

## Reduced and irreducible simple algebraic extensions of commutative rings

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ABSTRACT. Let  $A$  be a commutative ring with identity and  $\alpha$  be an algebraic element over  $A$ . We give necessary and sufficient conditions under which the simple algebraic extension  $A[\alpha]$  is without nilpotent or without idempotent elements.

### 1. INTRODUCTION

Let  $A$  be a commutative ring with identity element 1. We shall say that  $K$  is a commutative ring extension of  $A$ , or  $A$  is a subring of  $K$ , if  $A$  and  $K$  are commutative rings with common identity element and  $A \subseteq K$ .

Suppose that  $K$  is a commutative ring extension of  $A$  and let  $\alpha \in K$  be an algebraic element over  $A$ . If  $\alpha$  is a root of a nonzero polynomial  $f(x) \in A[x]$  of a minimal degree  $n$ , then we shall say that  $f(x)$  is a minimal polynomial of  $\alpha$  over the ring  $A$ . The intersection of all subrings of  $K$ , containing  $A$  and  $\alpha$ , we shall denote by  $A[\alpha]$ . The ring  $A[\alpha]$  is called a simple algebraic extension of  $A$ , which is obtained by adjoining  $\alpha$  to  $A$ .

Let  $f(x)$  be any nonzero polynomial over the ring  $A$ . If the leading coefficient of  $f(x)$  is  $a_0 = 1$ , then  $f(x)$  is said to be a monic polynomial over  $A$ . And what is more, if the leading coefficient  $a_0$  of  $f(x)$  is a regular element in  $A$ , i.e.  $a_0$  is not zero divisor in  $A$ , then we shall say that  $f(x)$  is a regular polynomial over the ring  $A$ .

Recall that the ring  $A$  is called a reduced ring if  $A$  has no nonzero nilpotent elements. The ring  $A$  is said to be irreducible if  $A$  has no nontrivial idempotents.

A main result in [11] asserts that if  $A$  is a reduced commutative ring,  $f(x)$  is a monic minimal polynomial of  $\alpha$  over  $A$  and the discriminant  $\Delta(f)$  is a regular element in  $A$ , then the simple algebraic extension  $A[\alpha]$  is a reduced ring. Also in [11] is proved that if  $A$  is an irreducible ring and the minimal polynomial  $f(x)$  of  $\alpha$  is monic and irreducible over  $A$ , then the simple algebraic extension  $A[\alpha]$  again is irreducible. So here arises the

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problem to find necessary and sufficient conditions under which the ring  $A[\alpha]$  is reduced or irreducible. In this paper we solve these two problems in the parts 3 and 4, respectively.

## 2. PRELIMINARY LEMMAS AND DEFINITIONS

It is well known that every polynomial  $f(x)$  of degree  $n$  with coefficients from a field  $F$  has at most  $n$  roots in every field extension of  $F$ . Moreover, there exists a field extension  $\bar{F} \supseteq F$  such that  $\bar{F}$  contains exactly  $n$  roots of  $f(x)$ . But this fact does not hold for the ring extensions of  $F$ . For example, let  $G$  be a direct product of  $m \geq 2$  cyclic groups of order  $n$  and let  $K = FG$  be the group ring of the group  $G$  over the field  $F$ . Then  $K$  is a ring extension of  $F$  and every element of  $G$  is a root of the polynomial  $f(x) = x^n - 1 \in F[x]$ . Thus  $f(x)$  has at least  $n^m$  roots in  $K$ .

Let

$$(1) \quad f(x) = a_0x^n + a_1x^{n-1} + \cdots + a_{n-1}x + a_n \quad (n \geq 1)$$

be a polynomial over  $A$  of degree  $n$ . We shall say that the elements  $\alpha_1, \alpha_2, \dots, \alpha_n$  form a canonical system roots of the polynomial  $f(x) \in A[x]$  if there exists a commutative ring extension  $K \supseteq A$  such that  $\alpha_1, \alpha_2, \dots, \alpha_n \in K$  and

$$(2) \quad f(x) = a_0(x - \alpha_1)(x - \alpha_2) \cdots (x - \alpha_n).$$

Every regular polynomial over  $A$  has at last one canonical system roots [9]. But example shows that over some rings  $A$  there exist polynomials that have not roots. Moreover, there exist polynomials which have roots, but they have not canonical systems of roots. For more details see [9].

Later on we shall use the following two definitions. A discriminant of the polynomial (1) we shall call the following determinant of order  $2n - 1$

$$\Delta(f) = \varepsilon \begin{vmatrix} 1 & a_1 & \cdots & a_n & 0 & 0 & \cdots & 0 \\ 0 & a_0 & a_1 & \cdots & a_n & 0 & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & 0 & a_0 & a_1 & \cdots & a_n \\ n & (n-1)a_1 & (n-2)a_2 & \cdots & a_{n-1} & 0 & \cdots & 0 \\ 0 & na_0 & (n-1)a_1 & \cdots & 2a_{n-2} & a_{n-1} & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & 0 & na_0 & (n-1)a_1 & \cdots & a_{n-1} \end{vmatrix},$$

where  $\varepsilon = (-1)^{\frac{n(n-1)}{2}}$ . So, for  $n = 1$  and  $n = 2$  we have  $\Delta(f) = 1$  and  $\Delta(f) = a_1^2 - 4a_0a_2$ , respectively. Let

$$(3) \quad g(x) = b_0x^m + b_1x^{m-1} + \cdots + b_{m-1}x + b_m \quad (m \geq 1)$$

be another polynomial over  $A$ . Then the determinant of order  $n + m$

$$R(f, g) = \begin{vmatrix} a_0 & a_1 & \dots & a_n & 0 & \dots & 0 & 0 \\ 0 & a_0 & a_1 & \dots & a_n & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & a_0 & a_1 & \dots & a_{n-1} & a_n \\ b_0 & b_1 & \dots & b_m & 0 & \dots & 0 & 0 \\ 0 & b_0 & b_1 & \dots & b_m & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & b_0 & b_1 & \dots & b_{m-1} & b_m \end{vmatrix}$$

is said to be a resultant of the polynomials  $f(x)$  and  $g(x)$  (see [3, 8, 13]).

If  $e_1, e_2, \dots, e_k$  is a full orthogonal system idempotents of the ring  $A$ , that is the ring  $A$  is a direct sum of the ideals  $e_i A$  ( $i = 1, \dots, k$ ), then for the polynomials  $f(x), g(x) \in A[x]$  we put  $f_i(x) = e_i f(x)$  and  $g_i(x) = e_i g(x)$ . So from the definition of  $R(f, g)$  we conclude that

$$R(f, g) = R(f_1, g_1) + R(f_2, g_2) + \dots + R(f_k, g_k).$$

Likewise,

$$\Delta(f) = \Delta(f_1) + \Delta(f_2) + \dots + \Delta(f_k).$$

Later on we shall use these facts without special stipulations.

Let  $\alpha_1, \alpha_2, \dots, \alpha_n$  be a canonical system roots of the polynomial (1). In [9] it is proved that

$$(4) \quad R(f, g) = a_0^m g(\alpha_1) g(\alpha_2) \dots g(\alpha_n)$$

for every polynomial  $g(x) \in A[x]$ , even when  $g(x)$  does not have roots. Moreover, if  $n \geq 2$  and  $f'(x)$  is the prime derivative of  $f(x)$ , then [10]

$$(5) \quad \Delta(f) = (-1)^{\frac{n(n-1)}{2}} \cdot a_0^{n-2} \cdot f'(\alpha_1) \cdot f'(\alpha_2) \dots f'(\alpha_n).$$

When  $A$  is a field, then (4) and (5) show the well known facts that  $R(f, g) = 0$  if and only if  $f(x)$  and  $g(x)$  have common roots and  $f(x)$  has multiple roots if and only if  $\Delta(f) = 0$ . For arbitrary polynomials  $f(x), g(x) \in A[x]$  we have the following.

