

ON THE COEFFICIENTS OF A CONTINUED FRACTION OF RAMANUJAN

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Dedicated to my mother Pushpa Srivastava

ABSTRACT. On expanding Ramanujan's continued fraction in power series, we observe that the sign of the coefficients is periodic with period 4. We also give a combinatorial interpretation for the coefficients.

1. Introduction

Thirty years ago, Szekeres noticed that if we expand the celebrated Rogers–Ramanujan continued fraction,

$$R(q) = 1 + \frac{q}{1+} \frac{q^2}{1+} \frac{q^3}{1+\dots}$$

in a series

$$R(q) = 1 + q - q^3 + q^5 + q^6 - q^7 - 2q^8 + 2q^{10} + 2q^{11} - q^{12} - 3q^{13} - q^{14} + 3q^{15} + \dots$$

the sign of the coefficients is periodic with period 5, after some terms.

Berndt [6] explained that at times the term $q^{1/5}$ appears in the definition of $R(q)$, as $R(q)$ belongs to theta functions and $R(q)$'s modular properties are more symmetric and elegant with the term $q^{1/5}$. However, there are also occasions when the factor $q^{1/5}$ is not helpful and the representation of $R(q)$ defined above is used. [5, p. 77 et seq.] and [1, 11] contains details of the further work stemming from the Rogers–Ramanujan continued fraction. Andrews [4] gave a combinatorial interpretations of the coefficients in the power series expansion of $R(q)$ and gave a proof of Szekeres's observation. Hirschhorn [8] gave another proof of the periodicity of the sign of the coefficients and the combinatorial interpretations for the coefficients. In this paper, we consider another continued fraction of Ramanujan, $C(q)$.

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The $C(q)$ continued fraction of Ramanujan is defined as:

$$C(q) = \frac{1}{1+} \frac{(1+q)}{1+} \frac{q^2}{1+} \frac{(q+q^3)}{1+} \frac{q^4}{1+\dots} = \frac{(q^2; q^4)_\infty^2}{(q; q^4)_\infty (q^3; q^4)_\infty}$$

We have studied $C(q)$ in detail in my earlier papers [9, 10], where we found that $C(q)$ has properties analogous to $R(q)$. In this paper, we expand $C(q)$ as a power series and show that the sign of the coefficients is periodic with period 4. In addition, we also give the combinatorial interpretation for the coefficients. Recent papers [11, 12] also give interesting combinatorial interpretations, which can be used for further research.

We shall be using the following standard notations: For $|q| < 1$,

$$\begin{aligned} (a; q^k)_0 &= 1, \quad (a; q^k)_n = \prod_{j=1}^n (1 - aq^{k(j-1)}), \quad (a; q^k)_\infty = \prod_{j=1}^{\infty} (1 - aq^{k(j-1)}), \\ (a_1, a_2, \dots, a_r; q^k)_n &= (a_1; q^k)_n (a_2; q^k)_n \dots (a_r; q^k)_n, \\ (q^{a_1, q^{a_2}, \dots, q^{a_k}}; q^b)_\infty &= \frac{(q^{a_1}, q^{a_2}, \dots, q^{a_k}; q^b)_\infty}{(q^{b_1}, q^{b_2}, \dots, q^{b_k}; q^b)_\infty}. \end{aligned}$$

We shall be using the quintuple product identity in the form [8, p. 525]

$$(1.1) \quad \left(\begin{matrix} a^2q, & a^{-2}, & q \\ aq, & a^{-1}, & \end{matrix}; q \right)_\infty = \sum_{n=-\infty}^{\infty} (-1)^n a^{3n} q^{\frac{3n^2+n}{2}} + \sum_{n=-\infty}^{\infty} (-1)^n a^{3n-1} q^{\frac{3n^2-n}{2}}.$$

