

ON SEMI-INNER PRODUCT SPACES OF TYPE (p)

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Abstract

In this paper we give a simple proof of the fact that every normed vector space can be made into a semi-inner product space of type (p) , introduced by B. Nath as a generalization of Lumer's semi-inner product space. Introducing the homogeneity property of the semi-inner product of type (p) we prove the Riesz Representation theorem.

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1. Introduction

G. Lumer [4] introduced the notion of semi-inner product on arbitrary Banach space, with the aim to transfer Hilbert space techniques to the theory of Banach spaces (see also [1], [2], [3], [6]). B. Nath [5] has generalized this notion to the semi-inner product of type (p) (originaly: generalized semi-inner product). We shall give in this paper a simple proof of B. Nath result from [5] that every normed vector space can be made into a semi-inner product space of type (p) and conversely each semi-inner product space of type (p) is a normed space. Imposing further restrictions we shall prove the Riesz Representation theorem on linear continuous functionals.

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2. Semi-inner product of type (p)

Let X be a vector space over the field K of real or complex numbers.

Definition 1. *If a functional $[x, y], [\cdot, \cdot] : X \times X \rightarrow K$ satisfies the following conditions*

- (1) $[x + y, z] = [x, z] + [y, z], x, y, z \in X,$
- (2) $[\lambda x, y] = \lambda[x, y], \lambda \in K \text{ and } x, y \in X,$
- (3) $[x, x] > 0 \text{ for } x \neq 0,$
- (4) $|[x, y]| \leq [x, x]^{\frac{1}{p}}[y, y]^{\frac{p-1}{p}}, 1 < p < \infty,$

then, we say that $[x, y]$ is a semi-inner product of type (p).

A vector space X , together with a semi-inner product of type (p) defined on it, will be called a semi-inner product space of type (p) (s.i.p.s.(p)).

Particulary a s.i.p.s.(2) becomes Lumer's [4] semi-inner product space. Krein spaces and particularly Pontrjagin spaces are s.i.p.s. (2) (see [1], [2]). B. Nath [5] has proved the following.

Theorem 1. *A s.i.p.s.(p) becomes a normed space with the norm $[x, x]^{1/p}, 1 < p < +\infty.$ Every normed vector space can be made into a s.i.p.s.(p).*

We shall give a shorter and simpler proof then that in [5].

Proof. First, we shall prove that a s.i.p.s.(p) is a normed vector space with the norm $\|x\| := [x, x]^{1/p}.$

a) We have

$$\begin{aligned} \|x + y\|^p &= [x + y, x + y] = [x, x + y] + [y, x + y] \leq \\ &\leq [x, x]^{1/p}[x + y, x + y]^{\frac{p-1}{p}} + [y, y]^{1/p}[x + y, x + y]^{\frac{p-1}{p}} = \\ &= \|x\| \|x + y\|^{p-1} + \|y\| \|x + y\|^{p-1} = (\|x\| + \|y\|)\|x + y\|^{p-1} \end{aligned}$$

Dividing by $\|x + y\|^{p-1},$ for $x + y \neq 0$ the preceding inequality we obtain

$$\|x + y\| \leq \|x\| + \|y\|.$$

Obviously, the preceding inequality is true also for $x + y = 0$.

b) We have for $\lambda \in K$

$$\begin{aligned} \|\lambda x\|^p &= [\lambda x, \lambda x] = \lambda[x, \lambda x] \leq \\ &\leq |\lambda| [x, x]^{1/p} [\lambda x, \lambda x]^{\frac{p-1}{p}} = |\lambda| \|x\| \|\lambda x\|^{p-1} \end{aligned}$$

Dividing by $\|\lambda x\|^{p-1}$ for $\lambda \neq 0$ and $x \neq 0$, the preceding inequality we obtain

$$\|\lambda x\| \leq |\lambda| \|x\|.$$

Particulary we have for $\lambda \neq 0$

$$\|x\| = \left\| \frac{1}{\lambda} \lambda x \right\| \leq \frac{1}{|\lambda|} \|\lambda x\|, \text{ i.e. } |\lambda| \|x\| \leq \|\lambda x\|.$$

Hence by both inequalites $\|\lambda x\| = |\lambda| \|x\|$. The preceding inequality is obviously true also for $\lambda = 0$ or $x = 0$.

c) By (2) and (4) we have

$$\|x\| = 0 \text{ iff } x = 0.$$

Now, suppose that X is an arbitrary normed space with the norm $\|\cdot\|$. Let y be an arbitrary element from X , which we represent in the form $y = \alpha y_0$, where $\alpha = \|y\|$ and $y_0 = y \cdot \|y\|^{-1}$. Since $\|y_0\| = 1$, there exists at least one (we choose exactly one) $y'_0 \in X'$, such that $y'_0(y_0) = 1$ and $\|y'_0\| = 1$ by as a consequence of Hahn-Banach theorem.