**Lemma 1.** (see [9], also [8] p.159 and [13] p. 130) *Let  $A$  be any commutative ring with identity. If (1) and (3) are polynomials over  $A$ , then*

- (i) *There exist polynomials  $\varphi(x), \psi(x) \in A[x]$  such that  $\deg \varphi(x) \leq m - 1$ ,  $\deg \psi(x) \leq n - 1$  and*

$$R(f, g) = \varphi(x)f(x) + \psi(x)g(x).$$

- (ii) *If  $n \geq 2$ , then there exist polynomials  $u(x), v(x) \in A[x]$  such that  $\deg u(x) \leq n - 2$ ,  $\deg v(x) \leq n - 1$  and*

$$\Delta(f) = u(x)f(x) + v(x)f'(x).$$

So we obtain

**Corollary 1.** [9] *Let  $f(x)$  and  $g(x)$  be polynomials over the ring  $A$ .*

- (i) *If  $f(x)$  and  $g(x)$  have common roots, then  $R(f, g) = 0$ .*  
(ii) *If  $f(x)$  has multiple roots, then  $\Delta(f) = 0$ .*

Examples show that the converse statements of the preceding corollary in the general case are erroneous [9].

Let  $S$  be a multiplicatively closed set of regular elements in  $A$ , that is  $S$  contains the product of every his two elements and every element of  $S$  is not zero divisor in  $A$ . Then there exists a ring of quotients  $S^{-1}A$  with respect to  $S$  ([12], p. 146). Every element of  $S^{-1}A$  is of the form  $s^{-1}a$ , where  $s \in S$ ,  $a \in A$ . Thus all elements of  $S$  are invertible in  $S^{-1}A$ . If  $S$  is the set of all regular elements in  $A$ , then  $S^{-1}A$  is said to be the classical ring of quotients, that we shall denote by  $Q(A)$ .

**Lemma 2.** *Let  $A$  be a ring with identity and  $f(x)$  be a regular polynomial over  $A$  of degree  $n \geq 1$ . Then there exists a ring extension  $K \supseteq A$  such that  $f(x)$  is a minimal polynomial over  $A$  of some element  $\alpha \in K$ .*

*Proof.* Let (1) be a regular polynomial over  $A$ . First, suppose that  $a_0 = 1$ . If  $\deg f(x) = n = 1$ , then we put  $\alpha = -a_1$  and the statements are trivial. If  $n \geq 2$ , then let  $K = A[y]/I$  be the quotient ring of the polynomial ring  $A[y]$  modulo the principal ideal  $I$ , generated by the polynomial  $f(y)$ . Thus  $\bar{A} = \{a + I \mid a \in A\}$  is a subring of  $K$  and, because  $a_0 = 1$ , it is clear that  $A$  and  $\bar{A}$  are isomorphic rings. So  $A$  can be viewed as a subring of  $K$ . Obviously,  $f(x)$  is a minimal polynomial of the element  $\alpha = y + I \in K$ .

If  $a_0 \neq 1$ , then let  $P = Q(A)$  be the classical ring of quotients of  $A$ . Now  $A \subseteq P$ ,  $f(x) \in P[x]$  and  $a_0$  is an invertible element of  $P$ . Therefore  $g(x) = a_0^{-1}f(x)$  is a monic polynomial over  $P$  and the statement for  $g(x)$  holds. Thus we conclude that there exists a commutative ring extension  $K \supseteq P$  such that  $g(x)$  is a minimal polynomial over  $P$  of some element  $\alpha \in K$ . Then it is clear that  $f(x) = a_0g(x)$  is a minimal polynomial of  $\alpha$  over  $A$ , as was to be shoved.  $\square$

When  $\alpha \in K$  and  $P = Q(A) \subseteq K$ , then by definition there exists the simple ring extensions  $P[\alpha]$  with  $A[\alpha] \subseteq P[\alpha]$ . But, if  $P \not\subseteq K$ , then  $P[\alpha]$  is not defined in the general case. Later on we shall use the following

**Lemma 3.** *Let  $K \supseteq A$  be a ring extension and  $\alpha \in K$  be an algebraic element over  $A$  with a regular minimal polynomial  $f(x) \in A[x]$ . Then there exists a ring extension  $K_1 \supseteq A$  such that  $Q(A) \subseteq K_1$ ,  $f(x)$  is a minimal polynomial of some  $\beta \in K_1$  and  $A[\alpha] \cong A[\beta]$ .*

*Proof.* Let (1) be a minimal polynomial over  $A$  of the element  $\alpha$ . If  $P = Q(A) \subseteq K$ , then we put  $K_1 = K$  and  $\beta = \alpha$ . Suppose that  $P \not\subseteq K$ . Since  $f(x) \in P[x]$ , by Lemma 2 it follows that there exists a commutative ring extension  $K_1 \supseteq P$  such that  $f(x)$  is a minimal polynomial over  $P$  of some element  $\beta \in K_1$ . Since  $A \subseteq P$  and  $f(x) \in A[x]$ , it is clear that  $f(x)$  is a minimal polynomial of  $\beta$  and over  $A$ . Now we shall prove that  $A[\alpha]$  and  $A[\beta]$  are isomorphic.

First we shall show that for  $g(x) \in A[x]$  the conditions  $g(\alpha) = 0$  and  $g(\beta) = 0$  are equivalent. Really, since the leading coefficient  $a_0$  is invertible

in  $P = Q(A)$ , we have

$$g(x) = f(x)q(x) + r(x), \quad \deg r(x) < \deg f(x),$$

where the polynomials  $q(x)$  and  $r(x)$  are with coefficients in  $P$ . It is clear that there exists a power  $a_0^k$  ( $k \geq 1$ ) of the element  $a_0 \in A$  such that  $a_0^k q(x)$  and  $a_0^k r(x)$  to be elements of  $A[x]$ . Then

$$a_0^k g(x) = f(x)[a_0^k q(x)] + a_0^k r(x), \quad \deg(a_0^k r(x)) < \deg f(x).$$

If  $g(\alpha) = 0$ , then  $a_0^k r(\alpha) = 0$  and by the minimum condition of  $f(x)$  we conclude that  $a_0^k g(x) = f(x)[a_0^k q(x)]$ . Therefore  $a_0^k g(\beta) = 0$  and so  $g(\beta) = 0$ , because  $a_0^k$  is an invertible element of  $P$ . In similar way from  $g(\beta) = 0$  we receive  $g(\alpha) = 0$ . Now it is easy to verify that the map  $g(\alpha) \mapsto g(\beta)$  for all  $g(x) \in A[x]$  is an isomorphism between  $A[\alpha]$  and  $A[\beta]$ , as was to be showed.  $\square$

**Lemma 4.** *Let  $P = Q(A)$  be the classical ring of quotients of a commutative reduced ring  $A$  and let  $f(x) \in A[x]$  be a regular minimal polynomial of the algebraic element  $\alpha$ . Then*

- (i) *The rings  $A[\alpha]$  and  $\bar{A} = A[x]/(A[x] \cap f(x)P[x])$  are isomorphic.*
- (ii) *The ring  $A[\alpha]$  is reduced if and only if the quotient ring  $\bar{P} = P[x]/f(x)P[x]$  is reduced.*

*Proof.* In view the preceding lemma we may assume that  $Q(A) \subseteq K$  and  $\alpha \in K$ .

(i) The mapping  $\Phi : A[x] \rightarrow A[\alpha]$ , defined by  $\Phi(g(x)) = g(\alpha)$  for all  $g(x) \in A[x]$ , is a homomorphism of  $A[x]$  onto  $A[\alpha]$  with  $\ker \Phi = A[x] \cap f(x)P[x]$ . Really, it is clear that  $A[x] \cap f(x)P[x] \subseteq \ker \Phi$ . If  $g(x) \in \ker \Phi$ , then  $g(\alpha) = f(\alpha) = 0$ . Moreover, there exist polynomials  $q(x), r(x) \in P[x]$ , such that

$$g(x) = f(x)q(x) + r(x) \quad \text{and} \quad \deg r(x) < \deg f(x).$$

Hence it follows that  $r(\alpha) = 0$ . Since  $P = Q(A)$ , for some regular element  $a \in A$  we have  $\varphi(x) = ar(x) \in A[x]$ . But  $\varphi(\alpha) = 0$  and  $\deg \varphi(x) < \deg f(x)$  imply  $\varphi(x) = 0$ . So we obtain  $r(x) = 0$  and  $g(x) \in f(x)P[x]$ , as was be shown.

