

ON A CONVERGENCE THEOREM OF (0, 1, 3) — INTERPOLATION

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1. The (0, 1, 3) — interpolation concerns the investigation of the polynomials $R_n(x)$ if they exist and are unique, of degree at most $3n-1$ which take at the n given points $x_{\nu n}$ ($\nu=1, 2, \dots, n$) the arbitrary values $\alpha_{\nu n}$, whose first and third derivatives take at the same points the values $\beta_{\nu n}$ and $\gamma_{\nu n}$ respectively. We have solved in [4] the above interpolation problem for a particular choice of the points $x_{\nu n}$ which are the n real zeros of the polynomial $(1-x^2)P'_{n-1}(x)$ where $P_{n-1}(x)$ is the $(n-1)$ th Legendre polynomial. For this choice of the abscissas we have shown in the first part of our work [4] that these polynomials exist and are unique only when n is even. In the other part of our work [5] we have studied the polynomials $R_n(x, f)$ for their convergence behavior when the numbers $\alpha_{\nu n}$ and $\beta_{\nu n}$ are taken to be the values of a function $f(x)$ and its first derivative respectively at the points $x_{\nu n}$. There we have shown that the sequence $R_n(x, f)$ converges uniformly to $f(x)$ in $[-1, 1]$ if $f(x)$ is continuously differentiable of order 2 in $[-1, 1]$.

In this paper we shall be concerned with the convergence (which we shall see, does not require the differentiability of the interpolatory function) of the polynomials $R_n(x, f)$ satisfying the following requirements:

$$(1) \quad \left. \begin{aligned} R_n(x_{\nu n}, f) &= f(x_{\nu n}) \\ R'_n(x_{\nu n}, f) &= 0 \\ R''_n(x_{\nu n}, f) &= \gamma_{\nu n} \end{aligned} \right\} \nu = 1, 2, \dots, n.$$

2. For the form of the polynomials $R_n(x, f)$ we obviously have [4]

$$(2) \quad R_n(x, f) = \sum_{\nu=1}^n f(x_{\nu n}) u_{\nu n}(x) + \sum_{\nu=1}^n \gamma_{\nu n} w_{\nu n}(x)$$

where [4, § 11] $u_{\nu n}(x)$, $w_{\nu n}(x)$ are the uniquely determined polynomials of degree $\leq 3n-1$.

We shall prove the following

Theorem. *Let the continuous function $f(x)$ satisfy the condition*

$$(3) \quad [f(x+h) - 2f(x) + f(x-h)] = o(h) \quad (x-h, x+h) \in [-1, 1]$$

and the numbers $\gamma_{\nu n}$ of interpolatory polynomial (2) satisfy the condition uniformly in ν

$$(4) \quad \begin{aligned} \gamma_{\nu n} &= o(n^2) (1-x_{\nu n}^2)^{-1} \quad (\nu=2, 3, \dots, n-1) \\ \gamma_{1n} &= o(n^4), \quad \gamma_{nn} = o(n^4). \end{aligned}$$

Then the sequence $R_n(x, f)$ converges uniformly to $f(x)$ in $[-1, 1]$.

3. The proof of this theorem mainly depends upon the following

Lemma. Let the continuous function $f(x)$ ($-1 \leq x \leq 1$) satisfy the condition (3). Then there is a polynomial $\Phi_n(x)$ of almost degree n satisfying the following properties:

$$(5) \quad f(x) - \Phi_n(x) = o(n^{-1}) (\sqrt{1-x^2} + n^{-1})$$

and

$$(6) \quad \Phi_n'''(x) = o(n^2) \text{ Min} [(1-x)^{-1}, n^2]$$

uniformly in $x \in [-1, 1]$.

Proof. Part (5) of the above lemma is the particular case of the theorem of *G. Freud* [2] while (6) follows as a consequence of (5). We follow the same method of proof as given by *G. Freud* [3].

