

## DRAZIN INVERSES OF OPERATORS WITH RATIONAL RESOLVENT

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ABSTRACT. Let  $A$  be a bounded linear operator on a Banach space such that the resolvent of  $A$  is rational. If  $0$  is in the spectrum of  $A$ , then it is well known that  $A$  is Drazin invertible. We investigate spectral properties of the Drazin inverse of  $A$ . For example we show that the Drazin inverse of  $A$  is a polynomial in  $A$ .

### 1. Introduction and terminology

In this paper  $X$  is always a complex Banach space and  $\mathcal{L}(X)$  the Banach algebra of all bounded linear operators on  $X$ . For  $A \in \mathcal{L}(X)$  we write  $N(A)$  for its kernel and  $A(X)$  for its range. We write  $\sigma(A)$ ,  $\rho(A)$  and  $R_\lambda(A)$  for the spectrum, the resolvent set and the resolvent operator  $(A - \lambda)^{-1}$  ( $\lambda \notin \sigma(A)$ ) of  $A$ , respectively. The ascent of  $A$  is denoted by  $\alpha(A)$  and the descent of  $A$  is denoted by  $\delta(A)$ .

An operator  $A \in \mathcal{L}(X)$  is *Drazin invertible* if there is  $C \in \mathcal{L}(X)$  such that

(i)  $CAC = C$ , (ii)  $AC = CA$  and (iii)  $A^{\nu+1}C = A^\nu$  for nonnegative integer  $\nu$ .

In this case  $C$  is uniquely determined (see [2]) and is called the *Drazin inverse* of  $A$ . The smallest nonnegative integer  $\nu$  such that (iii) holds is called the *index*  $i(A)$  of  $A$ . Observe that

$$0 \in \rho(A) \Leftrightarrow A \text{ is Drazin invertible and } i(A) = 0.$$

The following proposition tells us exactly which operators are Drazin invertible with index  $> 0$ :

1.1. PROPOSITION. *Let  $A \in \mathcal{L}(X)$  and let  $\nu$  be a positive integer. Then the following assertions are equivalent:*

- (1)  $A$  is Drazin invertible and  $i(A) = \nu$ .
- (2)  $\alpha(A) = \delta(A) = \nu$ .
- (3)  $R_\lambda(A)$  has a pole of order  $\nu$  at  $\lambda = 0$ .

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PROOF. [2, § 5.2] and [3, Satz 101.2].  $\square$

The next result we will use frequently in our investigations.

1.2. PROPOSITION. *Suppose that  $A \in \mathcal{L}(X)$  is Drazin invertible,  $i(A) = \nu \geq 1$ ,  $P$  is the spectral projection of  $A$  associated with the spectral set  $\{0\}$  and that  $C$  is the Drazin inverse of  $A$ . Then*

$$\begin{aligned} P &= I - AC, & N(C) &= N(A^\nu) = P(X), \\ C(X) &= N(P) = A^\nu(X), \\ C &\text{ is Drazin invertible, } & i(C) &= 1, \\ ACA &\text{ is the Drazin inverse of } C, \\ 0 &\in \sigma(C) \text{ and } \sigma(C) \setminus \{0\} &= \{\frac{1}{\lambda} : \lambda \in \sigma(A) \setminus \{0\}\}. \end{aligned}$$

PROOF. We have  $P = I - AC$ ,  $N(A^\nu) = P(X)$  and  $\sigma(C) \setminus \{0\} = \{\frac{1}{\lambda} : \lambda \in \sigma(A) \setminus \{0\}\}$  by [2, § 52]. It is clear that  $0 \in \sigma(C)$ . From Proposition 1.1 and [3, Satz 101.2] we get  $N(P) = A^\nu(X)$ . If  $x \in X$  then  $Cx = 0 \Leftrightarrow Px = x$ , hence  $N(C) = P(X)$ . From  $P = I - AC = I - CA$  it is easily seen that  $N(P) = C(X)$ . Let  $B = ACA$ . Then

$$\begin{aligned} C^2B &= CBC = CACAC = CAC = C, \\ CB &= CACA = ACAC = BC \\ BCB &= ACACACA = ACACA = ACA = B. \end{aligned}$$

This shows that  $C$  is Drazin invertible,  $B$  is the Drazin inverse of  $C$  and that  $i(C) = 1$ .  $\square$