(ii) Let  $\bar{P}$  be a reduced ring. Since

$$\bar{A} = A[x]/(A[x] \cap f(x)P[x]) \cong (A[x] + f(x)P[x])/f(x)P[x] \subseteq \bar{P},$$

so we conclude that  $\bar{A}$  is a reduced ring. Now by (i) we obtain that the ring  $A[\alpha]$  is reduced. Conversely, suppose that  $A[\alpha]$  is reduced. If  $\bar{P}$  is not reduced and  $\varphi(x) + f(x)P[x]$  is its nontrivial nilpotent element, then we may assume that  $0 \neq \varphi(x) \in P[x]$ ,  $\deg \varphi(x) < \deg f(x)$  and  $\varphi^k(x) \in f(x)P[x]$  for some integer  $k > 1$ . Let  $a \in A$  be a nonzero regular element such that  $0 \neq a\varphi(x) \in A[x]$ . Then it is clear that  $a\varphi(x) + A[x] \cap f(x)P[x]$  is a nonzero nilpotent element of  $\bar{A}$ . This shows that  $\bar{A}$  is not reduced ring and by (i)

we receive that  $A[\alpha]$  is not reduced, which is a contradiction. So the proof is completed.  $\square$

**Corollary 2.** *If the leading coefficient of the polynomial  $f(x) \in A[x]$  is an invertible element of  $A$  and  $f(x)$  is a minimal polynomial of  $\alpha$ , then the rings  $A[\alpha]$  and  $A[x]/f(x)A[x]$  are isomorphic.*

*Proof.* Let  $P$  be as above. Since the leading coefficient of the polynomial  $f(x)$  is invertible in  $A$ , it is easy to verify that  $f(x)A[x] \subseteq A[x] \cap f(x)P(x) \subseteq f(x)A[x]$ . Then the statement follows by Lemma 4(i).  $\square$

### 3. SIMPLE ALGEBRAIC EXTENSIONS OF REDUCED RINGS

Now let  $A$  be a reduced commutative ring and let  $f(x) \in A[x]$  be a minimal polynomial of the algebraic element  $\alpha$ . It is clear that  $A[\alpha]$  is reduced if and only if the ring  $B[\alpha]$  is reduced for every finitely generated subring  $B \subseteq A$  such that  $f(x) \in B[x]$ . Therefore it is sufficient to find necessary and sufficient conditions  $A[\alpha]$  to be reduced when  $A$  is a noetherian ring. First we shall consider the case when  $A$  is a field.

Recall that a field  $F$  of characteristic  $p \geq 0$  is said to be perfect if  $p = 0$ , or  $p > 0$  and  $F^p = F$  ([2], p. 137). So every finite field and every algebraically closed field is perfect.

If  $F$  is a field and  $f(x), g(x) \in F[x]$ , then as ever, by  $(f, g)$  we shall denote the monic greatest common divisor over  $F$  of the polynomials  $f(x)$  and  $g(x)$ . Moreover,  $f(x)$  and  $g(x)$  are associated if  $f(x) = ag(x)$  for some non zero element  $a \in F$ .

**Lemma 5.** *Let  $F$  be a field of characteristic  $p \geq 0$  and let  $f(x)$  be a nonzero polynomial over  $F$ . Then the following conditions are equivalent:*

- (i) *The quotient ring  $F[x]/f(x)F[x]$  is reduced.*
- (ii) *The polynomial  $f(x)$  is a product of distinct non associated irreducible polynomials over the field of  $F$ .*
- (iii) *Either  $(f, f') = 1$ , or  $F$  is not perfect field of characteristic  $p \neq 0$  and  $(f, f')$  is a product of distinct non associated irreducible polynomials of the form  $\varphi(x^p) \in F[x]$ .*

*Proof.* Let  $f(x) = af_1^{k_1}(x)f_2^{k_2}(x)\cdots f_s^{k_s}(x)$  be a factorization of  $f(x)$  over  $F$ , where  $f_1(x), \dots, f_s(x)$  are distinct non associated irreducible polynomials over  $F$  and  $a \in F$ . Since  $(f_i, f_j) = 1$  for all  $i \neq j$ , by the Chinese theorem ([8], p.88) we have

$$F[x] \Big/ f(x)F[x] \cong \sum_{i=1}^s \oplus F[x] \Big/ f_i^{k_i}(x)F[x].$$

It is clear that  $F[x]/f(x)F[x]$  is reduced if and only if  $k_1 = k_2 = \cdots = k_s = 1$ . So we obtain that (i) and (ii) are equivalent.

Further, let  $f(x) = f_1(x)f_2(x) \cdots f_s(x)$  be a product of distinct non associated irreducible polynomials over  $F$ . Denote by  $g(x) = f_1(x) \cdots f_k(x)$  the product of all factors of  $f(x)$ , not having multiple roots. When  $k = 0$  we put  $g(x) = 1$ . If  $k < s$ , let  $d(x) = f_{k+1}(x)f_{k+2}(x) \cdots f_s(x)$  be the product of all factors of  $f(x)$  which have multiple roots. This happens if  $p > 0$  and  $F$  is not perfect field (see ([2] p. 138). In such case  $f_i(x) = \varphi_i(x^p)$ , where  $\varphi_i(x) \in F[x]$  for  $i = k + 1, k + 2, \dots, s$ . When  $k = s$  we put  $d(x) = 1$ . Thus  $f(x) = g(x)d(x)$  and either  $d(x) = 1$ , or  $d(x) = \varphi(x^p)$  with  $\varphi(x) \in F[x]$  and  $\deg \varphi(x) \geq 1$  (see [6] p. 162). Therefore we have  $d'(x) = 0$ . Since  $f'(x) = g'(x)d(x)$  and  $(g, g') = 1$ , it is clear that  $d(x) = (f, f')$ . So we see that (ii) and (iii) are equivalent, as was to be shown.  $\square$

As an immediate consequence we obtain

**Corollary 3.** *Let  $F$  be a field and let  $f(x) \in F[x]$  be a nonzero polynomial.*

- (i) *If  $\Delta(f) \neq 0$ , then the quotient ring  $F[x]/f(x)F[x]$  is reduced.*
- (ii) *If  $F$  is a perfect field, then the ring  $F[x]/f(x)F[x]$  is reduced if and only if  $\Delta(f) \neq 0$ .*

Really, it is sufficient to observe that the conditions  $(f, f') = 1$  and  $\Delta(f) \neq 0$  are equivalent.

Now let  $A$  be a reduced commutative ring and let  $f(x) \in A[x]$  be a minimal polynomial of the algebraic element  $\alpha$ . As was mentioned above, it is sufficient to find necessary and sufficient conditions under which  $A[\alpha]$  is reduced, when  $A$  is a noetherian ring.

