

## SOME MERCERIAN THEOREMS FOR REGULARLY VARYING SEQUENCES

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### 1. Introduction

In this paper all the matrices considered are *triangular* and *invertibles*. If  $A = (a_{n,k})$  ( $n = 1, 2, \dots, 1 \leq k \leq n$ ) is such a matrix, we will denote by  $A^{-1} = (a_{n,k}^{(-1)})$  its inverse matrix, i.e.,  $A^{-1}A = AA^{-1} = I$ . We will use the following terminology: If  $R$  is any fixed class of sequences, we will say that a matrix  $(a_{n,k})$  is  $0(R)$  — *regular* if, for any  $(r_n) \in R$ , we have

$$(1.1) \quad s_n = 0(r_n) \Rightarrow \sum_{k=1}^n a_{n,k} s_k = 0(r_n), \quad (n \rightarrow \infty);$$

$R$  — *regular* if

$$(1.2) \quad s_n \cong r_n \Rightarrow \sum_{k=1}^n a_{n,k} s_k \cong r_n, \quad (n \rightarrow \infty);$$

$0(R)$  — *mercerian* if

$$(1.3) \quad \sum_{k=1}^n a_{n,k} s_k = 0(r_n) \Rightarrow s_n = 0(r_n), \quad (n \rightarrow \infty);$$

and  $R$  — *mercerian* if

$$(1.4) \quad \sum_{k=1}^n a_{n,k} s_k \cong r_n \Rightarrow s_n \cong r_n, \quad (n \rightarrow \infty).$$

If  $R$  contains only the sequence  $r_n = 1$  ( $n = 1, 2, \dots$ ), then the matrix which satisfies (1.1), (1.2), (1.3) and (1.4) will be called  $0(1)$  — *regular*, *regular*,  $0(1)$  — *mercerian* and *mercerian*, respectively. For this classical case, we have shown the following result ([1, th. 1]):

**Theorem A.** A matrix  $(\delta_{n,k})$  which satisfies the condition

$$(1.5) \quad \liminf_{n \rightarrow \infty} \left\{ |\delta_{n,n}| - \sum_{k=1}^{n-1} |\delta_{n,k}| \right\} > 0$$

is  $0(1)$  — *mercerian*. If, in addition, the matrix  $(\delta_{n,k})$  is *regular*, then it is *mercerian*.

Using theorem A we gave a simple proof of Mercer's classical theorem, and established some other mercerian theorems (see [1] to [5]). *The purpose of this paper is to extend these results by assuming that  $R$  contains a certain class of regularly varying sequences.* A sequence  $(r_n)$  is said to be *regularly varying* if

$$(1.6) \quad \lim_{n \rightarrow \infty} \left( \frac{r_{[tn]}}{r_n} \right) = h(t) \text{ exists for every } t > 0$$

(see [6]). It is well known (see, for example [6, p. 56]) that (1.6) implies the existence of a real number  $\beta$  such that  $h(t) = t^\beta$ . This number  $\beta$  is called the *order* of the regularly varying sequence. We shall denote by  $R_\beta$  the class of all slowly varying sequences of order  $\beta$ . In particular, a *regularly varying sequence of order 0 is called a slowly varying sequence*. If  $(r_n) \in R_\beta$ , then it is easy to see that  $r_n = n^\beta L_n$ , where  $(L_n) \in R_0$ . The essential properties of regularly varying sequences have been studied by J. Karamata ([6] and [7]), and the  $0(R_0)$  — regularity and  $R_0$  — regularity theorems by M. Vuilleumier ([8, th. 4.1]). Her results specialized to triangular matrices can be stated as follows:

**Theorem B. 1°.** In order that a matrix  $(a_{n,k})$  will be  $0(R_0)$  — *regular*, it is *necessary and sufficient* that

$$(1.7) \quad \sum_{k=1}^n |a_{n,k}| k^{-\eta} = 0(n^{-\eta}), \quad (n \rightarrow \infty),$$

for some  $\eta > 0$ .

**2°.** A matrix is  $R_0$  — *regular* if and only if (1.7) holds together with the condition  $\sum_{k=1}^n a_{n,k} \rightarrow 1, (n \rightarrow \infty)$ .

