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A NOTE ON INDECOMPOSABILITY OF BOOLEAN MATRICES

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Abstract. We discuss the properties of $n \times n$ r -indecomposable Boolean matrices with $-n < r < n$, and determine all the numbers attainable as the k -th upper generalized exponents of $n \times n$ r -indecomposable Boolean matrices with $1 \leq r, k < n$.

Let B_n be the set of all $n \times n$ Boolean matrices whose entries are 0 or 1 and the arithmetic underlying the matrix multiplication and addition is the usual integer arithmetic except that $1 + 1 = 1$. A set of rows and columns is said to cover the 1's if every 1 in the matrix belongs to one of these rows or columns. The term rank of A is the minimum number of rows and columns to cover the 1's of A , denoted by $\lambda(A)$. Let r be an integer with $-n < r < n$. A matrix $A \in B_n$ is r -indecomposable if it contains no $k \times l$ zero submatrix with $1 \leq k, l \leq n$ and $k + l = n - r + 1$. In particular, A is $(1 - n)$ -indecomposable if and only if $A \neq O$, while A is $(n - 1)$ -indecomposable if and only if $A = J_n$, the all-ones matrix in B_n . A 1-indecomposable matrix is also said to be fully indecomposable. A 0-indecomposable matrix is also called a Hall matrix.

Let $X \subseteq \{1, 2, \dots, n\}$ and $R_t(A, X) = \{1 \leq k \leq n : a_{i_0 i_1} a_{i_1 i_2} \dots a_{i_{t-1} k} = 1 \text{ for some}$

$i_0 \in X$. Then $A \in B_n$ is r -indecomposable if and only if, for each $X \subseteq \{1, 2, \dots, n\}$ with $\max\{1, 1 - r\} \leq |X| \leq \min\{n, n - r\}$, $|R_1(A, X)| \geq |X| + r$.

For $A \in B_n$, a set of s rows and t columns of A is called a proper mixture if $0 \leq s, t < n$ and $s + t > 0$. In [2], Dulmage and Mendelsohn defined $\alpha(A)$ as follows. If $A = O$, then $\alpha(A) = -n$ and if $A = J_n$, then $\alpha(A) = n$. If $A \neq O, J_n$, then $\alpha(A) = \min\{s+t\} - n = \lambda(A) - n$, the minimum being taken over all proper mixtures of s rows and t columns which cover the non-zeros of A . (Note that $\lambda(J_n) = n$.) A is said to be cover-irreducible if $\alpha(A) > 0$, in this case $\alpha(A)$ is called the index of cover-irreducibility of A .

Lemma 1. *Let $A \in B_n$, $A \neq O, J_n$, and let r be an integer with $-n < r < n$. Then A is r -indecomposable if and only if $\alpha(A) \geq r$.*

Proof.

A is r -indecomposable

- \Leftrightarrow A contains no $k \times l$ zero submatrix with $1 \leq k, l \leq n$ and $k + l = n - r + 1$
- \Leftrightarrow any proper mixture of s rows and t columns to cover the nonzeros of A satisfies $n - s + n - t \leq n - r$
- $\Leftrightarrow \alpha(A) \geq r$.

It follows from the definition of r -indecomposability that an r -indecomposable matrix is also p -indecomposable for all p , $-n < p \leq r$. By Lemma 1, if $A \neq O, J_n$, then $\alpha(A)$ is the maximum r such that A is r -indecomposable (which is called the index of indecomposability of A in [3]).

If $-n < r \leq 0$, then $A \in B_n$ is r -indecomposable if and only if $\lambda(A) \geq n + r$. By König's theorem, A is r -indecomposable for $-n < r \leq 0$ if and only if it dominates a permutation matrix of order $n + r$.

A matrix $A \in B_n$ is primitive if $A^m = J_n$ for some positive integer m ; the least such m is called the exponent of A , denoted by $\exp(A)$. It is known that $A \in B_n$ is primitive if A is 1-indecomposable. It follows from Lemma 1 that $A \in B_n$ is cover-irreducible if and only if A is 1-indecomposable.

Let $P \in B_n$ be the permutation matrix with $p_{i,i+1} = p_{n1} = 1$ for $i = 1, 2, \dots, n-1$ and let $C_s = I + P + \dots + P^s$ if $s \geq 0$. It is easy to see that C_s is s -indecomposable. $\lceil a \rceil$ denotes the smallest integer not less than a .