**Theorem 1.** *Let  $A$  be a reduced commutative noetherian ring with classical ring of quotients  $P = Q(A)$  and let  $\alpha$  be an algebraic element over  $A$  with a minimal polynomial  $f(x) \in A[x]$ . If  $f(x)$  is a regular polynomial over  $A$ , then the following statements are equivalent:*

- (i) *The ring  $A[\alpha]$  is reduced.*
- (ii) *For every regular element  $a \in A$  the polynomial  $af(x)$  is not divisible by squares of polynomials over  $A$  of degree  $t \geq 1$ .*
- (iii) *For every minimal idempotent  $e \in P$  the polynomial  $ef(x)$  is a product of distinct non associated irreducible polynomials over the field  $eP$ .*
- (iv) *For every minimal idempotent  $e \in P$ , either  $(ef, ef') = e$ , or  $eP$  is a field of characteristic  $p > 0$ ,  $eP$  is not a perfect field and  $(ef, ef')$  is a product of distinct non associated irreducible polynomials of the form  $\varphi(x^p) \in eP[x]$ .*

*Proof.* Suppose that  $A \subseteq K$  and  $\alpha \in K$ . By Lemma 3, without loss of generality, we may assume that  $P = Q(A) \subseteq K$ . Since  $A$  is a reduced commutative noetherian ring, by Goldie's Theorem (see [1], Corollary 2, p. 323), the ring  $P$  is a finite direct sum

$$P = A_1 \oplus A_2 \oplus \cdots \oplus A_k$$

of fields  $A_i$  with identity elements  $e_i$  ( $i = 1, \dots, k$ ). Then  $A_i = e_i P$  and it is clear that  $f_i(x) = e_i f(x)$  is a minimal polynomial of  $\alpha$  over the field  $A_i$  for  $i = 1, \dots, k$ . Moreover,

$$A[\alpha] \subseteq P[\alpha] = A_1[\alpha] \oplus A_2[\alpha] \oplus \dots \oplus A_k[\alpha]$$

and  $P[\alpha] \cong P[x]/f(x)P[x]$ . Thus, by Lemma 4(ii) we obtain that  $A[\alpha]$  is reduced if and only if the rings  $A_1[\alpha], A_2[\alpha], \dots, A_k[\alpha]$  are reduced. Hence, by Lemma 5 we conclude that the statements (i), (iii) and (iv) are equivalent. Therefore it is sufficient to prove that (i) and (ii) are equivalent.

Really, suppose that  $A[\alpha]$  is a reduced ring but  $af(x) = p^2(x)q(x)$  for some regular element  $a \in A$ , where  $p(x), q(x) \in A[x]$  and  $\deg p(x) \geq 1$ . Then

$$p(x) = e_1 p(x) + e_2 p(x) + \dots + e_k p(x)$$

and without loss of generality we may assume that  $\deg e_1 p(x) \geq 1$ . Thus the equality  $af(x) = p^2(x)q(x)$  shows that

$$e_1 af(x) = (e_1 p(x))^2 e_1 q(x)$$

and

$$1 \leq \deg(e_1 p(x)q(x)) < \deg(e_1 af(x)) = \deg f_1(x),$$

where  $f_1(x) = e_1 f(x)$ . Therefore,  $e_1 p(x)q(x) + f_1(x)A_1[x]$  is a nonzero nilpotent element of the quotient ring  $A_1[x]/f_1(x)A_1[x]$ . As far as  $f_1(x)$  is a minimal polynomial of  $\alpha$  over  $A_1$ , by Corollary 2 we receive that  $A_1[\alpha]$  is not reduced, which is impossible. Conversely, if  $A[\alpha]$  is not reduced ring, then  $P[\alpha]$  is not reduced and without loss of generality we may assume that  $A_1[\alpha]$  is not reduced. Then by Corollary 2 and Lemma 5 we obtain that  $f_1(x) = p_1^2(x)q_1(x)$ , where  $p_1(x), q_1(x) \in A_1[x]$  and  $\deg p_1(x) \geq 1$ . Now we put

$$\begin{aligned} p(x) &= p_1(x) + e_2 + \dots + e_k, \\ q(x) &= q_1(x) + f_2 + \dots + f_k \end{aligned}$$

and thus we receive  $f(x) = p^2(x)q(x)$ , where  $p(x), q(x) \in P[x]$  and  $\deg p(x) \geq 1$ . Since  $P$  is a ring of quotients, it follows that there exist regular elements  $b, c \in A$  such that  $bp(x)$  and  $cq(x)$  are elements of  $A[x]$ . Then  $a = b^2c$  is a regular element in  $A$  and  $af(x)$  is divisible by the square of  $bp(x) \in A[x]$ , as was to be showed.

As was mentioned above, the main result of [11] asserts that if  $\Delta(f)$  is a regular element in  $A$ , then  $A[\alpha]$  is a reduced ring. But  $A[\alpha]$  may be reduced even when  $\Delta(f) = 0$ . Indeed, let  $\alpha$  be a root of the polynomial  $f(x) = x^p - y \in A[x]$ , where  $A = F(y)$  is the ring of quotients of the polynomial ring  $F[y]$  over a field  $F$  of characteristic  $p > 0$ . Then  $\Delta(f) = 0$ ,



$f(x)$  is irreducible over  $A$  (see [2], p. 165) and  $A[\alpha]$  is reduced by Corollary 2 and Lemma 5.  $\square$

We shall say that the reduced commutative ring  $A$  is locally perfect if for every finitely generated subring  $B \subseteq A$  and every minimal idempotent  $e \in Q(B)$  the field  $eQ(B)$  is perfect. If the additive group of the reduced ring  $A$  is either torsion free, or locally finite, then  $A$  is a locally perfect ring. Thus we have the following

**Corollary 4.** *Let  $\alpha$  be an algebraic element over the commutative ring  $A$  with a regular minimal polynomial  $f(x) \in A[x]$  and let  $\Delta(f)$  be the discriminant of  $f(x)$ .*

- (i) *If  $\Delta(f)$  is a regular element in  $A$ , then the ring  $A[\alpha]$  is reduced if and only if  $A$  is reduced.*
- (ii) *If  $A$  is a reduced locally perfect ring, then  $A[\alpha]$  is reduced if and only if  $\Delta(f)$  is a regular element in  $A$ .*

*Proof.* (i) Assume that  $A$  is reduced and  $\Delta(f)$  is regular in  $A$ , but  $A[\alpha]$  is not reduced. If  $\beta$  is a nonzero nilpotent element of  $A[\alpha]$ , then let  $B$  be the subring of  $A$ , generated by the coefficients of  $\beta$  and  $f(x)$ . Thus  $f(x) \in B[x]$  and  $\beta \in B[\alpha]$ . Hence by the preceding theorem it follows that for some minimal idempotent  $e \in Q(B)$  the polynomial  $ef(x)$  has multiple roots and therefore  $\Delta(ef) = 0$ . Since  $\Delta(ef) = e\Delta(f)$ , we obtain that the element  $\Delta(f)$  is a proper divisor of zero, which is a contradiction. As far as the converse statement is trivial, the part (i) is proved.

(ii) In view of (i) it is sufficient to prove that if  $A[\alpha]$  is reduced, then  $\Delta(f)$  is regular. Assume for moment that  $\Delta(f)a = 0$  and  $0 \neq a \in A$ . Let  $B$  be the finitely generated subring of  $A$ , generated by the coefficients of  $f(x)$  and the element  $a \in A$ . Thus  $f(x) \in B[x]$  and  $a \in B$ . Let  $e_1, e_2, \dots, e_n$  be a full orthogonal system minimal idempotents of  $Q(B)$ . Then

$$\Delta(f) = \Delta(e_1f) + \Delta(e_2f) + \dots + \Delta(e_nf),$$

where  $\Delta(e_if) \in e_iQ(B)$  for  $i = 1, 2, \dots, n$ . Since each  $e_iQ(B)$  is a field and  $\Delta(f)$  is a proper divisor of zero in  $B$ , we conclude that for some  $i$  ( $1 \leq i \leq n$ ) we have  $\Delta(e_if) = 0$ . This implies that  $e_if(x)$  has multiple roots. But  $e_iQ(B)$  is a perfect field and by Corollary 2 and Lemma 5 we obtain that  $e_iQ(B)[\alpha]$  is not reduced and therefore  $Q(B)[\alpha]$  is not reduced ring, which is a contradiction. So the proof is completed.  $\square$

Let  $K$  be any ring extension of the commutative ring  $A$  where  $K$  is not necessary commutative. If the element  $\alpha \in K$  centralizes  $A$ , that is  $\alpha.a = a.\alpha$  for all  $a \in A$ , then we may to consider the simple commutative ring extension  $A[\alpha]$ . So we have the following

**Corollary 5.** *Let  $F$  be a perfect field and let  $S$  be an element of the  $n \times n$  matrix ring  $M(n, F)$ . If  $F$  contains all characteristic values of  $S$ , then the*

ring  $F[S]$  is reduced if and only if for some non-singular matrix  $T \in M(n, F)$  the matrix  $TST^{-1}$  is diagonal.