Now we introduce the class of operators which we will consider in this paper. We say that  $A \in \mathcal{L}(X)$  has a *rational resolvent* if

$$R_\lambda(A) = \frac{P(\lambda)}{q(\lambda)}$$

where  $P(\lambda)$  is a polynomial with coefficients in  $\mathcal{L}(X)$ ,  $q(\lambda)$  is polynomial with coefficients in  $\mathbb{C}$  and where  $P$  and  $q$  have no common zeros. We use the symbol  $\mathcal{F}(X)$  to denote the subclass of  $\mathcal{L}(X)$  consisting of those operators whose resolvent is rational. For  $A \in \mathcal{L}(X)$  let  $\mathcal{H}(A)$  be the set of all functions  $f : \Delta(f) \rightarrow \mathbb{C}$  such that  $\Delta(f)$  is an open set in  $\mathbb{C}$ ,  $\sigma(A) \subseteq \Delta(f)$  and  $f$  is holomorphic on  $\Delta(f)$ . For  $f \in \mathcal{H}(A)$  the operator  $f(A) \in \mathcal{L}(X)$  is defined by the usual operational calculus (see [3] or [4]).

The following proposition collects some properties of operators in  $\mathcal{F}(X)$ . An operator  $A \in \mathcal{L}(X)$  is called *algebraic* if  $p(A) = 0$  for some nonzero polynomial  $p$ .

1.3. PROPOSITION. *Let  $A \in \mathcal{L}(X)$ . Then*

- (1)  $A \in \mathcal{F}(X)$  if and only if  $\sigma(A)$  consists of a finite number of poles of  $R_\lambda(A)$ .
- (2)  $A \in \mathcal{F}(X)$  if and only if  $A$  is algebraic.
- (3) If  $\dim A(X) < \infty$ , then  $A \in \mathcal{F}(X)$ .

- (4) If  $A \in \mathcal{F}(X)$  and  $f \in \mathcal{H}(A)$ , then  $f(A) = p(A)$  for some polynomial  $p$ .
- (5) If  $A \in \mathcal{F}(X)$ , the  $p(A) \in \mathcal{F}(X)$  for every polynomial  $p$ .

PROOF. [4, Chapter V.11] □

1.4. COROLLARY. Suppose that  $A \in \mathcal{F}(X)$  and  $0 \in \rho(A)$ . Then  $A^{-1} \in \mathcal{F}(X)$  and  $A^{-1}$  is a polynomial in  $A$ .

PROOF. Define the function  $f : \mathbb{C} \setminus \{0\} \rightarrow \mathbb{C}$  by  $f(\lambda) = \lambda^{-1}$ . Then  $f \in \mathcal{H}(A)$  and  $f(A) = A^{-1}$ . Now apply Proposition 1.3 (4) and (5). □

REMARK. That  $A \in \mathcal{F}(X)$  and  $0 \in \rho(A)$  implies  $A^{-1} \in \mathcal{F}(X)$  is also shown in [1, Theorem 2]. In Section 2 we will give a further proof of this fact.

## 2. Drazin inverses of operators in $\mathcal{F}(X)$

Throughout this section  $A$  will be an operator in  $\mathcal{F}(X)$  and  $\sigma(A) = \{\lambda_1, \dots, \lambda_k\}$ , where  $\lambda_1, \dots, \lambda_k$  are the distinct poles of  $R_\lambda(A)$  of orders  $m_1, \dots, m_k$  (see Proposition 1.3 (1)).

Recall that  $m_j = \alpha(A - \lambda_j) = \delta(A - \lambda_j)$  ( $j = 1, \dots, k$ ). Let

$$(2.1) \quad m_A(\lambda) = (\lambda - \lambda_1)^{m_1} \cdots (\lambda - \lambda_k)^{m_k}.$$

By [4, Theorem V.10.7],

$$m_A(A) = 0.$$

The polynomial  $m_A$  is called the *minimal polynomial* of  $A$ . It follows from [4, Theorem V.10.7] that  $m_A$  divides any other polynomial  $p$  such that  $p(A) = 0$ . In what follows we always assume that  $m_A$  has degree  $n$ , thus  $n = m_1 + \cdots + m_k$  and that  $m_A$  has the representations (2.1) and

$$(2.2) \quad m_A(\lambda) = a_0 + a_1\lambda + a_2\lambda^2 + \cdots + a_{n-1}\lambda^{n-1} + \lambda^n.$$

Observe that

$$0 \in \rho(A) \Leftrightarrow a_0 \neq 0$$

and that

$$0 \text{ is a pole of order } \nu \geq 1 \text{ of } R_\lambda(A) \Leftrightarrow a_0 = \cdots = a_{\nu-1} = 0 \text{ and } a_\nu \neq 0.$$

Now we are in a position to state our first result. Recall from Proposition 1.1 that if  $\lambda_0 \in \sigma(A)$ , then  $A - \lambda_0$  is Drazin invertible.

2.1. THEOREM. If  $\lambda_0 \in \sigma(A)$  and if  $C$  is the Drazin inverse of  $A - \lambda_0$ , then there is a scalar polynomial  $p$  such that  $C = p(A)$ .

