

INEQUALITIES FOR THE POLAR DERIVATIVE OF A POLYNOMIAL WITH RESTRICTED ZEROS

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ABSTRACT. For a polynomial $p(z)$ of degree n , we consider an operator D_α which map a polynomial $p(z)$ into $D_\alpha p(z) := (\alpha - z)p'(z) + np(z)$ with respect to α . It was proved by Liman et al. [A. Liman, R. N. Mohapatra and W. M. Shah, Inequalities for the Polar Derivative of a Polynomial, Complex Analysis and Operator Theory, 2010] that if $p(z)$ has no zeros in $|z| < 1$ then for all $\alpha, \beta \in \mathbb{C}$ with $|\alpha| \geq 1, |\beta| \leq 1$ and $|z| = 1$,

$$\left| zD_\alpha p(z) + n\beta \frac{|\alpha| - 1}{2} p(z) \right| \leq \frac{n}{2} \left\{ \left[\left| \alpha + \beta \frac{|\alpha| - 1}{2} \right| + \left| z + \beta \frac{|\alpha| - 1}{2} \right| \right] \max_{|z|=1} |p(z)| - \left[\left| \alpha + \beta \frac{|\alpha| - 1}{2} \right| - \left| z + \beta \frac{|\alpha| - 1}{2} \right| \right] \min_{|z|=1} |p(z)| \right\}.$$

In this paper we extend above inequality for the polynomials having no zeros in $|z| < 1$, except s -fold zeros at the origin. Our result generalize certain well-known polynomial inequalities.

1. INTRODUCTION AND STATEMENT OF RESULTS

According to a well known result as Bernstein's inequality on the derivative of a polynomial $p(z)$ of degree n , we have

$$(1.1) \quad \max_{|z|=1} |p'(z)| \leq n \max_{|z|=1} |p(z)|.$$

The result is best possible and equality holds for a polynomial having all its zeros at the origin (see [13] and [4]). The inequality (1.1) can be sharpened, by considering

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the class of polynomials having no zeros in $|z| < 1$. In fact, P. Erdős conjectured and later Lax [10] proved that if $p(z) \neq 0$ in $|z| < 1$, then (1.1) can be replaced by

$$(1.2) \quad \max_{|z|=1} |p'(z)| \leq \frac{n}{2} \max_{|z|=1} |p(z)|.$$

As a refinement of (1.2), Aziz and Dawood [1] proved that if $p(z)$ is a polynomial of degree n having no zeros in $|z| < 1$, then

$$(1.3) \quad \max_{|z|=1} |p'(z)| \leq \frac{n}{2} \left\{ \max_{|z|=1} |p(z)| - \min_{|z|=1} |p(z)| \right\}.$$

As an improvement of inequality (1.3) Dewan and Hans [7] proved that if $p(z)$ is a polynomial of degree n having no zeros in $|z| < 1$, then for any complex number β with $|\beta| \leq 1$ and $|z| = 1$,

$$(1.4) \quad \left| zp'(z) + \frac{n\beta}{2} p(z) \right| \leq \frac{n}{2} \left\{ \left(\left| 1 + \frac{\beta}{2} \right| + \left| \frac{\beta}{2} \right| \right) \max_{|z|=1} |p(z)| - \left(\left| 1 + \frac{\beta}{2} \right| - \left| \frac{\beta}{2} \right| \right) \min_{|z|=1} |p(z)| \right\}.$$

Let α be a complex number. For a polynomial $p(z)$ of degree n , $D_\alpha p(z)$, the polar derivative of $p(z)$ is defined as

$$D_\alpha p(z) = np(z) + (\alpha - z)p'(z).$$

It is easy to see that $D_\alpha p(z)$ is a polynomial of degree at most $n - 1$ and that $D_\alpha p(z)$ generalizes the ordinary derivative in the sense that

$$\lim_{\alpha \rightarrow \infty} \left[\frac{D_\alpha p(z)}{\alpha} \right] = p'(z).$$