*Proof.* By Corollary 2 and Corollary 3(ii) the ring  $F[S]$  is reduced if and only if  $\Delta(f) \neq 0$  where  $f = f(\lambda)$  is the minimal polynomial of  $S$  in  $F[\lambda]$ . Since  $f(\lambda)$  is the last invariant factor of the characteristic matrix  $S - \lambda E$  (see [5], p. 389), this condition is equivalent with the condition the Jordan's normal form of  $S$  to be diagonal.  $\square$

#### 4. SIMPLE ALGEBRAIC EXTENSIONS OF IRREDUCIBLE COMMUTATIVE RINGS

In this part we shall study the problem who the ring  $A[\alpha]$  contains nontrivial idempotent elements. Later on we shall say that the idempotent  $E$  of the ring  $A[\alpha]$  (respectively of  $A[x]/f(x)A[x]$ ) is a trivial idempotent if  $E$  is an element of the subring  $A$  (respectively of the subring  $(A + f(x)A[x])/f(x)A[x]$ ).

As usually we shall say that the polynomial  $p(x) \in A[x]$  divides the polynomial  $f(x) \in A[x]$  over the ring  $A$  if there exists a polynomial  $q(x) \in A[x]$  such that  $f(x) = p(x)q(x)$ . The polynomial  $p(x) \in A[x]$  is said to be a trivial divisor of  $f(x)$  if  $p(x)$  divides  $f(x)$  and there exists an element  $a \in A$  such that

$$p(x) + f(x)A[x] = a + f(x)A[x],$$

that is  $f(x)$  divides  $p(x) - a$  over  $A$ . For example, if  $e \in A$  is a nontrivial idempotent of  $A$ , then every polynomial  $f(x) \in A[x]$  has a trivial decomposition

$$f(x) = [ef(x) + (1 - e)][e + (1 - e)f(x)].$$

The decomposition  $f(x) = p(x)q(x)$  over  $A$  is said to be nontrivial decomposition if over  $A$  the polynomials  $p(x)$  and  $q(x)$  are nontrivial divisors of  $f(x)$ . Also, the decomposition  $f(x) = p(x)q(x)$  is an essential decomposition over  $A$  if  $p(x)$  and  $q(x)$  are nontrivial divisors of  $f(x)$  and  $\deg p(x) < \deg f(x)$ ,  $\deg q(x) < \deg f(x)$ . We shall say that the polynomial  $f(x)$  is irreducible over the ring  $A$  if  $f(x)$  has no nontrivial decomposition over  $A$ .

Recall that if  $F$  is a field and  $\varphi(x), \psi(x) \in F[x]$ , then for the greatest common divisor  $(\varphi, \psi)$  there exist polynomials  $u(x), v(x) \in F[x]$  such that

$$(\varphi, \psi) = u(x)\varphi(x) + v(x)\psi(x)$$

and  $\deg u(x) < \deg \psi(x)$ ,  $\deg v(x) < \deg \varphi(x)$ . Likewise, if  $A$  is any commutative ring and  $\varphi(x), \psi(x) \in A[x]$ , then by Lemma 1 it follows that for the resultant  $R(\varphi, \psi)$  there exist polynomials  $u(x), v(x) \in A[x]$  such that

$$R(\varphi, \psi) = u(x)\varphi(x) + v(x)\psi(x) \in A$$

and  $\deg u(x) < \deg \psi(x)$ ,  $\deg v(x) < \deg \varphi(x)$ . From here on we shall use these facts without special stipulations.

Let  $f(x)$  be a minimal polynomial over the field  $F$  of the algebraic element  $\alpha$ . Since the rings  $F[\alpha]$  and  $F[x]/f(x)F[x]$  are isomorphic, by Chain's theorem it follows that  $F[\alpha]$  contains nontrivial idempotents if and only if  $f(x)$  is not associated with a power of some irreducible polynomial over  $F$ . Now we shall prove the following lemma, which gives the idempotents of  $F[\alpha]$  in explicit form.

**Lemma 6.** *Let  $\alpha$  be an algebraic element over the field  $F$  with a minimal polynomial  $f(x) \in F[x]$ . Then*

- (i) *The ring  $F[\alpha]$  is irreducible if and only if  $f(x)$  is associated with a power of an irreducible polynomial of  $F[x]$ .*
- (ii) *The elements  $E_1(\alpha)$  and  $E_2(\alpha)$  of  $F[\alpha]$  form a full orthogonal system idempotents if and only if over  $F$  there exists a decomposition  $f(x) = \varphi(x)\psi(x)$  such that*

$$(\varphi, \psi) = u(x)\varphi(x) + v(x)\psi(x) = 1,$$

where  $\deg(u(x)\varphi(x)) < \deg f(x)$  and

$$E_1(\alpha) = u(\alpha)\varphi(\alpha), \quad E_2(\alpha) = v(\alpha)\psi(\alpha).$$

- (iii) *The elements  $E_1(\alpha)$  and  $E_2(\alpha)$  of  $F[\alpha]$  form a nontrivial full orthogonal system idempotents if and only if over  $F$  there exists an essential decomposition  $f(x) = \varphi(x)\psi(x)$  such that*

$$R(\varphi, \psi) = u_1(x)\varphi(x) + v_1(x)\psi(x) \neq 0$$

and

$$E_1(\alpha) = R(\varphi, \psi)^{-1}u_1(\alpha)\varphi(\alpha), \quad E_2(\alpha) = R(\varphi, \psi)^{-1}v_1(\alpha)\psi(\alpha).$$

*Proof.* (i) Let  $F[\alpha]$  be an irreducible ring and let

$$f(x) = ap_1^{k_1}(x)p_2^{k_2}(x) \cdots p_s^{k_s}(x)$$

be the canonical decomposition of  $f(x)$  over the field  $F$ . Since  $F[\alpha]$  and  $F[x]/f(x)F[x]$  are isomorphic rings, by the Chinese theorem we obtain that  $f(x) = ap_1^{k_1}(x)$  and therefore  $f(x)$  is associated with a power of irreducible polynomial over  $F$ . Conversely, if  $f(x)$  is associated with a power of an irreducible polynomial over  $F$  and  $f(x) = ap^k(x)$ , then  $f(x)F[x] = p^k(x)F[x]$  and  $p(x)F[x]/p^k(x)F[x]$  is a nilideal of  $F[x]/p^k(x)F[x]$ . Since

$$(F[x]/p^k(x)F[x]) / (p(x)F[x]/p^k(x)F[x]) \cong F[x]/p(x)F[x]$$

and  $F[x]/p(x)F[x]$  is a field, by [4], Proposition 11.5.1 we conclude that (i) follows.

