

## FINITE IRRFLEXIVE HOMOMORPHISM-HOMOGENEOUS BINARY RELATIONAL SYSTEMS<sup>1</sup>

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**Abstract.** A structure is called homogeneous if every isomorphism between finite substructures of the structure extends to an automorphism of the structure. Recently, P. J. Cameron and J. Nešetřil introduced a relaxed version of homogeneity: we say that a structure is homomorphism-homogeneous if every homomorphism between finite substructures of the structure extends to an endomorphism of the structure. In this paper we characterize all finite homomorphism-homogeneous relational systems with one irreflexive binary relation.

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### 1. Introduction

A structure is *homogeneous* if every isomorphism between finite substructures of the structure extends to an automorphism of the structure. For example, finite and countably infinite homogeneous directed graphs were described in [2]. In their recent paper [1] the authors discuss a generalization of homogeneity to various types of morphisms between structures, and in particular introduce the notion of homomorphism-homogeneous structures.

**Definition 1.1** (Cameron, Nešetřil [1]). *A structure  $\mathcal{A}$  is called homomorphism-homogeneous if every homomorphism between finite substructures of  $\mathcal{A}$  extends to an endomorphism of  $\mathcal{A}$ .*

In the seminal paper [1] the authors have, among other things, shown that an undirected graph without loops is homomorphism-homogeneous if and only if it is isomorphic to  $k$  disjoint copies of  $K_n$  for some  $k, n \geq 1$ . In this paper we generalize this result to arbitrary finite relational systems with one irreflexive

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binary relation. It turns out that such a relational system is homomorphism-homogeneous if and only if it is isomorphic to one of the following:

- $k$  disjoint copies of  $K_n$  for some  $k, n \geq 1$ ; or
- $k$  disjoint copies of  $C_3$  for some  $k \geq 1$ , where  $C_3$  denotes the oriented 3-cycle.

Finally, let us mention that in [4] the authors of this paper have characterized finite homomorphism-homogeneous relational systems with one reflexive binary relation. In comparison to the irreflexive case, the characterization of finite homomorphism-homogeneous reflexive binary relational systems is quite involved.

## 2. Preliminaries

A binary relational system is an ordered pair  $(V, E)$  where  $E \subseteq V^2$  is a binary relation on  $V$ . A binary relational system  $(V, E)$  is *reflexive* if  $(x, x) \in E$  for all  $x \in V$ , *irreflexive* if  $(x, x) \notin E$  for all  $x \in V$ , *symmetric* if  $(x, y) \in E$  implies  $(y, x) \in E$  for all  $x, y \in V$  and *antisymmetric* if  $(x, y) \in E$  implies  $(y, x) \notin E$  for all distinct  $x, y \in V$ .

Binary relational systems can be thought of in terms of digraphs (hence the notation  $(V, E)$ ). Then  $V$  is the set of *vertices* and  $E$  is the set of *edges* of the binary relational system/digraph  $(V, E)$ . Edges of the form  $(x, x)$  are called *loops*. If  $(x, x) \in E$  we also say that  $x$  *has a loop*. Instead of  $(x, y) \in E$  we often write  $x \rightarrow y$  and say that  $x$  *dominates*  $y$ , or that  $y$  *is dominated by*  $x$ . By  $x \sim y$  we denote that  $x \rightarrow y$  or  $y \rightarrow x$ , while  $x \rightleftarrows y$  denotes that  $x \rightarrow y$  and  $y \rightarrow x$ . If  $x \rightleftarrows y$ , we say that  $x$  and  $y$  form a *double edge*. We shall also say that a vertex  $x$  is *incident with a double edge* if there is a vertex  $y \neq x$  such that  $x \rightleftarrows y$ .

Digraphs  $(V, E)$  where  $E$  is a symmetric binary relation on  $V$  are usually referred to as *graphs*. *Proper digraphs* are digraphs  $(V, E)$  where  $E$  is an antisymmetric binary relation. In this paper, digraphs  $(V, E)$  where  $E$  is neither antisymmetric nor symmetric will be referred to as *improper digraphs*. In an improper digraph there exists a pair of distinct vertices  $x$  and  $y$  such that  $x \rightleftarrows y$  and another pair of distinct vertices  $u$  and  $v$  such that  $u \rightarrow v$  and  $v \not\rightarrow u$ .

A digraph  $D' = (V', E')$  is a *subdigraph* of a digraph  $D = (V, E)$  if  $V' \subseteq V$  and  $E' \subseteq E$ . We write  $D' \leq D$  to denote that  $D'$  is isomorphic to a subdigraph of  $D$ . For  $\emptyset \neq W \subseteq V$  by  $D[W]$  we denote the digraph  $(W, E \cap W^2)$  which we refer to as the *subdigraph of  $D$  induced by  $W$* .

Vertices  $x$  and  $y$  are *connected in  $D$*  if there exists a sequence of vertices  $z_1, \dots, z_k \in V$  such that  $x = z_1 \sim \dots \sim z_k = y$ . A digraph  $D$  is *weakly connected* if each pair of distinct vertices of  $D$  is connected in  $D$ . A digraph  $D$  is *disconnected* if it is not weakly connected. A *connected component of  $D$*  is a maximal set  $S \subseteq V$  such that  $D[S]$  is weakly connected. The number of connected components of  $D$  will be denoted by  $\omega(D)$ .

