

FUNCTIONS AND BAIRE SPACES

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ABSTRACT. Some results concerning functions that preserve Baire spaces in the context of images and preimages are obtained in *Section IV* of [R. C. Haworth, R. A. McCoy, *Baire spaces*, Dissertationes Math., **151**, PWN, Warszawa 1977]. In Section 2 of the present paper further results in this direction are offered. In Sections 3 and 4 a few theorems related to some other problems on baireness of topological spaces are proposed.

1. PRELIMINARIES

Throughout, topological spaces are denoted by (X, τ) or (Y, σ) . Let S be a subset of a space (X, τ) . The *interior* and the *closure* of S in (X, τ) will be denoted by $\text{int}_\tau(S)$ (or $\text{int}(S)$) and $\text{cl}_\tau(S)$ (or $\text{cl}(S)$) respectively. The set S is said to be *semi-open* [12] (resp. *preopen* [13], *semi-closed* [3], *preclosed*, *regular open*) in (X, τ) , if $S \subset \text{cl}(\text{int}(S))$ (resp. $S \subset \text{int}(\text{cl}(S))$, $S \supset \text{int}(\text{cl}(S))$, $S \supset \text{cl}(\text{int}(S))$, $S = \text{int}(\text{cl}(S))$). The collection of all semi-open (resp. preopen, semi-closed, pre-closed, closed, clopen) subsets of (X, τ) shall be denoted by $\text{SO}(X, \tau)$ (resp. $\text{PO}(X, \tau)$, $\text{SC}(X, \tau)$, $\text{PC}(X, \tau)$, $c(X, \tau)$, $\text{CO}(X, \tau)$). A set $S \in \text{SO}(X, \tau)$ if and only if there exists an $O \in \tau$ such that $O \subset S \subset \text{cl}(S)$ [12]. Every nonvoid semi-open set contains a nonvoid open subset [3, Remark 1.2]. The intersection of all $F \in \text{SC}(X, \tau)$ with $S \subset F$ is called the *semi-closure* [3] of S in (X, τ) . The union of all $U \in \text{SO}(X, \tau)$ with $U \subset S$ is called the *semi-interior* [3] of S in (X, τ) . The semi-closure and semi-interior of S are denoted respectively by $\text{scl}(S)$ (or $\text{scl}_\tau(S)$) and $\text{sint}(S)$ (or $\text{sint}_\tau(S)$). We have $S \in \text{SO}(X, \tau)$

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(resp. $S \in \text{SC}(X, \tau)$) iff $S = \text{sint}(S)$ (resp. $S = \text{scl}(S)$) [3, Theorem 1.4]. Also, $\text{scl}(S) = \text{int}(\text{cl}(S))$ if and only if $S \in \text{PO}(X, \tau)$ [11, Proposition 2.7(a)]. If $O \in \tau$ and $S \in \text{SO}(X, \tau)$ then $O \cap S \in \text{SO}(X, \tau)$ [3, Theorem 1.9]. A space (X, τ) is **Baire** if each nonempty set $S \in \tau$ is of the 2nd category (equivalently: $\text{cl}(\bigcap_{n \in \mathbb{N}} O_n) = X$ for any family $\{O_n\}_{n \in \mathbb{N}} \subset \tau$ with $\text{cl}(O_n) = X$ for each n ; or: $\text{cl}(\bigcap_{n \in \mathbb{N}} A_n) = X$ for any family $\{A_n\}_{n \in \mathbb{N}} \subset \text{SO}(X, \tau)$ with $\text{cl}(A_n) = X$ for each n , see [8]). A space (X, τ) is said to be **\mathcal{S} -connected** [16] if there are no nonempty sets $A_1, A_2 \in \text{SO}(X, \tau)$ such that $A_1 \cap A_2 = \emptyset$ and $A_1 \cup A_2 = X$. In the opposite case (X, τ) is called **\mathcal{S} -disconnected**.