PROOF. Without loss of generality we can assume that  $\lambda_0 = \lambda_1 = 0$ . Let  $\nu = m_1$ . Then we have

$$m_A(\lambda) = a_\nu\lambda^\nu + a_{\nu+1}\lambda^{\nu+1} + \cdots + \lambda^{n-1} + \lambda^n$$

and that  $a_\nu \neq 0$ . Let

$$q_1(\lambda) = -\frac{1}{a_\nu}(a_{\nu+1} + a_{\nu+2}\lambda + \cdots + \lambda^{n-(\nu+1)}).$$

Then

$$\begin{aligned} A^{\nu+1}q_1(A) &= -\frac{1}{a_\nu}(a_{\nu+1}A^{\nu+1} + a_{\nu+2}A^{\nu+2} + \cdots + A^n) \\ &= -\frac{1}{a_\nu}(m_A(A) - a_\nu A^\nu) = A^\nu. \end{aligned}$$

Let  $B = q_1(A)$ . Then  $A^{\nu+1}B = A^\nu$  and  $BA = AB$ . For the Drazin inverse  $C$  we have

$$A^{\nu+1}C = A, \quad CAC = C \quad \text{and} \quad CA = AC.$$

Thus

$$A^{\nu+1}(B - C) = A^{\nu+1}B - A^{\nu+1}C = A^\nu - A^\nu = 0$$

This shows that  $(B - C)(X) \subseteq N(A^{\nu+1})$ . By Proposition 1.1,  $\alpha(A) = \nu$ , thus  $(B - C)(X) \subseteq N(A^\nu)$ , therefore  $(B - C)(X) \subseteq P_1(X)$ , where  $P_1$  denotes the spectral projection of  $A$  associated with the spectral set  $\{0\}$  (see Proposition 1.2). Since  $P_1 = I - AC = I - CA$ , it follows that

$$\begin{aligned} B - C &= P_1(B - C) = P_1B - P_1C = P_1B - (I - CA)C \\ &= P_1B - C + CAC = P_1B, \end{aligned}$$

thus  $C = B - P_1B$ . We have  $P_1 = f(A)$  for some  $f \in \mathcal{H}(A)$ . By Proposition 1.3 (4),  $f(A) = q_2(A)$  for some polynomial  $q_2$ . Now define the polynomial  $p$  by  $p = q_1 - q_2q_1$ . It results that

$$p(A) = q_1(A) - q_2(A)q_1(A) = B - P_1B = C. \quad \square$$

**2.2. COROLLARY.** *If  $\lambda_0 \in \sigma(A)$  and if  $C$  is the Drazin inverse of  $A - \lambda_0$ , then  $C \in \mathcal{F}(X)$ .*

**PROOF.** Theorem 2.1 and Proposition 1.3 (5).  $\square$

**2.3. COROLLARY.** *Let  $A$  be a complex square matrix and  $\lambda_0$  a characteristic value of  $A$ . Then the Drazin inverse of  $A - \lambda_0$  is a polynomial in  $A$ .*

**PROOF.** Theorem 2.1 and Proposition 1.3 (3).  $\square$

Let  $T \in \mathcal{L}(X)$ . An operator  $S \in \mathcal{L}(X)$  is called a *pseudo inverse* of  $T$  provided that  $TST = T$ . In general the set of all pseudo inverses of  $T$  is infinite and this set consists of all operators of the form  $STS + U - STUTS$ , where  $U \in \mathcal{L}(X)$  is arbitrary (see [2, Theorem 2.3.2]). Observe that if  $T$  is Drazin invertible with  $i(T) = 1$ , then the Drazin inverse of  $T$  is a pseudo inverse of  $T$ .

**2.4. COROLLARY.** *If  $\lambda_0 \in \sigma(A)$ , then the following assertions are equivalent:*

- (1)  $\lambda_0$  is a simple pole of  $R_\lambda(A)$ ;
- (2) there is a pseudo inverse  $B$  of  $A - \lambda_0$  such that  $B(A - \lambda_0) = (A - \lambda_0)B$ ;
- (3) there is a polynomial  $p$  such that  $p(A)$  is a pseudo inverse of  $A - \lambda_0$ .

PROOF. (1)  $\Leftrightarrow$  (2): Proposition 1.1.

(1) $\Rightarrow$ (3): We can assume that  $\lambda_0 = 0$ . Let  $q_1$  and  $B$  as in the proof of Theorem 2.1. Then  $A^2B = A$  and  $AB = BA$ , hence  $ABA = A$ .

