

## ON THE CATEGORY NUMBER OF TOPOLOGICAL SPACES

Đuro Kurepa (Beograd)

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**Introduction.** René Baire introduced the notion of category of a space  $S$  and defined that  $S$  is of the first or of the second category, according as the space  $S$  is or is not a union of  $\leq \aleph_0$  nowhere dense sets. In this connexion we consider the *minimal number* of nowhere dense sets exhausting the derivative  $DS$  of the space  $S$ . Also we were lead to consider the *minimal number* of nowhere dense sets the union of which should be everywhere dense in  $DS$ .

We shall examine how these notions are connected with some other properties of space. We consider also the class of all corresponding category numbers for some classes of spaces.

**1.1. Definition of the category number  $ctS$  of  $S$ .** Let be:  $S$  a topological space,  $IS$  the set of all isolated points and  $DS$  the derivative of  $S$  (i. e.  $DS = S \setminus IS$ ). The minimal number  $n$  such that there are  $n$  nowhere dense sets the union of which coincides with  $DS$  is called the category (number) of  $S$  and is denoted by  $ctS$ ; in other words

$$ctS = \inf_Y kY, \quad \bigcup_{X \in Y} X = DS, \quad X \in Y \Rightarrow S = \overline{CX}.$$

In particular we put  $ct\emptyset = 0$ .

**1.2. Definition of the subcategory number  $sctS$  of  $S$ .** The infimum of cardinalities of set systems  $Y$  of nowhere dense sets, the union of which yields an everywhere dense set on  $DS$  is called the *subcategory number* of  $S$  and is denoted by  $sctS$ .

For example, if  $S$  is the real line or any complete dense metrical space then  $sctS = \aleph_0$  and  $ctS > \aleph_0$ ; if  $2^{\aleph_0} = \aleph_1$ , then  $ctR = \aleph_1$ .

If  $sS$  denotes the *separability* of  $S$  (i. e.  $sS$  is the infimum of cardinalities of everywhere dense sets) then obviously

$$sctS \leq sS.$$

We shall now exhibit a class of totally ordered spaces showing that  $sctS$ ,  $ctS$  might be  $\aleph_0$ , while  $kS$ ,  $sS$  are arbitrarily high.

### 2. Transition $(O, \leq) \rightarrow (\sigma O, =|)$ for any ordered set $(O, \leq)$ .

**2.1.** We define  $\sigma O$  as the set of all well ordered subsets  $X$  of  $(O, \leq)$  such that  $Y \leq y$  for some  $y \in O$ . For  $a, b \in \sigma O$  we denote  $a =| b$  the fact that

$a$  is an initial section of  $b$ . If  $L$  is any ordered chain we extend the partial order of  $(\sigma L, =|)$  into a total order  $\leq_n$  defining  $a \leq_n b$  to mean  $a =| b$  or  $a_e < b_e$  in  $(L, \leq)$  for any  $a, b \in (\sigma L, =|)$ ; here  $a = \{a_0, a_1, \dots\}$ ,  $b = \{b_0, b_1, \dots\}$ ,  $a_e < b_e$ ,  $e := e(a, b)$  denoting the first ordinal number  $\xi$  such that  $a_\xi \neq b_\xi$ .

2.2. We proved (Đ. Kurepa [2] that  $(\sigma L, \leq_n)$  is a linearly ordered set. Consequently, for any totally ordered set  $(L, \leq)$  we have also the ordered space  $(\sigma L, \leq_n)$  defined by the interval topology on  $(\sigma L, \leq_n)$ .

2.3. Let us consider the particular example of the ordered set  $(\mathcal{Q}, \leq)$  of rational numbers and of its order type  $\eta_0$ . We use a similar terminology for any ordered set and for its order type.

2.4. Lemma. Let  $x \in \eta_0$ ; then the totally ordered subset

$$(1) \quad (\sigma \eta_0(\cdot, x), \leq_n)$$

is nowhere dense in the chain

$$(2) \quad (\sigma \eta_0; \leq_n), \text{ i.e.}$$

every non void interval  $I$  of the chain (2) contains an interval  $I_0$  disjoint from the chain (1).

Proof. First (cf. Đ. Kurepa [3] p. 91 Lemma 4.2 and [4] L. 4.2. p. 41) there exists some  $c \in \sigma \eta_0$  such that

$$(\sigma \eta_0[c, \cdot) =|) \subseteq I.$$

Since for every  $c \dashv c' \in \sigma \eta_0$  we have also  $\sigma \eta_0[c', \cdot) \subset I$  we might suppose that the set  $c$  contains at least one point  $c_0$  such that  $x < c_0$ , and  $c = \{c_\xi\}_{\xi < \gamma c}$ ;  $\gamma c$  denotes the order type of the well ordered set  $c$ .

Now, let  $a \in \sigma(\eta_0(\cdot, x))$ . Then  $e(a, c) < \gamma c$ . According as  $a \leq_n c$  or  $a \geq_n c$  we have  $a <_n \sigma \eta_0[c, \cdot)$  or  $a >_n \sigma \eta_0[c, \cdot)$  and never  $a \in \sigma(\eta_0[c, \cdot) \dashv) = A$ . Since  $A$  is a non empty section of the chain  $(\sigma \eta_0, \leq_n)$ , every interval it contains is disjoint from  $(\sigma \eta_0(\cdot, x), \leq_n)$  what was to be proved.