We define the numbers n_j ($j=0, 1, 2, \dots, r$) by

$$n_0 = n, \quad n_1 = \left[\frac{n}{2} \right], \quad \dots, \quad n_{j+1} = \left[\frac{n_j}{2} \right], \quad \dots, \quad n_r = 1;$$

$$r = \left[\frac{\log n}{\log 2} \right] + 1.$$

We now have

$$(7) \quad \Phi_n(x) = \sum_{j=0}^{r-1} [\Phi_{n_j}(x) - \Phi_{n_{j+1}}(x)] + \Phi_1(x).$$

From (5) we have

$$(8) \quad \begin{aligned} \Phi_{n_j}(x) - \Phi_{n_{j+1}}(x) &= [\Phi_{n_j}(x) - f(x)] + [f(x) - \Phi_{n_{j+1}}(x)] \\ &= o(n_j^{-1}) (\sqrt{1-x^2} + n_j^{-1}) \end{aligned}$$

which on using the inequality of *Dzyadyk* [1] gives:

$$(9) \quad \begin{aligned} \Phi_{n_j}'''(x) - \Phi_{n_{j+1}}'''(x) &= o(n_j^2) \text{ Min} [(1-x^2)^{-1}, n_j^2] \\ &= o\left(\frac{n^2}{2^{2j}}\right) \text{ Min} [(1-x^2)^{-1}, n^2]. \end{aligned}$$

Hence from (7) and (9) we have

$$(10) \quad \begin{aligned} \Phi_n'''(x) &= \sum_{j=0}^{r-1} [\Phi_{n_j}'''(x) - \Phi_{n_{j+1}}'''(x)] \\ &= \sum_{j=0}^{r-1} o\left(\frac{n^2}{2^{2j}}\right) \text{ Min} [(1-x^2)^{-1}, n^2] \\ &= o(n^2) \text{ Min} [(1-x^2)^{-1}, n^2]. \end{aligned}$$

This completes the proof of our lemma.

4. We shall further need the following inequalities which have been proved in our work [5].

$$(11) \quad w_{1n}(x) = O(n^{-5}), \quad w_{nn}(x) = O(n^{-5})$$

$$(12) \quad w_{\nu n}(x) = O\left(n^{-\frac{7}{2}}\right) l_{\nu n}(x) (1-x_{\nu n}^2)^{\nu} + O(n^{-5}) \nu^2, \quad 2 \leq \nu \leq \frac{n}{2}$$

$$(13) \quad w_{\nu n}(x) = O\left(n^{-\frac{7}{2}}\right) l_{\nu n}(x) (1-x_{\nu n}^2)(n-\nu) + O\left(n^{-5}\right)(n-\nu)^2, \quad \frac{n}{2} + 1 \leq \nu \leq n-1$$

$$(14) \quad u_{1n}(x) = O(n), \quad u_{nn}(x) = O(n)$$

$$(15) \quad u_{\nu n}(x) = O\left(n^2\right) \frac{l_{\nu n}(x)}{\nu} + O(1) \nu^{-\frac{5}{2}} + O(1) \nu^{\frac{1}{2}} l_{\nu n}^2(x) + O(1) l_{\nu n}^3(x), \quad 2 \leq \nu \leq \frac{n}{2}$$

and

$$(16) \quad u_{\nu n}(x) = O\left(n^2\right) \frac{l_{\nu n}(x)}{n-\nu} + O(1)(n-\nu)^{-\frac{5}{2}} + O(1)(n-\nu)^{\frac{1}{2}} l_{\nu n}^2(x) + O(1) l_{\nu n}^3(x), \\ \frac{n}{2} + 1 \leq \nu \leq n-1.$$

We shall also need the results [3]

$$(17) \quad \frac{c_1}{n} \nu \leq (1-x_{\nu n}^2)^{\frac{1}{2}} \leq \frac{c_2}{n} \nu \quad \left(2 < \nu < \frac{n}{2}\right)$$

$$(18) \quad \frac{c_1}{n} (n-\nu) \leq (1-x_{\nu n}^2)^{\frac{1}{2}} \leq \frac{c_2}{n} (n-\nu) \quad \left(\frac{n}{2} + 1 \leq \nu \leq n-1\right)$$

where c_1 and c_2 are suitable numerical constants, and the following important result of Fejér:

$$(19) \quad l_j^2(x) < \sum_{j=1}^n l_j^2(x) < 1 \quad (-1 < x < 1; j=1, 2, \dots, n).$$