(3)  $\Rightarrow$  (1): Again we can assume that  $\lambda_0 = 0$ . With  $B = p(A)$  we have  $ABA = A$  and  $AB = BA$ . Set  $C = BAB$ ; then  $ACA = A$ ,  $CAC = C$  and  $AC = CA$ . This shows that  $C$  is the Drazin inverse of  $A$  and that  $i(A) = 1$ . By Proposition 1.1,  $\lambda_0 = 0$  is a simple pole of  $R_\lambda(A)$ .  $\square$

2.5. COROLLARY. *Let  $X$  be a complex Hilbert space and suppose that  $N \in \mathcal{L}(X)$  is normal and that  $\sigma(N)$  is finite. We have:*

- (1)  $N \in \mathcal{F}(X)$ ,
- (2) If  $\lambda_0 \in \sigma(N)$ , then there is a polynomial  $p$  such that

$$(N - \lambda_0)p(N)(N - \lambda_0) = N - \lambda_0.$$

PROOF. By [3, Satz 111.2], each point in  $\sigma(N)$  is a simple pole of  $R_\lambda(N)$ , thus  $N \in \mathcal{F}(X)$ . Now apply Theorem 2.4.  $\square$

Our results suggest the following.

QUESTION. If  $A \in \mathcal{F}(X)$  and if  $B$  is a pseudo inverse such that  $AB = BA$ , does there exist a polynomial  $p$  with  $B = p(A)$ ?

The answer is negative:

EXAMPLE. Consider the square matrix

$$A = \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}.$$

It is easy to see that the minimal polynomial of  $A$  is given by  $m_A(\lambda) = \lambda^2 - 3\lambda = \lambda(\lambda - 3)$ , hence  $\sigma(A) = \{0, 3\}$  and  $A^2 = 3A$ . Let

$$B = \frac{1}{3} \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}.$$

Then  $AB = BA = \frac{1}{3}A$ , thus  $ABA = \frac{1}{3}A^2 = A$ , hence  $B$  is a pseudo inverse of  $A$ . Since  $A^2 = 3A$ , any polynomial in  $A$  has the form  $\alpha I + \beta A$  with  $\alpha, \beta \in \mathbb{C}$ . But there are no  $\alpha$  and  $\beta$  such that  $B = \alpha I + \beta A$ . An easy computation shows that the Drazin inverse of  $A$  is given by  $\frac{1}{9}A$  and that  $i(A) = 1$ .

If 0 is a simple pole of  $R_\lambda(A)$ , then we have seen in Theorem 2.4 that  $A$  has a pseudo inverse. If 0 is a pole of  $R_\lambda(A)$  of order  $\geq 2$ , then, in general  $A$  does not have a pseudo inverse, as the following example shows.

EXAMPLE. Let  $T \in \mathcal{L}(X)$  be any operator with  $T(X)$  not closed (of course  $X$  must be infinite dimensional). Define the operator  $A \in \mathcal{L}(X \oplus X)$  by the matrix

$$A = \begin{pmatrix} 0 & 0 \\ T & 0 \end{pmatrix}.$$

Then the range of  $A$  is not closed. By [2, Theorem 2.1],  $A$  has no pseudo inverse. From  $A^2 = 0$  it follows that  $A \in \mathcal{F}(X \oplus X)$  and that 0 is a pole of order 2 of  $R_\lambda(A)$ .

Now we return to the investigations of our operator  $A \in \mathcal{F}(X)$ . To this end we need the following propositions.

**2.6. PROPOSITION.** *Suppose that  $T \in \mathcal{L}(X)$ ,  $0 \in \rho(T)$ ,  $\lambda \in \mathbb{C} \setminus \{0\}$  and that  $k$  is a nonnegative integer. Then:*

- (1)  $N(T - \lambda)^k = N((T^{-1} - \frac{1}{\lambda})^k)$ ;
- (2)  $\alpha(T - \lambda) = \alpha(T^{-1} - \frac{1}{\lambda})$ .

**PROOF.** We only have to show that  $N((T - \lambda)^k) \subseteq N((T^{-1} - \frac{1}{\lambda})^k)$ . Take  $x \in N((T - \lambda)^k)$ . Then  $0 = (T - \lambda)^k x$ , thus  $0 = (T^{-1})^k (T - \lambda)^k x = (1 - \lambda T^{-1})^k x$ , hence  $x \in N((T^{-1} - \frac{1}{\lambda})^k)$ .  $\square$

**2.7. PROPOSITION.** *Suppose that  $T \in \mathcal{L}(X)$ ,  $0 \in \sigma(T)$ ,  $\lambda \in \mathbb{C} \setminus \{0\}$  and  $k$  is a nonnegative integer. Furthermore suppose that  $T$  is Drazin invertible and that  $C$  is the Drazin inverse of  $T$ . Then:*

- (1)  $N((T - \lambda)^k) = N((C - \frac{1}{\lambda})^k)$ ;
- (2)  $\alpha(T - \lambda) = \alpha(C - \frac{1}{\lambda})$ ;