We correspond to $y = \alpha y_0$ and $p > 1$ the functional $y' = \alpha^{p-1} y'_0$. We claim that

$$[x, y] := y'(x) = \alpha^{p-1} y'_0(x)$$

is a semi-inner product such that $\|x\| = [x, x]^{1/p}$. We can easy check the properties (1) - (4):

- (1) $[x + y, z] = z'(x + y) = z'(x) + z'(y) = [x, z] + [y, z]$,
- (2) $[\lambda x, y] = y'(\lambda x) = \lambda y'(x) = \lambda [x, y]$ for $\lambda \in K$,
- (3) Let $x \neq 0$. Then for $x = \alpha x_0$, $\alpha = \|x\|$ and $x_0 = x \cdot \|x\|^{-1}$ we have $\alpha \neq 0$ and $[x, x] = [\alpha x_0, \alpha x_0] = (\alpha x_0)'(\alpha x_0) = \alpha^{p-1} x'_0(\alpha x_0) = \alpha^p \neq 0$, where x'_0 is the functional with the property $x'_0(x_0) = 1$.
- (4) Let $x, y \in X$. We have $x = \alpha x_0$, where $\alpha = \|x\|$ and $x_0 = x \cdot \|x\|^{-1}$, and $y = \beta y_0$, where $\beta = \|y\|$ and $y_0 = y \cdot \|y\|^{-1}$. Then we have

$$\begin{aligned}
|[x, y]| &= |[\alpha x_0, \beta y_0]| = |(\beta y_0)'(\alpha x_0)| = |\beta^{p-1} y_0'(\alpha x_0)| = \beta^{p-1} \cdot \alpha \cdot |y_0'(x_0)| \leq \\
&\leq \beta^{p-1} \cdot \alpha \|y_0'\| \|x_0\| = \beta^{p-1} \cdot \alpha = [x, x]^{1/p} \cdot [y, y]^{\frac{p-1}{p}}
\end{aligned}$$

(for the last equality see (3)).

Since $[x, x] = \alpha^p = \|x\|^p$, we obtain $\|x\| = [x, x]^{1/p}$.

3. Riesz representation

Definition 2. A s.i.p.s.(p) X , for $p > 1$, is said to be continuous if for every $x, y \in X$ such that $\|x\| = \|y\| = 1$

$$Re[y, x + \lambda y] \rightarrow Re[y, x]$$

for all real $\lambda \rightarrow 0$.

Definition 3. For $x, y \in X$, x is normal to y and y is transversal to x if $[x, y] = 0$.

With a minor changes we can prove in an analogous way as Theorem 2. in [3] the following.

Theorem 2. In a continuous s.i.p.s.(p) an element x is normal to y if and only if

$$\|x + \lambda y\| \geq \|x\| \text{ for all } \lambda \in K.$$

Since the proof of Lemma 4. from [3] is based only on a property of uniformly convex Banach space we have immediately the following generalization of Lemma 4 from [3].

Lemma 1. In a continuous s.i.p.s.(p) which is uniformly convex and complete there exists a nonzero vector normal to every proper closed vector subspace.

Definition 4. A normed vector space is strictly convex if the condition $\|x\| + \|y\| = \|x + y\|$ for $x, y \neq 0$ implies $y = \lambda x$ for some real $\lambda > 0$.

We have the following generalization of the part of Lemma 5. from [3].

Lemma 2. *If an s.i.p.s.(p), for $p > 1$, is strictly convex, the condition $[x, y] = \|x\| \cdot \|y\|^{p-1}$ for $x, y \neq 0$ implies $y = \lambda x$ for some real $\lambda > 0$.*

Proof. Suppose that for $x \neq 0$ and $y \neq 0$ holds $[x, y] = \|x\| \|y\|^{p-1}$. Then we have

$$\begin{aligned} \|x\| + \|y\| &\geq \|x + y\| = \frac{\|x + y\| \|y\|^{p-1}}{\|y\|^{p-1}} \geq \\ &\geq \frac{|[x + y, y]|}{\|y\|^{p-1}} = \frac{\|x\| \|y\|^{p-1} + \|y\|^p}{\|y\|^{p-1}} = \|x\| + \|y\|, \end{aligned}$$

i.e. $\|x + y\| = \|x\| + \|y\|$. Hence by strict convexity $y = \lambda x$ for some $\lambda > 0$.

