

ON THE COEFFICIENT STRUCTURE AND A GROWTH THEOREM
FOR THE FUNCTIONS $f(z)$ FOR WHICH $zf'(z)$ IS SPIRALLIKE

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(Received April 19, 1972)

1. Introduction:

Let $S(\alpha)$ denote the class of spirallike, regular univalent functions $f(z)$, for which $f(0)=0$, $f'(0)=1$. This class was introduced by Špacek [6] as early as in 1932. The sharp coefficient structure of this class of functions has been investigated recently in ([8], [4]). As recently as in 1969, Robertson [5] introduced another analogous class which includes the class of convex functions as a proper subfamily. For $-\frac{\pi}{2} < \alpha < \frac{\pi}{2}$, $f(z)$ belongs to the class $C_1(\alpha)$ if (i) $f(z)$ is regular in the unit disc D (ii) $f'(z) \neq 0$ in D and (iii) $\operatorname{Re} \left\{ e^{i\alpha} \left(1 + \frac{zf''(z)}{f'(z)} \right) \right\} > 0$; $z \in D$. These three conditions are precisely for the functions $zf'(z)$ to belong to the class $S(\alpha)$. It is interesting to note that (see Robertson [5]) $f(z) \in C_1(\alpha)$ is univalent if $0 < \cos \alpha \leq x_0$, where x_0 is a positive root $0.2315\dots$ of the equation (iv) $16x^3 + 16x^2 + x + 1 = 0$. We denote the class of functions $f(z) \in C_1(\alpha)$ by $C(\alpha)$ for which (iv) holds and $f(0)=0$, $f'(0)=1$.

It is known [2] that if $\alpha=0$ and $f \in C(\alpha)$ then $|f^n(0)| \leq n!$ and the result is sharp. In the present paper a sharp coefficient bound for the functions $f(z) \in C(\alpha)$ is obtained and from which it is deduced that $|f^n(0)|$ can not exceed $n! \cos \alpha$. A similar type of result is also obtained for the functions belonging to class $S(\alpha)$ in [1].

2. We need the following lemmas:

Lemma 1. Let $f(z) = \sum_{n=1}^{\infty} a_n z^n$, $a_1 = 1$ belong to the class $C(\alpha)$ and let F be

defined by

$$F(z) = e^{i\alpha} \left[1 + \frac{zf''(z)}{f'(z)} \right].$$

Then, for $0 < r < 1$

$$\frac{1}{2\pi} \int_0^{2\pi} \operatorname{Re} \{F(re^{i\theta})\} |f'(re^{i\theta})|^2 d\theta = \cos \alpha \left[\sum_{n=1}^{\infty} n^3 |a_n|^2 r^{2n-2} \right]$$

and

$$\frac{1}{2\pi} \int_0^{2\pi} \operatorname{Im} \{F(re^{i\theta})\} |f'(re^{i\theta})|^2 d\theta = \sin \alpha \sum_{n=1}^{\infty} n^3 |a_n|^2 r^{2n-2}.$$

Proof: Fix, $0 < r < 1$. Since f is univalent and belong to class $C(\alpha)$ we have by Parseval's relation that $e^{i\alpha}[f'(z) + zf''(z)] = f'(z)F(z)$ and

$$\begin{aligned} \int_0^{2\pi} |f'(z)|^2 d\theta + \int_0^{2\pi} f'(z) \cdot zf''(z) d\theta &= \int_0^{2\pi} e^{-i\alpha} |f'(z)|^2 F(z) d\theta \\ 2\pi \left[\sum_{n=1}^{\infty} n^2 |a_n|^2 r^{2n-2} + \sum_{n=1}^{\infty} n^2(n-1) |a_n|^2 r^{2n-2} \right] &= \int_0^{2\pi} e^{-i\alpha} |f'(z)|^2 F(z) d\theta \end{aligned}$$

or

$$2\pi \sum_{n=1}^{\infty} n^3 |a_n|^2 r^{2n-2} = \int_0^{2\pi} e^{-i\alpha} |f'(z)|^2 F(z) d\theta.$$

Equating real and imaginary parts, we have

$$\begin{aligned} 2\pi \sum_{n=1}^{\infty} n^3 |a_n|^2 r^{2n-2} &= \cos \alpha \int_0^{2\pi} |f'(z)|^2 \operatorname{Re} \{F(z)\} d\theta + \sin \alpha \int_0^{2\pi} |f'(z)|^2 \operatorname{Im} \{F(z)\} d\theta \\ 0 &= \cos \alpha \int_0^{2\pi} |f'(z)|^2 \operatorname{Im} \{F(z)\} d\theta - \sin \alpha \int_0^{2\pi} |f'(z)|^2 \operatorname{Re} \{F(z)\} d\theta. \end{aligned}$$

