

A SUFFICIENT UNIVALENCE CONDITION

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ABSTRACT. *This paper is concerned with a sufficient univalence condition for analytic functions in the unit disc. This condition generalizes some well-known univalence criteria.*

1. Introduction and preliminaries

Let U_r denote the disc $\{z \in \mathbb{C} : |z| < r\}$, $r \in (0, 1]$ and let A denote the class of functions f which are analytic in the unit disc $U = \{z \in \mathbb{C} : |z| < 1\}$ and $f(0) = f'(0) - 1 = 0$.

Definition 1. Let $f, g : U \mapsto \mathbb{C}$ be analytic function in U . The function f is subordinate to the function g ($f \prec g$) if there is an analytic function φ in U , which satisfies the conditions $\varphi(0) = 0$, $|\varphi(z)| < 1$, $z \in U$, and $f = g \circ \varphi$.

Definition 2. The function $L : U \times I \mapsto \mathbb{C}$, $I = [0, \infty)$ is a Loewner chain if the function $L(z, t)$ is analytic and univalent in U for all $t \in I$ and $L(z, s) \prec L(z, t)$ for all $0 \leq s < t$.

Definition 3 [5]. The function $F : U_r \times \mathbb{C} \mapsto \mathbb{C}$, $F = F(u, v)$ satisfies the Pommerenke's conditions in U_r if:

- i) the function $L(z, t) = F(e^{-t}z, e^t z)$ is analytic in U_r , for all $t \in I$, locally absolutely continuous in I , locally uniform with respect to U_r .
- ii) the function $G(e^{-t}z, e^t z)$, where $G(u, v) = \frac{u}{v} \frac{\partial F}{\partial u} / \frac{\partial F}{\partial v}$ is analytic in U_r for all $t \in I$ and has an analytic extension in $\bar{U} = \{z \in \mathbb{C} : |z| \leq 1\}$ for all $t > 0$ and in U for $t = 0$. The analytic extension of the function G is denoted by $H = H(e^{-t}z, e^t z)$ and is called the associate function of F .
- iii) $\frac{\partial F}{\partial v}(0, 0) \neq 0$ and $\frac{\partial F}{\partial u}(0, 0) / \frac{\partial F}{\partial v}(0, 0) \notin (-\infty, -1]$.

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iv) the family of functions $\left\{ F(e^{-t}z, e^t z) / \left[e^{-t} \frac{\partial F}{\partial u}(0, 0) + e^t \frac{\partial F}{\partial v}(0, 0) \right] \right\}_{t \in I}$ forms a normal family in U_r .

We shall need the following theorem to prove our results:

Theorem 1 [5]. Let $F : U_r \times \mathbb{C} \mapsto \mathbb{C}$, $F = F(u, v)$ be a function which satisfies Pommerenke's conditions in U_r and let H be the associate function of F . If

$$(1) \quad \begin{aligned} |H(z, z)| &< 1, \quad z \in U \text{ and} \\ |H(z, 1/\bar{z})| &\leq 1, \quad z \in U \setminus \{0\}, \end{aligned}$$

then the function $F(e^{-t}z, e^t z)$ for all $t \in I$, has an analytic and univalent extension in U .

2. Main results

Theorem 2. Let $f, h \in A$ and $\alpha \in \mathbb{C}$. If $\operatorname{Re} \alpha > 1/2$ and

$$(2) \quad \begin{aligned} &\left| \frac{1-\alpha}{\alpha} \left[|z|^2 + \frac{1-|z|^2}{2} \frac{zh''(z)}{h'(z)} \right] \right| \left[|z|^2 + \frac{1-|z|^2}{2} \frac{zh''(z)}{h'(z)} \right] \\ &+ (1-|z|^2) \frac{zf'(z)}{f(z)} + |z|^2(1-|z|^2) \left[\frac{zh''(z)}{h'(z)} + \frac{zf''(z)}{f'(z)} \right] \\ &+ \frac{(1-|z|^2)^2}{2} \frac{zh''(z)}{h'(z)} \frac{zf''(z)}{f'(z)} - \frac{(1-|z|^2)^2}{2} z^2 S_h(z) \Big| \leq |z|^2 \end{aligned}$$

for all $z \in U$, then f is an univalent function in U .