(ii) Suppose that the elements  $E_1(\alpha)$  and  $E_2(\alpha)$  form a full orthogonal system idempotents of  $F[\alpha]$ . Since the rings  $F[\alpha]$  and  $\bar{F}[x] = F[x]/f(x)F[x]$  are isomorphic, it follows that in  $\bar{F}[x]$  there exist elements

$$\bar{E}_1(x) = e_1(x) + f(x)F[x], \quad \bar{E}_2(x) = e_2(x) + f(x)F[x]$$

such that  $\bar{E}_1(x)$  and  $\bar{E}_2(x)$  form a full orthogonal system idempotents of  $\bar{F}[x]$  and  $e_1(\alpha) = E_1(\alpha)$ ,  $e_2(\alpha) = E_2(\alpha)$ . Without loss of generality we may to assume that  $\deg e_i(x) < \deg f(x)$  for  $i = 1, 2$ . Obviously,  $\bar{E}_1(x)$  and  $\bar{E}_2(x)$  form a full orthogonal system idempotents if and only if  $e_1(x) + e_2(x) = 1$  and  $e_1(x)e_2(x) = f(x)q(x)$  for some  $q(x) \in F[x]$ . If  $\bar{E}_1(x)$  and  $\bar{E}_2(x)$  form a trivial system orthogonal idempotents of  $\bar{F}[x]$  and  $e_1(x) = 0$ ,  $e_2(x) = 1$ , then we put  $\varphi(x) = f(x)$ ,  $\psi(x) = 1$  and  $u(x) = 0$ ,  $v(x) = 1$ . Suppose that  $\bar{E}_1(x)$  and  $\bar{E}_2(x)$  form a nontrivial system orthogonal idempotents. Since  $F[x]$  is a factorial ring (see [8], p. 142), we conclude that

$$f(x) = \varphi(x)\psi(x), \quad e_1(x) = u(x)\varphi(x), \quad e_2(x) = v(x)\psi(x),$$

where  $\varphi(x) = (e_1, f)$  and  $\psi(x) = (e_2, f)$ . Moreover, the polynomials  $u(x)$  and  $v(x)$  in  $F[x]$  are uniquely determined. Since the converse statement is trivial, so (ii) is proved.

(iii) When  $\bar{E}_1(x)$  and  $\bar{E}_2(x)$  form a nontrivial system orthogonal idempotents of  $\bar{F}[x]$ , it is clear that  $0 < \deg e_i(x) < \deg f(x)$  for  $i = 1, 2$ . Thus we obtain that the decomposition  $f(x) = \varphi(x)\psi(x)$  is nontrivial and therefore  $\deg \varphi(x) \geq 1$ ,  $\deg \psi(x) \geq 1$ . As far  $e_1(x) + e_2(x) = 1$ , we have  $(\varphi, \psi) = 1$  and hence we receive

$$R(\varphi, \psi) = u_1(x)\varphi(x) + v_1(x)\psi(x) \neq 0,$$

where, by Lemma 1,  $\deg u_1(x) < \deg \psi(x)$  and  $\deg v_1(x) < \deg \varphi(x)$ . Now it is easy to verify that  $u(x) = R(\varphi, \psi)^{-1}u_1(x)$  and  $v(x) = R(\varphi, \psi)^{-1}v_1(x)$ . So we prove and the statement (iii).  $\square$

The following lemma is an analog of the parts (ii) and (iii) of the preceding lemma for commutative artinian rings.

**Lemma 7.** *Let  $\alpha$  be an algebraic element over the reduced commutative artinian ring  $A$  with a regular minimal polynomial  $f(x) \in A[x]$ . Then*

- (i) *The element  $E(\alpha)$  is a nontrivial idempotent in  $A[\alpha]$  if and only if over  $A$  there exists a nontrivial decomposition  $f(x) = \varphi(x)\psi(x)$  such that*

$$u(x)\varphi(x) + v(x)\psi(x) = 1$$

*for some polynomials  $u(x)$  and  $v(x)$  of  $A[x]$ , where  $\deg(u(x)\varphi(x)) < \deg f(x)$  and  $E(\alpha) = u(\alpha)\varphi(\alpha)$ .*

- (ii) *The ring  $A[\alpha]$  contains nontrivial idempotents if and only if for some nonzero idempotent  $e \in A$  over the ring  $eA$  there exists an essential decomposition  $ef(x) = \varphi(x)\psi(x)$  such that  $R(\varphi, \psi)$  is a nonzero element of  $eA$ .*

*Proof.* By Wedderburn-Artin theorem,  $A = F_1 \oplus F_2 \oplus \cdots \oplus F_m$  is a finite direct sum of fields  $F_i$  with identity elements  $e_i$  ( $i = 1, \dots, m$ ). So we have the decomposition

$$A[\alpha] = F_1[\alpha] \oplus F_2[\alpha] \oplus \cdots \oplus F_m[\alpha].$$

(i) Suppose that  $E(\alpha)$  is a nontrivial idempotent of  $A[\alpha]$ . Then the elements  $E_1(\alpha) = E(\alpha)$  and  $E_2(\alpha) = 1 - E(\alpha)$  have the decompositions

$$(6) \quad E_k(\alpha) = E_{k1}(\alpha) + E_{k2}(\alpha) + \cdots + E_{km}(\alpha) \quad (k = 1, 2),$$

where  $E_{1i}(\alpha)$  and  $E_{2i}(\alpha)$  form a full orthogonal system idempotents of  $F_i[\alpha]$ . Obviously  $f_i(x) = e_i f(x)$  is a minimal polynomial of  $\alpha$  over the field  $F_i = e_i A$  for all  $i = 1, 2, \dots, m$ . By Lemma 6(ii) it follows that over  $F_i$  there exists a decomposition  $e_i f(x) = \varphi_i(x)\psi_i(x)$  such that

$$(\varphi_i, \psi_i) = u_i(x)\varphi_i(x) + v_i(x)\psi_i(x) = e_i,$$

where  $\deg(u_i(x)\varphi_i(x)) < \deg f(x)$  and

$$E_{1i}(\alpha) = u_i(\alpha)\varphi_i(\alpha), \quad E_{2i}(\alpha) = v_i(\alpha)\psi_i(\alpha)$$

for  $i = 1, 2, \dots, m$ . Then  $f(x) = \varphi(x)\psi(x)$ , where

$$\begin{aligned} \varphi(x) &= \varphi_1(x) + \varphi_2(x) + \cdots + \varphi_m(x), \\ \psi(x) &= \psi_1(x) + \psi_2(x) + \cdots + \psi_m(x). \end{aligned}$$

Moreover,  $u(x)\varphi(x) + v(x)\psi(x) = 1$ , where

$$\begin{aligned} u(x) &= u_1(x) + u_2(x) + \cdots + u_m(x), \\ v(x) &= v_1(x) + v_2(x) + \cdots + v_m(x). \end{aligned}$$

Obviously,  $\deg(u(x)\varphi(x)) < \deg f(x)$  and  $E(\alpha) = u(\alpha)\varphi(\alpha)$ . Since the converse statement is evident, so (i) is proved.

(ii) If  $E(\alpha)$  is a nontrivial idempotent of  $A[\alpha]$ , then again we put  $E_1(\alpha) = E(\alpha)$  and  $E_2(\alpha) = 1 - E(\alpha)$ . Suppose that  $E_1(\alpha)$  and  $E_2(\alpha)$  have the decompositions (6). Without loss of generality we may assume that  $E_{11}(\alpha)$  and  $E_{21}(\alpha)$  form a full nontrivial orthogonal system idempotents of  $F_1[\alpha]$ , where  $f_1(x) = e f(x)$  is a minimal polynomial of  $\alpha$  over the field  $F_1 = eA$  and  $e = e_1$ . Then by Lemma 6(ii), over  $F_1$  there exists an essential decomposition  $ef = \varphi(x)\psi(x)$  such that  $R(\varphi, \psi) \neq 0$ .