Vertices  $x$  and  $y$  are *doubly connected in  $D$*  if there exists a sequence of vertices  $z_1, \dots, z_k \in V$  such that  $x = z_1 \rightleftarrows \dots \rightleftarrows z_k = y$ . Define a binary

relation  $\theta(D)$  on  $V(D)$  as follows:  $(x, y) \in \theta(D)$  if and only if  $x = y$  or  $x$  and  $y$  are doubly connected. Clearly,  $\theta(D)$  is an equivalence relation on  $V(D)$  and  $\omega(D) \leq |V(D)/\theta(D)|$ . We say that a digraph  $D$  is  $\theta$ -connected if  $\omega(D) = |V(D)/\theta(D)|$ , and that it is  $\theta$ -disconnected if  $\omega(D) < |V(D)/\theta(D)|$ . Note that a  $\theta$ -connected digraph need not be connected, and that a  $\theta$ -disconnected digraph need not be disconnected; a digraph  $D$  is  $\theta$ -connected if every connected component of  $D$  contains precisely one  $\theta(D)$ -class, while it is  $\theta$ -disconnected if there exists a connected component of  $D$  which consists of at least two  $\theta(D)$ -classes. In particular, every proper digraph with at least two vertices is  $\theta$ -disconnected, and every graph is  $\theta$ -connected.

Let  $K_n$  denote the complete irreflexive graph on  $n$  vertices. Let  $\mathbf{1}$  denote the trivial digraph with only one vertex and no edges, and let  $\mathbf{1}^\circ$  denote the digraph with only one vertex with a loop. An *oriented cycle with  $n$  vertices* is a digraph  $C_n$  whose vertices are  $1, 2, \dots, n$ ,  $n \geq 3$ , and whose edges are  $1 \rightarrow 2 \rightarrow \dots \rightarrow n \rightarrow 1$ .

For digraphs  $D_1 = (V_1, E_1)$  and  $D_2 = (V_2, E_2)$ , by  $D_1 + D_2$  we denote the *disjoint union* of  $D_1$  and  $D_2$ . We assume that  $D + O = O + D = D$ , where  $O = (\emptyset, \emptyset)$  denotes the *empty digraph*. The disjoint union  $\underbrace{D + \dots + D}_k$  consisting of  $k \geq 1$  copies of  $D$  will be abbreviated to  $k \cdot D$ . Moreover, we let  $0 \cdot D = O$ .

Let  $D_1 = (V_1, E_1)$  and  $D_2 = (V_2, E_2)$  be digraphs. We say that  $f : V_1 \rightarrow V_2$  is a *homomorphism* between  $D_1$  and  $D_2$  and write  $f : D_1 \rightarrow D_2$  if

$$x \rightarrow y \text{ implies } f(x) \rightarrow f(y), \text{ for all } x, y \in V_1.$$

An *endomorphism* is a homomorphism from  $D$  into itself. A mapping  $f : V_1 \rightarrow V_2$  is an *isomorphism* between  $D_1$  and  $D_2$  if  $f$  is bijective and

$$x \rightarrow y \text{ if and only if } f(x) \rightarrow f(y), \text{ for all } x, y \in V_1.$$

Digraphs  $D_1$  and  $D_2$  are *isomorphic* if there is an isomorphism between them. We write  $D_1 \cong D_2$ . An *automorphism* is an isomorphism from  $D$  onto itself.

A digraph  $D$  is *homomorphism-homogeneous* if every homomorphism  $f : W_1 \rightarrow W_2$  between finite induced subdigraphs of  $D$  extends to an endomorphism of  $D$  (see Definition 1.1).

### 3. Finite irreflexive binary relational systems

Cameron and Nešetřil have shown in [1] that a finite irreflexive graph is homomorphism-homogeneous if and only if it is isomorphic to  $k \cdot K_n$  for some  $k, n \geq 1$ . It was shown in [3, Theorem 3.10] that a finite irreflexive proper digraph is homomorphism-homogeneous if and only if it is isomorphic to  $k \cdot \mathbf{1}$  for some  $k \geq 1$  or  $k \cdot C_3$  for some  $k \geq 1$ . In this section we show that these are the only finite homomorphism-homogeneous irreflexive binary relational systems by showing that no finite irreflexive improper digraph is homomorphism-homogeneous.

