

## ON THE NUMBER OF LINEAR PARTITIONS

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### Abstract

In this paper a formula is derived for the number of linear partitions of a given point set  $S$  in three-dimensional space, depending on the configuration formed by the points of  $S$ .

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### 1. Introduction

A  $k$ -set is a set of cardinality  $k$ . The cardinality of a set  $A$  is denoted by  $|A|$ . Given a finite point set  $S$  in an  $n$ -dimensional space, a linear partition of  $S$  is a partition of  $S$  into subsets  $X$  and  $S \setminus X$ , which is induced by an  $(n-1)$ -dimensional hyperplane  $H$ : it is assumed that the intersection of  $S$  and  $H$  is empty and that the sets  $X$  and  $S \setminus X$  respectively belong to distinct half-spaces *w.r.t.*  $H$ . The enumeration problem for linear partitions is closely related to the efficiency measurement problem for linear discriminant functions in pattern recognition ([1]) and to the many others algorithmic problems ([2]).

## 2. Two-dimensional case

An unordered pair  $(A, B)$  of distinct points belonging to a finite point-set  $S$  in a plane is said to be **minimal** (*w.r.t.*  $S$ ) if there does not exist a third point  $C$  of  $S$ , which belongs to the open line-segment  $AB$ .

The following statement might be very helpful for the enumeration of linear partitions of a planar set  $S$ . The number of linear partitions of a planar point set  $S$  and the number of minimal pairs of  $S$  are denoted by  $LP(S, 2)$  and  $mp(S)$  respectively.

The following statement is well known.

**Lemma 1.**

$$(1) \quad LP(S, 2) = mp(S) + 1.$$

If  $S$  is a well-distributed point set (no three points colinear), then every pair of points in  $S$  is a minimal pair and (2) becomes:

$$(2) \quad LP(S, 2) = 1 + \binom{N-1}{1} + \binom{N-1}{2}$$

From Lemma 1 the following statement can be easily deduced.

**Theorem 1.** Let  $p_1, p_2, \dots, p_k$  be all lines determined by a planar point set  $S$  (each line contains at least two points of  $S$  and let  $s_i(1)$  denote the number of points of  $S$  belonging to the line  $p_i$  ( $i = 1, 2, \dots, k$ ). Then

$$(3) \quad LP(S, 2) = 1 + \binom{N-1}{1} + \binom{N-1}{2} - \sum_{i=1}^k \binom{s_i(1)-1}{2}.$$

*Proof.* If the line  $p_i$  contains  $s_i(1)$  points from  $S$ , then they determine  $\binom{s_i(1)}{2}$  pairs among which exactly  $s_i(1) - 1$  are minimal pairs; so the number of pairs which are not minimal is

$$\binom{s_i(1)}{2} - (s_i(1) - 1) = \binom{s_i(1)-1}{2}.$$

Then the number of non-minimal pairs of points in  $S$  is  $\sum_{i=1}^k \binom{s_i(1) - 1}{2}$ .

Now, the statement follows from the fact that the total number of pairs in  $S$  is  $\binom{N-1}{1} + \binom{N-1}{2}$ .  $\square$

### 3. A generalization of the two-dimensional case (multiple points permitted)

Before proceeding with the two-dimensional case, let us consider the very special case of one dimension.

**Theorem 2.** *If  $S$  is a set of points in  $\mathbf{R}_1$ ,  $|S| = N$ , then*

$$LP(S, 1) = 1 + \binom{N-1}{1} = N.$$

*Proof.* Obvious.  $\square$

Now, we consider a generalization in  $\mathbf{R}_1$ , when some points coincides (multiplicity of points).

By  $A_i^j$  we denote a point with multiplicity  $j$ , i.e.,  $j$  points which coincide.