On solving these simultaneous equations we have

$$\int_0^{2\pi} |f'(z)|^2 \operatorname{Re} \{F(re^{i\theta})\} d\theta = 2\pi \cos \alpha \sum_{n=1}^{\infty} n^3 |a_n|^2 r^{2n-2}$$

and

$$\int_0^{2\pi} |f'(z)|^2 \operatorname{Im} \{F(re^{i\theta})\} d\theta = 2\pi \sin \alpha \sum_{n=1}^{\infty} n^3 |a_n|^2 r^{2n-2}.$$

This completes the proof of lemma 1.

Lemma 2. Let $f(z) = \sum_{n=1}^{\infty} a_n z^n$, $a_1 = 1$, belong to the class $C(\alpha)$ and $\{S_n\}$

be the sequence of the complex numbers defined by

$$S_n(t) = \sum_{k=1}^n k a_k e^{ikt} \text{ for } n = 1, 2, 3, \dots$$

Then

$$\int_0^{2\pi} |S_n(t)|^2 d\mu_f(t) = \cos \alpha \sum_{m=1}^n m^3 |a_m|^2$$

where $\mu_f(t)$ is a non-decreasing function of t such that

$$\cos \alpha = \int_0^{2\pi} d\mu_f(t).$$

Proof: Let

$$(2.1) \quad F(z) = e^{ia} \left[1 + \frac{zf''(z)}{f'(z)} \right] = \frac{1}{2} u_0 + \sum_{n=1}^{\infty} u_n z^n.$$

Then there exists a non-decreasing function $\mu_f(t)$ such that

$$F(z) = \int_0^{2\pi} \frac{z + e^{it}}{e^{it} - z} d\mu_f(t) + i \sin \alpha$$

where

$$\cos \alpha = \int_0^{2\pi} d\mu_f(t).$$

Thus, we have [7] for $n \geq 1$.

$$\pi u_n r^n = \int_0^{2\pi} e^{-in\theta} \operatorname{Re} \{F(re^{i\theta})\} d\theta = \int_0^{2\pi} \int_0^{2\pi} \frac{(1-r^2) e^{-in\theta}}{|1 - ze^{-it}|^2} d\theta d\mu_f(t) = 2\pi r^n \int_0^{2\pi} e^{-int} d\mu_f(t).$$

Hence

$$(2.2) \quad u_n = 2 \int_0^{2\pi} e^{-int} d\mu_f(t) \quad \text{for } n = 1, 2, 3, \dots$$

From lemma 1, we have

$$\begin{aligned} \sum_{n=1}^{\infty} \frac{n^3 |a_n|^2 r^{2n-2}}{(1-r^2)} &= \frac{\sec \alpha}{2\pi} \int_0^{2\pi} \frac{\operatorname{Re} \{F(re^{i\theta})\} |f'(re^{i\theta})|^2 d\theta}{(1-r^2)} \\ &= \frac{\sec \alpha}{2\pi} \int_0^{2\pi} \int_0^{2\pi} \left| \sum_{n=1}^{\infty} e^{it} S_n(t) z^{n-1} \right|^2 d\theta d\mu_f(t) \\ &= \sum_{n=1}^{\infty} \sec \alpha \left\{ \int_0^{2\pi} |S_n(t)|^2 d\mu_f(t) \right\} r^{2n-2} \end{aligned}$$

Hence on comparing the coefficients of r^{2n-2} from both sides we have

$$\int_0^{2\pi} |S_n(t)|^2 d\mu_f(t) = \cos \alpha \sum_{m=1}^n m^3 |a_m|^2$$

This completes the proof of lemma 2.

Lemma 3. *We have*

$$(2.3) \quad \begin{aligned} 1 + 2^3 \cos^2 \alpha + \sum_{i=3}^k \frac{4 i \cos^2 \alpha}{(i-1)^2} \prod_{j=2}^{i-1} \left\{ 1 + j \left(\frac{2 \cos \alpha}{j-1} \right)^2 \right\} = \\ = \prod_{m=2}^k \left[1 + m \left(\frac{2 \cos \alpha}{m-1} \right)^2 \right] \text{ for } k = 4, 5, 6, \dots \end{aligned}$$

