prod_A [\cup_{j: = 1}^n (b' \alpha_j \cup a \alpha'_j) \cup f(A)], \\ & \cup_A [\prod_{j: = 1}^n (b \alpha_j \cup a' \alpha'_j)] f(A)] = \\ & = [a \cup f(O)] [b' \cup f(I)] \prod_{A \neq O, I} [a \cup b' \cup f(A)], \\ & a' f(O) \cup b f(I) \cup \cup_{A \neq O, I} a' b f(A), \end{aligned}$$

hence it suffices to prove that (9) coincides with (10). But

$$\begin{aligned} a \cup f(O) &= f(O) \cup a \cdot b \cdot (a \cup b) = f(O) \cup \prod_{\Xi} (\xi_1 \cup \dots \cup \xi_n), \\ b' \cup f(I) &= f(I) \cup a' \cdot b' \cdot (a' \cup b') = f(I) \cup \prod_{\Xi} (\xi_1' \cup \dots \cup \xi_n'), \\ f(A) \cup a \cup b' &= f(A) \cup 1 \cdot (a \cup b') \cdot (a' \cup b) = \\ &= f(A) \cup \prod_{\Xi} (\xi_1^{\alpha_1'} \cup \dots \cup \xi_n^{\alpha_n'}) \quad (\forall A \neq O, I), \end{aligned}$$

$$\begin{aligned} a' f(O) &= f(O) (a' \cup b' \cup a' b') = f(O) \cup_{\Xi} \xi_1' \dots \xi_n', \\ b f(I) &= f(I) (a \cup b \cup ab) = f(I) \cup_{\Xi} \xi_1 \dots \xi_n, \\ a' b f(A) &= f(A) (0 \cup ab' \cup a' b) = f(A) \cup_A \Xi^A \quad (\forall A \neq O, I), \end{aligned}$$

so that (10) can be written

$$\begin{aligned} &[\Pi_A [f(A) \cup \Pi_{\Xi} (\xi_1^{\alpha_1'} \cup \dots \cup \xi_n^{\alpha_n'})], \\ \cup_A f(A) \cup_{\Xi} \Xi^A &= [\Pi_A \Pi_{\Xi} [f(A) \cup \xi_1^{\alpha_1'} \cup \dots \cup \xi_n^{\alpha_n'}], \\ &\cup_A \cup_{\Xi} f(A) \Xi^A], \end{aligned}$$

which coincides with (9).

Theorem 2. *The Boolean transformation (1) has the range $G \subseteq B^m$ defined by a Boolean equation $g(Y) = 1$ if and only if*

$$(11) \quad \cup_C [g(C) + \cup_A d(A) F^C(A)] = 0.$$

Proof. The equation (2) coincides with $g(Y) = 1$ if and only if

$$(12) \quad \cup_A d(A) F^C(A) = g(C) \quad (\forall C \in \{0, 1\}^m),$$

which coincides with (11).

Corollary. *The Boolean transformation $F: B^n \rightarrow B^m$ has the range $G \subseteq B^m$ defined by a Boolean equation $g(Y) = 1$ if and only if*

$$(13) \quad \cup_A F^C(A) = g(C) \quad (\forall C \in \{0, 1\}^m).$$

Proof. Take $d \equiv 1$ in (12).

Theorem 3. *The Boolean transformation (1) is a constant mapping if and only if*

$$(14) \quad \cup_C \{ \Pi_A [d'(A) \cup F^C(A)] \} \{ \cup_A d(A) F^C(A) \} = 1.$$

Proof. Using the notations from theorem 2, F is constant if and only if G is a singleton, that is, if and only if the equation $g(Y) = 1$ has a unique solution. According to a theorem of Whitehead [8] (see also [5], theorem 6.7), the latter condition holds if and only if $\{g(C)\}_C$ is an orthonormal system. Therefore F is constant if and only if equation (11) has an orthonormal solution with respect to the 2^m unknowns $g(C)$, indexed by $C \in \{0, 1\}^m$.

Setting $h(\{g(C)\}_C)$ for the left-hand side of (11), the necessary and sufficient condition given by Johnson [3] (see also [5], theorem 4.7) for the existence of an orthonormal solution to (11) becomes

$$(15) \quad \Pi_D h(\Delta_D) = 0,$$

where $\Delta_D \in \{0, 1\}^m$ is the vector for which the coordinate of rank D is 1, the other coordinates being 0. Now (15) can be written successively in the following equivalent forms:

$$\begin{aligned} \Pi_D \{ \cup_{C \neq D} \cup_A d(A) F^C(A) \cup [\cup_A d(A) F^D(A)]' \} &= 0, \\ \Pi_D \{ \cup_A d(A) [F^D(A)]' \cup [\cup_A d(A) F^D(A)]' \} &= 0, \end{aligned}$$

the latter condition coincides with (14) because

$$\cup_{C \neq D} F^C(A) = [F^D(A)]'$$

in view of the orthonormality of the system $\{F^C(A)\}_C$ (cf. Löwenheim; see also [5], corollary 1 of proposition 4.3).

Corollary. The Boolean transformation $F: \mathbf{B}^n \rightarrow \mathbf{B}^m$ is a constant if and only if

$$(16) \quad \cup_C \prod_A F^C(A) = 1.$$

Proof. Take $d \equiv 1$ in theorem 3.