Conversely, if for some idempotent  $e \in A$  over the ring  $eA$  there exists an essential decomposition  $ef(x) = \varphi(x)\psi(x)$  such that  $R(\varphi, \psi) \neq 0$ , we shall have the decompositions

$$\begin{aligned} \varphi(x) &= \varphi_1(x) + \varphi_2(x) + \cdots + \varphi_m(x), \\ \psi(x) &= \psi_1(x) + \psi_2(x) + \cdots + \psi_m(x), \end{aligned}$$

where  $\varphi_i(x) = e_i\varphi(x)$  and  $\psi_i(x) = e_i\psi(x)$  for  $i = 1, \dots, m$ . Since

$$R(\varphi, \psi) = R(\varphi_1, \psi_1) + R(\varphi_2, \psi_2) + \cdots + R(\varphi_m, \psi_m) \neq 0,$$

it follows that for some  $k$  ( $1 \leq k \leq m$ ) we have  $R(\varphi_k, \psi_k) \neq 0$ . Then

$$e_k e f(x) = e_k f(x) = \varphi_k(x) \psi_k(x)$$

is an essential decomposition over the field  $F_k$  and by Lemma 6(ii) it follows that  $F_k[\alpha]$  contains nontrivial idempotents. Since  $F_k[\alpha] \subseteq A[\alpha]$ , we conclude that  $A[\alpha]$  contains nontrivial idempotents, as was to be showed.  $\square$

Let  $I$  be an ideal of  $A$  and let  $I[\alpha]$  be the simple algebraic extension of  $I$ , which is obtained by adjoining of  $\alpha$  to  $I$ . As for  $A[x]/f(x)A[x]$ , we shall say that an idempotent  $E$  of the ring  $A[\alpha]/I[\alpha]$  is trivial if  $E$  is an element of the subring  $(A + I[\alpha])/I[\alpha]$ .

**Lemma 8.** *Let  $A[\alpha]$  be any simple ring extension of the commutative ring  $A$  and let  $I$  be a nil-ideal of  $A$ .*

- (i) *All idempotents of  $A[\alpha]$  are trivial if and only if all idempotents of the quotient ring  $A[\alpha]/I[\alpha]$  are trivial.*
- (ii) *If  $\alpha$  is an algebraic element over the ring  $A$  with a regular minimal polynomial*

$$f(x) = a_0 x^n + a_1 x^{n-1} + \cdots + a_{n-1} x + a_n \quad (n \geq 1),$$

*then there exists a simple algebraic extension  $\bar{A}[\beta]$  of the quotient ring  $\bar{A} = A/I$ , such that  $A[\alpha]/I[\alpha] \cong \bar{A}[\beta]$  and*

$$\bar{f}(x) = \bar{a}_0 x^n + \bar{a}_1 x^{n-1} + \cdots + \bar{a}_{n-1} x + \bar{a}_n \quad (\bar{a}_k = a_k + I)$$

*is a regular minimal polynomial over  $\bar{A}$  of the element  $\beta$ .*

*Proof.* (i) Suppose that all idempotents of  $A[\alpha]$  are trivial and let  $E(\alpha) = u(\alpha) + I[\alpha]$  be an idempotent of  $A[\alpha]/I[\alpha]$ . Then  $u(\alpha)^2 - u(\alpha) \in I[\alpha]$  and, since  $I[\alpha]$  is a nil-ideal of  $A[\alpha]$ , by ([4], Proposition 11.5.1) it follows that there exists an idempotent  $e(\alpha) \in A[\alpha]$  such that  $e(\alpha) - u(\alpha) \in I[\alpha]$ . Therefore  $E(\alpha) = e(\alpha) + I[\alpha]$  and, since all idempotents of  $A[\alpha]$  are trivial, we have  $e(\alpha) = e \in A$ . So we conclude that all idempotents of  $A[\alpha]/I[\alpha]$  are trivial. Conversely, assume that all idempotents of  $A[\alpha]/I[\alpha]$  are trivial. If  $e(\alpha)$  is an idempotent of  $A[\alpha]$ , then  $e(\alpha) + I[\alpha]$  is a trivial idempotent of  $A[\alpha]/I[\alpha]$  and hence for some element  $a \in A$  we have  $e(\alpha) + I[\alpha] = a + I[\alpha]$ . Since  $e(\alpha)^2 - e(\alpha) = 0$ , we obtain that  $a^2 - a \in I$ . Then again by ([4], Proposition 11.5.1) we obtain that there exists an idempotent  $e \in A$ , such that  $a - e \in I$ . As far  $I \subseteq I[\alpha]$ , we receive

$$e(\alpha) + I[\alpha] = e + I[\alpha].$$

Suppose that  $e(\alpha) = e + v(\alpha)$ , where  $v(\alpha) \in I[\alpha]$  is a nilpotent element. Then  $e(\alpha)e = e + v(\alpha)e$  is an invertible element of the ring  $eA[\alpha]$ . But  $e(\alpha)e$  is simultaneously an idempotent of  $eA[\alpha]$ . Thus we obtain that  $e(\alpha)e = e$  and  $v(\alpha)e = 0$ . Now  $e(\alpha)(1 - e) = v(\alpha)(1 - e)$  is simultaneously an idempotent

and a nilpotent element of  $(1 - e)A[\alpha]$ . So we conclude that  $v(\alpha)(1 - e) = 0$  and hence

$$v(\alpha) = v(\alpha)e + v(\alpha)(1 - e) = 0.$$

Therefore  $e(\alpha) = e$  is a trivial idempotent of  $A[\alpha]$  and thus (i) is proved.

(ii) Obviously,  $A[\alpha]/I[\alpha] = \tilde{A}[\tilde{\alpha}]$  is a simple ring extension of the subring  $\tilde{A} = (A + I[\alpha])/I[\alpha]$ , obtained by adjoining of the element  $\tilde{\alpha} = \alpha + I[\alpha]$  to  $\tilde{A}$ . Since  $f(\alpha) = 0$ , it is clear that  $\tilde{\alpha}$  is a root of

$$\tilde{f}(x) = \tilde{a}_0x^n + \tilde{a}_1x^{n-1} + \cdots + \tilde{a}_{n-1}x + \tilde{a}_n \quad (\tilde{a}_k = a_k + I[\alpha]).$$

Therefore  $\tilde{A}[\tilde{\alpha}]$  is a simple algebraic extension of  $\tilde{A}$ . If

$$\tilde{g}(x) = \tilde{b}_0x^m + \tilde{b}_1x^{m-1} + \cdots + \tilde{b}_{m-1}x + \tilde{b}_m \quad (\tilde{b}_k = b_k + I[\alpha] \in \tilde{A})$$

is a minimal nonzero polynomial of  $\tilde{\alpha}$  over  $\tilde{A}$ , then  $b_0 \notin I$  and  $m \leq n$ . Suppose that  $m < n$ . As far  $\tilde{g}(\tilde{\alpha}) = g(\alpha) + I[\alpha] = I[\alpha]$ , we conclude that

$$\begin{aligned} g(\alpha) &= b_0\alpha^m + b_1\alpha^{m-1} + \cdots + b_{m-1}\alpha + b_m \\ &= c_0\alpha^s + c_1\alpha^{s-1} + \cdots + c_{s-1}\alpha + c_s \quad (c_k \in I), \end{aligned}$$

where  $b_0 \neq c_0$ . Now we use the fact that  $a_0$  is an invertible element in the ring of quotients  $Q(A)$  and  $f(\alpha) = 0$ . So without loss of generality we may to suppose that  $s < n$  and  $c_0, c_1, \dots, c_s \in \mathfrak{Nil}Q(A)$ . Therefore there exists a regular element  $a \in A$  such that  $ab_i \in A$  ( $i = 1, \dots, m$ ) and  $ac_j \in I$  ( $j = 1, \dots, s$ ). Thus we obtain that  $\alpha$  is a root of a nonzero polynomial of degree  $t = \min\{m, s\} < n$ , which is impossible. Hence  $m = n$  and  $\tilde{f}(x)$  is a minimal polynomial of  $\tilde{\alpha}$  over  $\tilde{A}$ . By a similar way we prove that  $A \cap I[\alpha] = I$ . Then it is easy to verify that  $\tilde{a}_0$  is a regular element of  $\tilde{A}$ . Moreover,

$$\tilde{A} = (A + I[\alpha])/I[\alpha] \cong A / (A \cap I[\alpha]) = A/I = \bar{A}.$$

Let  $\bar{f}(x)$  be a minimal polynomial of some element  $\beta$  over the ring  $\bar{A}$ . Then the mapping  $\tilde{A}[\tilde{\alpha}] \rightarrow \bar{A}[\beta]$ , defined by  $\tilde{\alpha} \mapsto \beta$  and  $a + I[\alpha] \mapsto a + I$  for  $a \in A$  is an isomorphism, as was to be showed.  $\square$

Now by Lemma 8(ii) we shall prove following theorem.