From theorem B we deduce a necessary and sufficient condition for a matrix to be  $0(R_\beta)$  — *regular*, which is:

**Theorem C.** A matrix  $(\gamma_{n,k})$  is  $0(R_\beta)$  — *regular* if and only if there exists  $\alpha < \beta$  such that

$$(1.8) \quad \sum_{k=1}^n |\gamma_{n,k}| \left( \frac{k}{n} \right)^\alpha = 0(1), \quad (n \rightarrow \infty),$$

and is  $R_\beta$  — *regular* if and only if (1.8) holds together with the condition

$$(1.9) \quad \sum_{k=1}^n \gamma_{n,k} \left( \frac{k}{n} \right)^\beta \rightarrow 1, \quad (n \rightarrow \infty).$$

Then, we will show (theorem 1), that a matrix  $(a_{n,k})$  is  $0(R_\beta)$  — *mercerian* if and only if

$$\sum_{k=1}^n |a_{n,k}^{(-1)}| \left( \frac{k}{n} \right)^\alpha = 0(1), \quad (n \rightarrow \infty),$$

for some  $\alpha < \beta$ , and that a matrix  $(a_{n,k})$  is  $R_\beta$  — *mercerian* if and only if it is  $0(R_\beta)$  — *mercerian* and if

$$\lim_{n \rightarrow \infty} \left( \sum_{k=1}^n a_{n,k}^{(-1)} \left( \frac{k}{n} \right)^\beta \right) = 1.$$

On the other hand, we shall show in theorem 2 that a  $0(R_\beta)$ -mercerian matrix  $(a_{n,k})$  is  $R_\beta$ -mercerian if

$$(1.10) \quad \lim_{n \rightarrow \infty} \left( \sum_{k=1}^n a_{n,k} \left( \frac{k}{n} \right)^\beta \right) = 1.$$

Then, using theorem 1, we shall extend our theorem A to regularly varying sequences, by showing that a matrix  $(a_{n,k})$  is  $0(R_\beta)$ -mercerian if

$$\liminf_{n \rightarrow \infty} \left\{ \left| a_{n,n} \right| - \sum_{k=1}^{n-1} \left| a_{n,k} \right| \left( \frac{k}{n} \right)^\alpha \right\} > 0,$$

for some  $\alpha < \beta$  (theorem 3); if in addition the relation (1.10) holds, then in view of theorem 2, the matrix  $(a_{n,k})$  is already  $R_\beta$ -mercerian. Finally, as a consequence of these results, we shall show that Mercer's classical theorem can be extended to slowly varying sequences (theorem 4).

## 2. Results and Proofs

**Theorem 1.** A matrix  $(a_{n,k})$  is  $0(R_\beta)$ -mercerian if and only if there exists  $\alpha < \beta$  such that

$$(2.1) \quad \sum_{k=1}^n \left| a_{n,k}^{(-1)} \right| \left( \frac{k}{n} \right)^\alpha = 0(1), \quad (n \rightarrow \infty);$$

and is  $R_\beta$ -mercerian if and only if (1.1) holds together with the additional condition

$$(2.2) \quad \lim_{n \rightarrow \infty} \left( \sum_{k=1}^n a_{n,k}^{(-1)} \left( \frac{k}{n} \right)^\beta \right) = 1.$$

**Proof of theorem 1.** Set  $t_n = \sum_{k=1}^n a_{n,k} s_k$ ,  $(n = 1, 2, \dots)$ .

As  $(a_{n,k})$  is an invertible matrix,

$$s_n = \sum_{k=1}^n a_{n,k}^{(-1)} t_k, \quad (n = 1, 2, \dots).$$

In other words, it is evident that the relations (1.3) and (1.4) for  $R = R_\beta$  means that

$$(2.3) \quad t_n = 0(r_n) \Rightarrow \sum_{k=1}^n a_{n,k}^{(-1)} t_k = 0(r_n),$$

respectively that

$$(2.4) \quad t_n \cong r_n \Rightarrow \sum_{k=1}^n a_{n,k}^{(-1)} t_k \cong r_n, \quad (n \rightarrow \infty),$$

for every  $r_n \in R_\beta$ . Setting  $\gamma_{n,k} = a_{n,k}^{(-1)}$  ( $n = 1, 2, \dots, 1 \leq k \leq n$ ), we see that the conclusions of theorem 1 follow from theorem C. In fact, according to theorem C, the property (2.3) is equivalent to (1.8), and (2.3) together with (2.4) is equivalent to the relations (1.8) and (1.9).