2. CONTINUOUS AND SEMI-OPEN INJECTIONS

Lemma 2.1. *If an injection $f : (X, \tau) \rightarrow (Y, \sigma)$ is continuous and closed, then for any subset $S \subset X$ we have*

$$(2.1) \quad \text{int}_\sigma(\text{cl}_\sigma(f(S))) \subset f(\text{int}_\tau(\text{cl}_\tau(S))).$$

Proof. As f is closed we have $f^{-1}(\text{int}(\text{cl}(f(S)))) \subset f^{-1}(\text{int}(f(\text{cl}(S))))$. But f is also continuous, so $f^{-1}(\text{int}(\text{cl}(f(S)))) \subset \text{int}(f^{-1}(f(\text{cl}(S)))) = \text{int}(\text{cl}(S))$. Consequently,

$$f(f^{-1}(\text{int}(\text{cl}(f(S)))) \subset f(\text{int}(\text{cl}(S))).$$

Since f is closed, $\text{int}(\text{cl}(f(S))) \subset f(X)$. Thus, by injectivity of f the inclusion (2.1) holds. \square

A function $f : (X, \tau) \rightarrow (Y, \sigma)$ is said to be **semi-open** [2] if $f(S) \in \text{SO}(Y, \sigma)$ for every $S \in \tau$.

Theorem 2.1. *Let an injection $f : (X, \tau) \rightarrow (Y, \sigma)$ be continuous, semi-open, and closed. If (Y, σ) is Baire, then so is (X, τ) .*

Proof. Suppose $G \in \tau$ is of first category in (X, τ) . Then $f(G) = \bigcup_{k=1}^{\infty} f(N_k)$ where for each $k \in \mathbb{N}$ the sets N_k are nowhere dense in (X, τ) . Using Lemma 2.1 we get that the images $f(N_k)$ are nowhere dense in (Y, σ) , $k \in \mathbb{N}$. Hence the semi-open set $f(G) \subset Y$ is of first category. Thus there exists a nonempty set $O \in \sigma$, $O \subset f(G)$, which is of first category. It contradicts the assumption that (Y, σ) is Baire. \square

Recall the following result: if a function $f : (X, \tau) \rightarrow (Y, \sigma)$ is continuous and open, then for any subset $S \subset X$ we have

$$\text{int}_\sigma \left(\text{cl}_\sigma \left(f(S) \right) \right) \supset f \left(\text{int}_\tau \left(\text{cl}_\tau(S) \right) \right).$$

So, in view of Lemma 2.1 we get what follows.

Proposition 2.1. *If an injection $f : (X, \tau) \rightarrow (Y, \sigma)$ is continuous, open, and closed, then for any subset $S \subset X$*

$$\text{int}_\sigma \left(\text{cl}_\sigma \left(f(S) \right) \right) = f \left(\text{int}_\tau \left(\text{cl}_\tau(S) \right) \right).$$

Corollary 2.1. *Let an injection $f : (X, \tau) \rightarrow (Y, \sigma)$ be continuous, open, and closed. Then, for any subset $S \subset X$,*

- (a) *S is nowhere dense in (X, τ) if and only if $f(S)$ is nowhere dense in (Y, σ) ,*
- (b) *if S is regular open in (X, τ) , then $f(S)$ is regular open in (Y, σ) .*

The following continuity-like property will be useful in the sequel.

Definition 2.1. A function $f : (X, \tau) \rightarrow (Y, \sigma)$ is said to be ***s-perfectly continuous*** if the preimage $f^{-1}(V) \in \text{CO}(X, \tau)$ for each $V \in \text{SO}(Y, \sigma)$.

Every *s*-perfectly continuous function is perfectly continuous ($f^{-1}(V) \in \text{CO}(X, \tau)$ for each $V \in \sigma$, see [1]), but the converse does not hold in general.

Example 2.1.

- (a) Let $X = \{a, b, c\} = Y$, $\tau = \{\emptyset, X, \{a\}, \{b, c\}\}$, $\sigma = \{\emptyset, Y, \{a\}\}$. The function $\text{id}_X : (X, \tau) \rightarrow (X, \sigma)$ is perfectly continuous, but it is not *s*-perfectly continuous: consider $\{a, b\} \in \text{SO}(X, \sigma)$.
- (b) If we put in (a) $Y = \{a, b, c, d\}$, then injective but not surjective id_X is perfectly continuous and not *s*-perfectly continuous, as well.