**Theorem 3.** *Let  $S$  consists of*

$$A_1^{s_1(0)}, A_2^{s_2(0)}, \dots, A_k^{s_k(0)},$$

where

$$s_1(0) + s_2(0) + \dots + s_k(0) = N.$$

Then

$$(4) \quad \overline{LP}(S, 1) = 1 + \binom{N-1}{1} - \sum_{i=1}^k \binom{s_i(0) - 1}{1},$$

where  $\overline{LP}(S, 1)$  is the number of linear partitions of the set  $S$ .

*Proof.* Obvious.  $\square$

Let  $S \subset \mathbb{R}^2$ ,  $|S| = N$ , consists of points

$$A_1^{s_1(0)}, A_2^{s_2(0)}, \dots, A_{k_0}^{s_{k_0}(0)},$$

where  $s_i(0)$  is the multiplicity of the point  $A_i$  ( $i = 1, 2, \dots, k_0$ ), and

$$s_1(0) + s_2(0) + \dots + s_{k_0}(0) = N.$$

Let

$$(5) \quad p_1, p_2, \dots, p_{k_1}$$

be all different lines determined by the points of  $S$  (each line contains at least two non-coincident points of  $S$ ).

Denote by  $s_i^s(1)$  the number of points of  $S$  belonging to the line  $p_i$  (with the corresponding multiplicities):  $i = 1, 2, \dots, k_1$ . Let  $r_i^s(0)$  be the number of different lines from (5) through the point  $A_i^{s_i(0)}$  ( $i = 1, 2, \dots, k_0$ ).

If we denote by  $\overline{LP}(S, 2)$  the number of linear partitions of the set  $S$ , then the following statement can be proved.

**Theorem 4.**

$$(6) \quad \overline{LP}(S, 2) = 1 + \binom{N-1}{1} + \binom{N-1}{2} - \sum_{i=1}^{k_0} \binom{s_i(0)-1}{1} - \\ - \sum_{i=1}^{k_1} \binom{s_i^s(1)-1}{2} + \sum_{i=1}^{k_0} (r_i^s(0)-1) \binom{s_i(0)-1}{2}.$$

*Proof.* The proof will be by induction on  $N$ . Consider a set of points  $S$ ,  $|S| = N + 1$ . Let  $A$  be a single point (with multiplicity one), of  $S$ , which is a vertex of the convex hull of  $S$ . By induction hypothesis, for the set  $X = S \setminus \{A\}$  we have

$$(7) \quad LP(X, 2) = LP(S \setminus \{A\}) = 1 + \binom{N-1}{1} + \binom{N-1}{2} - \\ - \sum_{i=1}^{k_0^X} \binom{s_i^X(0)-1}{1} - \sum_{i=1}^{k_1^X} \binom{s_i^X(1)-1}{2} + \sum_{i=1}^{k_0^X} (r_i^X - 1) \binom{s_i^X(0)-1}{2}.$$

Let us determine the number of movable line partitions of  $X$ .

Project  $X$  from the point  $A$  into a line  $a$  which separate  $A$  from  $X$ . Let  $X'$  be the projection of  $X$ . The number of movable line partitions of  $X$  is, according to Theorem 3.

$$(8) \quad \overline{LP}(X', 1) = 1 + \binom{N-1}{1} - \sum \binom{s_i^{X'}(0) - 1}{1}.$$

From (7) and (8) it follows that

$$LP(S, 2) = LP(X, 2) + LP(X', 1) = 1 + \binom{N}{1} + \binom{N}{2} - \sum_{i=1}^{k_0^s} \binom{s_i^s(0) - 1}{1} - \sum_{i=1}^{k_1^s} \binom{s_i^s(1) - 1}{2} + \sum_{i=1}^{k_0^s} (r_i^s(0) - 1) \binom{s_i^s(0) - 1}{2}.$$

In the case when none of the vertices of the convex hull of  $S$  is a single point, we shall see what happen when the multiplicity of one point  $A \in X$ ,  $|X| = N$ , increases by one, producing the set  $S$ .

Denote, for the sake of simplicity, the sums from the righthand side of (7) by  $\sum_0^X$ ,  $\sum_1^X$ ,  $\sum_2^X$  respectively and those from (8) by  $\sum_0^s$ ,  $\sum_1^s$  and  $\sum_2^s$ .

