

## SEMIGROUPS OF INTEGRAL FUNCTIONS IN VALUED FIELDS

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**ABSTRACT.** Let  $K$  be a valued field and  $IK[[X]]$  the commutative algebra of integral functions over  $K$ . This paper is devoted to study some semigroups  $S$  of  $(IK[[X]], \circ)$ , where  $f \circ g$  is the composite function of  $f, g \in IK[[X]]$ . In the first section we define a topology  $Inv_K S$  on  $K$  and we extend to integral functions some notions used for polynomials (see [5] and [6]). Here we study some connections between the subsemigroups  $(S, \circ)$  of  $(IK[[X]], \circ)$  and the topologies  $Inv_K S$  on  $K$ . In the second section we study when a particular subset of  $K$  is an open set in the topology defined on  $K$  by some semigroup of integral functions.

### 1. Semigroups and topologies

Let  $K$  be a field admitting a rank 1 nontrivial valuation  $||$  (see [2] or [3]), this is a mapping from  $K$  into  $\mathbb{R}$  such that for all  $x, y \in K$

- i)  $|x| \geq 0$  and  $|x| = 0$  iff  $x = 0$ ;
- ii)  $|xy| = |x| |y|$ ;
- iii)  $|x + y| \leq |x| + |y|$ ;
- iv) there exists an element  $z \in K \setminus \{0\}$  such that  $|z| \neq 1$ .

For  $x, y \in K$ , define  $d(x, y) = |x - y|$ . Thus  $(K, d)$  is a metric space and we can, therefore, introduce the customary topological concepts into such a space in terms of the metric.

A formal power series

$$(1) \quad f(X) = \sum_{k=0}^{\infty} a_k X^k \in K[[X]]$$

is called an integral function over  $K$  if for every  $x \in K$  the sequence

$$(2) \quad S_n(X) = \sum_{k=0}^n a_k X^k$$

is a Cauchy sequence. We denote by  $IK[[X]]$  the commutative algebra of integral functions over  $K$ . If  $f, g \in IK[[X]]$  we consider  $f \circ g \in IK[[X]]$  the composite function of  $f$  and  $g$ , where  $\hat{K}$  is a completion of  $K$ . We consider  $(S, \circ)$  a semigroup of integral functions over  $K$  and we denote by

$$\text{Inv}_K S = \{D \subset K; f(D) \subset D, \forall f \in S\}.$$

Obviously, if  $K$  is a complete field, then  $(IK[[X]], \circ)$  is a semigroup and for every subsemigroup  $S \subset IK[[X]]$ ,  $K \in \text{Inv}_K S$ .

**Proposition 1.** *Let  $K$  be a valued field and let  $(S, \circ)$  be a semigroup of integral functions over  $K$ . If  $K \in \text{Inv}_K S$ , then  $\text{Inv}_K S$  defines a topology on  $K$  such that  $K$  is a locally quasi-compact and locally connected topological space. Furthermore for every  $a \in K$  there exists  $D_a \in \text{Inv}_K S$  such that  $D_a$  is the smallest open set from  $\text{Inv}_K S$  which contains  $a$ .*

*Proof.* Suppose that  $\{D_i\}, i \in I$  is a family of sets from  $\text{Inv}_K S$ . It is easily to see that

$$\bigcup_{i \in I} D_i \in \text{Inv}_K S \text{ and } \bigcap_{i \in I} D_i \in \text{Inv}_K S.$$

Thus  $\text{Inv}_K S$  is a topology on  $K$ . If  $a \in K$  we consider

$$D_a = \bigcup_{f \in S} \{f(a)\} \cup \{a\}.$$

Then  $D_a \in \text{Inv}_K S$  and  $D_a$  is the smallest open set from  $\text{Inv}_K S$  which contains  $a$ . Since  $D_a$  is a quasi-compact and connected subspace of  $K$  (see [4]) it follows that  $(K, \text{Inv}_K S)$  is a locally quasi-compact and locally connected topological space.  $\square$