Recall that every perfectly continuous function is continuous, but the converse is not true, see [15, p.249]. Next, we shall establish

Theorem 2.2. *Let an injection $f : (X, \tau) \rightarrow (Y, \sigma)$ be *s*-perfectly continuous and semi-open. If (X, τ) is compact, (Y, σ) is Hausdorff and Baire, then (X, τ) is Baire.*

In order to prove this result we shall need some lemmas. The second one is well-known.

Lemma 2.2. [14, Theorem 5] *Let, for a (Y, σ) , $Y_0 \in \text{SO}(Y, \sigma)$. Then for every $A \subset Y_0$, $A \in \text{SO}(Y, \sigma)$ if and only if $A \in \text{SO}(Y_0, \sigma_{Y_0})$.*

Lemma 2.3. *If a function $f : (X, \tau) \rightarrow (Y, \sigma)$ is continuous, (X, τ) is compact, and (Y, σ) is Hausdorff, then for each subset $S \subset X$ one has*

$$f(\text{cl}_\tau(S)) = \text{cl}_\sigma(f(S)).$$

Recall that a collection of subsets of a space (X, τ) is called a **pseudo-cover** [9, p.13], if its union is dense in (X, τ) . A pseudo-cover is said to be semi-open (resp. open) if all its members are semi-open (resp. open) in (X, τ) .

Lemma 2.4 ([8] for semi-open and [9, Theorem 1.21] for open case). *If there exists a semi-open (or open) pseudo-cover of (X, τ) whose members are Baire subspaces of (X, τ) , then (X, τ) is a Baire space as well.*

Lemma 2.5 ([8], [9, Proposition 1.14], [7, p.256 Problem 2]). *Any semi-open (hence open) subset of a Baire space is Baire too.*

Proof of Theorem 2.2. In virtue of Lemma 2.4 it is enough to show that each point of (X, τ) has an open neighbourhood which is Baire in (X, τ) . So, let $x \in X$ be arbitrarily chosen. Then $f(x) \in f(X) \in \text{SO}(Y, \sigma)$. Since (Y, σ) is Hausdorff, there exists $G_x \subsetneq Y$ with $f(x) \in G_x \in \sigma$. Hence $f(x) \in G_x \cap f(X) = V_x \in \text{SO}(Y, \sigma)$, consequently by Lemma 2.2, $V_x \in \text{SO}(f(X), \sigma_{f(X)})$. Now consider a subset $f^{-1}(V_x) \in \tau$. Since f is continuous, then the restriction $f \upharpoonright f^{-1}(V_x) = f_x : f^{-1}(V_x) \rightarrow V_x$ is continuous too. Thus $f_x(\text{cl}_{\tau_{f^{-1}(V_x)}}(S)) \subset \text{cl}_{\sigma_{V_x}}(f_x(S))$ for any $S \subset f^{-1}(V_x)$. Let $\{O_n\}_{n \in \mathbb{N}} \subset \tau_{f^{-1}(V_x)}$ be an arbitrary family of sets dense in $(f^{-1}(V_x), \tau_{f^{-1}(V_x)})$. We shall show that

$$(2.2) \quad \text{cl}_{\tau_{f^{-1}(V_x)}} \left(\bigcap_{n \in \mathbb{N}} O_n \right) = f^{-1}(V_x).$$

By continuity of f_x each $f_x(O_n) \in \text{SO}(Y, \sigma)$, $n \in \mathbb{N}$, is dense in (V_x, σ_{V_x}) . Obviously (by Lemma 2.2), $f_x(O_n) \in \text{SO}(V_x, \sigma_{V_x})$ for each n . Since, by assumption, (Y, σ) is Baire, then (V_x, σ_{V_x}) is Baire as well (see Lemma 2.5). So, $\text{cl}_{\tau_{V_x}} \left(\bigcap_{n \in \mathbb{N}} f_x(O_n) \right) = V_x$. But $f^{-1}(V_x) \in \text{c}(X, \tau)$ and hence it is compact. Applying now Lemma 2.3 we get

$$f_x \left(\text{cl}_{\tau_{f^{-1}(V_x)}} \left(\bigcap_{n \in \mathbb{N}} O_n \right) \right) = \text{cl}_{\sigma_{V_x}} \left(f_x \left(\bigcap_{n \in \mathbb{N}} O_n \right) \right) = \text{cl}_{\sigma_{V_x}} \left(\bigcap_{n \in \mathbb{N}} f_x(O_n) \right) = V_x.$$

Therefore (2.2) follows. □

A brief insight into the foregoing proof leads to the next result.