For the polar derivative $D_\alpha p(z)$, Aziz and Shah [2] proved that if $p(z)$ having all its zeros in $|z| \leq 1$, then

$$(1.5) \quad |D_\alpha p(z)| \geq n|\alpha| |z|^{n-1} \min_{|z|=1} |p(z)|, \quad |z| \geq 1,$$

and as an extension to inequality (1.3) they proved that if $p(z)$ is a polynomial of degree n having no zeros in $|z| < 1$, then for every complex number α with $|\alpha| \geq 1$,

$$(1.6) \quad \max_{|z|=1} |D_\alpha p(z)| \leq \frac{n}{2} \left\{ (|\alpha| + 1) \max_{|z|=1} |p(z)| - (|\alpha| - 1) \min_{|z|=1} |p(z)| \right\}.$$

Recently Dewan et al. [9] generalized the inequality (1.6) to the polynomial of the form $p(z) = a_0 + \sum_{\nu=t}^n a_\nu z^\nu$, $1 \leq t \leq n$, and proved if $p(z) = a_0 + \sum_{\nu=t}^n a_\nu z^\nu$, $1 \leq t \leq n$, is a polynomial of degree n having no zeros in $|z| < k$, $k \geq 1$ then for $|\alpha| \geq 1$,

$$(1.7) \quad \max_{|z|=1} |D_\alpha p(z)| \leq \frac{n}{1 + s_0} \left\{ (|\alpha| + s_0) \max_{|z|=1} |p(z)| - (|\alpha| - 1) \min_{|z|=k} |p(z)| \right\},$$

where

$$s_0 = k^{t+1} \left\{ \frac{\binom{t}{n} \frac{|a_t|}{|a_0|^{-m}} k^{t-1} + 1}{\binom{t}{n} \frac{|a_t|}{|a_0|^{-m}} k^{t+1} + 1} \right\},$$

and $m = \min_{|z|=k} |p(z)|$.

As a generalization of the inequality (1.7), Bidkham et al. [5] proved, if $p(z) = a_0 + \sum_{\nu=\mu}^n a_\nu z^\nu$, $1 \leq \mu \leq n$, is a polynomial of degree n having no zeros in $|z| < k$, $k \geq 1$ then for $0 < r \leq R \leq k$ and $|\alpha| \geq R$,

$$\begin{aligned} \max_{|z|=R} |D_\alpha p(z)| \leq & \frac{n}{1+s'_0} \left\{ \left(\frac{|\alpha|}{R} + s'_0 \right) \exp \left\{ n \int_r^R A_t dt \right\} \max_{|z|=r} |p(z)| \right. \\ & \left. + (s'_0 + 1 - \left(\frac{|\alpha|}{R} + s'_0 \right) \exp \left\{ n \int_r^R A_t dt \right\}) \min_{|z|=k} |p(z)| \right\}, \end{aligned}$$

where

$$\begin{aligned} A_t &= \frac{\binom{\mu}{n} \frac{|a_\mu|}{|a_0|^{-m}} k^{\mu+1} t^{\mu-1} + t^\mu}{t^{\mu+1} + k^{\mu+1} + \binom{\mu}{n} \left(\frac{|a_\mu|}{|a_0|^{-m}} \right) (k^{\mu+1} t^\mu + k^{2\mu} t)}, \\ s'_0 &= \left(\frac{k}{R} \right)^{\mu+1} \left\{ \frac{\binom{\mu}{n} \frac{|a_\mu|}{|a_0|^{-m}} R k^{\mu-1} + 1}{\binom{\mu}{n} \frac{|a_\mu|}{R(|a_0|^{-m})} k^{\mu+1} + 1} \right\}, \end{aligned}$$

and $m = \min_{|z|=k} |p(z)|$.

As an improvement and generalization to the inequalities (1.6) and (1.4), Liman et al. [11] proved that if $p(z)$ is a polynomial of degree n having no zeros in $|z| < 1$, then for all α, β with $|\alpha| \geq 1, |\beta| \leq 1$ and $|z| = 1$,

(1.8)

$$\begin{aligned} \left| z D_\alpha p(z) + n \beta \frac{|\alpha| - 1}{2} p(z) \right| \leq & \frac{n}{2} \left\{ \left(\left| \alpha + \beta \frac{|\alpha| - 1}{2} \right| + \left| z + \beta \frac{|\alpha| - 1}{2} \right| \right) \max_{|z|=1} |p(z)| \right. \\ & \left. - \left(\left| \alpha + \beta \frac{|\alpha| - 1}{2} \right| - \left| z + \beta \frac{|\alpha| - 1}{2} \right| \right) \min_{|z|=1} |p(z)| \right\}. \end{aligned}$$