**PROOF.** (2) follows from (1).

(1) Let  $\nu = i(T)$ . We use induction. First we show that  $N(T - \lambda) = N(C - \frac{1}{\lambda})$ . Let  $x \in N(T - \lambda)$ , then  $Tx = \lambda x$  and  $T^\nu x = \lambda^\nu x$ . We have

$$\lambda C^2 x = C^2 T x = C T C x = C x,$$

hence  $C(1 - \lambda C)x = 0$ , thus  $(1 - \lambda C)x \subseteq N(C)$ . By Proposition 1.2,  $N(C) = N(T^\nu)$ , therefore

$$0 = T^\nu(1 - \lambda C)x = (1 - \lambda C)T^\nu x = (1 - \lambda C)\lambda^\nu x,$$

therefore  $x \in N(C - \frac{1}{\lambda})$ . Now let  $x \in N(C - \frac{1}{\lambda})$ . From  $Cx = \frac{1}{\lambda}x$  we see that  $x \in C(X) = N(P)$ , where  $P$  is as in Proposition 1.2. From  $P = I - TC$  we get  $x = TCx = T(\frac{1}{\lambda}x)$ , thus  $Tx = \lambda x$ , hence  $x \in N(T - \lambda)$ . Now suppose that  $n$  is a positive integer and that

$$N((T - \lambda)^n) = N((C - \frac{1}{\lambda})^n).$$

Take  $x \in N((T - \lambda)^{n+1})$ . Then  $(T - \lambda)x \in N((T - \lambda)^n) = N((C - \frac{1}{\lambda})^n)$ , thus

$$0 = (C - \frac{1}{\lambda})^n (T - \lambda)x = (T - \lambda)(C - \frac{1}{\lambda})^n x.$$

This gives

$$(C - \frac{1}{\lambda})^n x \in N(T - \lambda) = N(C - \frac{1}{\lambda}),$$

therefore  $x \in N((C - \frac{1}{\lambda})^{n+1})$ . Similar arguments show that  $N((C - \frac{1}{\lambda})^{n+1}) \subseteq N((T - \lambda)^{n+1})$ .  $\square$

In what follows we use the notation of the beginning of this section. Recall that we have  $\sigma(A) = \{\lambda_1, \dots, \lambda_k\}$ . If  $0 \in \sigma(A)$ , then we always assume that  $\lambda_1 = 0$ , hence  $\sigma(A) \setminus \{0\} = \{\lambda_2, \dots, \lambda_k\}$ .

2.8. PROPOSITION.

- (1) If  $0 \in \rho(A)$ , then  $\sigma(A^{-1}) = \{\frac{1}{\lambda_1}, \dots, \frac{1}{\lambda_k}\}$ .
- (2) If  $0 \in \sigma(A)$  and if  $C$  is the Drazin inverse of  $A$ , then  $0 \in \sigma(C)$  and  $\sigma(C) \setminus \{0\} = \{\frac{1}{\lambda_2}, \dots, \frac{1}{\lambda_k}\}$ .

PROOF. (1) follows from the spectral mapping theorem.

(2) is a consequence of Proposition 1.2. □

For our next result recall from Corollary 1.4 that if  $0 \in \rho(A)$ , then  $A^{-1} \in \mathcal{F}(X)$ .

2.9. THEOREM. *Suppose that  $0 \in \rho(A)$ . Then*

- (1) *If the minimal polynomial  $m_A$  has the representation (2.1), then the minimal polynomial  $m_{A^{-1}}$  of  $A^{-1}$  is given by*

$$m_{A^{-1}}(\lambda) = \left(\lambda - \frac{1}{\lambda_1}\right)^{m_1} \cdots \left(\lambda - \frac{1}{\lambda_k}\right)^{m_k}.$$

- (2) *If the minimal polynomial  $m_A$  has the representation (2.2), then  $m_{A^{-1}}$  is given by*

$$m_{A^{-1}}(\lambda) = \frac{1}{a_0} + \frac{a_{n-1}}{a_0}\lambda + \cdots + \frac{a_1}{a_0}\lambda^{n-1} + \lambda^n.$$

PROOF. Proposition 2.6 shows that

$$\alpha(A^{-1} - \frac{1}{\lambda_j}) = \alpha(A - \lambda_j) = m_j \quad (j = 1, \dots, k),$$

thus (1) is shown. Furthermore  $m_{A^{-1}}$  has degree  $m_1 + \cdots + m_k = n$ . Now define the polynomial  $q$  by

$$q(\lambda) = \frac{1}{a_0} + \frac{a_{n-1}}{a_0}\lambda + \cdots + \frac{a_1}{a_0}\lambda^{n-1} + \lambda^n.$$

Then

$$\begin{aligned} a_0 A^n q(A^{-1}) &= A^n (a_0 (A^{-1})^n + a_1 (A^{-1})^{n-1} + \cdots + a_{n-1} A^{-1} + 1) \\ &= m_A(A) = 0. \end{aligned}$$

Since  $a_0 \neq 0$  and  $0 \in \rho(A)$ , it results that  $q(A^{-1}) = 0$ . Because of degree of  $q = n =$  degree of  $m_{A^{-1}}$ , we get  $q = m_{A^{-1}}$ . □

REMARK. The proof just given shows that there is a polynomial  $q$  such that  $q(A^{-1}) = 0$ . Therefore we have a simple proof for the fact that  $A^{-1} \in \mathcal{F}(X)$ .