Proof: First of all we will verify the lemma for $k=3$. Thus for $k=3$ we have

$$\begin{aligned} 1 + 2^3 \cos^2 \alpha + \sum_{i=3}^3 \frac{4 i \cos^2 \alpha}{(i-1)^2} \prod_{j=2}^{i-1} \left\{ 1 + j \left(\frac{2 \cos^2 \alpha}{j-1} \right)^2 \right\} \\ = 1 + 2^3 \cos^2 \alpha + \frac{4 \cdot 3 \cos^2 \alpha}{2^2} \left\{ 1 + 2 \cdot \left(\frac{2 \cos \alpha}{2-1} \right)^2 \right\} \\ = \prod_{j=2}^3 \left[1 + j \left(\frac{2 \cos \alpha}{j-1} \right)^2 \right]. \end{aligned}$$

This verifies the lemma for $k=3$. Now assume that the lemma is true for $k=4, 5, \dots, n$. Then

$$\begin{aligned} 1 + 2^3 \cos^2 \alpha + \sum_{i=3}^{k+1} \frac{4 i \cos^2 \alpha}{(i-1)^2} \prod_{j=2}^{i-1} \left\{ 1 + j \left(\frac{2 \cos \alpha}{j-1} \right)^2 \right\} \\ = \prod_{j=2}^k \left\{ 1 + j \left(\frac{2 \cos \alpha}{j-1} \right)^2 \right\} + \frac{4 (k+1) \cos^2 \alpha}{k^2} \prod_{j=2}^k \left\{ 1 + j \left(\frac{2 \cos \alpha}{j-1} \right)^2 \right\} \\ = \prod_{j=2}^k \left\{ 1 + j \left(\frac{2 \cos \alpha}{j-1} \right)^2 \right\} \left\{ 1 + (k+1) \left(\frac{2 \cos \alpha}{k} \right)^2 \right\} \\ = \prod_{j=2}^{k+1} \left\{ 1 + j \left(\frac{2 \cos \alpha}{j-1} \right)^2 \right\}. \end{aligned}$$

Thus by induction the proof of the lemma is complete.

Next we state a lemma due to Hayman ([3], p. 40) and derive some of its consequences which we shall need.

Lemma 4. *Suppose that*

$$(2.4) \quad \psi(z) = 1 + \sum_{n=1}^{\infty} b_n z^n = u + iv$$

is regular in the disc $|z| < 1$ and $u > 0$ there. Then the limit

$$(2.5) \quad A(\theta) = \lim_{r \rightarrow 1} \left(\frac{1-r}{1+r} \right) \psi(re^{i\theta})$$

exists. The set of distinct values $\theta = \theta_v$, in $0 \leq \theta < 2\pi$ for which $\alpha_v = A(\theta_v) \neq 0$ is countable and $\alpha_v > 0$, $\sum \alpha_v \leq 1$.

Further, we have

$$(2.6) \quad \lim_{r \rightarrow 1} (1-r) \sum_{n=1}^{\infty} |b_n|^2 r^{2n} = 2 \sum \alpha_v^2.$$

In fact, the above lemma implies that

$$(2.7) \quad \lim_{r \rightarrow 1} (1-r) \sum_{n=1}^{\infty} |b_n|^2 r^n = 4 \sum \alpha_v^2.$$

If instead of (2.4) we choose the function $F(z) = e^{i\alpha} + \sum_{n=1}^{\infty} u_n z^n$ which is regular in $|z| < 1$ and for which $\text{Re}\{F(z)\} > 0$, then

$$A(\theta) = \lim_{r \rightarrow 1} \left\{ \left(\frac{1-r}{1+r} \right) (F(re^{i\theta}) - e^{i\alpha} + 1) \right\} = \lim_{r \rightarrow 1} \left\{ \left(\frac{1-r}{1+r} \right) F(re^{i\theta}) \right\}$$

and

$$\frac{1}{2\pi} \int_0^{2\pi} |F(re^{i\theta})|^2 d\theta = \frac{1}{2\pi} \int_0^{2\pi} |F(re^{i\theta}) - e^{i\alpha} + 1|^2 d\theta = 1 + \sum_{n=1}^{\infty} |u_n|^2 r^{2n}$$

and hence by lemma 4, we have

$$(2.8) \quad \lim_{r \rightarrow 1} (1-r) \sum_{n=1}^{\infty} |u_n|^2 r^n = 4 \sum \alpha_v^2$$

where α_v 's have the same meaning as in lemma 4.