**Lemma 3.1.** *Let  $D$  be a finite homomorphism-homogeneous irreflexive improper digraph. Then every vertex of  $D$  is incident with a double edge.*

*Proof.* Let  $x \rightleftharpoons y$  be a double edge in  $D$  and let  $v$  be an arbitrary vertex of  $D$ . The mapping

$$f : \begin{pmatrix} x \\ v \end{pmatrix}$$

is a homomorphism between finite induced subdigraphs of  $D$ , so it extends to an endomorphism  $f^*$  of  $D$  by the homogeneity requirement. Then  $x \rightleftharpoons y$  implies  $v = f^*(x) \rightleftharpoons f^*(y)$ , and  $f^*(y) \neq v$  since  $D$  is irreflexive.  $\square$

**Lemma 3.2.** *Let  $D$  be a finite homomorphism-homogeneous irreflexive improper digraph and let  $S \in V(D)/\theta(D)$  be an arbitrary equivalence class of  $\theta(D)$ . Then  $D[S] \cong K_n$  for some  $n \geq 2$ .*

*Proof.* Lemma 3.1 implies that  $|S| \geq 2$  for every  $S \in V(D)/\theta(D)$ .

Suppose that there is an  $S \in V(D)/\theta(D)$  such that  $D[S]$  is not a complete graph. Then there exist  $u, v \in S$  such that  $u \not\rightleftharpoons v$  or  $v \not\rightleftharpoons u$ . Let  $z_1, z_2, \dots, z_k \in V(D)$  be the shortest sequence of vertices of  $D$  such that

$$u = z_1 \rightleftharpoons z_2 \rightleftharpoons \dots \rightleftharpoons z_k = v.$$

Then  $k \geq 3$  since  $u \not\rightleftharpoons v$ , and the fact that  $z_1, z_2, \dots, z_k$  is the shortest such sequence implies that  $z_1 \not\rightleftharpoons z_3$ . The mapping

$$f_1 : \begin{pmatrix} z_1 & z_3 \\ z_2 & z_3 \end{pmatrix}$$

is a homomorphism between finite induced subdigraphs of  $D$ , so it extends to an endomorphism  $f_1^*$  of  $D$  by the homogeneity requirement. Let  $x_1 = f_1^*(z_2)$ . It is easy to see that  $x_1 \notin \{z_1, z_2, z_3\}$  and  $x_1 \rightleftharpoons y$  for all  $y \in \{z_2, z_3\}$ . Consider now the mapping

$$f_2 : \begin{pmatrix} z_1 & z_3 & x_1 \\ z_2 & z_3 & x_1 \end{pmatrix}.$$

which is clearly a homomorphism between finite induced subdigraphs of  $D$ . It extends to an endomorphism  $f_2^*$  of  $D$ . Let  $x_2 = f_2^*(z_2)$ . Again, it is easy to see that  $x_2 \notin \{z_1, z_2, z_3, x_1\}$  and that  $x_2 \rightleftharpoons y$  for all  $y \in \{z_2, z_3, x_1\}$ . Analogously, the mapping

$$f_3 : \begin{pmatrix} z_1 & z_3 & x_1 & x_2 \\ z_2 & z_3 & x_1 & x_2 \end{pmatrix}$$

is a homomorphism between finite induced subdigraphs of  $D$ , so it extends to an endomorphism  $f_3^*$  of  $D$ . Let  $x_3 = f_3^*(z_2)$ . Again,  $x_3 \notin \{z_1, z_2, z_3, x_1, x_2\}$  and  $x_3 \rightleftharpoons y$  for all  $y \in \{z_2, z_3, x_1, x_2\}$ . We can continue with this procedure as many times as we like, which contradicts the fact that  $D$  is a finite digraph.  $\square$

**Proposition 3.1.** *There does not exist a finite homomorphism-homogeneous irreflexive improper digraph.*

*Proof.* Suppose that  $D$  is a finite homomorphism-homogeneous irreflexive improper digraph. Then there exist vertices  $x, y \in V(D)$  such that  $x \rightarrow y$  and  $y \not\rightarrow x$ . Let  $S = x/\theta(D)$  and  $T = y/\theta(D)$ . By Lemma 3.2,  $S \cap T = \emptyset$ . Let  $T = \{y, t_1, \dots, t_k\}$ . Since  $D[T]$  is a complete graph (Lemma 3.2 again), the mapping

$$f : \begin{pmatrix} x & t_1 & \dots & t_k \\ y & t_1 & \dots & t_k \end{pmatrix}$$

is a homomorphism between finite induced subdigraphs of  $D$ , so it extends to an endomorphism  $f^*$  of  $D$  by the homogeneity requirement. Let us compute  $f^*(y)$ . From  $f^*(t_1) \in T$  it follows that  $f^*(T) \subseteq T$ . Moreover,  $f^*|_T$  is injective since there are no loops in  $D$ . Therefore,  $f^*|_T : T \rightarrow T$  is a bijection. But  $f^*(t_i) = t_i$  for all  $i \in \{1, \dots, k\}$ , so it follows that  $f^*(y) = y$ . Now,  $x \rightarrow y$  implies  $f^*(x) \rightarrow f^*(y)$ , that is,  $y \rightarrow y$ , which is impossible since there are no loops in  $D$ .  $\square$

**Corollary 3.1.** *Let  $D$  be a finite irreflexive binary relational system. Then  $D$  is homomorphism-homogeneous if and only if it is isomorphic to one of the following:*

- (1)  $k \cdot K_n$  for some  $k, n \geq 1$ ;
- (2)  $k \cdot C_3$  for some  $k \geq 1$ .

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