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ON A PROBLEM OF PARTIAL ALGEBRAS

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ABSTRACT

For a given language L , the problem of partial V - algebras asks whether there is a universal algorithm which for any finite partial V - algebra A, and any identity $p{\approx}q{\in}Eq(L{\cup}A)$ with no variables, decides whether or not FV(A)=p ${\approx}q$. First, it is shown that the solution of the word problem implies the solution of the problem of partial algebras for any variety V. Second, if the problem of partial V - algebras is solvable, then, a class of finite presentations can be given for which the word problem is solvable.

1. BASIC NOTIONS

Let A be a set and $B \subseteq A^n$. Then f: B-A is called a partial operation on A of type n.

A partial algebra A is a pair (A,F), where A is a nonvoid set and F is a collection of partial operations on A. In our considerations F is always a finite set.

Let A be a partial algebra. Denote by $\Delta(A)$ the positive diagram of A:

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$$\Delta(A) = \{f(a_1, \dots, a_n) = a \mid f \in F, a_1, \dots, a_n \in A,$$

 $f(a_1,...,a_n)$ is defined and equals a in A).

Of course, if A is finite, then $\Delta(A)$ is also finite.

Suppose that A and B are partial algebras. φ : A+B is called a homomorphism of A into B if, whenever $f(a_1,\ldots,a_n)$ is defined, then so is $f(\varphi(a_1),\ldots,\varphi(a_n))$ and

$$\varphi(f(a_1,\ldots,a_n)) = f(\varphi(a_1),\ldots,\varphi(a_n)).$$

A homomorphism ϕ is an *isomorphism* if ϕ is a bijection.

Let A = (A,F) be a partial algebra and let $\emptyset + B \subseteq A$. Then

- (i) B is a subalgebra of A if it is closed under all the operations in A i.e. if b₁,...,b_n∈B and f(b₁,...,b_n) is defined in A, then f(a₁,...,a_n)∈B.
- (ii) B is a relative subalgebra of A if for all feF and all b_1, \ldots, b_n , beB, we have: $f(b_1, \ldots, b_n)$ is defined and equals b if and only if $f(b_1, \ldots, b_n)$ is defined in A and $f(b_1, \ldots, b_n) = b$ in A.

It is not difficult to give an example of a partial algebra A and a set $B\subseteq A$, such that B is the carrier of some relative subalgebra of A but not the carrier of any subalgebra of A.

Let K be a class of algebras, A a nonvoid set, and F a set of partial operations on A. Then, (A,F) is a partial K - algebra if (A,F) is a relative subalgebra of an algebra B in K. For example, if L is the class of all the lattices, then, a partial algebra A is a partial L - algebra (or simply, partial lattice) if A is a relative subalgebra (or relative sublattice) of some lattice.

Definition 1.([3]).Let K be a class of algebras and let A be a partial algebra. The algebra FK(A) is called the algebra freely generated by the partial algebra A over K if the following conditions are satisfied:

(i) $FK(A) \in K$;

- (ii) FK(A) is generated by A' and there exists an isomorphism X: A'→A between A' and A, where A' is a relative subalgebra of FK(A);
- (iii) If ϕ is a homomorphism of A into CEK, then, there exists a homomorphism ψ of FK(A) into C such that ψ is an extension of $\chi\phi$.

It is not very hard to prove that FK(A) is unique up to the isomorphism and, if A is an algebra from K, then $FK(A) \cong A$. Also, it is well known that if K is an equational class, then FK(A) exists if A is (isomorphic to) a relative subalgebra of an algebra B in K (see [3]). In other words, in the case of equational classes K, FK(A) exists if A is a partial K - algebra.

For example, if A is a partial lattice, then, FL(A) always exists. It is well known (see [3]) that these lattices (of the form FL(A)) are the lattices that can be described by finitely many generators and finitely many relations.