*Remark 1.* If

$$(S_1, \circ), (S_2, \circ)$$

are two semigroups of integral functions over  $K$ , then  $\text{Inv}_K S_1$  is not necessarily different from  $\text{Inv}_K S_2$ . For example we consider

$$K = \mathbb{C}, S_1 = I \in C[[X]] \text{ and } S_2 = \mathbb{C} \in C[X].$$

Then

$$\text{Inv}_K S_1 = \text{Inv}_K S_2$$

is the coarsest topology on  $\mathbb{C}$ . However for cyclic semigroups we have:

**Proposition 2.** Let  $K$  be a valued field of characteristic zero and let  $(S_1, \circ)$ ,  $(S_2, \circ)$  be two cyclic semigroups of integral functions over  $K$ . If  $S_1 \neq S_2$ , then

$$\text{Inv}_K S_1 \neq \text{Inv}_K S_2.$$

*Proof.* Let  $f_i$  be a generator of  $S_i$ ,  $i = 1, 2$ . Since the set of zeros from  $K$  of an integral function over  $K$  is countable (see [1], p. 144 for a non-archimedean valuation), we consider the countable set  $M$  of zeros of the integral functions

$$f_1^j(X) - f_2^k(X), j, k \in \mathbb{N}, j^2 + k^2 \neq 0.$$

There exists then  $a \in K \setminus M$  and we denote

$$D_a^i = \bigcup_{k \in \mathbb{N}} \{f_i^k(a)\} \cup \{a\}, i = 1, 2.$$

Hence it follows that

$$D_a^1 \neq D_a^2 \text{ and } \text{Inv}_K S_1 \neq \text{Inv}_K S_2. \quad \square$$

We now raise the question as to when the topological space  $(K, \text{Inv}_K S)$  is separable. Since  $h(a) \in D_a$ , for every  $h \in S$ , from Proposition 1 it follows immediately:

**Proposition 3.** Let  $K$  be a valued field and let  $(S, \circ)$  be a semigroup of integral functions over  $K$ . If  $K \in \text{Inv}_K S$ , the following conditions are equivalent:

- a)  $(K, \text{Inv}_K S)$  is a Hausdorff space.
- b)  $S = \{X\}$ .
- c)  $\text{Inv}_K S$  is the discrete topology on  $K$ .

We recall that the assertion that for every two distinct points at least one of them has a neighbourhood that does not contain the other is called axiom  $T_0$ .

**Proposition 4.** Let be  $K$  a valued field and let  $(S, \circ)$  be a semigroup of integral functions over  $K$ . If  $K \in \text{Inv}_K S$ , then  $(K, \text{Inv}_K S)$  is a  $T_0$ - (Kolmogoroff) space if and only if for every  $a \in K$ , either  $a$  is a fixed point of  $S$ , that is  $h(a) = a$ , for all  $h \in S$ , or, if there exists  $h_1 \in S$  such that  $h_1(a) \neq a$ , then  $h_2 h_1(a) \neq a$ , for all  $h_2 \in S$ .

*Proof.* If  $(K, \text{Inv}_K S)$  is a  $T_0$ -space, then we consider  $a \in K$  such that there exists  $h_1 \in S$  for which  $h_1(a) \neq a$ . Suppose there exists  $h_2 \in S$ , such that

$h_2 h_1(a) = a$ . By Proposition 1, either  $h_1(a) \notin D_a$ , or  $a \notin D_{h_1(a)}$ , which is absurd since  $h_1(a) \in D_a$  and  $a = h_2 h_1(a) \in D_{h_1(a)}$ .