**Theorem 2.** An  $0(R_\beta)$ -mercerian matrix  $(a_{n,k})$  is  $R_\beta$ -mercerian if

$$(2.5) \quad \lim_{n \rightarrow \infty} \left( \sum_{k=1}^n a_{n,k} \left( \frac{k}{n} \right)^\beta \right) = 1.$$

**Proof of theorem 2.** Since  $(a_{n,k})$  is  $0(R_\beta)$ -mercerian, we know by the first part of theorem 1, that there exists  $\alpha < \beta$  such that

$$(2.6) \quad \sum_{k=1}^n |a_{n,k}^{(-1)}| \left( \frac{k}{n} \right)^\alpha = 0(1), \quad (n \rightarrow \infty).$$

Hence, by the second part of theorem 1, we have only to show that (2.5) and (2.6) imply

$$(2.7) \quad \sum_{k=1}^n a_{n,k}^{(-1)} \left( \frac{k}{n} \right)^\beta \rightarrow 1, \quad (n \rightarrow \infty).$$

Let

$$(2.8) \quad c_n \stackrel{\text{det}}{=} \sum_{k=1}^n a_{n,k} \left( \frac{k}{n} \right)^\beta, \quad (n = 1, 2, \dots);$$

we have

$$1 = \sum_{k=1}^n a_{n,k}^{(-1)} \left( \frac{k}{n} \right)^\beta c_k, \quad (n = 1, 2, \dots)$$

and

$$(2.9) \quad \sum_{k=1}^n a_{n,k}^{(1-)} \left( \frac{k}{n} \right)^\beta - 1 = \sum_{k=1}^n a_{n,k}^{(-1)} \left( \frac{k}{n} \right)^\beta (1 - c_k).$$

Writing

$$A_{n,k} = a_{n,k}^{(-1)} \left( \frac{k}{n} \right)^\beta, \quad (n = 1, 2, \dots, 1 \leq k \leq n),$$

it follows from (2.6) that

$$(2.10) \quad \sum_{k=1}^n |A_{n,k}| \leq \sum_{k=1}^n |a_{n,k}^{(-1)}| \left( \frac{k}{n} \right)^\beta \leq \sum_{k=1}^n |a_{n,k}^{(-1)}| \left( \frac{k}{n} \right)^\alpha = 0(1), \quad (n \rightarrow \infty).$$

Also, it follows from (2.6) that

$$(2.11) \quad \begin{aligned} |A_{n,k}| &= |a_{n,k}^{(-1)}| \left( \frac{k}{n} \right)^\beta = \left( \frac{k}{n} \right)^{\beta-\alpha} |a_{n,k}^{(-1)}| \left( \frac{k}{n} \right)^\alpha \leq \\ &\leq \left( \frac{k}{n} \right)^{\beta-\alpha} \sum_{k=1}^n |a_{n,k}^{(-1)}| \left( \frac{k}{n} \right)^\alpha \rightarrow 0, \quad (n \rightarrow \infty) \end{aligned}$$

for  $k = 1, 2, 3, \dots$ . Since by (2.5) and (2.8),  $c_n \rightarrow 1$  ( $n \rightarrow \infty$ ), it follows from Toeplitz-Schur's theorem according to (2.10) and (2.11) that

$$\sum_{k=1}^n a_{n,k}^{(-1)} \left( \frac{k}{n} \right)^\beta (1 - c_k) \rightarrow 0, \quad (n \rightarrow \infty),$$

which proves, in view of (2.9), our statement (2.7).

**Theorem 3.** A matrix  $(a_{n,k})$  is  $0(R_\beta)$ -mercerian if there exists  $a < \beta$  such that

$$(2.12) \quad \liminf_{n \rightarrow \infty} \left\{ |a_{n,n}| - \sum_{k=1}^{n-1} |a_{n,k}| \left( \frac{k}{n} \right)^\alpha \right\} > 0.$$

**Proof of theorem 3.** According to theorem A, a matrix  $(\delta_{n,k}) = (a_{n,k} k^\alpha n^{-\alpha})$  which satisfies condition (1.5) is  $O(1)$ -mercerian, i.e., (2.12) implies

$$\sum_{k=1}^n |\delta_{n,k}^{(-1)}| = O(1), \quad (n \rightarrow \infty).$$

Now as

$$(\delta_{n,k}^{(-1)}) = (a_{n,k}^{(-1)} k^\alpha n^{-\alpha}),$$

we have

$$\sum_{k=1}^n |a_{n,k}^{(-1)}| \left(\frac{k}{n}\right)^\alpha = O(1), \quad (n \rightarrow \infty),$$

for some  $\alpha < \beta$ , which implies our result according to theorem 1.

Using theorems 2 and 3, we will now generalize Mercer's classical theorem for slowly varying sequences.