In this paper, we first obtain the following generalization of polynomial inequality (1.5), as follows:

Theorem 1.1. *Let $p(z)$ be a polynomial of degree n , having all its zeros in $|z| \leq 1$, with s -fold zeros at the origin, then*

(1.9)
$$\left| z D_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| \geq \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| |z|^n \min_{|z|=1} |p(z)|,$$

for every real or complex numbers β, α with $|\beta| \leq 1, |\alpha| \geq 1$ and $|z| \geq 1$. The result is best possible and equality holds for the polynomials $p(z) = az^n$.

If we take $s = 0$ in Theorem 1.1, we have

Corollary 1.1. *If $p(z)$ is a polynomial of degree n , having all its zeros in $|z| \leq 1$, then for $|\beta| \leq 1$, $|\alpha| \geq 1$ and $|z| \geq 1$, we have*

$$(1.10) \quad \left| zD_\alpha p(z) + n\beta \frac{|\alpha| - 1}{2} p(z) \right| \geq n \left| \alpha + \beta \frac{|\alpha| - 1}{2} \right| |z|^n \min_{|z|=1} |p(z)|.$$

For $\beta = 0$ the inequality (1.10) reduces to inequality (1.5).

Next by using Theorem 1.1, we generalize the inequality (1.8).

Theorem 1.2. *Let $p(z)$ be a polynomial of degree n does not vanish in $|z| < 1$, except s -fold zeros at the origin, then for all $\alpha, \beta \in \mathbb{C}$ with $|\alpha| \geq 1$, $|\beta| \leq 1$ and $|z| = 1$, we have*

$$(1.11) \quad \begin{aligned} & \left| zD_\alpha p(z) + \beta \frac{(n+s)(|\alpha| - 1)}{2} p(z) \right| \\ & \leq \frac{1}{2} \left[\left\{ \left| n\alpha + \beta \frac{(n+s)(|\alpha| - 1)}{2} \right| + \left| (n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha| - 1)}{2} \right| \right\} \max_{|z|=1} |p(z)| \right. \\ & \left. - \left\{ \left| n\alpha + \beta \frac{(n+s)(|\alpha| - 1)}{2} \right| - \left| (n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha| - 1)}{2} \right| \right\} \min_{|z|=1} |p(z)| \right]. \end{aligned}$$

If we take $s = 0$ in Theorem 1.2, then the inequality (1.11) reduces to the inequality (1.8).

Theorem 1.2 simplifies to the following result by taking $\beta = 0$.

Corollary 1.2. *Let $p(z)$ be a polynomial of degree n does not vanish in $|z| < 1$, except s -fold zeros at the origin, then for any $\alpha \in \mathbb{C}$ with $|\alpha| \geq 1$ and $|z| = 1$, we have*

$$|D_\alpha p(z)| \leq \frac{1}{2} \left\{ n|\alpha| + |(n-s)z + s\alpha| \max_{|z|=1} |p(z)| - (n|\alpha| - |(n-s)z + s\alpha|) \min_{|z|=1} |p(z)| \right\}.$$

Dividing two sides of inequality (1.11) by $|\alpha|$ and letting $|\alpha| \rightarrow \infty$, we have the following generalization of the inequality (1.4).

Corollary 1.3. *Let $p(z)$ be a polynomial of degree n , having no zeros in $|z| < 1$, except s -fold zeros at the origin, then for any $\beta \in \mathbb{C}$ with $|\beta| \leq 1$, and $|z| = 1$ we have*

$$\begin{aligned} \left| zp'(z) + \frac{\beta(n+s)}{2} p(z) \right| & \leq \frac{1}{2} \left\{ \left(\left| n + \beta \frac{n+s}{2} \right| + \left| s + \beta \frac{n+s}{2} \right| \right) \max_{|z|=1} |p(z)| \right. \\ & \left. - \left(\left| n + \beta \frac{n+s}{2} \right| - \left| s + \beta \frac{n+s}{2} \right| \right) \min_{|z|=1} |p(z)| \right\}. \end{aligned}$$

2. LEMMAS

For the proofs of these theorems, we need the following lemmas. The first lemma is due to Laguerre [12].