Conversely, let  $a, a' \in K, a \neq a'$ . If  $a$ , for example, is a fixed point of  $S$ , then  $a' \notin D_a = \{a\}$ , otherwise suppose  $a' \in D_a$  and  $a \in D_{a'}$ . Hence there exist  $h_1, h_2 \in S$  such that  $a' = h_1(a)$  and  $a = h_2(a')$ . Since  $h_1(a) \neq a$  it follows that  $h_2 h_1(a) \neq a$ , which is absurd since  $a = h_2(a') = h_2 h_1(a)$ . This shows that  $(K, \text{Inv}_K S)$  is a Kolmogoroff space.  $\square$

**Corollary.** Let  $K$  be a valued field,  $f(X) \in IK[[X]]$  and let  $S = (f)$  a cyclic semigroup of integral functions over  $K$ . If  $K \in \text{Inv}_K S$ , then  $(K, \text{Inv}_K S)$  is a Kolmogoroff space if and only if for all  $a \in K$  either  $a$  is a fixed point of  $f(X)$  or for all  $k \in \mathbb{N}, k \geq 2$ , there exists an integral functions  $g_k(X)$  over  $K$  such that  $g_k(a) \neq 0$  and  $f^k(X) = g_k(X) + X$ .

The proof follows directly from Proposition 4.

**Example 1.** Suppose that  $K_1 = \in R, K_2 = \in C$  and  $||$  is the usual archimedean valuation. Let

$$f(X) = e^X + X.$$

If  $S = (f)$ , then by Corollary it follows that  $(\in R, \text{Inv}_{\in R} S)$  is a Kolmogoroff space and  $(\in C, \text{Inv}_{\in C} S)$  is not a Kolmogoroff space.

*Remark 2.* If  $(K, \text{Inv}_K S)$  is a Kolmogoroff space, we define a partial ordering  $\leq$  on  $K$  such that  $a \leq a'$  if and only if  $a$  belongs to the closure of  $\{a'\}$  in  $\text{Inv}_K S$  (see [4], Ch. 1). Then the open intervals of  $(K, \leq)$  form a basis for the topology  $\text{Inv}_K S$ . The assertion follows by Proposition 1 and by [4], Ch. 1.

## 2. Invariant sets and semigroups

In this section we study the connection between particular subsets of  $K$  and particular semigroups of integral functions. We shall use the terminology and notation introduced in Section 1. We shall need the following result from [7].

**Theorem 1.** Let  $K$  be a complete valued field,  $\{x_n\}_{n \geq 1}$  an infinite sequence of distinct elements in  $K$  such that

$$(3) \quad \lim_{n \rightarrow \infty} |x_n| = \infty$$

and  $\{y_n\}_{n \geq 1}$  an arbitrary infinite sequence of elements in  $K$ . Then there exists a function  $f(X) \in IK[[X]]$  such that

$$(4) \quad f(x_j) = y_j, \quad \forall j \geq 1.$$

**Theorem 2.** *Let  $K$  be a complete valued field and let  $M = \{x_n\}_{n \geq 1}$  be a countable subset of  $K$  which satisfies (3). Then there exists an infinite cyclic semigroup  $S$  of integral functions over  $K$  such that  $M \in \text{Inv}_K S$ .*

The proof follows immediately from Theorem 1.

We shall now study some particular cases when  $K$  is not necessarily a complete field. We begin with a lemma on a determinant which is a generalization of the Vandermonde determinant.

**Lemma 1.** *Let  $K$  be a field of characteristic zero,  $m, n, k \in \mathbb{N}$  and  $m \geq k$ . We consider the polynomial  $D_{m,n,k}(X_0, X_1, \dots, X_n) \in K[X_0, X_1, \dots, X_n]$  defined by the determinant which has the order  $(k+1)(n+1)$ , its  $j$ -th row,  $j = 1, \dots, n+1$  has the form*

$$(X_{j-1}^m, X_{j-1}^{m+1}, \dots, X_{j-1}^{m-1+(k+1)(n+1)})$$

and the following rows are their derivatives up to order  $k$  inclusive. Then there exists  $C \in K \setminus \{0\}$  such that

$$(5) \quad D_{m,n,k}(X_0, X_1, \dots, X_n) = C \prod_{i=0}^n X_i^{m(k+1)} \prod_{0 \leq i < j \leq n} (X_j - X_i)^{(k+1)^2}$$