**Theorem 4.** Let  $\alpha$  denote a real number, and let

$$c_1(s_n) = \frac{1}{n} \sum_{k=1}^n s_k, \quad (n = 1, 2, 3, \dots).$$

Then,

$$(2.13) \quad s_n \cong L_n \Leftrightarrow \alpha s_n + (1 - \alpha) c_1(s_n) \cong L_n, \quad (n \rightarrow \infty),$$

for every  $(L_n) \in R_0$ , if and only if  $\alpha > 0$ .

**Proof of theorem 4.** For  $\alpha = 0$ , the conclusion is obvious, because we know that  $(c_1(s_n))$  is not a mercerian transformation. For  $\alpha < 0$ , we can use the following lemma of the author ([2, p. 28]): Let

$$t_n = \sum_{k=1}^n \alpha_{n,k} s_k, \quad (n = 1, 2, \dots),$$

be a regular summability method. If there exists a divergent sequence  $(s_n^*)$  such that

$$(2.14) \quad \sum_{k=1}^n \alpha_{n,k} s_k^* = O(s_n^*), \quad (n \rightarrow \infty),$$

then  $(\alpha_{n,k})$  sums at least one divergent sequence. In fact, setting in the last lemma

$$t_n = \alpha s_n + (1 - \alpha) c_1(s_n), \quad (n = 1, 2, \dots),$$

i.e.,

$$(2.15) \quad \alpha_{n,k} = \begin{cases} \frac{1-\alpha}{n}, & 1 \leq k \leq n-1 \\ \alpha + \frac{1-\alpha}{n}, & k = n \end{cases}$$

and  $s_n^* = n^{-1/\alpha}$  ( $n = 1, 2, \dots$ ), we see that (2.14) is satisfied and consequently that (2.13) cannot hold for any  $\alpha < 0$ .

For  $\alpha > 0$ , we first note that if the matrix  $(\alpha_{n,k})$  is defined by (2.15) then

$$\lim_{n \rightarrow \infty} \left( \sum_{k=1}^n \alpha_{n,k} \right) = 1$$

and

$$\lim_{n \rightarrow \infty} \left( \sum_{k=1}^n |\alpha_{n,k}| \left( \frac{k}{n} \right)^{-r} \right) = \alpha + \frac{1-\alpha}{1-r},$$

for every  $0 < r < 1$ . Consequently,  $(\alpha_{n,k})$  satisfies the conditions of theorem C for  $\beta = 0$ . It follows from the last theorem that

$$s_n \cong L_n \Rightarrow \sum_{k=1}^n \alpha_{n,k} s_k \cong L_n, \quad (n \rightarrow \infty),$$

for every  $(L_n) \in R_0$ , and thus according to theorem 2, it is enough to show that

$$t_n = 0(L_n) \Rightarrow s_n = 0(L_n), \quad (n \rightarrow \infty),$$

for every  $(L_n) \in R_0$ . But as

$$s_n = \frac{t_n + (\alpha - 1)c_1(s_n)}{\alpha}, \quad (\alpha \neq 0),$$

it is sufficient to show that

$$(2.16) \quad t_n = 0(L_n) \Rightarrow c_1(s_n) = 0(L_n), \quad (n \rightarrow \infty),$$

for every  $(L_n) \in R_0$ . Now since

$$s_1 = c_1(s_1) \text{ and } s_n = nc_1(s_n) - (n-1)c_1(s_{n-1}), \quad n = 2, 3, \dots,$$

we have

$$t_n = \sum_{k=1}^n b_{n,k} c_1(s_k), \quad (n = 2, 3, \dots),$$

with

$$(2.17) \quad b_{n,k} = \begin{cases} 0, & k \neq n \text{ or } n-1 \\ -\alpha(n-1), & k = n-1 \\ \alpha n - \alpha + 1, & k = n. \end{cases}$$

According to theorem 3, the relation (2.16) will be proved if we show that for every  $\alpha > 0$  there exists  $\eta > 0$  such that

$$(2.18) \quad \liminf_{n \rightarrow \infty} \left( |b_{n,n}| - |b_{n,n-1}| \left( 1 - \frac{1}{n} \right)^{-\eta} \right) > 0.$$

As by (2.17),

$$\begin{aligned} |b_{n,n}| - |b_{n,n-1}| \left( 1 - \frac{1}{n} \right)^{-\eta} &= \alpha n - \alpha + 1 - \alpha(n-1) \left( 1 - \frac{1}{n} \right)^{-\eta} \\ &= 1 - \alpha \eta + o\left(\frac{1}{n}\right), \quad (n \rightarrow \infty), \end{aligned}$$

we see that (2.18) holds, and thus theorem 4 is proved.

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