Theorem 4. The Boolean transformation (1) takes the constant value $G \in \mathbf{B}^m$ if and only if

$$(17) \quad \cup_C [G^C + \cup_A d(A) F^C(A)] = 0.$$

Proof. Using the notations from theorem 2, F takes the constant value G if and only if \mathbf{G} reduces to the singleton $\{G\}$, that is, if and only if the equation $g(Y) = 1$ has the unique solution $Y = G$. According to a theorem of Bernstein [1] (see also [5], theorem 6.6), the latter condition holds if and only if $g(C) = G^C$, so that equation (11) becomes (17).

Corollary. The Boolean transformation $F: \mathbf{B}^n \rightarrow \mathbf{B}^m$ takes the constant value $G \in \mathbf{B}^m$ if and only if

$$(18) \quad \cup_A F^C(A) = G^C \quad (\forall C \in \{0, 1\}^m).$$

Comment. A well known property of orthonormal systems shows readily that $F \equiv G$ if and only if $F^C(A) = G^C$ for every A and C . Therefore the sufficiency of the seemingly weaker condition (18) is the non-trivial part of this corollary.

Proof. Take $d \equiv 1$ in theorem 4.

2. A few particular cases

Case $m = 1$

$$(2) \quad [\cup_A d(A) f(A)] y \cup [\cup_A d(A) f'(A)] y' = 1,$$

$$(4) \quad [\cup_A d(A) f(A)] [\cup_A d(A) f'(A)] = 1,$$

$$(11) \quad [g(1) + \cup_A d(A) f(A)] \cup [g(0) + \cup_A d(A) f'(A)] = 0,$$

$$(13) \quad \cup_A f(A) = g(1), \quad \cup_A f'(A) = g(0),$$

$$(14) \quad \{\prod_A [d'(A) \cup f(A)]\} \{\cup_A d(A) f(A)\} \cup \\ \cup \{\prod_A [d'(A) \cup f'(A)]\} \{\cup_A d(A) f'(A)\} = 1,$$

$$(16) \quad \prod_A f(A) \cup \prod_A f'(A) = 1,$$

$$(17) \quad [g + \cup_A d(A) f(A)] \cup [g' + \cup_A d(A) f'(A)] = 0,$$

$$(18) \quad \cup_A f(A) = g, \quad \cup_A f'(A) = g'.$$

Case $m = 2$

$$(2) \quad [\cup_A d(A)f_1(A)f_2(A)]y_1y_2 \cup [\cup_A d(A)f_1(A)f_2'(A)]y_1y_2' \cup \\ \cup [\cup_A d(A)f_1'(A)f_2(A)]y_1'y_2 \cup [\cup_A d(A)f_1'(A)f_2'(A)]y_1'y_2' = 1,$$

$$(4) \quad [\cup_A d(A)f_1(A)f_2(A)][\cup_A d(A)f_1(A)f_2'(A)] \cdot \\ \cdot [\cup_A d(A)f_1'(A)f_2(A)][\cup_A d(A)f_1'(A)f_2'(A)] = 1,$$

$$(11) \quad [g(1, 1) + \cup_A d(A)f_1(A)f_2(A)] \cup [g(1, 0) + \cup_A d(A)f_1(A)f_2'(A)] \cup \\ \cup [g(0, 1) + \cup_A d(A)f_1'(A)f_2(A)] \cup [g(0, 0) + \cup_A d(A)f_1'(A)f_2'(A)] = 0,$$

$$(13) \quad \cup_A f_1(A)f_2(A) = g(1, 1), \quad \cup_A f_1(A)f_2'(A) = g(1, 0), \\ \cup_A f_1'(A)f_2(A) = g(0, 1), \quad \cup_A f_1'(A)f_2'(A) = g(0, 0),$$

$$(14) \quad \{\Pi_A[d'(A) \cup f_1(A)f_2(A)]\} \{\cup_A d(A)f_1(A)f_2(A)\} \cup \\ \cup \{\Pi_A[d'(A) \cup f_1(A)f_2'(A)]\} \{\cup_A d(A)f_1(A)f_2'(A)\} \cup \\ \cup \{\Pi_A[d'(A) \cup f_1'(A)f_2(A)]\} \{\cup_A d(A)f_1'(A)f_2(A)\} \cup \\ \cup \{\Pi_A[d'(A) \cup f_1'(A)f_2'(A)]\} \{\cup_A d(A)f_1'(A)f_2'(A)\} = 1,$$

$$(16) \quad \Pi_A f_1(A)f_2(A) \cup \Pi_A f_1(A)f_2'(A) \cup \Pi_A f_1'(A)f_2(A) \cup \Pi_A f_1'(A)f_2'(A) = 1,$$

$$(17) \quad [g_1g_2 + \cup_A d(A)f_1(A)f_2(A)] \cup [g_1g_2' + \cup_A d(A)f_1(A)f_2'(A)] \cup \\ \cup [g_1'g_2 + \cup_A d(A)f_1'(A)f_2(A)] \cup [g_1'g_2' + \cup_A d(A)f_1'(A)f_2'(A)] = 0,$$

$$(18) \quad \cup_A f_1(A)f_2(A) = g_1g_2, \quad \cup_A f_1(A)f_2'(A) = g_1g_2', \\ \cup_A f_1'(A)f_2(A) = g_1'g_2, \quad \cup_A f_1'(A)f_2'(A) = g_1'g_2'.$$

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