Since

$$1 + \binom{N}{1} + \binom{N}{2} - (1 + \binom{N-1}{1} - \binom{N-1}{2}) = N,$$

we need to prove that

$$(9) \quad \sum_0^s + \sum_1^s - \sum_2^s - (\sum_0^X + \sum_1^X - \sum_2^X) = N.$$

Let we have the point  $A_i^{s_i(0)+1}$  in  $S$  instead of  $A_i^{s_i(0)}$  in  $X$ . Then

$$(10) \quad \sum_0^s - \sum_0^X = 1.$$

Taking into account that  $r_i^s(0) = r_i^X(0)$  and  $s_i^s(0) = s_i^X(0) + 1$ , we have

$$\sum_2^s - \sum_2^X = (r_i^X(0) - 1) \binom{s_i^X(0)}{2} - \binom{s_i^X(0) - 1}{2},$$

i.e.,

$$(11) \quad \sum_2^s - \sum_2^X = (r_i^X(0) - 1)(s_i^X(0) - 1).$$

Let  $\{p_{j_1}, p_{j_2}, \dots, p_{j_{r_i}}\}$  be the set of lines from (5) through the point  $A$ .

Then

$$\begin{aligned} \sum_2^s - \sum_2^X &= \sum_{i=1}^{r_i^X(0)} \left( \binom{s_{j_i}^s(1)}{2} - \binom{s_{j_i}^s(1) - 1}{2} \right) = \\ &= \sum_{i=1}^{r_i^X(0)} (s_{j_i}^s(1) - 1) = \sum_{i=1}^{r_i} s_{j_i}^s(1) - r_i^X(0) = \\ &= N - s_i^X(0) + r_i^X(0)s_i^X(0) - r_i^X(0) = \\ &= N + r_i^X(0)(s_i^X(0) - 1) - s_i^X(0), \end{aligned}$$

and finally,

$$(12) \quad \sum_2^s - \sum_2^X = N + (r_i^X(0) - 1)(s_i(0) - 1) - 1.$$

Now, (9) follows from (10), (11) and (12).  $\square$

#### 4. Three-dimensional case

Let  $S$  be the set of different points in three-dimensional space,  $|S| = N$ . Suppose that

$$(13) \quad p_1, p_2, \dots, p_{k_1}^s$$

are all different lines determined by points of  $S$  (each line contains at least two different points of  $S$ ), and

$$(14) \quad R_1, R_2, \dots, R_{k_2}^s$$

are all different planes determined by points of  $S$  (each plain contains at least three different points of  $S$ ).

We introduce the following notation:

$s_i^s(1)$  - the number of points of  $S$  lying on  $p_i$ ;

$s_i^s(2)$  - the number of points of  $S$  lying in  $R_i$ ;

$r_i^s(1)$  - the number of planes from (7) containing the line  $p_i$ .

If we denote by  $LP(S, 3)$  the number of linear partitions of the set  $S$  then the following statement can be proved.

**Theorem 5.**

$$\begin{aligned}
 LP(S, 3) = & 1 + \binom{N-1}{1} + \binom{N-1}{2} + \binom{N-1}{3} - \sum_{i=1}^{k_1^s} \binom{s_i^s(1)-1}{2} - \\
 (15) \quad & - \sum_{i=1}^{k_2^s} \binom{s_i^s(2)-1}{3} + \sum_{i=1}^{k_1^s} (r_i^s(1)-1) \binom{s_i^s(1)-1}{3}.
 \end{aligned}$$

*Proof.* We use induction (with trivial basis) on  $|S|$ . Suppose that the statement is valid for  $|S|=N$ . Consider  $|S|=N+1$ .