*Proof.* By induction on  $k$ , using Laplace's theorem, it is easily verified that the (total) degree of  $D_{m,n,k}$  is

$$(6) \quad \deg D_{m,n,k}(X_0, X_1, \dots, X_n) = \frac{(n+1)(k+1)}{2} (2m + n + kn)$$

We shall denote  $D_{m,n,k}$  by  $D$ , for simplicity. Let  $(X_1 - X_0)^q$  be the highest power of  $X_1 - X_0$  which divides  $D$  in  $K[X_0, X_1, \dots, X_n]$ . Then

$$(7) \quad \frac{\partial^j D}{\partial X_0^j} (X_1, X_1, X_2, \dots, X_n) \equiv 0, \quad j = 0, 1, 2, \dots, q-1$$

and

$$(8) \quad \frac{\partial^q D}{\partial X_0^q} (X_1, X_1, X_2, \dots, X_n)$$

is not identically equal to zero. Since the derivative of a determinant  $\Delta$  of order  $N$  is the sum of  $N$  determinants  $\Delta_s$  in which all rows (except the  $s$ -th)

are the same as in  $\Delta$  and the  $s$ -th row in  $\Delta_s$  is the derivative of  $s$ -th row in  $\Delta$ , it follows that

$$\frac{\partial D}{\partial X_0}(X_0, X_1, \dots, X_n)$$

is a sum of such determinants in which all rows (excepts the  $i$ -th rows,  $i = 1, n + 2, 2n + 3, \dots, kn + k + 1$ ) are the same as in  $D$  and  $i$ -th rows are the  $i$ -th rows in  $D$  or a derivative of the  $i$ -th rows in  $D$ .

On the other hand, by using suitable derivative of  $D$ , it follows that  $D$  is not identically equal to zero. To obtain (7) it is enough to prove that

$$(9) \quad \frac{\partial^j D}{\partial X_0^j}(X_0, X_1, \dots, X_n)$$

is a sum of such determinants in which there exists a row which is equal to the first row of  $D$  or is equal to a derivative up to order  $k$  inclusive of the first row of  $D$ . If  $q_1$  is the smallest value of  $j$  such (9) has not this property, it follows that

$$(10) \quad q \geq q_1 \geq (k + 1)^2$$

Since  $D$  is a homogeneous polynomial and it remains unchanged, to within sign, under any transposition of two unknowns, it follows that the degree of the product of all the factors  $(X_j - X_i)^q$ ,  $j > i$ , where  $(X_j - X_i)^q$  is the highest power of  $X_j - X_i$  which divides  $D$  is equal to

$$(11) \quad C_{n+1}^2 q \geq \frac{n(n+1)}{2}(k+1)^2$$

Similarly, if we denote by  $X_i^p$  the highest power of  $X_i$  which divides  $D$ , it follows that the degree of the product of all the factors

$$(12) \quad X_i^p, i = 0, 1, \dots, n, \text{ is equal to } (n+1)p \geq (n+1)(k+1)m$$

Since

$$\frac{n(n+1)}{2}(k+1)^2 + (n+1)(k+1)m = \frac{(n+1)(k+1)}{2}(2m+n+kn) = \deg D,$$

by (11) and (12) it follows that  $q = (k + 1)^2$  and  $p = m(k + 1)$ , which gives the assertion.  $\square$

**Theorem 3.** Let  $K$  be a field of characteristic zero and  $||$  a rank 1 nontrivial valuation of  $K$ . We denote by  $\hat{K}$  a completion of  $K$  for its topology defined by  $||$ . We consider  $K_1$  a countable subset of  $\hat{K} \setminus \{0\}$  and  $K_2$  a dense subset of  $\hat{K}$ . If  $\{L_n\}_{n \in \mathbb{N}}$  is a family of dense subsets of  $\hat{K}$ , then there exists a function

$$(13) \quad f(X) = \sum_{n=0}^{\infty} a_n X^n \in I\hat{K}[[X]] \setminus \hat{K}[[X]]$$

such that

- a)  $a_n \in L_n$  for all  $n \in \mathbb{N}$ ;
- b)  $f^{(k)}(x) \in K_2$  for all  $x \in K_1$  and  $k \in \mathbb{N}$ .