Theorem 2.3. *Let an injection $f : (X, \tau) \rightarrow (Y, \sigma)$ be perfectly continuous and open. If (X, τ) is compact, (Y, σ) is Hausdorff and Baire, then (X, τ) is Baire.*

Recall that a function $f : (X, \tau) \rightarrow (Y, \sigma)$ is called a **semi-homeomorphism** [4] if it is bijective, pre-semi-open (i.e., $f(U) \in \text{SO}(Y, \sigma)$ for every $U \in \text{SO}(X, \tau)$), and irresolute ($f^{-1}(V) \in \text{SO}(X, \tau)$ for every $V \in \text{SO}(Y, \sigma)$). Each homeomorphism is a semi-homeomorphism, but not conversely [4, Theorem 1.9 and Example 1.2]. The following interesting result is a consequence of [9, Theorem 4.1 and Proposition 4.3]; the details are left to the reader (we make use of [3, Remark 1.2]).

Proposition 2.2. *Let $f : (X, \tau) \rightarrow (Y, \sigma)$ be a semi-homeomorphism. Then (X, τ) is a Baire space if and only if (Y, σ) is Baire.*

3. CONTRA-CONTINUITY

A function $f : (X, \tau) \rightarrow (Y, \sigma)$ is said to be **contra-continuous** [5] if $f^{-1}(V) \in \text{c}(X, \tau)$ for every $V \in \sigma$ (or, equivalently, $f^{-1}(F) \in \tau$ for every $F \in \text{c}(Y, \sigma)$).

Theorem 3.1. *Let a surjection $f : (X, \tau) \rightarrow (Y, \sigma)$ be contra-continuous and open. Then (Y, σ) is a Baire space.*

Proof. Suppose $B \in \sigma$, $B \neq \emptyset$, is of first category in (Y, σ) . So, $B = \bigcup_{n \in \mathbb{N}} B_n$ where each B_n is nowhere dense in (Y, σ) . By contra-continuity and openness of f we calculate as follows.

$$\begin{aligned} f^{-1}(B) &= f^{-1}\left(\bigcup_{n \in \mathbb{N}} B_n\right) = \bigcup_{n \in \mathbb{N}} f^{-1}(B_n) \subset \bigcup_{n \in \mathbb{N}} f^{-1}(\text{cl}(B_n)) = \\ &= \bigcup_{n \in \mathbb{N}} \text{int}\left(f^{-1}(\text{cl}(B_n))\right) \subset \bigcup_{n \in \mathbb{N}} f^{-1}(\text{int}(\text{cl}(B_n))) = \emptyset. \end{aligned}$$

A contradiction completes the proof. □

Let us remark that from the above proof it follows, under assumptions of Theorem 3.1, that each nonempty subset of a space (Y, σ) is of second category.

Definition 3.1. A function $f : (X, \tau) \rightarrow (Y, \sigma)$ is said to be **s-contra-precontinuous** if the preimage $f^{-1}(V) \in \text{PC}(X, \tau)$ for each $V \in \text{SO}(Y, \sigma)$ (equivalently $f^{-1}(F) \in \text{PO}(X, \tau)$ for each $F \in \text{SC}(Y, \sigma)$).

Each s -contra-precontinuous function is contra-precontinuous ($f^{-1}(V) \in \text{PC}(X, \tau)$ for each $V \in \sigma$ [10]) and not conversely.

Example 3.1. Let $X = \{a, b, c\}$, $\tau = \{\emptyset, X, \{b\}\}$, $\sigma = \{\emptyset, X, \{a\}\}$. Then $\text{id}_X : (X, \tau) \rightarrow (X, \sigma)$ is contra-precontinuous, but not s -contra-precontinuous: consider $V = \{a, b\} \in \text{SO}(X, \sigma)$.