2.10. THEOREM. *Suppose that  $0 \in \sigma(A)$  and that  $0$  is a pole of  $R_\lambda(A)$  of order  $\nu \geq 1$ . Let  $C$  denote the Drazin inverse of  $A$  (recall from Corollary 2.2 that  $C \in \mathcal{F}(X)$ ).*

- (1) *If  $m_A$  has the representation (2.1), then*

$$m_C(\lambda) = \lambda \left(\lambda - \frac{1}{\lambda_2}\right)^{m_2} \cdots \left(\lambda - \frac{1}{\lambda_k}\right)^{m_k}.$$

- (2) *If  $m_A$  has the representation (2.2), then*

$$m_C(\lambda) = \frac{1}{a_\nu}\lambda + \frac{a_{n-1}}{a_\nu}\lambda^2 + \cdots + \frac{a_{\nu+1}}{a_\nu}\lambda^{n+1-(\nu+1)} + \lambda^{n+1-\nu}.$$

PROOF. Proposition 2.7 gives

$$\alpha(C - \frac{1}{\lambda_j}) = \alpha(A - \lambda_j) = m_j \quad (j = 2, \dots, k).$$

By Proposition 1.1 and Proposition 1.2,  $\alpha(C) = 1$ . Thus (1) is valid. We have

$$m_A(\lambda) = a_\nu \lambda^\nu + a_{\nu+1} \lambda^{\nu+1} + \dots + a_{n-1} \lambda^{n-1} + \lambda^n,$$

hence

$$(2.3) \quad 0 = m_A(A) = a_\nu A^\nu + a_{\nu+1} A^{\nu+1} + \dots + a_{n-1} A^{n-1} + A^n.$$

If  $\nu \leq l \leq n$ , then

$$\begin{aligned} C^{n+1} A^l &= C^{n+1} C^l A^l = C^{n+1-l} (CA)^l \\ &= C^{n+1-l} CA = C^{n-l} CAC = C^{n+1-l}. \end{aligned}$$

Then multiplying (2.3) by  $C^{n+1}$ , it follows that

$$0 = a_\nu C^{n+1-\nu} + a_{\nu+1} C^{n+1-(\nu+1)} + \dots + a_{n-1} C^2 + C.$$

Now define the polynomial  $q$  by

$$q(\lambda) = \frac{1}{a_\nu} \lambda + \frac{a_{n-1}}{a_\nu} \lambda^2 + \dots + \frac{a_{\nu+1}}{a_\nu} \lambda^{n+1-(\nu+1)} + \lambda^{n+1-\nu}.$$

Then  $q(C) = 0$ . Since degree of  $q = n+1-\nu = 1+m_2+\dots+m_k = \text{degree of } m_C$ , we get  $q = m_C$ .  $\square$

2.11. COROLLARY. *With the notation in Theorem 2.10 we have*

$$C(A - \lambda_2)^{m_2} \dots (A - \lambda_k)^{m_k} = 0.$$

PROOF. Let  $D = (A - \lambda_2)^{m_2} \dots (A - \lambda_k)^{m_k}$ . From  $A^\nu D = m_A(A) = 0$  we see that  $D(X) \subseteq N(A^\nu)$ . Since  $N(A^\nu) = N(C)$  (Proposition 1.2),  $CD = 0$ .  $\square$

NOTATION.  $X^*$  denotes the dual space of  $X$  and we write  $T^*$  for the adjoint of an operator  $T \in \mathcal{L}(X)$ . Recall from [4, Theorem IV. 8.4] that

$$(2.4) \quad \overline{T(X)} = N(T^*)^\perp \quad (T \in \mathcal{L}(X)).$$

2.12. PROPOSITION. *Suppose that  $T \in \mathcal{L}(X)$ ,  $\lambda \in \mathbb{C} \setminus \{0\}$  and that  $j$  is a nonnegative integer. Then*