Lemma 2.1. *If all the zeros of an n^{th} degree polynomial $p(z)$ lie in a circular region C and w is any zero of $D_\alpha p(z)$, then at most one of the points w and α may lie outside C .*

Lemma 2.2. *Let $p(z)$ is a polynomial of degree n , has no zero in $|z| < 1$, then on $|z| = 1$,*

$$|p'(z)| \leq |q'(z)|,$$

where $q(z) = z^n \overline{p(1/\bar{z})}$.

The above lemma is due to Chan and Malik [6].

Lemma 2.3. *If $p(z)$ is a polynomial of degree n , having all its zeros in the closed disk $|z| \leq 1$, then on $|z| = 1$,*

$$|q'(z)| \leq |p'(z)|,$$

where $q(z) = z^n \overline{p(1/\bar{z})}$.

Proof. Since $p(z)$ has all its zeros in $|z| \leq 1$, therefore $q(z)$ has no zero in $|z| < 1$. Now applying Lemma 2.2 to the polynomial $q(z)$ and the result follows. \square

The following lemma is due to Aziz and Shah [3].

Lemma 2.4. *If $p(z)$ is a polynomial of degree n , having all its zeros in the closed disk $|z| \leq 1$, with s -fold zeros at the origin, then*

$$|p'(z)| \geq \frac{n+s}{2} |p(z)|, \quad |z| = 1.$$

Lemma 2.5. *If $p(z)$ is a polynomial of degree n , having all its zeros in the closed disk $|z| \leq 1$, with s -fold zeros at the origin, then for all real or complex number α with $|\alpha| \geq 1$ and $|z| = 1$, we have*

$$|D_\alpha p(z)| \geq \frac{(n+s)(|\alpha|-1)}{2} |p(z)|.$$

The above lemma is due to K. K. Dewan and A. Mir [8].

Lemma 2.6. *If $p(z)$ is a polynomial of degree n with s -fold zeros at the origin, then for all $\alpha, \beta \in \mathbb{C}$ with $|\beta| \leq 1, |\alpha| \geq 1$ and $|z| = 1$, we have*

$$(2.1) \quad \left| z D_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| \leq \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| \max_{|z|=1} |p(z)|.$$

Proof. Let $M = \max_{|z|=1} |p(z)|$, if $|\lambda| < 1$, then $|\lambda p(z)| < |Mz^n|$ for $|z| = 1$. Therefore it follows by Rouché's Theorem that the polynomial $G(z) = Mz^n - \lambda p(z)$ has all its zeros in $|z| < 1$ with s -fold zeros at the origin. By using Lemma 2.5, to the polynomial $G(z)$, we have for every real or complex number α with $|\alpha| \geq 1$ and for $|z| = 1$,

$$|z D_\alpha G(z)| \geq \frac{(n+s)(|\alpha|-1)}{2} |G(z)|,$$

or

$$|n\alpha Mz^n - \lambda z D_\alpha p(z)| \geq \frac{(n+s)(|\alpha|-1)}{2} |Mz^n - \lambda p(z)|.$$

On the other hand by Lemma 2.1 all the zeros of $D_\alpha G(z) = n\alpha Mz^{n-1} - \lambda D_\alpha p(z)$ lie in $|z| < 1$, where $|\alpha| \geq 1$. Therefore for any β with $|\beta| \leq 1$, Rouché's Theorem implies that all the zeros of

$$n\alpha Mz^n - \lambda z D_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} (Mz^n - \lambda p(z)),$$

lie in $|z| < 1$. This conclude that the polynomial

$$(2.2) \quad T(z) = \left(n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right) Mz^n - \lambda \left(z D_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right),$$

will have no zeros in $|z| \geq 1$. This implies that for every β with $|\beta| < 1$ and $|z| = 1$,

$$(2.3) \quad \left| z D_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| \leq \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| M.$$