*Proof.* Let  $\{x_i\}_{i \in \mathbb{N}}$  be the elements of  $K_1$  and we denote by

$$(14) \quad S_n(X) = \sum_{k=0}^n a_k X^k$$

We consider the sequences  $u_n = 1 \cdot 2 + 2 \cdot 3 + \dots + n(n+1) - 1$ ,

$$v_n = u_n^{-u_n}.$$

Because  $K_2$  is a dense subset of  $\hat{K}$  and the polynomials are continuous functions we can find  $y_{0,0}, y_{1,0} \in K_2$  such that the system

$$(15) \quad \begin{cases} b_0 + b_1 x_0 = y_{0,0} \\ b_0 + b_1 x_1 = y_{1,0} \end{cases} :$$

has the solutions  $b_0, b_1 \in \hat{K}$  with the following property

$$(16) \quad |b_i| < v_2, \quad i = 0, 1.$$

With the notations of Lemma 1 we have

$$D_{2,2,1}(x_0, x_1, x_2) \neq 0.$$

Let  $F_2$  be the finite set of the cofactors of the elements in  $D_{2,2,1}(x_0, x_1, x_2)$ . Since  $L_0, L_1$  are dense subsets in  $\hat{K}$ , there exist  $a_i \in L_i$ ,  $i = 0, 1$ , such that

$$(17) \quad \begin{cases} |a_i| < v_2, \\ |S_1(x_j) - y_{j,0}| < v_2 \\ \left| \frac{c}{D_{2,2,1}}(S_1(x_j) - y_{j,0}) \right| < \frac{1}{2 \cdot 3} v_3, \quad \forall c \in F_2, j = 0, 1. \end{cases}$$

Because  $K_2$  is a dense subset of  $\hat{K}$  there exist the elements  $y_{2,0}, y_{0,1}, y_{1,1}, y_{2,1} \in K_2$  such that

$$(18) \quad \begin{cases} \left| \frac{c}{D_{2,2,1}}(S_1(x_2) - y_{2,0}) \right| < \frac{1}{2 \cdot 3} v_3, \\ \left| \frac{c}{D_{2,2,1}}(a_1 - y_{j,1}) \right| < \frac{1}{2 \cdot 3} v_3, \forall c \in F_2, j = 0, 1, 2. \end{cases}$$

Applying Cramer's rule it follows that the system

$$(19) \quad \begin{cases} a_0 + a_1 x_0 + b_2 x_0^2 + b_3 x_0^3 + b_4 x_0^4 + b_5 x_0^5 + b_6 x_0^6 + b_7 x_0^7 = y_{0,0} \\ a_0 + a_1 x_1 + b_2 x_1^2 + b_3 x_1^3 + b_4 x_1^4 + b_5 x_1^5 + b_6 x_1^6 + b_7 x_1^7 = y_{1,0} \\ a_0 + a_1 x_2 + b_2 x_2^2 + b_3 x_2^3 + b_4 x_2^4 + b_5 x_2^5 + b_6 x_2^6 + b_7 x_2^7 = y_{2,0} \\ a_1 + 2b_2 x_0 + 3b_3 x_0^2 + 4b_4 x_0^3 + 5b_5 x_0^4 + 6b_6 x_0^5 + 7b_7 x_0^6 = y_{0,1} \\ a_1 + 2b_2 x_1 + 3b_3 x_1^2 + 4b_4 x_1^3 + 5b_5 x_1^4 + 6b_6 x_1^5 + 7b_7 x_1^6 = y_{1,1} \\ a_1 + 2b_2 x_2 + 3b_3 x_2^2 + 4b_4 x_2^3 + 5b_5 x_2^4 + 6b_6 x_2^5 + 7b_7 x_2^6 = y_{2,1} \end{cases}$$