In order to establish a similar equivalence in the case of an arbitrary variety V, we need a precise definition of a finitely presented algebra in V. In the sequel, $F_V(X)$ denotes the free algebra over V, generated by a set of free generators X.

Definition 2.([1]). Let $V = mod(\Sigma)$ be a variety in the language Γ , G a set of new constant symbols and R a set of identities with no variables in the language $\Gamma \cup G$. Then G is called a presentation in V. Let $\hat{V} = mod(\Sigma \cup R)$. The algebra presented by G in G is the reduct of F G0 to the language G0. We denote such an algebra by G0, G1. We say that G1 is finitely presented if G2 and G3 are finite sets. G3

Proposition 1. Let $K = mod(\Sigma)$ be a variety, A a partial algebra. Then,

$$FK(A) \cong P_{K}(A, \Delta(A)).$$

Proof. Let |A|=m and α be an ordinal with $|\alpha|=m$, and $A=\{a_{\gamma}|\gamma<\alpha\}$. Let $\{x_{\gamma}|\gamma<\alpha\}$ be a free generating set of $F_{K}(\alpha)$. Define the mapping

$$\hat{\mathbf{h}} : \mathbf{F}_{\mathbf{K}}(\alpha) \rightarrow \mathbf{P}_{\mathbf{K}}(\mathbf{A}, \Delta(A))$$

as an extension of the mapping

h:
$$\{x_{\gamma} | \gamma < \alpha\} \rightarrow \{a_{\gamma} | \gamma < \alpha\}, h(x_{\gamma}) = a_{\gamma}, for \gamma < \alpha,$$

to a homomorphism of the whole algebra $F_K(\alpha)$ into $P_K(A, \Delta(A))$. Such a mapping \hat{h} exists (it is unique), since $F_K(\alpha)$ is the free algebra of K and $P_K(A, \Delta(A)) \in K$. Further on, \hat{h} is "onto" since the algebra $P_K(A, \Delta(A))$ is generated by $\{a_{\gamma} | \gamma < \alpha\}$. If we denote by θ the kernel of \hat{h} , then we have

$$F_{K}(\alpha)/\theta \cong P_{K}(A,\Delta(A))$$
.

On the other hand, we can prove that $F_K(\alpha)/\theta$ satisfies conditions (i), (ii) and (iii) of Definition 1. (see [3]).

Hence, we obtain

$$FK(A) \cong P_{\kappa}(A, \Delta(A)). \square$$

2. SOME DECIDABILITY PROBLEMS

Denote by Eq(f) the set of identities in language f. Let V be a variety in language f and (G,R) a finite presentation in V.

The word problem for (G,R) in V asks if there is an algorithm to determine, for any identity $p\approx q\in Eq(LUG)$ with no variables, whether or not

$$P_{V}(G,R) \models p \approx q$$
.

Let K be a class of algebras in language f, and let A be a partial K - algebra. The problem of partial K - algebra A asks if there is algorithm to determine for any identity $p \approx q \in Eq(fUG)$ with no variables whether or not

$$FK(A) \models p \approx q$$
.

We are going to consider the following three problems for a variety V in language f.

- I The word problem in the first level for V asks whether there is a universal algorithm to solve the word problem for all finitely presented algebras in V.
- II The problem of partial V algebras asks if there is a universal algorithm which for any finite partial V algebra A, and any identity p≈q∈Eq(LUA) with no variables, decides whether or not

FV(A) = p≈q.

III The problem of quasi-identities for V asks if there is an algorithm which for any quasi-identity q in £ decides whether or not

 $v \models q$.

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It is natural to look for the relationship between the problems I, II and III. We can prove the following result

Proposition 2.([2]). For any variety, the problem of quasi-identities and the word problem in the first level are equivalent.

Hence, for any variety, the problems I and III are equivalent. It is not hard to prove, using Proposition 1. that the positive solution of problem I implies the existence of an algorithm from problem II:

Proposition 3. Let K be a variety in language $\mathfrak L$. If the word problem in the first level for K is solvable, then the problem of partial K - algebras is solvable too.