3. Theorem 1. If $f(z) = \sum_{n=1}^{\infty} a_n z^n$; $a_1 = 1$ belong to the class $C(\alpha)$, then

$$|a_2| \leq \cos \alpha \text{ and}$$

$$(3.1) \quad |a_n| \leq \frac{2 \cos \alpha}{n(n-1)} \prod_{m=2}^{n-1} \left[1 + m \left(\frac{2 \cos \alpha}{m-1} \right)^2 \right]^{\frac{1}{2}} \text{ for } n = 3, 4, 5, \dots$$

Proof: Define $F(z)$ as in lemma 2 and write the expressions of $f(z)$, $f'(z)$, and $f''(z)$ in terms of their power series in $F(z)$, then on comparing the coefficients of various powers of z , we have

$$n(n+1)a_n = e^{-i\alpha} \sum_{m=1}^n m a_m u_{n-m}; \quad a_1 = 1.$$

Now by elementary calculations, we have

$$n(n-1)a_n e^{i\alpha} = 2 \sum_{m=1}^{n-1} m a_{n,m} \int_0^{2\pi} e^{-i(n-m)t} d\mu_f(t)$$

Using lemma 2 and applying Schwarz's inequality, we have

$$(3.2) \quad n^2(n-1)^2 |a_n|^2 \leq 4 \cos^2 \alpha \int_0^{2\pi} |S_{n-1}(t)|^2 d\mu_f(t) = 4 \cos^2 \alpha \sum_{m=1}^{n-1} m^3 |a_m|^2$$

for $n=2, 3, 4, \dots$

From (3.2) it is clear that, for $n=2, 3$

$$(3.3) \quad |a_2|^2 \leq \frac{4 \cos^2 \alpha}{4} \cdot 1^3 \cdot |a_1|^2 = \cos^2 \alpha$$

and

$$(3.4) \quad |a_3|^2 \leq \frac{4 \cos^2 \alpha}{3^2 \cdot 2^2} \left[1 + 2 \left(\frac{2 \cos \alpha}{2-1} \right)^2 \right].$$

Hence, the theorem is true for $n=2, 3$. Assume the truth of (3.1) for $n=k$ and establish the truth for $k+1$. Thus from (3.2) and the hypothesis, we have

$$\begin{aligned} (k+1)^2 k^2 |a_{k+1}|^2 &\leq 4 \cos^2 \alpha [1^3 \cdot |a_1|^2 + 2^3 |a_2|^2 + \dots + k^3 |a_k|^2] \\ &\leq 4 \cos^2 \alpha \left[1 + 2^3 \cos^2 \alpha + \frac{3 \cdot 4 \cdot \cos^2 \alpha}{2^2} \left(1 + 2 \left(\frac{2 \cos \alpha}{2-1} \right)^2 \right) + \dots \right. \\ &\quad \left. + \frac{4 k \cos^2 \alpha}{(k-1)^2} \prod_{j=2}^{k-1} \left[1 + j \left(\frac{2 \cos \alpha}{j-1} \right)^2 \right] \right]. \end{aligned}$$

Now by using lemma 3 we obtain

$$k^2 (k+1)^2 |a_k|^2 \leq 4 \cos^2 \alpha \prod_{j=2}^k \left[1 + j \left(\frac{2 \cos \alpha}{j-1} \right)^2 \right]$$

This establishes the theorem by an appeal to the induction hypothesis, as the result is true actually for $n=3$.

Sharpness of the theorem follows from the function

$$f(z) = e^{2i\alpha} \left[\frac{1}{(1-z)e^{-2i\alpha}} - 1 \right].$$

Corollary: If $f(z) = z + \sum_{n=2}^{\infty} a_n z^n \in C(\alpha)$ then

$$(3.5) \quad |a_m| \leq \cos \alpha \left[\cos^2 \alpha + \frac{4 \sin^2 \alpha}{m^2 (m+1)^2} \right]^{\frac{1}{2}} \quad \text{for } m=3, 4, \dots$$

and. in particular

$$(3.6) \quad |a_m| \leq \cos \alpha.$$

Proof: That (3.5) holds for $m=3$. Suppose (3.5) holds for $n=4, \dots, m$, then from (3.2) we have

$$(3.6) \quad \begin{aligned} m^2(m+1)^2 |a_{m+1}|^2 &\leq 4 \cos^2 \alpha [1^3 + 2^3 |a_2|^2 + 3^3 |a_3|^2 + \dots + m^3 |a_m|^2] \\ &\leq 4 \cos^2 \alpha [1^3 - \cos^2 \alpha + \cos^2 \alpha + 2^3 \cos^2 \alpha + \dots + m^3 \cos^2 \alpha] \\ &\leq 4 \cos^2 \alpha \left[\left\{ \frac{m(m+1)}{2} \right\}^2 \cos^2 \alpha + \sin^2 \alpha \right] \\ &= m^2(m+1)^2 \cos^4 \alpha + \sin^2 2\alpha. \end{aligned}$$

Hence

$$|a_{m+1}|^2 \leq \cos^2 \alpha \left[\cos^2 \alpha + \frac{4 \sin^2 \alpha}{m^2(m+1)^2} \right].$$

This establishes (3.5). Further, since $\cos^2 \alpha + \frac{4 \sin^2 \alpha}{m^2(m+1)^2} < 1$ for $m=2, 3, \dots$

(3.5) implies $|a_m| \leq \cos \alpha$. This completes the proof of Corollary 1.