If the inequality (2.3) is not true, then there is a point $z = z_0$ with $|z_0| \geq 1$, such that

$$\left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| M < \left| z_0 D_\alpha p(z_0) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z_0) \right|.$$

Take

$$\lambda = \frac{\left(n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right) M}{z_0 D_\alpha p(z_0) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z_0)},$$

then $|\lambda| < 1$ and with this choice of λ , we have $T(z_0) = 0$ for $|z_0| \geq 1$, from (2.2). But this contradicts the fact that $T(z) \neq 0$ for $|z| \geq 1$. For β with $|\beta| = 1$, inequality (2.3) follows by continuity. This completes the proof of Lemma 2.6. \square

Lemma 2.7. *If $p(z)$ is a polynomial of degree n with s -fold zeros at the origin, then for all $\alpha, \beta \in \mathbb{C}$ with $|\beta| \leq 1$, $|\alpha| \geq 1$ and $|z| = 1$, we have*

$$\begin{aligned} & \left| z D_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| + \left| z D_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right| \\ & \leq \left\{ \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| + \left| (n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| \right\} \max_{|z|=1} |p(z)|, \end{aligned}$$

where $Q(z) = z^{n+s} \overline{p(1/\bar{z})}$.

Proof. Let $M = \max_{|z|=1} |p(z)|$. For λ with $|\lambda| > 1$, it follows by Rouché's Theorem that the polynomial $G(z) = p(z) - \lambda Mz^s$ has no zeros in $|z| < 1$, except s -fold zeros at the origin. Consequently the polynomial

$$H(z) = z^{n+s} \overline{G(1/\bar{z})},$$

has all its zeros in $|z| \leq 1$ with s -fold zeros at the origin, also $|G(z)| = |H(z)|$ for $|z| = 1$. Since all the zeros of $H(z)$ lie in $|z| \leq 1$, therefore, for δ with $|\delta| > 1$, by

Rouche's Theorem all the zeros of $G(z) + \delta H(z)$ lie in $|z| \leq 1$. Hence by Lemma 2.5 for every α with $|\alpha| \geq 1$, and $|z| = 1$, we have

$$\frac{(n+s)(|\alpha|-1)}{2} |G(z) + \delta H(z)| \leq |zD_\alpha(G(z) + \delta H(z))|.$$

Now using a similar argument as used in the proof of Lemma 2.6, we get for every real or complex number β with $|\beta| \leq 1$ and $|z| \geq 1$,

$$(2.4) \quad \left| zD_\alpha G(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} G(z) \right| \leq \left| zD_\alpha H(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} H(z) \right|.$$

Therefore by the equalities

$$H(z) = z^{n+s} \overline{G(1/\bar{z})} = z^{n+s} \overline{p(1/\bar{z})} - \bar{\lambda} M z^n = Q(z) - \bar{\lambda} M z^n,$$

or

$$H(z) = Q(z) - \bar{\lambda} M z^n,$$

and substitute for $G(z)$ and $H(z)$ in (2.4) we get

$$\begin{aligned} & \left| \left(zD_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right) - \lambda \left((n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right) M z^s \right| \\ & \leq \left| \left(zD_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right) - \bar{\lambda} \left(n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right) M z^n \right|. \end{aligned}$$

This implies

$$(2.5) \quad \begin{aligned} & \left| zD_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| - \left| (n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| |\lambda M z^s| \\ & \leq \left| \left(zD_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right) - \bar{\lambda} \left(n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right) M z^n \right|. \end{aligned}$$

As $|p(z)| = |Q(z)|$ for $|z| = 1$, i.e., $\max_{|z|=1} |p(z)| = \max_{|z|=1} |Q(z)| = M$, by using Lemma 2.6 for $Q(z)$, we obtain for $|z| = 1$,

$$\left| zD_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right| < |\lambda| \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| M.$$

Thus taking suitable choice of argument of λ , result is

$$(2.6) \quad \begin{aligned} & \left| \left(zD_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right) - \bar{\lambda} \left(n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right) M z^n \right| \\ & = |\lambda| \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| M - \left| zD_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right|. \end{aligned}$$