Making $q \rightarrow q^2$ and writing $-a$ for a , we have

$$(1.2) \quad \left(\begin{matrix} a^2q^2, & a^{-2}, & q^2 \\ -aq^2, & -a^{-1}, & \end{matrix}; q^2 \right)_\infty = \sum_{n=-\infty}^{\infty} a^{3n} q^{3n^2+n} - \sum_{n=-\infty}^{\infty} a^{3n-1} q^{3n^2-n}.$$

We prove the following lemma which will be used later

$$\text{LEMMA 1.1. We have } C(q) = \frac{1}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} (-1)^n q^{6n^2+n} (1 + q^{4n+1}) \right].$$

PROOF. Making $q \rightarrow q^4$ and putting $a = 1/q$ in (1.1), we have

$$\begin{aligned} \frac{(q^2; q^4)_\infty^2}{(q; q^4)_\infty (q^3; q^4)_\infty} &= \frac{1}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} (-1)^n q^{6n^2-n} + \sum_{n=-\infty}^{\infty} (-1)^n q^{6n^2-5n+1} \right] \\ &= \frac{1}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} (-1)^n q^{6n^2+n} + \sum_{n=-\infty}^{\infty} (-1)^n q^{6n^2+5n+1} \right] \\ &= \frac{1}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} (-1)^n q^{6n^2+n} (1 + q^{4n+1}) \right], \end{aligned}$$

which is the lemma. □

2. Sign of the coefficients

We shall prove the following theorem and show that the sign of the coefficients is periodic of period 4.

THEOREM 2.1. *We have*

$$\begin{aligned}
 C(q) &= \frac{1}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} q^{96n^2-4n} - \sum_{n=-\infty}^{\infty} q^{96n^2-68n+12} \right] \\
 &+ \frac{q}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} q^{96n^2+20n} - \sum_{n=-\infty}^{\infty} q^{96n^2-44n+4} \right] \\
 &- \frac{q^2}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} q^{96n^2-28n} - \sum_{n=-\infty}^{\infty} q^{96n^2-92n+20} \right] \\
 &- \frac{q^7}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} q^{96n^2-52n} - \sum_{n=-\infty}^{\infty} q^{96n^2-116n+28} \right].
 \end{aligned}$$

PROOF. In Lemma 1.1, we break the summation into four parts according to the residue modulo 4 of n , by replacing n by $4n, 4n + 1, 4n - 2, 4n - 1$, to get

$$\begin{aligned}
 C(q) &= \frac{1}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} q^{96n^2-4n} + \sum_{n=-\infty}^{\infty} q^{96n^2-20n+1} \right] \\
 &- \frac{1}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} q^{96n^2+52n+7} + \sum_{n=-\infty}^{\infty} q^{96n^2-68n+12} \right] \\
 &+ \frac{1}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} q^{96n^2-92n+22} + \sum_{n=-\infty}^{\infty} q^{96n^2-116n+35} \right] \\
 &- \frac{1}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} q^{96n^2+44n+5} + \sum_{n=-\infty}^{\infty} q^{96n^2-28n+2} \right].
 \end{aligned}$$

In some sums we have shifted the index of summation. We regroup these eight sums into pairs according to the residue modulo 4 of the powers to get

$$\begin{aligned}
 (2.1) \quad C(q) &= \frac{1}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} q^{96n^2-4n} - \sum_{n=-\infty}^{\infty} q^{96n^2-68n+12} \right] \\
 (2.2) \quad &+ \frac{q}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} q^{96n^2+20n} - \sum_{n=-\infty}^{\infty} q^{96n^2-44n+4} \right] \\
 (2.3) \quad &- \frac{q^2}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} q^{96n^2-28n} - \sum_{n=-\infty}^{\infty} q^{96n^2-92n+20} \right] \\
 (2.4) \quad &- \frac{q^7}{(q^4; q^4)_\infty} \left[\sum_{n=-\infty}^{\infty} q^{96n^2-52n} - \sum_{n=-\infty}^{\infty} q^{96n^2-116n+28} \right].
 \end{aligned}$$

