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## A GENERALIZATION OF QI'S INEQUALITY FOR SUMS

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**Abstract.** By a majorization method, a pair of inequalities for sums of nonnegative sequences are established, and so an open problem posed by F. Qi is resolved.

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### 1. INTRODUCTION

In [4], the following inequality between the sum of squares and the exponential of sum of a nonnegative sequence was obtained: For  $(x_1, x_2, \dots, x_n) \in R_+^n$  and  $n \geq 2$ , the inequality

$$\frac{e^2}{4} \sum_{i=1}^n x_i^2 \leq \exp\left(\sum_{i=1}^n x_i\right) \quad (1)$$

is valid, where  $R_+^n = \{(x_1, \dots, x_n) \in R^n : x_i \geq 0, i = 1, \dots, n\}$ . The equality in (1) holds if  $x_i = 2$  and  $x_j = 0$  for some given  $1 \leq i \leq n$  and all  $1 \leq j \leq n$  with  $j \neq i$ . The constant  $\frac{e^2}{4}$  in the inequality(1) is the best possible.

The first open problem in [4] may be quoted as follows: For  $(x_1, x_2, \dots, x_n) \in R_+^n$  and  $n \geq 2$ , determine the best possible constants  $\alpha_n, \lambda_n \in R$  and  $0 < \beta_n, \mu_n < \infty$  such that

$$\beta_n \sum_{i=1}^n x_i^{\alpha_n} \leq \exp\left(\sum_{i=1}^n x_i\right) \leq \mu_n \sum_{i=1}^n x_i^{\lambda_n}. \quad (2)$$

First of all, we claim that the right-hand side inequality in (2) is generally untenable. In fact, when  $n = 2$ , the right-hand side inequality in (2) becomes

$$e^{x_1+x_2} \leq \mu_2 (x_1^{\lambda_2} + x_2^{\lambda_2}). \quad (3)$$

Further taking  $x_2 = 0$  in the inequality (3) reduces

$$\frac{e^{x_1}}{x_1^{\lambda_2}} \leq \mu_2. \quad (4)$$

For any given  $\lambda > 0$ , the function  $\frac{e^{x_1}}{x_1^{\lambda}}$  tends to  $\infty$  as  $x_1 \rightarrow \infty$ . Hence, the inequality (4) does not hold if  $x_1$  is large enough.

In this short note, by using a method in the theory of majorization, we give an affirmative solution to the left-hand side inequality in (2), which is also a generalization of the inequality (1), as follows.

**Theorem 1.** *Let  $(x_1, x_2, \dots, x_n) \in R_+^n$  and  $n \geq 2$ . If  $\alpha \geq 1$ , then the inequality*

$$\frac{e^\alpha}{\alpha^\alpha} \left(\sum_{i=1}^n x_i^\alpha\right) \leq \exp\left(\sum_{i=1}^n x_i\right) \quad (5)$$

*is valid. The equality in (5) holds if and only if  $x_i = \alpha$  and  $x_j = 0$  for some given  $1 \leq i \leq n$  and all  $1 \leq j \leq n$  with  $j \neq i$ .*

**Theorem 2.** *Let  $\{x_i\}_{i=1}^\infty$  be a nonnegative sequence such that  $\sum_{i=1}^\infty x_i < \infty$ . For  $\alpha \geq 1$ , the inequality*

$$\frac{e^\alpha}{\alpha^\alpha} \sum_{i=1}^\infty x_i^\alpha \leq \exp\left(\sum_{i=1}^\infty x_i\right) \quad (6)$$

*is valid.*

## 2. DEFINITIONS AND LEMMAS

In order to prove our theorems, the following definitions and lemmas are needed.

**Definition 1.** [1, 6] Let  $x = (x_1, \dots, x_n) \in R^n$  and  $y = (y_1, \dots, y_n) \in R^n$ .

1. The sequence  $x$  is said to be majorized by  $y$  (in symbols  $x \preceq y$ ) if  $\sum_{i=1}^k x_{[i]} \leq \sum_{i=1}^k y_{[i]}$  for  $k = 1, 2, \dots, n-1$  and  $\sum_{i=1}^n x_i = \sum_{i=1}^n y_i$ , where  $x_{[1]} \geq \dots \geq x_{[n]}$  and  $y_{[1]} \geq \dots \geq y_{[n]}$  are rearrangements of  $x$  and  $y$  in a descending order, and  $x$  is said to be strictly majorized by  $y$  (in symbols  $x \prec y$ ) if  $x$  is not a permutation of  $y$ .
2. A function  $f : \Omega \rightarrow R$  is said to be a strictly Schur-convex on  $\Omega \subset R^n$  if the relation  $x \prec y$  on  $\Omega$  implies  $f(x) < f(y)$ . A function  $f$  is said to be strictly Schur-concave on  $\Omega$  if and only if  $-f$  is strictly Schur-convex on  $\Omega$ .