in the unknowns  $b_i$ , has solutions with the following property

$$(20) \quad |b_i| < v_3, i = u_1 + 1, \dots, u_2.$$

We now consider

$$D_{8,3,2}(x_0, x_1, x_2, x_3) \neq 0$$

and we denote by  $F_3$  the set of the cofactors of the elements in  $D_{8,3,2}$ . Since  $L_i, i = u_1 + 1, \dots, u_2$ , are dense subsets in  $\hat{K}$ , by (19) and (20) it follows that there exist  $a_i \in L_i, i = u_1 + 1, \dots, u_2$ , such that

$$(21) \quad \begin{cases} |a_i| < v_3, i = u_1 + 1, \dots, u_2, \\ |S_{u_2}(x_j) - y_{j,0}| < v_3, \\ |S'_{u_2}(x_j) - y_{j,1}| < v_3, \\ \left| \frac{c}{D_{8,3,2}}(S_{u_2}(x_j) - y_{j,0}) \right| < \frac{1}{3 \cdot 4} v_4, \\ \left| \frac{c}{D_{8,3,2}}(S'_{u_2}(x_j) - y_{j,1}) \right| < \frac{1}{3 \cdot 4} v_4, \forall c \in F_3, j = 0, 1, 2. \end{cases}$$

Now by induction on  $r$ , we consider

$$D_{u_r+1, r+1, r}(x_0, x_1, \dots, x_{r+1}) \neq 0$$



and we denote by  $F_{r+1}$  the set of the cofactors of its elements.

We suppose that we have found  $y_{j,k} \in K_2$ ,  $j = 0, 1, \dots, r$ ,  $k = 0, 1, \dots, r-1$  and  $a_i \in L_i$ ,  $i = 0, 1, \dots, u_r$ , such that

$$(22) \quad |a_i| < v_{t+1}, \quad i = u_{t-1} + 1, \dots, u_t, \quad \forall t = 1, \dots, r$$

$$(23) \quad |S_{u_r}^{(k)}(x_j) - y_{j,k}| < v_{r+1}, \quad \forall j = 0, \dots, r, \quad k = 0, \dots, r-1$$

$$(24) \quad \left| \frac{c}{D_{u_r+1, r+1, r}} (S_{u_r}^{(k)}(x_j) - y_{j,k}) \right| < \frac{1}{(r+1)(r+2)} v_{r+2},$$

$$\forall c \in F_{r+1}, \quad j = 0, \dots, r, \quad k = 0, \dots, r-1.$$

Since  $K_2$  is a dense subset in  $\hat{K}$  there exist the elements

$y_{r+1,0}, y_{r+1,1}, \dots, y_{r+1,r}, y_{0,r}, \dots, y_{r+1,r} \in K_2$  such that the condition (24) hold true for all  $j = 0, 1, \dots, r+1$  and  $k = 0, 1, \dots, r$ . Then the system

$$(25) \quad S_{u_r}^{(k)}(x_j) + (b_{u_r+1} X^{u_r+1} + \dots + b_{u_r+1} X^{u_r+1})_{X=x_j}^{(k)} = y_{j,k},$$

$$0 \leq j \leq r+1, \quad 0 \leq k \leq r$$

in the unknowns  $b_i$ , which for  $r = 1$  coincides with the system (19), has the solutions  $b_i$  with the following property

$$(26) \quad |b_i| < v_{r+2}, \quad i = u_r + 1, \dots, u_{r+1}$$

Since  $L_i, i = u_r + 1, \dots, u_{r+1}$  are dense subsets in  $\hat{K}$ , by (25) and (26) it follows that there exist  $a_i \in L_i$  such that the conditions (22) - (24) are satisfied for  $r+1$ . This proves (22) - (24) for every  $r$ .