Take a point  $A$  of  $S$ . For the sake of simplicity, we may assume (without any loss of generality) that  $A$  is a vertex of the convex hull of  $S$ . Denote  $S \setminus \{A\}$  by  $X$ . Consider the projection  $\pi$  of  $X$  onto a plane  $\alpha$  separating  $A$  from  $X$ , point  $A$  being the center of projection. Those linear partitions of  $X$  which can be established by using planes through the point  $A$  are said to be **movable** (w.r.t.  $A$ ).

The number of additional linear partitions which are obtained after extension of the set  $X$  to  $S$  (by adding the point  $A$ ) is equal to the number of movable (w.r.t.  $A$ ) linear partitions of  $X$ . This last number is equal to  $\overline{LP}(Y, 2)$ , i.e. to the number of linear partitions of the planar point set  $Y = \pi(X)$ . The corresponding bijection is established by the projection  $\pi$ . Namely, each movable linear partition of  $X$  (w.r.t.  $A$ ) may be represented by a plane  $H$  through  $A$ . The line  $h = \pi(H)$  corresponds to a linear partition of  $Y = \pi(X)$  in  $\alpha$ . Conversely, given a linear partition of  $Y$  with the corresponding line  $h$ , the plane through  $h$  and  $A$  determines the associated movable linear partition of  $S$ .

It follows that

$$(16) \quad LP(S, 3) = LP(X, 3) + \overline{LP}(Y, 2).$$

Now (15) can be deduced from (16) using induction hypothesis and Theorem 4.

Namely, by induction hypothesis,

$$(17) \quad LP(X, 3) = 1 + \binom{N-1}{1} + \binom{N-1}{2} + \binom{N-1}{3} - \\ - \sum_{i=1}^{k_1^X} \binom{s_i^X(1)-1}{2} - \sum_{i=1}^{k_2^X} \binom{s_i^X(2)-1}{3} + \sum_{i=1}^{k_1^X} (r_i^X(1)-1) \binom{s_i^X(1)-1}{3},$$

while, according to Theorem 4,

$$(18) \quad \overline{LP}(Y, 2) = 1 + \binom{N-1}{1} + \binom{N-1}{2} - \sum_{i=1}^{k_0^Y} \binom{s_i^Y(0)-1}{1} - \\ - \sum_{i=1}^{k_1^Y} \binom{s_i^Y(1)-1}{2} + \sum_{i=1}^{k_0^Y} (r_i^Y(0)-1) \binom{s_i^Y(0)-1}{2}.$$

It can be verified that

$$(19) \quad \sum_{i=1}^{k_1^X} \binom{s_i^X(1)-1}{2} + \sum_{i=1}^{k_0^Y} \binom{s_i^Y(0)-1}{1} = \sum_{i=1}^{k_1^s} \binom{s_i^s(1)-1}{2},$$

$$(20) \quad \sum_{i=1}^{k_2^X} \binom{s_i^X(2)-1}{3} + \sum_{i=1}^{k_1^Y} \binom{s_i^Y(1)-1}{2} = \sum_{i=1}^{k_2^s} \binom{s_i^s(2)-1}{3}$$

and

$$(21) \quad \sum_{i=1}^{k_1^X} (r_i^X(1)-1) \binom{s_i^X(1)-1}{3} + \sum_{i=1}^{k_0^Y} (r_i^Y(0)-1) \binom{s_i^Y(0)-1}{3} = \\ = \sum_{i=1}^{k_1^s} (r_i^s(1)-1) \binom{s_i^s(1)-1}{3}.$$

Now, the statement follows from (16) - (21).  $\square$

## References

- [1] Duda, R.O., Hart, P.E.: *Pattern Classification and Scene Analysis*, Wiley, New York, 1973.
- [2] Edelsbrunner, H.: *Algorithms in Combinatorial Geometry*, Springer Verlag, Heidelberg, 1987.

## REZIME

### O BROJU LINEARNIH PARTICIJA

U radu je izvedena formula za broj linearnih particija za dati skup tačaka  $S$  u trodimenzionalnom prostoru. Taj broj zavisi od kardinalnosti skupa  $S$  i od rasporeda tačaka toga skupa.

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