The theorem follows from (2.1)–(2.4). □

We now sum each pair of summation in (2.1)–(2.4) by quintuple product identity (1.2) by making $q \rightarrow q^{32}$ and taking $a = q^{-12}$ for (2.1), $q \rightarrow q^{32}$, $a = q^{-4}$ for (2.2), $q \rightarrow q^{32}$, $a = q^{-20}$ for (2.3), $q \rightarrow q^{32}$, $a = q^{-28}$ for (2.4), to get

$$C(q) = \frac{(q^{64}; q^{64})_\infty}{(q^4; q^4)_\infty} \left[\begin{array}{l} \left(\begin{array}{cc} q^{40}, & q^{24} \\ -q^{52}, & -q^{12}; q^{64} \end{array} \right)_\infty + q \left(\begin{array}{cc} q^{56}, & q^8 \\ -q^{60}, & -q^4; q^{64} \end{array} \right)_\infty \\ -q^2 \left(\begin{array}{cc} q^{24}, & q^{40} \\ -q^{44}, & -q^{20}; q^{64} \end{array} \right)_\infty - q^7 \left(\begin{array}{cc} q^8, & q^{56} \\ -q^{36}, & -q^{28}; q^{64} \end{array} \right)_\infty \end{array} \right].$$

If we define $C(q) = \sum_{n=0}^{\infty} c(n)q^n$, then

(2.5)

$$\sum_{n=0}^{\infty} c(4n)q^n = 1/(q^1, q^2, q^4, q^5, q^7, q^8, q^9, q^{11}, q^{12}, q^{14}, q^{15}; q^{16})_\infty (q^6, q^{26}; q^{32})_\infty,$$

(2.6)

$$\sum_{n=0}^{\infty} c(4n+1)q^n = 1/(q^3, q^4, q^5, q^6, q^7, q^8, q^9, q^{10}, q^{11}, q^{12}, q^{13}; q^{16})_\infty (q^2, q^{30}; q^{32})_\infty,$$

(2.7)

$$\sum_{n=0}^{\infty} c(4n+2)q^n = -1/(q^1, q^2, q^3, q^4, q^7, q^8, q^9, q^{12}, q^{13}, q^{14}, q^{15}; q^{16})_\infty (q^{10}, q^{22}; q^{32})_\infty,$$

(2.8)

$$\sum_{n=0}^{\infty} c(4n+3)q^n = -q/(q^1, q^3, q^4, q^5, q^6, q^8, q^{10}, q^{11}, q^{12}, q^{13}, q^{15}; q^{16})_\infty (q^{14}, q^{18}; q^{32})_\infty.$$

From (2.5)–(2.8), it follows that $c(4n) > 0$, $c(4n+1) > 0$, $c(4n+2) < 0$ and $c(4n+3) < 0$ which shows that, apart from some coefficients in the beginning, the sign of the coefficients in the expansion of $C(q)$ is periodic with period 4.

3. Combinatorial Interpretation

We give the following combinatorial interpretations for the coefficients.

$c(4n)$ is the number of partitions of n into parts which are $\pm 1, \pm 2, \pm 4, \pm 5, \pm 7, \pm 8 \pmod{16}$ and $\pm 6 \pmod{32}$.

$c(4n+1)$ is the number of partitions of n into parts which are $\pm 3, \pm 4, \pm 5, \pm 6, \pm 7, \pm 8 \pmod{16}$ and $\pm 2 \pmod{32}$.

$c(4n+2)$ is the number of partitions of n into parts which are $\pm 1, \pm 2, \pm 3, \pm 4, \pm 7, \pm 8 \pmod{16}$ and $\pm 10 \pmod{32}$.

$c(4n+3)$ is the number of partitions of $n-1$ into parts which are $\pm 1, \pm 3, \pm 4, \pm 5, \pm 6, \pm 8 \pmod{16}$ and $\pm 14 \pmod{32}$.

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