Lemma 2. [2] *Let $A \in B_n$ with $\alpha = \alpha(A) > 0$ and let $c = c(A)$ be the minimum number of nonzeros in a column of A . Then*

$$\exp(A) \leq \left\lceil \frac{n-c}{\alpha} \right\rceil + 1.$$

Theorem 3. *Suppose that $A \in B_n$ is r -indecomposable where $r \geq 1$. Then*

$$\exp(A) \leq \left\lceil \frac{n-1}{r} \right\rceil,$$

and this bound is best possible.

Proof. By Lemma 1, $\alpha(A) \geq r \geq 1$. Note that $c(A) \geq r+1$ by the definition of r -indecomposability. By Lemma 2, we obtain $\exp(A) \leq \lceil (n-1)/r \rceil$.

Note that there is an integer $k \geq 1$ such that $kr < n-1 \leq (k+1)r$, $C_r^k = I + P + \dots + P^{kr} \neq J_n$, and $C_r^{k+1} = I + P + \dots + P^{(k+1)r} = J_n$. Then $\exp(C_r) = k+1 = \lceil (n-1)/r \rceil$.

Remark 4. This theorem has also been proved by Sachkov and Oshkin [3]. Actually a much general result is obtained there: if A is r_1 -indecomposable and B is r_2 -indecomposable, then AB is r -indecomposable where $r_1, r_2 \geq 0$ and $r = \min\{r_1 + r_2, n-1\}$.

Remark 5. In fact we can derive the upper bound in Theorem 3 directly. If A is r -indecomposable where $r \geq 1$, then for each $X \subseteq \{1, 2, \dots, n\}$ with $\max\{1, 1-r\} \leq |X| \leq \min\{n, n-r\}$, we have $|R_1(A, X)| \geq |X| + r$. By induction, for any m with $1 \leq m \leq t = \lfloor (n-1)/r \rfloor$, $|R_1(A^m, X)| = |R_m(A, X)| = |R_1(A, R_{m-1}(A, X))| \geq |X| + mr$ and hence $|R_1(A^{t+1}, X)| = n$. This implies that A^m is mr -indecomposable for $1 \leq m \leq t$ and if $t \neq (n-1)/r$, A^{t+1} is $(n-1)$ -indecomposable. It follows that $\exp(A) \leq \lceil (n-1)/r \rceil$.

For a primitive matrix $A \in B_n$, Brualdi and Liu [1] defined the k -upper generalized exponent, $F(A, k)$, to be the smallest positive integer p such that there is no $k \times 1$ zero submatrix in A^p . Clearly $1 = F(A, n) \leq F(A, n-1) \leq \dots \leq F(A, 1) = \exp(A)$. The case $k = n$ is trivial. So we consider only the case $1 \leq k \leq n-1$.

We consider $F(A, k)$ for r -indecomposable matrix in B_n with $r \geq 1$. For $n-r \leq k \leq n-1$ and every r -indecomposable matrix $A \in B_n$, clearly $F(A, k) = 1 = \lceil (n-k)/r \rceil$.

Theorem 6. *Suppose that $A \in B_n$ is r -indecomposable where $r \geq 1$, $1 \leq k \leq n-r-1$. Then*

$$F(A, k) \leq \left\lceil \frac{n-k}{r} \right\rceil,$$

and this bound is best possible.

Proof. For any k with $1 \leq k \leq n-1$, there is an integer m such that $n-mr \leq k < n-(m-1)r$. Note that A^m is mr -indecomposable for $1 \leq m \leq t = \lfloor (n-1)/r \rfloor$, and A^{t+1} is $(n-1)$ -indecomposable. There is no zero $k \times 1$ submatrix in A^m , and hence $F(A, k) \leq m = \lceil (n-k)/r \rceil$.

Recall that C_r is r -indecomposable. It is easy to see that C_r^{m-1} has a $k \times 1$ zero submatrix indexed by the first k rows and the last column, implying $F(C_r, k) \geq \lceil (n-k)/r \rceil$. Thus $F(C_r, k) = \lceil (n-k)/r \rceil$.

Theorem 7. *For any integer p with $1 \leq p \leq \lceil (n-k)/r \rceil$ where $r \geq 1$, there is an r -indecomposable matrix in B_n such that $F(A, k) = p$.*

Proof. If $n-r \leq k \leq n-1$, the theorem is obvious. Suppose $1 \leq k \leq n-r-1$. For any integer p with $1 \leq p \leq \lceil (n-k)/r \rceil$, there is an integer s such that $p = \lceil (n-k)/s \rceil$ with $r \leq s \leq n-1$. Take $C_s = I + P + \dots + P^s$. Then C_s is s -indecomposable and hence is r -indecomposable, and

$$F(C_s, k) = \left\lceil \frac{n-k}{s} \right\rceil = p.$$

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