- (1) *If  $0 \in \rho(T)$ , then  $(T - \lambda)^j(X) = (T^{-1} - \frac{1}{\lambda})^j(X)$ .*
- (2) *If  $0 \in \sigma(T)$ , if  $T$  is Drazin invertible and if  $C$  denotes the Drazin inverse of  $T$ , then  $\overline{(T - \lambda)^j(X)} = \overline{(C - \frac{1}{\lambda})^j(X)}$ .*

PROOF. (1) Let  $y = (T - \lambda)^j x \in (T - \lambda)^j(x) \quad (x \in X)$ . Then

$$\begin{aligned} (T^{-1} - \frac{1}{\lambda})^j T^j x &= ((T^{-1} - \frac{1}{\lambda})T)^j x = (1 - \frac{T}{\lambda})^j x \\ &= \frac{(-1)^j}{\lambda^j} (T - \lambda)^j x = \frac{(-1)^j}{\lambda^j} y, \end{aligned}$$

therefore  $y \in (T^{-1} - \frac{1}{\lambda})^j(X)$ .

(2) Let  $\nu = i(T)$ . Then  $T^{\nu+1}C = T^\nu$ ,  $TC = CT$  and  $CTC = C$ . Hence

$$(T^*)^{\nu+1}C^* = (T^*)^\nu, \quad T^*C^* = C^*T^* \quad \text{and} \quad C^*T^*C^* = C^*.$$



Thus  $T^*$  is Drazin invertible and  $C^*$  is the Drazin inverse of  $T^*$ . By Proposition 2.7,

$$N((T^* - \lambda)^j) = N((C^* - \frac{1}{\lambda})^j),$$

therefore the result follows in view of (2.4).  $\square$

2.13. COROLLARY.

- (1) If  $0 \in \rho(A)$ , then  $(A - \lambda_j)^{m_j}(X) = (A^{-1} - \frac{1}{\lambda_j})^{m_j}(X)$  ( $j = 1, \dots, k$ ).
- (2) If  $0 \in \sigma(A)$  is a pole of order  $\nu \geq 1$  of  $R_\lambda(A)$  and if  $C$  is the Drazin inverse of  $A$ , then  $A^\nu(X) = C(X)$  and

$$(A - \lambda_j)^{m_j}(X) = (C - \frac{1}{\lambda_j})^{m_j}(X) \quad (j = 2, \dots, k).$$

PROOF. (1) is a consequence of Proposition 2.12.

(2) That  $A^\nu(X) = C(X)$  is a consequence of Proposition 1.2. Now let  $j \leq \{2, \dots, k\}$ . Because of Proposition 1.1 and Theorem 2.10 we see that

$$\alpha(C - \frac{1}{\lambda_j}) = \delta(C - \frac{1}{\lambda_j}) = m_j = \alpha(A - \lambda_j) = \delta(A - \lambda_j).$$

By [3, Satz 101.2], the subspaces  $(A - \lambda_j)^{m_j}(X)$  and  $(C - \frac{1}{\lambda_j})^{m_j}(X)$  are closed. Now apply Proposition 2.12.  $\square$

For  $j = 1, \dots, k$  let  $P_j$  denote the spectral projection of  $A$  associated with the spectral set  $\{\lambda_j\}$ . Observe that

$$P_i P_j = 0 \quad \text{for } i \neq j \quad \text{and} \quad P_1 + \dots + P_k = 1.$$

If  $0 \in \rho(A)$ , then denote by  $Q_j$  the spectral projection of  $A^{-1}$  associated with the spectral set  $\{\frac{1}{\lambda_j}\}$  ( $j = 1, \dots, k$ ). If  $0 \in \sigma(A)$  and if  $C$  is the Drazin inverse, then denote by  $Q_1$  the spectral projection of  $C$  associated with the spectral set  $\{0\}$  and by  $Q_j$  the spectral projection of  $C$  associated with the spectral set  $\{\frac{1}{\lambda_j}\}$  ( $j = 2, \dots, k$ ).

2.14. COROLLARY.  $P_j = Q_j$  ( $j = 1, \dots, k$ ).

PROOF. By [3, Satz 101.2], we have

$$P_j(X) = N((A - \lambda_j)^{m_j}) \quad \text{and} \quad N(P_j) = (A - \lambda_j)^{m_j}(X)$$

( $j = 1, \dots, k$ ). If  $0 \in \rho(A)$ , then

$$Q_j(X) = N((A^{-1} - \frac{1}{\lambda_j})^{m_j}) \quad \text{and} \quad N(Q_j) = (A^{-1} - \frac{1}{\lambda_j})^{m_j}(X)$$

( $j = 1, \dots, k$ ). Now apply Proposition 2.6 and Corollary 2.13 (1) to get

$$P_j(X) = Q_j(X) \quad \text{and} \quad N(P_j) = N(Q_j),$$

hence  $P_j = Q_j$  ( $j = 1, \dots, k$ ).