Definition 5. *A s.i.p.s.(p) X is with the homogeneity property if $[x, \lambda y] = \lambda^{p-2} \bar{\lambda} [x, y]$ for all $x, y \in X$ and all $\lambda \in K$.*

Theorem 3. *(Riesz representation). In a continuous s.i.p.s.(p) X with the homogeneity property and which is uniformly convex and complete in the corresponding norm, for every functional $f \in X'$ there exists a unique element $y \in X$ such that*

$$f(x) = [x, y] \quad (x \in X).$$

Proof. For trivial functional $f(x) = 0$ ($x \in X$) we take $y = 0$.

Suppose that $f(x) \neq 0$ for some $x \in X$ and denote $N = \{x : f(x) = 0\}$. Since $f \in X'$, N is a proper closed vector subspace of X . By Lemma 1. there exists an element $y_0 \neq 0$ normal to N .

We consider two cases:

- a) For $x \in N$ we have $f(x) = [x, y] = 0$ for $y = \lambda x$ any scalar λ .
- b) For $x = y_0$ taking

$$y = \frac{\overline{f(y_0)}}{|f(y_0)|^{\frac{p-2}{p-1}} \cdot \|y_0\|^{\frac{p}{p-1}}} \cdot y_0,$$

we obtain

$$\begin{aligned}
 [x, y] &= [y_0, \frac{\overline{f(y_0)}}{|f(y_0)|^{\frac{p-2}{p-1}} \cdot \|y_0\|^{\frac{p}{p-1}}} \cdot y_0] = \\
 &= \frac{|\overline{f(y_0)}|^{p-2}}{|f(y_0)|^{\frac{(p-2)^2}{p-1}} \|y_0\|^{\frac{p(p-2)}{p-1}}} \cdot \frac{f(y_0)}{|f(y_0)|^{\frac{p-2}{p-1}} \|y_0\|^{\frac{p}{p-1}}} [y_0, y_0] = \\
 &= \frac{|\overline{f(y_0)}|^{p-2} \cdot f(y_0) \cdot \|y_0\|^p}{|f(y_0)|^{\frac{(p-2)^2+(p-2)}{p-1}} \cdot \|y_0\|^{\frac{p(p-2)+p}{p-1}}} = f(y_0).
 \end{aligned}$$

Any $x \in X$ has the representation $x = z + \lambda y_0$ for $z \in N$ and y_0 normal to N and $\lambda = \frac{f(x)}{f(y_0)}$. Hence for all $x \in X$

$$f(x) = f(z) + \lambda f(y_0) = [z, y] + \lambda [y_0, y] = [x, y].$$

The obtained representation is unique. Namely, suppose that there exists at least two elements $y_1, y_2 \in X$ such that $y_1 \neq y_2$ and $f(x) = [x, y_1] = [x, y_2]$, for all $x \in X$. Taking $x = y_1$ we have $[y_1, y_1] = [y_1, y_2]$ which implies

$$\|y_1\|^p \leq \|y_1\| \cdot \|y_2\|^{p-1}, \text{ i.e. } \|y_1\| \leq \|y_2\|.$$

On the other side, taking $x = y_2$ we have $[y_2, y_1] = [y_2, y_2]$, which implies $\|y_2\|^p \leq \|y_2\| \cdot \|y_1\|^{p-1}$ i.e. $\|y_2\| \leq \|y_1\|$. Hence $\|y_1\| = \|y_2\|$.

This implies $\|y_1\|^p = \|y_1\| \cdot \|y_2\|^{p-1}$ and so

$$[y_1, y_2] = [y_1, y_1] = \|y_1\|^p = \|y_1\| \cdot \|y_2\|^{p-1}.$$

Since X is uniformly convex and so also strictly convex we have by Lemma 2. $y_1 = \lambda y_2$ for some $\lambda > 0$ and so $\|y_1\| = \lambda \|y_2\|$. Then $\|y_1\| = \|y_2\|$ implies $\lambda = 1$, i.e. $y_1 = y_2$.

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REZIME

O PROSTORIMA SA SEMI-SKALARNIM PROIZVODOM TIPRA (p)

U radu se daje jednostavan dokaz činjenice da se svaki normiran vektorski prostor može svesti na prostor sa semi-skalarnim proizvodom tipa (p), koji je uveo B. Nath kao uopštenje Lumerovog prostora sa semi-skalarnim proizvodom. Uvodeći pojam homogenosti semi-skalarnog proizvoda tipa (p) dokazujemo Rieszovu teoremu o reprezentaciji linearne neprekidne funkcionalne.

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