By combining right hand side of (2.5) and (2.6) we get for $|z| = 1$ and $|\beta| \leq 1$,

$$\begin{aligned} & \left| zD_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| - |\lambda| \left| (n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| M \\ & \leq |\lambda| \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| M - \left| zD_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right|, \end{aligned}$$

i.e.,

$$\begin{aligned} & \left| zD_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| + \left| zD_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right| \\ & \leq |\lambda| \left\{ \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| + \left| (n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| \right\} M. \end{aligned}$$

Taking $|\lambda| \rightarrow 1$, we have

$$\begin{aligned} & \left| zD_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| + \left| zD_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right| \\ & \leq \left\{ \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| + \left| (n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| \right\} M. \end{aligned}$$

This gives the result. \square

The following lemma is due to Zireh [14].

Lemma 2.8. *If $p(z) = \sum_{\nu=0}^n a_\nu z^\nu$ is a polynomial of degree n , having all its zeros in $|z| < k$, ($k > 0$), then $m < k^n |a_n|$, where $m = \min_{|z|=k} |p(z)|$.*

3. PROOF OF THE THEOREMS

Proof of Theorem 1.1. If $p(z)$ has a zero on $|z| = 1$, then the inequality (1.9) is trivial. Therefore we assume that $p(z)$ has all its zeros in $|z| < 1$. Let $m = \min_{|z|=1} |p(z)|$, then $m > 0$ and $|p(z)| \geq m$ where $|z| = 1$. Therefore, for $|\lambda| < 1$, it follows by Rouché's Theorem and Lemma 2.8 that the polynomial $G(z) = p(z) - \lambda m z^n$ is of degree n and has all its zeros in $|z| < 1$ with s -fold zeros at the origin. By using Lemma 2.1, $D_\alpha G(z) = D_\alpha p(z) - \alpha \lambda m n z^{n-1}$, has all its zeros in $|z| < 1$, where $|\alpha| \geq 1$. Applying Lemma 2.5 to the polynomial $G(z)$, yields

$$(3.1) \quad |zD_\alpha G(z)| \geq \frac{(n+s)(|\alpha|-1)}{2} |G(z)|, \quad |z| = 1.$$

Since $zD_\alpha G(z)$ has all its zeros in $|z| < 1$, by using Rouché's Theorem, it can be easily verifies from (3.1), that the polynomial

$$zD_\alpha G(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} G(z),$$

has all its zeros in $|z| < 1$, where $|\beta| < 1$.

Substituting for $G(z)$, we conclude that the polynomial

$$(3.2) \quad T(z) = \left(zD_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right) - \lambda m z^n \left(n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right),$$

will have no zeros in $|z| \geq 1$. This implies for every β with $|\beta| < 1$ and $|z| \geq 1$,

$$(3.3) \quad \left| zD_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| \geq m |z^n| \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right|.$$

If the inequality (3.3) is not true, then there is a point $z = z_0$ with $|z_0| \geq 1$ such that

$$\left| z_0 D_\alpha p(z_0) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z_0) \right| < m |z_0^n| \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right|.$$

Take

$$\lambda = \frac{z_0 D_\alpha p(z_0) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z_0)}{m z_0^n \left(n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right)},$$

then $|\lambda| < 1$ and with this choice of λ , we have $T(z_0) = 0$ for $|z_0| \geq 1$, from (3.2). But this contradicts the fact that $T(z) \neq 0$ for $|z| \geq 1$. For β with $|\beta| = 1$, inequality (3.3) follows by continuity. This completes the proof of Theorem 1.1. \square

Proof of Theorem 1.2. Under the assumption of Theorem 1.2, we can write $p(z) = z^s h(z)$, where the polynomial $h(z) \neq 0$ in $|z| < 1$, and thus if $m = \min_{|z|=1} |h(z)| = \min_{|z|=1} |p(z)|$, then $m \leq |h(z)|$ for $|z| \leq 1$. Now for λ with $|\lambda| < 1$, we have

$$|\lambda m| < m \leq |h(z)|,$$

where $|z| = 1$.