**Definition 2.** [6] Let set  $\Omega \subseteq R^n$ .  $\Omega$  is said to be a convex set if  $x, y \in \Omega$ ,  $0 \leq \alpha \leq 1$  implies  $\alpha x + (1 - \alpha)y = (\alpha x_1 + (1 - \alpha)y_1, \dots, \alpha x_n + (1 - \alpha)y_n) \in \Omega$ .

**Lemma 1.** [6, p. 5] Let  $\Omega \subset R^n$  be symmetric and have a nonempty interior convex set  $\Omega^0$ , and let  $f : \Omega \rightarrow R$  be continuous on  $\Omega$  and differentiable on  $\Omega^0$ . Then the function  $f$  is strictly Schur-convex (or Schur-concave respectively) on  $\Omega$  if and only if  $f$  is symmetric on  $\Omega$  and satisfies

$$(x_1 - x_2) \left( \frac{\partial f}{\partial x_1} - \frac{\partial f}{\partial x_2} \right) > 0 \quad (\text{or } < 0, \text{ respectively}) \quad (7)$$

for  $x = (x_1, x_2) \in \Omega^0$  with  $x_1 \neq x_2$ .

**Lemma 2.** For any given positive real number  $s$  and  $\alpha$ , we have

$$\frac{e^\alpha}{\alpha^\alpha} \leq \frac{e^s}{s^\alpha}. \quad (8)$$

The equality in (8) holds if and only if  $s = \alpha$ .

**Proof.** Let  $\varphi(s) = \alpha \ln s - s$ . Then  $\varphi'(s) = \frac{\alpha}{s} - 1 \leq 0$  for  $s \geq \alpha > 0$ , which means that  $\varphi(s)$  is increasing, and  $\varphi'(s) \geq 0$  for  $0 < s \leq \alpha$ , which means that  $\varphi(s)$  is

decreasing. Hence, for any  $s > 0$ , we have

$$\varphi(s) = \alpha \ln s - s \leq \varphi(\alpha) = \alpha \ln \alpha - \alpha,$$

i.e., the inequality (8) is valid and the equality in (8) holds if and only if  $s = \alpha$ .  $\square$

### 3. PROOFS OF THEOREMS

Now we are in a position to prove our theorems.

#### Proof of Theorem 1

Let

$$f(x) = f(x_1, \dots, x_n) = \ln \left( \sum_{i=1}^n x_i^\alpha \right) - s, \quad (9)$$

where  $s = \sum_{i=1}^n x_i$ . Simple calculation gives

$$\Delta := (x_1 - x_2) \left( \frac{\partial f}{\partial x_1} - \frac{\partial f}{\partial x_2} \right) = \frac{\alpha(x_1 - x_2)(x_1^{\alpha-1} - x_2^{\alpha-1})}{\sum_{i=1}^n x_i^\alpha}.$$

When  $\alpha > 1$ , since  $x^{\alpha-1}$  is strictly increasing on  $(0, \infty)$ , it follows easily that  $(x_1 - x_2)(x_1^{\alpha-1} - x_2^{\alpha-1}) > 0$  for  $x_1 \neq x_2$ , and then  $\Delta > 0$ , so, by Lemma 1,  $f(x)$  is strictly Schur-convex on  $R_+^n$ . It is easy to see that

$$x = (x_1, \dots, x_n) \preceq \left( s, \underbrace{0, \dots, 0}_{n-1} \right) = y \quad (10)$$

and  $x \prec y$  unless  $x_i = s$  and  $x_j = 0$  for some given  $1 \leq i \leq n$  and all  $1 \leq j \leq n$  with  $j \neq i$ . Hence,

$$f(x_1, \dots, x_n) = \ln \left( \sum_{i=1}^n x_i^\alpha \right) - s \leq f \left( s, \underbrace{0, \dots, 0}_{n-1} \right) = \alpha \ln s - s, \quad (11)$$

that is,

$$\frac{e^s}{s^\alpha} \left( \sum_{i=1}^n x_i^\alpha \right) \leq \exp \left( \sum_{i=1}^n x_i \right), \quad (12)$$

and the equality in (12) holds if and only if  $x_i = s$  and  $x_j = 0$  for some given  $1 \leq i \leq n$  and all  $1 \leq j \leq n$  with  $j \neq i$ . Combining the inequality (12) with the inequality

(8) yields that the inequality (5) is valid and the equality in (5) holds if and only if  $x_i = \alpha$  and  $x_j = 0$  for some given  $1 \leq i \leq n$  and all  $1 \leq j \leq n$  with  $j \neq i$ .

The proof of Theorem 1 is complete. □

### Proof of Theorem 2

Letting  $n \rightarrow \infty$  in Theorem 1 yields Theorem 2 readily. □

**Remark.** *After the preprint [5] of this paper was announced, there have been several papers such as [2, 3] dedicated to discuss the open problems posed by F. Qi in [4].*

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