Proof. Let A be a finite partial K - algebra, p≈q∈Eq(IUA), with no variables. Then, because of Proposition 1.,

$$FK(A) \simeq P_K(A, \Delta(A))$$
,

so that

$$FK(A) \models p \approx q$$
 iff $P_K(A, \Delta(A)) \models p \approx q$.

Hence, directly from the algorithm for the solution

of the word problem, we obtain an algorithm for the solution of the problem of partial algebras. \Box

Conversely, can we construct an algorithm for the solution of the word problem knowing an algorithm which solves the problem of partial algebras?

In this paper we shall give a class of finite presentations for which it is possible to construct an algorithm for the solution of the word problem.

3. SOME AUXILIARY CONSTRUCTIONS

Definition 3. Let K be a variety in language $\mathfrak L$ and (A,R) some finite presentation in K. Then,

- (1) If t is a term in f, then by Sub(t) we denote the set of all the subterms of t;
- (2) $Sub(R) = U\{Sub(t) \mid (\exists s) (s \approx t \in R \lor t \approx s \in R\};$
- (3) A' = $\{C_{\sigma} \mid \sigma \in Sub(R)\} \cup A$.

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Note, that the elements of Sub(R) are terms in LUA, with no variables. Denote by |t| the length of a term t (i.e. the number of symbols in t).

Definition 4. Let K be a variety in $\mathfrak L$ and (A,R) a finite presentation in K.

(1) Define the mapping

$$\varphi$$
: Sub(R) \rightarrow Eq(LUA')

in the following way:

- (i) If |t|=1, then $\varphi(t)$ is $t \approx C_+$;
- (ii) If $t = f(t_1, ..., t_n)$ where f is an n-ary function symbol and $t_1, ..., t_n$ are terms, then $\phi(t)$ is $f(C_{t_1}, ..., C_{t_n}) \approx C_t$.
- (2) Define the set R' as

$$\begin{split} R^! = & \phi[\operatorname{Sub}(R)] \cup \{C_p \approx C_q | |p| = 1 \text{ and } p \approx q \in R\} \cup \\ & \cup \{f(C_{t_1}, \ldots, C_{t_n}) \approx C_q | p = f(t_1, \ldots, t_n) \text{ and } p \approx q \in R\}, \\ & \omega \text{here } \phi[\operatorname{Sub}(R)] = \{\phi(t) | t \in \operatorname{Sub}(R)\}. \end{split}$$

Note that if $t \in Sub(R)$ and |t| = 1, then $t \in A$ or t is a constant in $\mathfrak L$ and the set R' is a set of identities, in the language $\mathfrak L \cup A'$, with no variables.

Example 1. Let L be the variety of all the lattices in the language $\{A, V\}$, $A = \{a, b, d\}$ and

$$R = \{a \land b \bowtie d, (a \lor d) \land b \bowtie d \land a\}.$$

Then,

Sub(R) = {a, b, d, a,b, (a,vd),b, a,vd, d,a},
$$A' = \{C_a, C_b, C_d, C_{a,b}, C_{(a,vd),b}, C_{a,vd}, C_{d,a}, a,b,d\},$$

$$R' = \{a \approx C_a, b \approx C_b, d \approx C_d, C_a \wedge C_b \approx C_{a \wedge b},$$

$$C_a \vee d \wedge C_b \approx C_{a \vee d} \wedge b, C_a \vee C_d \approx C_a \vee d,$$

$$C_d \wedge C_a \approx C_{d \wedge a}, C_a \wedge C_b \approx C_d, C_a \vee d \wedge C_b \approx C_{d \wedge a}\}.$$

Recall, now, the rules of inference in the equational logic.