4. A Growth Theorem.

Theorem . If $f(z) = z + \sum_{n=2}^{\infty} a_n z^n \in C(\alpha)$ then

$$\int_0^{2\pi} \log \left| \frac{f'(re^{i\theta})}{r} \right| d\mu_f(\theta) = 2 \cos \alpha \Sigma \alpha^2$$

as $r \rightarrow 1$, where α_n 's are defined as in lemma 5.

Proof. Using (2.2), we obtain for every $r, 0 < r < 1$

$$\begin{aligned} 2 \int_0^{2\pi} F(re^{i\theta}) d\mu_f(\theta) &= 2 \int_0^{2\pi} \frac{u_0}{2} d\mu_f(\theta) + 2 \sum_{n=1}^{\infty} u_n \int_0^{2\pi} r^n e^{in\theta} d\mu_f(\theta) \\ &= 2 e^{i\alpha} \int_0^{2\pi} d\mu_f(\theta) + \sum_{n=1}^{\infty} |u_n|^2 r^n \\ &= 2 e^{i\alpha} \cos \alpha + \sum_{n=1}^{\infty} r^n |u_n|^2. \end{aligned}$$

Equating real and imaginary parts, we obtain

$$(4.1) \quad 2 \int_0^{2\pi} \operatorname{Re} \{F(re^{i\theta}) - \cos \alpha\} d\mu_f(\theta) = \sum_{n=1}^{\infty} |u_n|^2 r^n,$$

and

$$(4.2) \quad 2 \int_0^{2\pi} \operatorname{Im} \{F(re^{i\theta}) - \sin \alpha\} d\mu_f(\theta) = 0$$

Also, if $G(z) = 1 + \frac{zf''(z)}{f'(z)}$ then

$$\operatorname{Re}\{F(re^{i\theta})\} = \cos \alpha \operatorname{Re}\{G(re^{i\theta})\} - \sin \alpha \operatorname{Im}\{G(re^{i\theta})\}$$

$$\operatorname{Im}\{F(re^{i\theta})\} = \cos \alpha \operatorname{Im}\{G(re^{i\theta})\} + \sin \alpha \operatorname{Re}\{G(re^{i\theta})\}.$$

The above simultaneous equations yield,

$$(4.3) \quad \operatorname{Re}\{G(re^{i\theta})\} = 1 + \cos \alpha [\operatorname{Re}\{F(re^{i\theta})\} - \cos \alpha] + \sin \alpha [\operatorname{Im}\{F(re^{i\theta})\} - \sin \alpha]$$

Equations (4.1), (4.2) and (4.3) together yield

$$2 \int_0^{2\pi} \operatorname{Re}\{G(re^{i\theta})\} d\mu_f(\theta) = 2 \int_0^{2\pi} d\mu_f(\theta) + \cos \alpha \sum_{n=1}^{\infty} |u_n|^2 r^n.$$

From this equation, we have

$$2 \int_0^{2\pi} d\mu_f(\theta) + 2 \operatorname{Re} \int_0^{2\pi} \frac{re^{i\theta} f''(re^{i\theta})}{f'(re^{i\theta})} d\mu_f(\theta) = 2 \int_0^{2\pi} d\mu_f(\theta) + \cos \alpha \sum_{n=1}^{\infty} |u_n|^2 r^n,$$

$$2 \operatorname{Re} \int_0^{2\pi} \log f'(re^{i\theta}) d\mu_f(\theta) = \cos \alpha \sum_{n=1}^{\infty} \frac{|u_n|^{2r^n}}{n}$$

$$2 \int_0^{2\pi} \log |f'(re^{i\theta})| d\mu_f(\theta) = \cos \alpha \sum_{n=1}^{\infty} \frac{|u_n|^2}{n} r^n.$$

This completes the proof of theorem.

In the end authors express their sincere thanks to Professor R. S. L. Srivastava for his interest and encouragement in the work.

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