Remark: We denote by $S_h(z)$ the Schwarz's derivative of the function h , i.e.

$$S_h(z) = \left[\frac{h''(z)}{h'(z)} \right]' - \frac{1}{2} \left[\frac{h''(z)}{h'(z)} \right]^2.$$

Proof: Let $F : U \times \mathbb{C} \mapsto \mathbb{C}$ be the function

$$(3) \quad F(u, v) = [f(u)]^{1-\alpha} \left[f(u) + (v-u)f'(u) \left(1 + \frac{v-u}{2} \frac{h''(u)}{h'(u)} \right) \right]^{-1\alpha},$$

$(u, v) \in U \times \mathbb{C}$, and let $L : U \times I \mapsto \mathbb{C}$ be the function

$$(4) \quad \begin{aligned} L(z, t) = F(e^{-t}z, e^t z) &= f(e^{-t}z) \left[1 + (e^{2t} - 1) \frac{e^{-t}zf'(e^{-t}z)}{f(e^{-t}z)} \right. \\ &\left. \left(1 + \frac{e^{2t} - 1}{2} \frac{e^{-t}zh''(e^{-t}z)}{h'(e^{-t}z)} \right) \right]^{-1\alpha}, \quad (z, t) \in U \times I. \end{aligned}$$

Since, there is $r' \in (0, 1)$ such that $f(z) \neq 0$ for all $z \in U_{r'} \setminus \{0\}$, the function $f_1(z, t) = \frac{e^{-t} z f'(e^{-t} z)}{f(e^{-t} z)} = 1 + \dots$ is analytic in $U_{r'}$.

The function $f_2(z, t) = \frac{e^{-t} z h''(e^{-t} z)}{h'(e^{-t} z)} = b_1 e^{-t} z + \dots$ is analytic in U .

Then, there exists $r \in (0, r')$ such that the function $f_3(z, t) = 1 + \frac{(e^{2t} - 1)f_1(z, t)}{1 + 2^{-1}(e^{2t} - 1)f_2(z, t)} = e^{2t} + \dots$ is analytic in U_r and $f_3(z, t) \neq 0$ for all $z \in U_r$ and $t \in I$. Hence, for the function $f_4(z, t) = [f_3(z, t)]^\alpha = e^{2\alpha t} + \dots$, we can choose an analytic branch in U_r and the function $L(z, t) = f(e^{-t} z) f_4(z, t) = e^{(2\alpha - 1)t} z + \dots$ is analytic in U_r .

Using (4) we obtain

$$\frac{\partial L(z, t)}{\partial t} = -e^{-t} z \frac{\partial F}{\partial u}(e^{-t} z, e^t z) + e^t z \frac{\partial F}{\partial v}(e^{-t} z, e^t z)$$

and we observe that $|\frac{\partial L(z, t)}{\partial t}|$ is bounded on $[0, T]$, for any $T > 0$ fixed and for all $z \in U_r$. Therefore, the function $L(z, t) = F(e^{-t} z, e^t z)$ is locally absolutely continuous in I , locally uniform with respect to U_r .

Since

$$a_1(t) = e^{-t} \frac{\partial F}{\partial u}(0, 0) + e^t \frac{\partial F}{\partial v}(0, 0) = e^{(2\alpha - 1)t}$$

we obtain $a_1(t) \neq 0$ and $\lim_{t \rightarrow \infty} |a_1(t)| = \lim_{t \rightarrow \infty} e^{t \operatorname{Re}(2\alpha - 1)} = \infty$.

It is easy to prove that there exists $k > 0$ such that $|F(e^{-t} z, e^t z)/a_1(t)| \leq k$ for all $z \in U_r$ and $t \in I$. Hence $\{F(e^{-t} z, e^t z)/a_1(t)\}_{t \in I}$ is a normal family in U_r .

Using (3) we obtain

$$\begin{aligned} G(u, v) &= \frac{u}{v} \frac{\partial F}{\partial u} / \frac{\partial F}{\partial v} = \frac{1 - \alpha}{\alpha} \frac{u}{v} \left[1 + \frac{v - u}{2} \frac{h''(u)}{h'(u)} \right] \left[1 + \frac{v - u}{2} \frac{h''(u)}{h'(u)} \right] \\ &+ (v - u) \frac{f'(u)}{f(u)} \left] + \frac{u}{v} (v - u) \left[\frac{h''(u)}{h'(u)} + \frac{f''(u)}{f'(u)} \right] \\ &+ \frac{u}{v} \frac{(v - u)^2}{2} \left[\frac{f''(u)}{f'(u)} \frac{h''(u)}{h'(u)} - S_h(u) \right]. \end{aligned}$$