Let τ , σ , θ , ρ ,... be arbitrary terms in £. Then,

- (1) T≈T is an axiom;
- (2) From o≈t infer t≈o;
- (3) From σ≈τ and τ≈θ infer σ≈θ;
- (4) If $\sigma_i \approx \tau_i$ for $i \in \{1, ..., n\}$, then for any n-ary function symbol $f \in \mathcal{L}$ infer

$$f(\sigma_1, \dots, \sigma_n) \approx f(\tau_1, \dots, \tau_n);$$

(5) If $\sigma(x_1,...,x_n) \approx \tau(x_1,...,x_n)$, then for all terms p_i , $i\in\{1,...,n\}$ infer

$$\sigma(p_1,\ldots,p_n) \approx \tau(p_1,\ldots,p_n)$$
.

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The following lemmas are about some syntactic properties of sets of identities, therefore the proofs are "technical".

Lemma 1. Let K be a variety in L and (A,R) a finite presentation in K. Then, for every tESub(R), we have

$$R' \mid t \approx C_t$$
.

Proof. By induction on the length of t.

- (i) If |t| = 1, then $t \approx C_t \in R'$ so that $R' \vdash t \approx C_+$.
- (ii) Let $t = f(t_1, ..., t_n)$. Then, since $t \in Sub(R)$, it follows that $t_1, ..., t_n \in Sub(R)$ and by the induction hypothesis

$$R' \mid t_i \approx C_{t_i}, \quad i \in \{1, 2, ..., n\}.$$

By the definition of R', $f(C_{t_1}, \dots, C_{t_n}) \approx C_t \in R'$. From the rules of equational logic it follows that

$$R' \vdash f(t_1, ..., t_n) \approx C_t$$
 i.e.

$$R' \vdash t \approx C_+$$
.

Lemma 2. Let \hat{R} be the set of identities, in the language LUA, which appears from the set R' in such a way that in every identity in R' every symbol $C_{\mathbf{t}}(\mathbf{t}\in Sub(R))$ is replaced by \mathbf{t} . Then, for all the identities $p\!\approx\!q$ in LUA, and any set Σ of identities in Γ , we have

Proof. Let e(R'. Prove that identity \hat{e} , which appears from e when we replace all the symbols C_t by t, is in R or it is a trivial identity of the form $\tau \approx \tau$. If e(R' we have four cases.

1) e is $t \approx C_+$, |t| = 1. Then, \hat{e} is the trivial identity $t \approx t$.

- 2) e is $f(C_{t_1}, ..., C_{t_n}) \approx C_t$, where $t = f(t_1, ..., t_n)$. But, then again, we have $t \approx t$.
- 3) e is $C_p \approx C_q$, where |p| = 1 and $p \approx q \in \mathbb{R}$. Then \hat{e} is $p \approx q$, which belongs to \mathbb{R} .
- 4) e is $f(C_{t_1}, ..., C_{t_n}) \approx C_q$, where $p \approx q \in \mathbb{R}$ and $p = f(t_1, ..., t_n)$. Then \hat{e} is $f(t_1, ..., t_n) \approx q$, which belongs to \mathbb{R} .

Therefore

 $R \vdash \hat{R}$.

Conversely, $R \subseteq \hat{R}$ since if $p \approx q \in R$ and $p = f(t_1, ..., t_n)$, then $f(C_{t_1}, ..., C_{t_n}) \approx C_q \in R'$, so that $f(t_1, ..., t_n) \approx q \in \hat{R}$ i.e. $p \approx q \in \hat{R}$.

Therefore, $\hat{R} \mid R$ and the proof is ended.