5. We now come to the proof of the theorem. According to the usual convention there holds

$$(20) \quad R_n(x, f) - f(x) = R_n(x; f - \Phi_n) + \Phi_n(x) - f(x) \\ = \sum_{\nu=1}^n [f(x_{\nu n}) - \Phi_n(x_{\nu n})] u_{\nu n}(x) + \sum_{\nu=1}^n [\gamma_{\nu n} - \Phi_n'''(x_{\nu n})] w_{\nu n}(x) + o(1).$$

From (5), (14), (15), (17) and (19) it follows that

$$(21) \quad \sum_{\nu=1}^n [f(x_{\nu n}) - \Phi_n(x_{\nu n})] u_{\nu n}(x) = o(n^{-2}) O(n) + \sum_{\nu=2}^{\frac{n}{2}} o(n^{-1}) \frac{\nu}{n} O\left(n^{\frac{1}{2}}\right) \frac{l_{\nu n}(x)}{\nu} \\ + \sum_{\nu=2}^{\frac{n}{2}} o(n^{-1}) \frac{\nu}{n} \nu^{-\frac{5}{2}} + \sum_{\nu=2}^{\frac{n}{2}} o(n^{-1}) \frac{\nu}{n} \nu^{\frac{1}{2}} l_{\nu n}^2(x) + \sum_{\nu=2}^{\frac{n}{2}} o(n^{-1}) \frac{\nu}{n} l_{\nu n}^3(x) \\ = o(n^{-1}) + o\left(n^{-\frac{3}{2}}\right) \sum_{\nu=2}^{\frac{n}{2}} l_{\nu n}(x) + o(n^{-2}) \sum_{\nu=2}^{\frac{n}{2}} \nu^{-\frac{3}{2}} + o(n^{-2}) \sum_{\nu=2}^{\frac{n}{2}} \nu^{\frac{3}{2}} l_{\nu n}^2(x) \\ + o(n^{-2}) \sum_{\nu=2}^{\frac{n}{2}} \nu l_{\nu n}^3(x) = o(n^{-1}) + o(n^{-2}) \sum_{\nu=2}^{\frac{n}{2}} \nu^{\frac{3}{2}} l_{\nu n}(x) \\ = o(n^{-1}) + o(n^{-2}) \left(\sum_{\nu=2}^{\frac{n}{2}} \nu^3\right)^{\frac{1}{2}} \left(\sum_{\nu=2}^{\frac{n}{2}} l_{\nu n}^2(x)\right)^{\frac{1}{2}} = o(n^{-1}) + o(1) = o(1).$$

Again from (4), (6), (11), (12), (17) and (19) we get

$$\begin{aligned}
 & \sum_{v=1}^{\frac{n}{2}} [\gamma_{vn} - \Phi_n'''(x_{vn})] w_{vn}(x) \\
 &= o(n^4) O(n^{-5}) + \sum_{v=2}^{\frac{n}{2}} o(n^2) \frac{n^2}{v^2} O(n^{-\frac{7}{2}}) l_{vn}(x) \frac{v^2}{n^2} \cdot v + \sum_{v=2}^{\frac{n}{2}} o(n^2) \frac{n^2}{v^2} O(n^{-5}) v^2 \\
 (22) \quad &= o(n^{-1}) + o(n^{-\frac{3}{2}}) \sum_{v=2}^{\frac{n}{2}} v l_{vn}(x) + o(1) \\
 &= o(n^{-1}) + o(n^{-\frac{3}{2}}) \left(\sum_{v=2}^{\frac{n}{2}} v^2 \right)^{\frac{1}{2}} \left(\sum_{v=2}^{\frac{n}{2}} l_{vn}^2(x) \right)^{\frac{1}{2}} + o(1) = o(1).
 \end{aligned}$$

In the same way we can prove

$$(23) \quad \sum_{v=\frac{n}{2}+1}^n [f(x_{vn}) - \Phi_n(x_{vn})] u_{vn}(x) = o(1)$$

and

$$(24) \quad \sum_{v=\frac{n}{2}+1}^n [\gamma_{vn} - \Phi_n'''(x_{vn})] w_{vn}(x) = o(1).$$

Hence (20), (21), (22), (23) and (24) complete the proof of our theorem.

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