We consider now  $n \in N$ . Then there exists  $r \in N$  such that

$$u_r < n \leq u_{r+1}$$

and by (22) it follows that

$$|a_n|^{1/n} < v_{r+2}^{1/n} < u_{r+2}^{-u_r+2/n} < \frac{1}{u_{r+2}}.$$

Hence

$$\lim_{n \rightarrow \infty} |a_n|^{1/n} = 0$$

and

$$f(X) = \sum_{n=0}^{\infty} a_n X^n \in I\hat{K}[[X]].$$

We remark that we can find  $a_n \neq 0$ . To prove b) we consider  $k, j \in N$  and we chose  $r > k$  and  $r > j$ . Then by (23) it follows that

$$f^{(k)}(x_j) = y_{j,k} \in K_2$$

and this establishes the theorem.  $\square$

**Corollary.** *Let  $K$  be a countable field of characteristic zero and  $||$  a rank 1 nontrivial valuation of  $K$ . We denote by*

$$(27) \quad S_K = \{f(X) \in IK[[X]], f^{(k)}(x) \in K, \text{ for all } x \in K \text{ and } k \in \mathbb{N}\}.$$

*Then  $S_K$  is a semigroup which contains some integral functions which are not polynomials.*

The assertion follows from Theorem 3 by taking  $K_2 = L_n = K$  for all  $n \in \mathbb{N}$  and  $K_1 = K \setminus \{0\}$ .

Let

$$S_\infty = \{S_1; \exists f(X) \in IK[[X]] \setminus K[X], f \in S_1\},$$

where  $(S_1, \circ)$  is a subsemigroup of  $(S_K, \circ)$ . In the last part of this paper we shall prove that we can find an infinite subset  $D$  of  $\mathbb{Q}$  such that, for all  $S_1 \in S_\infty$ , the topology  $Inv_{\mathbb{Q}} S_1$  does not contain the set  $D$ . More precise we have the following assertion:

**Theorem 4.** *Suppose  $K \in \mathbb{Q}$  and  $||$  is the usual absolute value function. Let  $D = \{1/n\}_{n \in \mathbb{N}^*}$  and let  $f(X) \in S_{\mathbb{Q}}$  such that*

$$(28) \quad f(D) \subset D$$

*then  $f(X)$  is a polynomial which is of the form*

$$(29) \quad f(X) = \frac{1}{r} X^s, \quad r \in \mathbb{N}^*, \quad s \in \mathbb{N}$$

*Proof.* If

$$(30) \quad f(X) = \sum_{j=0}^{\infty} a_j X^j, \quad a_j = \frac{\alpha_j}{\beta_j}, \quad \alpha_j, \beta_j \in \mathbb{Z}, \quad \beta_j \neq 0$$

we may assume that  $\beta_j > 0$  and  $\beta_j \mid \beta_{j+1}$  for all  $j \in \mathbb{N}$ . We denote

$$(31) \quad f\left(\frac{1}{n}\right) = \frac{1}{k_n}, \quad k_n \in \mathbb{N}^*.$$

Since  $f(X)$  is a continuous function it follows that

$$(32) \quad \lim_{n \rightarrow \infty} f\left(\frac{1}{n}\right) = a_0 = \lim_{n \rightarrow \infty} \frac{1}{k_n}.$$

We may assume that  $f(X) \notin K$  and because the zeros of an integral function which does not vanish identically are isolated, it follows that

$$\lim_{n \rightarrow \infty} \frac{1}{k_n} = 0 = a_0.$$

Let  $a_i$  be the first coefficient which is not equal to zero. Since  $f(X)$  is an integral function we have

$$\lim_{m \rightarrow \infty} |a_m|^{1/m} = 0$$

and then there exists  $m_0 \in \mathbb{N}$ ,  $m_0 \geq i$  such that for all  $m \geq m_0$

$$(33) \quad |f(x) - \sum_{j=1}^m a_j x^j| \leq x^{m+1}, \forall x \in [0, 1].$$

By (31) and (33) it follows that, for all  $m \geq m_0$  and  $n \in \mathbb{N}^*$ ,

$$(34) \quad \left| \frac{1}{k_n} - \left( \frac{\alpha_i}{\beta_i} \cdot \frac{1}{n^i} + \dots + \frac{\alpha_m}{\beta_m} \cdot \frac{1}{n^m} \right) \right| \leq \frac{1}{n^{m+1}}.$$