**Theorem 2.** *Let  $\alpha$  be an algebraic element over an artinian commutative ring  $A$  with a regular minimal polynomial  $f(x) \in A[x]$ . If  $\bar{A} = A/\mathfrak{Nil}A$  and  $\bar{f}(x)$  is the natural image of  $f(x)$  into  $\bar{A}[x]$ , then*

- (i)  $A[\alpha]$  is irreducible if and only if  $\bar{A}$  is a field and  $\bar{f}(x)$  is associated with a power of some irreducible polynomial over  $\bar{A}$ .
- (ii)  $A[\alpha]$  contains only trivial idempotents if and only if for every minimal idempotent  $\bar{e} \in \bar{A}$  the polynomial  $\bar{e}\bar{f}(x)$  is associated with a power of some irreducible polynomial over the field  $\bar{e}\bar{A}$ .

*Proof.* If  $A$  is an artinian commutative ring, then  $\bar{A} = A/\mathfrak{Nil}A$  is a finite direct sum of fields [6, 7]. Since  $A$  is irreducible if and only if  $\bar{A}$  is irreducible, by Lemma 8 we obtain that  $A[\alpha]$  is irreducible if and only if  $\bar{A}[\beta]$  is irreducible, where  $\bar{A}$  is a field and  $\bar{f}(x)$  is a regular minimal polynomial of  $\beta$  over the field  $\bar{A}$ . Then the statement (i) follows by Lemma 7(i). Again by Lemma 8 it follows that  $A[\alpha]$  contains only trivial idempotents if and only if  $\bar{A}[\beta]$  contains only trivial idempotents. Since  $\bar{A}$  is a finite direct sum of fields, by Lemma 7(i) we conclude that for every minimal idempotent  $\bar{e} \in \bar{A}$  the ring  $\bar{e}\bar{A}[\beta]$  contains only trivial idempotents. So by Lemma 8 we obtain and the statement (ii).  $\square$

**Theorem 3.** *Let  $\alpha$  be an algebraic element over a commutative noetherian ring  $A$  with a monic minimal polynomial  $f(x) \in A[x]$  and let  $P = Q(\bar{A})$  be the ring of quotients of  $\bar{A} = A/\mathfrak{Nil}A$ . The ring  $A[\alpha]$  contains nontrivial idempotents if and only if over the ring  $\bar{P}$  there exists a nontrivial decomposition  $\bar{f}(x) = \bar{\varphi}(x)\bar{\psi}(x)$  such that  $\bar{u}(x)\bar{\varphi}(x) + \bar{v}(x)\bar{\psi}(x) = \bar{1}$  for some polynomials  $\bar{u}(x), \bar{v}(x) \in \bar{P}[x]$ , where  $\deg(\bar{u}(x)\bar{\varphi}(x)) < \deg \bar{f}(x)$  and  $\bar{u}(x)\bar{\varphi}(x) \in \bar{A}[x]$ .*

*Proof.* Suppose that  $A[\alpha]$  contains a nontrivial idempotent  $E(\alpha)$ . Then by Lemma 8(i) it follows that  $E(\alpha) + I[\alpha]$  is a nontrivial idempotent of  $A[\alpha]/I[\alpha]$ , where  $I = \mathfrak{Nil}A$ . Now by Lemma 8(ii) we conclude that there exists a nontrivial idempotent  $\bar{E}(\beta)$  of the ring  $\bar{A}[\beta]$ , where  $\bar{f}(x) \in \bar{A}[x]$  is a minimal polynomial of  $\beta$ . Without loss of generality, by Lemma 3 we may assume that  $\bar{E}(\beta)$  is a nontrivial idempotent of  $P[\beta]$ . Since  $P$  is a reduced artinian ring, by Lemma 7(i) we conclude that over the ring  $P$  there exists a nontrivial decomposition  $\bar{f}(x) = \bar{\varphi}(x)\bar{\psi}(x)$  such that  $\bar{u}(x)\bar{\varphi}(x) + \bar{v}(x)\bar{\psi}(x) = \bar{1}$  for some polynomials  $\bar{u}(x)$  and  $\bar{v}(x)$  of  $P[x]$ , where  $\deg(\bar{u}(x)\bar{\varphi}(x)) < \deg \bar{f}(x)$  and  $\bar{E}(\beta) = \bar{u}(\beta)\bar{\varphi}(\beta) \in \bar{A}[\beta]$ . Since  $\bar{f}(x) \in \bar{A}[x]$  is a monic minimal polynomial of  $\beta$  over  $\bar{A}$  and  $\deg(\bar{u}(x)\bar{\varphi}(x)) < \deg \bar{f}(x)$ , it is clear that  $\bar{E}(\beta) = \bar{u}(\beta)\bar{\varphi}(\beta) \in \bar{A}[\beta]$  implies  $\bar{u}(x)\bar{\varphi}(x) \in \bar{A}[x]$ .

Conversely, suppose that the polynomial  $f(x) \in A[x]$  satisfy the conditions of the theorem and let  $g(x)$  be a polynomial in  $A[x]$  such that  $\bar{g}(x) = \bar{u}(x)\bar{\varphi}(x)$ . If  $\beta$  is an algebraic element over  $\bar{A}$  with a minimal polynomial  $\bar{f}(x) \in \bar{B}[x]$ , then by Lemma 8(ii) it follows that  $A[\alpha]/I[\alpha]$  and  $\bar{A}[\beta]$  are isomorphic rings. Since  $\bar{g}(\beta) = \bar{u}(\beta)\bar{\varphi}(\beta)$  is a nontrivial idempotent in  $\bar{A}[\beta]$ , the element  $g(\alpha) + I[\alpha]$  is a nontrivial idempotent in  $A[\alpha]/I[\alpha]$  (see the proof of Lemma 8(ii)). If  $u = g^2(\alpha) - g(\alpha)$ , then by Proposition 3.6.1 [7] we conclude that  $E(\alpha) = g(\alpha) - x[1 - 2g(\alpha)]$  is a nontrivial idempotent of  $A[\alpha]$ , where

$$x = \frac{1}{2} \left( 2u - \binom{4}{2} u^2 + \binom{6}{3} u^3 - \dots \right).$$

So the theorem is proved.  $\square$



It is easy to verify that in the preceding theorem the condition  $f(x)$  to be a monic polynomial is not necessary. Really, let  $f(x) = 4x^2 - 1$  be a minimal polynomial of the algebraic element  $\alpha$  over the integer ring  $\mathbb{Z}$ . Then  $f(x) = (2x-1)(2x+1)$  is a nontrivial decomposition over the field  $\mathbb{Q} = Q(\mathbb{Z})$  and  $2^{-1}(2x+1) - 2^{-1}(2x-1) = 1$ . Thus  $e(x) = 2^{-1}(2x+1) = x + 2^{-1}$  is not element of  $\mathbb{Z}[x]$ , but

$$e(\alpha) = \alpha + 2^{-1} = \alpha + 2\alpha^2$$

is an idempotent of  $\mathbb{Z}[\alpha]$ .

For regular minimal polynomials we have the following

**Corollary 6.** *Let  $A$  be a commutative noetherian ring and let  $P = Q(\bar{A})$  be the ring of quotients of  $\bar{A} = A/\mathfrak{N}ilA$ . Suppose that  $f(x)$  is a regular minimal polynomial of an algebraic element  $\alpha$  over the ring  $A$ . If for every minimal idempotent  $e \in P$  the polynomial  $ef(x)$  is associated with a power of some irreducible polynomial over the field  $eP$ , then all idempotents of the ring  $A[\alpha]$  are trivial.*

The proof of this corollary is as the proof of Theorem 3.

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