Now let  $0 \in \sigma(A)$ . By Proposition 1.2, Proposition 2.7, Corollary 2.13 (2) and [3, Satz 101.2], we derive

$$P_1(X) = N(C) = Q_1(X), \quad N(P_1) = C(X) = N(Q_1),$$

$$P_j(X) = N((C - \frac{1}{\lambda_j})^{m_j}) = Q_j(X)$$

$$N(P_j) = (C - \frac{1}{\lambda_j})^{m_j}(X) = N(Q_j)$$

( $j = 2, \dots, k$ ). Hence  $P_j = Q_j$  ( $j = 1, \dots, k$ ).  $\square$

For  $A$  we have the representation  $A = \sum_{j=1}^k \lambda_j P_j + N$ , where  $N \in \mathcal{L}(X)$  is nilpotent and  $N = \sum_{j=1}^k (A - \lambda_j) P_j$  (see [4, Chapter V. 11]). If  $p = \max\{m_1, \dots, m_k\}$ , then it is easily seen that  $N^p = 0$ . If  $A$  has only simple poles, then  $N = 0$ .

2.15. COROLLARY.

(1) If  $0 \in \rho(A)$ , then there is a nilpotent operator  $N_1 \in \mathcal{L}(X)$  with

$$A^{-1} = \sum_{j=1}^k \frac{1}{\lambda_j} P_j + N_1$$

(2) If  $0 \in \sigma(A)$  and if  $C$  is the Drazin inverse of  $A$ , then

$$C = \sum_{j=2}^k \frac{1}{\lambda_j} P_j + N_1, \quad \text{where } N_1 \in \mathcal{L}(X) \text{ is nilpotent.}$$

PROOF. Corollary 2.14.  $\square$

With the notation of Corollary 2.15 (2) we have  $AC = 1 - P_1$ ,  $CP_1 = 0$  (see Proposition 1.2) and

$$ACA = (1 - P_1) \left( \sum_{j=2}^k k \lambda_j P_j + N \right) = A - P_1 \left( \sum_{j=2}^k \lambda_j P_k + N \right) = A - P_1 N;$$

hence  $A = ACA + P_1 N$ ,  $P_1 N$  is nilpotent and

$$(ACA)P_1 N = ACP_1 AN = 0 = NACP_1 A = P_1 N(ACA).$$

Recall that  $ACA$  is the Drazin inverse of  $C$  and that  $i(ACA) = 1$ . The following more general result holds:

2.16. THEOREM. Suppose that  $T \in \mathcal{L}(X)$  is Drazin invertible,  $i(T) = \nu \geq 1$  and that  $C$  is the Drazin inverse of  $T$ . Then there is a nilpotent  $N \in \mathcal{L}(X)$  such that  $T = TCT + N$ ,  $N(TCT) = (TCT)N = 0$  and  $N^\nu = 0$ .

This decomposition is unique in the following sense: if  $S, N_1 \in \mathcal{L}(X)$ ,  $S$  is Drazin invertible,  $i(S) = 1$ ,  $N_1$  is nilpotent,  $N_1 S = SN_1 = 0$  and if  $T = S + N_1$ , then  $S = TCT$  and  $N = N_1$ .

PROOF. Let  $N = T - TCT$ ; then

$$\begin{aligned} N^\nu &= (T(1 - CT))^\nu = T^\nu(1 - CT)^\nu = T^\nu(1 - CT) \\ &= T^\nu - T^\nu CT = T^\nu - T^{\nu+1}C = T^\nu - T^\nu = 0. \end{aligned}$$

For the uniqueness of the decomposition we only have to show that  $S = TCT$ . There is  $R \in \mathcal{L}(X)$  such that  $SRS = S$ ,  $RSR = R$  and  $SR = RS$ . Consequently,

$$N_1 R = N_1 RSR = N_1 SR^2 = 0 = R^S SN_1 = RN_1,$$

hence

$$TR = (S + N_1)R = SR = RS = R(S + N_1) = RT.$$

Now let  $n$  be a nonnegative integer such that  $N_1^n = 0$ . Since  $SN_1 = 0 = N_1S$ , it follows that

$$T^n = (S + N_1)^n = S^n + N_1^n = S^n.$$

We can assume that  $n \geq \nu$ . Thus

$$T^{n+1}R = S^{n+1}R = S^{n-1}SRS = S^n = T^n.$$

Furthermore we have  $TR = RT$  and

$$RTR = R(S + N_1)R = RSR = R,$$

hence  $R = C$ . With  $S_1 = TCT$  we get

$$S_1RS_1 = TCTCTCT = TCT = S_1,$$

$$RS_1R = CTCTC = CTC = RTR = R$$

$$S_1R = TCTC = CTCT = RS_1.$$

This shows that  $S = S_1 = TCT$ . □

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