It follows by Rouché's Theorem that the polynomial $h(z) - \lambda m$ has no zero in $|z| < 1$. Hence the polynomial $G(z) = z^s(h(z) - \lambda m) = p(z) - \lambda m z^s$, has no zero in $|z| < 1$ except s -fold zeros at the origin. Therefore the polynomial

$$H(z) = z^{n+s} \overline{G(1/\bar{z})} = Q(z) - \bar{\lambda} m z^n,$$

will have all its zeros in $|z| \leq 1$ with s -fold zeros at the origin, where $Q(z) = z^{n+s} \overline{p(1/\bar{z})}$. Also $|G(z)| = |H(z)|$ for $|z| = 1$.

Now, using a similar argument as used in the proof of Lemma 2.7 (inequality (2.4)), for the polynomials $H(z)$ and $G(z)$, we have

$$\left| z D_\alpha G(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} G(z) \right| \leq \left| z D_\alpha H(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} H(z) \right|,$$

where $|\alpha| \geq 1$, $|\beta| \leq 1$ and $|z| = 1$. Substituting for $G(z)$ and $H(z)$ in the above inequality, we conclude that for every α, β , with $|\alpha| \geq 1$, $|\beta| \leq 1$ and $|z| = 1$,

$$\begin{aligned} & \left| z D_\alpha p(z) - \lambda((n-s)z + s\alpha) m z^s + \beta \frac{(n+s)(|\alpha|-1)}{2} (p(z) - \lambda m z^s) \right| \\ & \leq \left| z D_\alpha Q(z) - \bar{\lambda} \alpha n m z^n + \beta \frac{(n+s)(|\alpha|-1)}{2} (Q(z) - \bar{\lambda} m z^n) \right|, \end{aligned}$$

i.e.,

$$(3.4) \quad \left| z D_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) - \lambda \left((n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right) m z^s \right| \leq \left| z D_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) - \bar{\lambda} \left(n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right) m z^n \right|.$$

Since all the zeros of $Q(z)$ lie in $|z| \leq 1$ with s -fold zeros at the origin, and $|p(z)| = |Q(z)|$ for $|z| = 1$, therefore by applying Theorem 1.1 to $Q(z)$, we have

$$\begin{aligned} \left| zD_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right| &\geq \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| \min_{|z|=1} |Q(z)| \\ &= \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| m. \end{aligned}$$

Then for an appropriate choice of the argument of λ , we have

$$\begin{aligned} &\left| zD_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) - \bar{\lambda} \left(n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right) mz^n \right| \\ (3.5) \quad &= \left| zD_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right| - |\lambda| \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| m, \end{aligned}$$

where $|z| = 1$.

Then combining the right hand sides of (3.4) and (3.5), we can rewrite (3.4) as

$$\begin{aligned} &\left| zD_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| - |\lambda| \left| (n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| m \\ (3.6) \quad &\leq \left| zD_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right| - |\lambda| \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| m, \end{aligned}$$

where $|z| = 1$.

Equivalently

$$\begin{aligned} &\left| zD_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| \\ &\leq \left| zD_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right| - |\lambda| \left\{ \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| \right. \\ &\quad \left. - \left| (n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| \right\} m. \end{aligned}$$

As $|\lambda| \rightarrow 1$ we have

$$\begin{aligned} &\left| zD_\alpha p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| \\ &\leq \left| zD_\alpha Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right| - \left\{ \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| \right. \\ &\quad \left. - \left| (n-s)z + s\alpha + \beta \frac{|\alpha|-1}{2} \right| \right\} m. \end{aligned}$$

It implies for every real or complex number β with $|\beta| \leq 1$ and $|z| = 1$,

$$\begin{aligned} & 2 \left| z D_{\alpha} p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| \\ & \leq \left| z D_{\alpha} p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| + \left| z D_{\alpha} Q(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} Q(z) \right| \\ & \quad - \left\{ \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| - \left| (n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| \right\} m. \end{aligned}$$

This in conjunction with Lemma 2.7 gives for $|\beta| \leq 1$ and $|z| = 1$,

$$\begin{aligned} & 2 \left| z D_{\alpha} p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2} p(z) \right| \\ & \leq \left\{ \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| + \left| (n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| \right\} \max_{|z|=1} |p(z)| \\ & \quad - \left\{ \left| n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| - \left| (n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2} \right| \right\} \min_{|z|=1} |p(z)|. \end{aligned}$$

The proof is complete. \square

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