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Example 2. Let L be the variety of all the lattices and (A,R) be the same as in Example 1. Then,

 $\hat{R} = \{a \approx a, b \approx b, d \approx d, a \wedge b \approx a \wedge b, (a \vee d) \wedge b \approx (a \vee d) \wedge b,$

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4. MAIN RESULT

From the set R' we obtained (by the replacement of some symbols) the set \hat{R} , which is of the same "deductive power" as the set R. On the other hand, our aim is to obtain from the set R' such a set of identities R* which can be the positive

diagram of some partial algebra. First of all, from the set R' we have to take out the identities of the form $t \approx C_t$, where |t| = 1. Further on, in the set R' there are identities of the form $f(C_{t_1}, \ldots, C_{t_n}) \approx C_p$ and $f(C_{t_1}, \ldots, C_{t_n}) \approx C_q$, where $C_p * C_q$, and therefore are not supposed to be in the positive diagram of some partial algebra.

We shall formulate two rules:

- (a) If a set of identities I contains an identity of the form $p \approx q$, where |p| = |q| = 1, then we take out this identity from the set I and in all the other identities we replace the symbol q by p.
- (β) If a set of identities I contains some identities of the form t≈t₁, t≈t₂, where t₁*t₂, then from I we take out the identity t≈t₂ and in all the other identities we replace the symbol t₂ by t₁.

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Let I be a set of identities. Denote by $\alpha(I)$ the set of identities which appears from I, if the rule α is applied, and by $\beta(I)$ the set of identities which appears from I, when the rule β is applied.

We say that the set of identities I is α -pure if $\alpha(I)=I$. Analogously, I is β -pure if $\beta(I)=I$. Obviously, if I is a finite set of identities, then there are natural numbers m,n such that the set $\alpha^{I}(I)$ is α -pure and the set $\beta^{I}(I)$ is β -pure.

Definition 5. Let (A,R) be a finite presentation in a variety K. Let n be a natural number such that $\alpha^{n}(R')$ is α -pure and m be a natural number such that $\beta^{m}(\alpha^{n}(R'))$ is β -pure. Then, let

$$\mathbf{R}^{\star} = \beta^{\mathbf{m}}(\alpha^{\mathbf{n}}(\mathbf{R}^{\dagger}))$$

and A^* be the set of all these symbols from $A'Uconst(\mathfrak{L})$ which appear in the identities of R^* .

It is not difficult to see that $R^* \subseteq Eq(\mathcal{L} \cup A^*)$ and that R^* is the positive diagram of a partial algebra (in the language \mathcal{L}) with a carrier A^* . Denote this algebra by A^* .

We can assume, not losing generality, that A \subseteq A* i.e. that in application of the rule α we have $q \not\in A$ and in the application of rule β , we have $t_2 \not\in A$.

Example 3. Let L be the variety of all the lattices and (A,R) the same as in Example 1. Then,

$$\alpha^3(R') = \{a_{\Lambda}b_{R}C_{a_{\Lambda}b}, C_{a_{\Lambda}d}^{\Lambda}b_{R}C_{(a_{\Lambda}d)_{\Lambda}b}, a_{\Lambda}d_{R}C_{a_{\Lambda}d}, a_{\Lambda}b_{R}C_{(a_{\Lambda}d)_{\Lambda}b}, a_{\Lambda}d_{R}C_{a_{\Lambda}d}\},$$

$$R^* = \beta^2(\alpha^3(R^*)) = \{avd \in C_{avd}, d_{\Lambda}a \in C_{d_{\Lambda}a}, a_{\Lambda}b \in d,$$

$$A^* = \{a, b, d, C_{avd}, C_{dAa}\},$$

$$A^* = (A^*, \wedge, \vee)$$
, where $\Delta(A^*) = R^*$

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Lemma 3.

(i) Let $\Sigma(c,d)$ be a set of identities in Γ which contain the symbols c and d as constant symbols and let $\phi(c)$ be an identity not containing d. Then,

 $\Sigma(c,d)\cup\{c\approx d\}-\varphi(c)$ iff $\Sigma(c,c)-\varphi(c)$.

(ii) In point (i), we can put, instead of the constants c and d, any closed terms t_1 and t_2 .

Proof.