Theorem 3.2. *Let a surjection $f : (X, \tau) \rightarrow (Y, \sigma)$ be s -contra-continuous, continuous and open. Then (Y, σ) is a Baire space.*

Proof. Let $\emptyset \neq B = \bigcup_{n \in \mathbb{N}} B_n \in \sigma$, where B_n is nowhere dense in (Y, σ) for every $n \in \mathbb{N}$. Since each nowhere dense set is semi-closed [3, Theorem 1.3], using our assumption we calculate as follows:

$$\begin{aligned} f^{-1}(B) &= \bigcup_{n \in \mathbb{N}} f^{-1}(B_n) \subset \bigcup_{n \in \mathbb{N}} \text{int}(\text{cl}(f^{-1}(B_n))) \subset \\ &\subset \bigcup_{n \in \mathbb{N}} \text{int}(f^{-1}(\text{cl}(B_n))) \subset \bigcup_{n \in \mathbb{N}} f^{-1}(\text{int}(\text{cl}(B_n))) = \emptyset. \end{aligned}$$

Thus $\emptyset \neq f^{-1}(B) = \emptyset$, a contradiction. \square

Observe also that under assumptions of Theorem 3.2 every nonempty subset of (Y, σ) is of second category. As an example of a Baire space with such a property, it is enough to consider $X = \{a, b, c, d\}$ with

$$\tau = \{\emptyset, X, \{a\}, \{b\}, \{a, b\}, \{c, d\}, \{a, c, d\}, \{b, c, d\}\}.$$

A function $f : (X, \tau) \rightarrow (Y, \sigma)$ is called **contra-semicontinuous** [6] if $f^{-1}(V) \in \text{SC}(X, \tau)$ for every $V \in \sigma$ (equivalently, $f^{-1}(F) \in \text{SO}(X, \tau)$ for every $F \in \text{c}(Y, \sigma)$). In order to prove our next result we need the following improvement of Baire category theorem.

Lemma 3.1. [8] *Let (X, τ) be a Baire space. If $\{A_n\}_{n \in \mathbb{N}} \subset \text{SC}(X, \tau)$ is a family covering X , then at least one A_n must contain a set from τ ; i.e., have a nonvoid interior.*

Theorem 3.3. *Let (X, τ) be a Baire space and let (Y, σ) be Lindelöf. If there is a contra-semicontinuous bijection $f : (X, \tau) \rightarrow (Y, \sigma)$, then (X, τ) is \mathcal{S} -disconnected.*

Proof. As (Y, σ) is Lindelöf, by definition, it is Hausdorff. So, for every $y \in Y$ there is a $U_y \in \sigma$ with $y \in U_y \subsetneq Y$ and in turn (lindelöfness) $Y = \bigcup_{k=1}^{\infty} U_{y_k}$ for a certain

countable set $\{y_k\}_{k=1}^{\infty} \subset Y$. Then $X = \bigcup_{k=1}^{\infty} f^{-1}(U_{y_k})$, where $f^{-1}(U_{y_k}) \in \text{SC}(X, \tau)$, $k \in \mathbb{N}$. Applying Lemma 3.1 we pick a k_0 such that $\text{int}_{\tau}(U_{y_{k_0}}) \neq \emptyset$. Hence $\emptyset \neq \text{scl}_{\tau}(\text{int}_{\tau}(U_{y_{k_0}})) \subset f^{-1}(U_{y_{k_0}}) \subsetneq X$. By [11, Proposition 2.7(a)], $\text{scl}(\text{int}(U_{y_{k_0}})) = \text{int}(\text{cl}(\text{int}(U_{y_{k_0}}))) = A_1$. Obviously, $\emptyset \neq A_2 = X \setminus A_1 \in \text{SO}(X, \tau)$ and therefore (X, τ) is \mathfrak{S} -disconnected. \square

4. δ -OPEN AND δ^* -OPEN FUNCTIONS

A function $f : (X, \tau) \rightarrow (Y, \sigma)$ is said to be δ -**open** [9] if $f^{-1}(N)$ is nowhere dense in (X, τ) for every nowhere dense subset N of (Y, σ) .