Hence

$$(35) \quad \lim_{n \rightarrow \infty} \frac{n^i}{k_n} = \frac{\alpha_i}{\beta_i}$$

and for all  $n \in \mathbb{N}^*$  and  $m \geq m_0$

$$(36) \quad \left| \beta_m n^m - k_n (\alpha_i \beta_m \beta_i^{-1} n^{m-i} + \dots + \alpha_m) \right| \leq \beta_m \frac{k_n}{n}.$$

Suppose that there exists a fixed

$$(37) \quad m \geq m_0, \quad m \geq 2i \text{ such that } \alpha_m \neq 0.$$

Then by (35) and (36) there exists  $r_m \in \mathbb{Z}$  such that for all  $n \in \mathbb{N}^*$

$$(38) \quad \beta_m n^m - k_n (\alpha_i \beta_m \beta_i^{-1} n^{m-i} + \dots + \alpha_m) - r_m = 0,$$

where  $r_m = O(n^{i-1})$ . We consider the polynomials

$$P_1(X) = \beta_m X^m,$$

$$P_2(X) = \alpha_i \beta_m \beta_i^{-1} X^{m-i} + \dots + \alpha_m.$$

Then there exist  $R_1(X), Q_1(X) \in \mathbb{Q}[X]$  such that

$$(39) \quad P_1(X) = Q_1(X)P_2(X) + R_1(X),$$

where  $\deg R_1(X) < m - i$  and  $\deg Q_1(X) = i$ . By (38) and (39) it follows that

$$(40) \quad k_n = Q_1(n) + \frac{R_1(n) - r_m}{P_2(n)} = 0.$$

Since

$$\lim_{n \rightarrow \infty} \frac{R_1(n) - r_m}{P_2(n)} = 0$$

there exists  $n_0 \in \mathbb{N}^*$  such that

$$(41) \quad \left| \frac{R_1(n) - r_m}{P_2(n)} \right| < \frac{1}{d + 1}, \quad \forall n \geq n_0,$$

where  $d$  is the least common multiple of the denominators of the coefficients of  $Q_1(X)$ . Because  $k_n \in \mathbb{N}$ , by (40), it follows that there exists  $n_1 \in \mathbb{N}^*$  such that

$$k_n = Q_1(n), \quad \forall n \geq n_1.$$

Hence

$$(42) \quad f\left(\frac{1}{n}\right) = \frac{1}{Q_1(n)} = \frac{n^{-i}}{Q_2(n^{-1})},$$

where

$$Q_2(X) = X^i Q_1\left(\frac{1}{X}\right).$$

Since  $D$  has a limit point, by (42), it follows that

$$f(X) = \frac{X^i}{Q_2(X)}.$$

Since also  $f(X)$  is an integral function we must have  $Q_2(X) \in \mathbb{Q}$  and  $i = 0$ . Then there exists  $m \in \mathbb{N}$  such that  $\alpha_m \neq 0$  and for all  $m_1 > m$ ,  $\alpha_{m_1} = 0$ . Thus  $f(X)$  is a polynomial and by (34)

$$|\beta_m n^m - k_n(\alpha_i \beta_m \beta_i^{-1} n^{m-i} + \dots + \alpha_m)| < \frac{\beta_m}{n}.$$

Hence there exists  $n_2 \in \mathbb{N}^*$  such that for all  $n \geq n_2$

$$(43) \quad \beta_m v_n^m = k_n (\alpha_i \beta_m \beta_i^{-1} n^{m-i} + \dots + \alpha_m).$$

We denote  $(n, \alpha_m) = d_m$  and  $n = d_n v_n$ . Then  $\lim v_n = \infty$  and by (43)  $v_n^m \mid k_n$ . Hence, if  $m > i$ , then

$$\lim_{n \rightarrow \infty} \frac{k_n}{n^i} = \infty,$$

which is absurd. Then  $m = i$  and

$$f(X) = \frac{\alpha_i}{\beta_i} X^i.$$

Hence by (28) it follows (29).  $\square$

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