(+). Let $\Sigma(c,c) \vdash \varphi(c)$. Then, since

 $\Sigma(c,d) \cup \{c \approx d\} \vdash \Sigma(c,c)$,

we have

 $\Sigma(c,d)\cup\{c\approx d\} \vdash \varphi(c)$.

(→). Prove the following:

If $\Sigma(c,d) \cup \{c \approx d\} \vdash \psi(c,d)$ and if

 $(*) \quad \psi_1(c,d) \,, \, \psi_2(c,d) \,, \dots, \psi_n(c,d) \,=\, \psi(c,d)$

is a proof-sequence for $\psi(c,d)$, then

(**) $\psi_1(c,c)$, $\psi_2(c,c)$,..., $\psi_n(c,c) = \psi(c,c)$

is a proof-sequence for $\psi(c,c)$ from $\Sigma(c,c)$.

Clearly, if n=1, then

 $\psi(\dot{c}, d) \in \Sigma(c, d) \cup \{c \approx d\}$

or it is an axiom of equational logic. Then, $\psi(c,c)\in\Sigma(c,c)$ or $\psi(c,c)$ is cac or it is an axiom, so that

 $\Sigma(c,c) \vdash \psi(c,c)$.

Suppose the assertion holds for k<n and prove that it holds for n. Then, $\psi_n(c,d)$ is obtained by rules (2)-(5) from some

previous identities in sequence (*). It is not difficult to see then that $\psi_n(c,c)$ is obtained by the *same* rule from the corresponding formulas in sequence (**).

(ii) Analogously to (i).

Corollary. Let $K = mod(\Sigma)$ be a variety in Σ , (A,R) a finite presentation in K. Then, for every identity $p \approx q \in Eq(\Sigma \cup A)$ with no variables,

 $\Sigma UR - p \approx q$ iff $\Sigma UR + p \approx q$.

Proof. On the one hand,

 $\Sigma UR + p \approx q$ iff

iff ΣUR'U{t≈C_t | t∈Sub(R)} |-p≈q

(Lemma 1.)

iffΣUÂ-p≈q

(Lemma 3.)

iff ΣUR-p≈q.

(Lemma 2.)

On the other hand,

ΣUR'-p≈q

iffΣUR*-p≈q,

because of the construction of R* and Lemma 3. Therefore,

ΣUR-peq iff ΣUR*-peq.

Proposition 4. Let (A,R) be a finite presentation in a variety $K = mod(\Sigma)$, in language Σ , and let A^* be a K-partial algebra. Then, if the problem of the partial algebra A^* in K is solvable, the word problem for (A,R) in K is solvable, too.

Proof. Let pageEq(fUA), with no variables. Then,

 $P_{K}(A,R) \models p \approx q$

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Hence, if the problem of partial K-algebras is solvable, then we can solve the word problem for all those finite presentations (A,R) in K for which the corresponding partial algebra A^* is a K-partial algebra. It is easy to give an example of a variety K and a finite presentation (A,R), so that A^* is not K-partial algebra.

Example 4. Let L be the variety of all the lattices, $A = \{a,b,d\}$, $R = \{a,b \approx a, b \approx d\}$. Then, $R^* = R$ and $A^* = A$. But then A^* is not a partial lattice i.e. it is not a relative subalgebra of any lattice B (we shall have that a=d).

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REZIME

O JEDNOM PROBLEMU PARCIJALNIH ALGEBRI

Neka je A konačna parcijalna algebra, V varijetet a FV(A) algebra slobodno generisana sa A u V.

Problem parcijalnih V-algebri pita da li postoji univerzalni algoritam koji odlučuje da li za bilo koji identitet p≈q∈Eq(£∪A), bez promenljivih, važi

 $FV(A) \models p \approx q$.

U radu se ispituje odnos problema reči za V i problema parcijalnih algebri. Pokazano je da rešivost problema reči implicira rešivost problema parcijalnih algebri. Takodje, data je klasa konačnih prezentacija za koju rešivost problema parcijalnih algebri implicira rešivost problema reči.

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