Lemma 4.1. [8] *For every subset S of a space (X, τ) , $\text{cl}(\text{sint}(S)) = \text{cl}(\text{int}(S))$.*

Theorem 4.1. *Let a surjective contra-semicontinuous function $f : (X, \tau) \rightarrow (Y, \sigma)$ be δ -open. If (Y, σ) is a \mathfrak{T}_1 -space having a dense subset $Y_0 \in \text{SO}(Y, \sigma)$ with the property that $f^{-1}(\{y\})$ is a Baire subspace of (X, τ) for each $y \in Y$, then (X, τ) is Baire.*

Proof. We have $Y = \text{cl}(Y_0) = \text{cl}(\text{sint}(Y_0)) = \text{cl}(\text{int}(Y_0))$. Consequently $\text{int}(\text{cl}(Y \setminus Y_0)) = \emptyset$ and, since f is δ -open, $\text{int}(\text{cl}(f^{-1}(Y \setminus Y_0))) = \emptyset$. Hence, it may be easily seen that $\text{cl}(\text{int}(f^{-1}(Y_0))) = X$. Then $f^{-1}(Y_0)$ is dense in (X, τ) . By hypothesis, $\bigcup_{y \in Y_0} f^{-1}(\{y\})$ is also dense in (X, τ) . Since, moreover, each preimage $f^{-1}(\{y\}) \in \text{SO}(X, \tau)$ is Baire, by Lemma 2.4 the whole space (X, τ) is Baire. \square

Definition 4.1. A function $f : (X, \tau) \rightarrow (Y, \sigma)$ will be called δ^* -**open** if $f(N)$ is nowhere dense in (Y, σ) for every nowhere dense subset N of (X, τ) .

In our last proof we will follow an idea due to Haworth and McCoy [9, p.48], despite their proof is far from being clear enough at some points.

Theorem 4.2. *Let a space (X, τ) satisfy the second axiom of countability, a space (Y, σ) be of second category in itself, and a surjection $f : (X, \tau) \rightarrow (Y, \sigma)$ be δ^* -open. If there exists a residual subset Z of (Y, σ) such that for each $z \in Z$ the preimage $f^{-1}(z)$ is of second category in itself, then (X, τ) is of second category.*

Proof. The notation is the same as in the proof of [9, Theorem 4.11]. Suppose (X, τ) is of first category in itself; i.e., $X = \bigcup_{n \in \mathbb{N}} F_n$ for some nowhere dense closed sets F_n in (X, τ) , $n \in \mathbb{N}$. We set $M(F_n) = \{y \in Y : \text{int}_{f^{-1}(y)}(f^{-1}(y) \cap F_n) \neq \emptyset\}$, $n \in \mathbb{N}$. Fix a countable base $\{U_i\}_{i \in \mathbb{N}}$ for (X, τ) and set also $M_i^n = \{y \in Y : \emptyset \neq f^{-1}(y) \cap U_i \subset F_n\}$,

$n, i \in \mathbb{N}$. We have $M(F_n) = \bigcup_{i \in \mathbb{N}} M_i^n$, $n \in \mathbb{N}$. Choose arbitrary n and consider a nonempty M_i^n , $i \in \mathbb{N}$. For any $y \in M_i^n$ we have $f^{-1}(y) \cap U_i \subset F_n$, so $\{y\} \cap f(U_i) \subset f(F_n)$. Consequently, $M_i^n \cap f(U_i) \subset f(F_n)$. Therefore, $\bigcup_{i \in \mathbb{N}} M_i^n \cap \bigcup_{i \in \mathbb{N}} f(U_i) = M(F_n) \cap Y = M(F_n) \subset f(F_n)$. By assumption the set $M(F_n)$ is nowhere dense and so $M = \bigcup_{n \in \mathbb{N}} M(F_n)$ has the first category in (Y, σ) . The remaining steps of the proof are the same as at the end of the proof of [9, Theorem 4.1]. \square

Corollary 4.1. *Theorem 4.2 is true if to assume all $f^{-1}(z)$, $z \in Z$, are Baire subspaces (instead of the second category assumption).*

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