2.5. Theorem. Let  $(L, \leq)$  be any dense ordered chain; then the category  $ct(\sigma(L, \leq), \leq_n)$  and the cofinality number  $cf(L, \leq)$  satisfy the relation

$$ct(\sigma(L, \leq), \leq_n) \leq cf(L, \leq).$$

As a matter of fact, let  $W$  be any well ordered subset of  $(L, \leq)$  such that  $kW = cfL$  and that  $(W, \leq)$  should be cofinal to  $(L, \leq)$ . Then  $(\sigma L, \leq_n)$  is the union of  $kW$  nowhere dense sets  $\sigma L(\cdot, x)$  ( $x \in W$ ).

2.6. Theorem. (i) The category number of the chain (1)  $\sigma(\eta_0 \leq_n)$  is  $\aleph_0$ . If  $L$  is any ordered chain cofinal with  $\omega_0$ , then the category number of the ordered space  $(\sigma \omega_0, \leq_n)$  equals  $\aleph_0$ .

(ii). The chain (1) is not a union of  $\aleph_0$  special nowhere dense sets, each being an antichain in the tree  $(\sigma \eta_0, =|)$ .

The first part (i) is implied by the theorem 2.5. As to the second part (ii) we refer to the theorem 4.1 p. 37 of the paper Đ. Kurepa [4].

2.7. Corollary. *There are spaces of the categoricity  $\aleph_0$  of any high cardinality  $\geq a$  and of any separability degree  $s \geq \aleph_0$ .*

As a matter of fact, it is sufficient to consider any ordered chain  $L$  such that  $cfL = \aleph_0$ ,  $kL \geq a$ ; then  $cf(\sigma L, \leq) = \aleph_0$ ,  $k\sigma L \geq a$ . Of course, we might suppose also that  $sep L \geq s$ ; this with  $sep \sigma L \geq sep L$  implies all requested conditions.

3. By an analogous argument one proves the following.

3.1. Theorem. *If  $(L, \leq)$  is any dense ordered chain cofinal to a regular initial number  $\omega_\delta$ , then the categoricity of the natural order*

$$(1) \quad (\sigma(L, \leq), \leq_n) \text{ is } \leq \aleph_\delta:$$

$$(2) \quad ct(\sigma(L, \leq), \leq_n) \leq \aleph_\delta,$$

*in particular if  $S$  is any subset of  $L$  cofinal to  $S$  and to  $\omega_\delta$ , then the sets*

$$(3) \quad (\sigma(S(\cdot, x)) \leq_n) \quad (x \in S)$$

*are nowhere dense in (3) and exhaust the chain (1).*

3.2, Corollary. *For every regular ordinal number  $\omega_\delta$  one has*

$$ct(\sigma \eta_\delta, \leq_n) \leq \aleph_\delta.$$

4. Some propositions concerning the category numbers.

4.1. Theorem. *For a given infinite number  $ctS = n$  and any infinite cardinal number  $m > n$  there exists a space  $X$  satisfying  $ctX = n$ ,  $kX = m$ .*

As a matter of fact, it suffices to consider a family  $F$  of cardinality  $m$  of replicas of  $S$  and the union  $U$  of all the members of  $F$  topologysed in such a way that every member  $X$  of  $F$  be the subspace in  $U$  identical with the given space  $X$ . Then  $ctU = ctS$ ,  $kU = mkS = m \cdot n = m$ .

4.2. Theorem. *For every infinite number  $b$  there exists a metrical space  $M_b$  satisfying  $ctM = b$ .*

As a matter of fact, let  $R(b)$  be the set of all the  $\omega$ -sequences of ordinals  $< \omega(b)$ , each of which is almost the constant sequence  $0, 0, \dots$ ; we metrize  $R(b)$  by the function  $\rho(a, b) = \frac{1}{1 + \varphi(a, b)}$  for every pair  $a = (a_0, a_1, \dots)$ ,  $b = (b_0, b_1, \dots)$  of distinct members of  $R(b)$ ;  $\varphi(a, b)$  is the first index  $n$  at which  $a_n \neq b_n$ .

Then the space  $(R(b), \rho)$  is metrical, dense in itself and satisfies  $sep R(b) = b = kR(b) = ctR(b)$ .

4.2.1. Corollary.  *$M$  running through the class of metrical spaces the class  $\{ctM \dots\}_M$  is not a set, because it contains every infinite cardinal number  $b$ .*

4.3. Problem. Let  $S$  be any topological space; if  $ctS > \aleph_0$  and if  $n$  is any cardinal number satisfying  $\aleph_0 \leq n < ctS$ , is there a subspace  $S'$  of  $S$  satisfying  $ctS' = n$ ? In other words, do the numbers  $ctX$ , ( $X \subseteq S$ ) fill the cardinal interval  $[sep S, ctS]$ ?

4.3.1. For metrical spaces, the general continuum hypothesis implies a positive answer.

4.3.2. The question arises to establish the result 4.3.1. without assuming the continuum hypothesis.

## B I B L I O G R A P H Y

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Matematički institut,  
Beograd.