It results that the function $G(e^{-t} z, e^t z)$ has an analytic extension

$H(e^{-t}z, e^t z)$, where

$$\begin{aligned}
 H(e^{-t}z, e^t z) &= \frac{1-\alpha}{2} e^{-2t} \left[1 + \frac{e^{2t}-1}{2} \frac{e^{-t} z h''(e^{-t}z)}{h'(e^{-t}z)} \right] \\
 &\quad \left[1 + \frac{e^{2t}-1}{2} \frac{e^{-t} z h''(e^{-t}z)}{h'(e^{-t}z)} + (e^{2t}-1) \frac{e^{-t} z f'(e^{-t}z)}{f(e^{-t}z)} \right] \\
 &\quad + e^{-2t} (e^{2t}-1) \left[\frac{e^{-t} z h''(e^{-t}z)}{h'(e^{-t}z)} + \frac{e^{-t} z f''(e^{-t}z)}{f'(e^{-t}z)} \right] \\
 &\quad + e^{-2t} \frac{(e^t - e^{-t})^2}{2} z^2 \left[\frac{h''(e^{-t}z)}{h'(e^{-t}z)} \frac{f''(e^{-t}z)}{f'(e^{-t}z)} - S_h(e^{-t}z) \right].
 \end{aligned}$$

We have:

$$|H(z, z)| = \left| \frac{1-\alpha}{\alpha} \right| < 1 \text{ for all } z \in U \text{ and } \alpha \in \mathbb{C} \text{ with } \operatorname{Re} \alpha > 1/2 \text{ and}$$

$$\begin{aligned}
 |H(z, 1/\bar{z})| &= \left| \frac{1-\alpha}{\alpha} \frac{1}{|z|^2} \left[|z|^2 + \frac{1-|z|^2}{2} \frac{z h''(z)}{h'(z)} \right] \left[|z|^2 \frac{1-|z|^2}{2} \frac{z h''(z)}{h'(z)} \right. \right. \\
 &\quad \left. \left. + (1-|z|^2) \frac{z f'(z)}{f(z)} \right] + (1-|z|^2) \left[\frac{z h''(z)}{h'(z)} + \frac{z f''(z)}{f'(z)} \right] \right. \\
 &\quad \left. + \frac{z(1-|z|^2)^2}{\bar{z}} \frac{2}{2} \left[\frac{h''(z)}{h'(z)} \frac{f''(z)}{f'(z)} - S_h(z) \right] \right| \leq 1,
 \end{aligned}$$

for all $z \in U \setminus \{0\}$.

Therefore we can conclude, using Theorem 1, that the function $F(e^{-t}z, e^t z)$, $t \in I$ has an analytic and univalent extension $F_1(e^{-t}z, e^t z)$ in U for all $t \in I$. In particular, the function $f(z) = F_1(z, z)$, $z \in U$, is an univalent function in U .

3. Remarks

1. For $\alpha = 1$ we obtain the following sufficient univalence condition:

Corollary 1. *If $f, h \in A$ and*

$$\begin{aligned}
 (5) \quad &\left| |z|^2 (1-|z|^2) \left[\frac{z h''(z)}{h'(z)} + \frac{z f''(z)}{f'(z)} \right] + \frac{(1-|z|^2)^2}{2} \frac{z h''(z)}{h'(z)} \frac{z f''(z)}{f'(z)} \right. \\
 &\quad \left. - \frac{(1-|z|^2)^2}{2} z^2 S_h(z) \right| \leq |z|^2,
 \end{aligned}$$

for all $z \in U$, then the function f is univalent in U .

2. For $\alpha \rightarrow \infty$ we obtain an another sufficient univalence condition:

Corollary 2. *If $f, h \in A$ and*

$$(6) \quad \left| \frac{(1 - |z|^2)^2}{2} \frac{zh''(z)}{h'(z)} \frac{zf'''(z)}{f'(z)} - \frac{(1 - |z|^2)^2}{2} z^2 S_h(z) \right. \\ \left. - \left[|z|^2 + \frac{1 - |z|^2}{2} \frac{zh''(z)}{h'(z)} \right] \left[|z|^2 + \frac{1 - |z|^2}{2} \frac{zh''(z)}{h'(z)} + (1 - |z|^2) \frac{zf'(z)}{f(z)} \right] \right. \\ \left. + |z|^2(1 - |z|^2) \left[\frac{zh''(z)}{h'(z)} + \frac{zf'''(z)}{f'(z)} \right] \right| \leq |z|^2,$$

for all $z \in U$, then the function f is univalent in U .

3. If $h' = 1/f'$ we obtain the following univalence criterion which generalize the generalize the criterion due to Nehari:

Theorem 3 [7]. *Let $f \in A$ and $\alpha \in \mathbb{C}$. If $\operatorname{Re} \alpha > 1/2$ and*

$$(7) \quad \left| \frac{1 - \alpha}{\alpha} \left[|z|^2 - \frac{1 - |z|^2}{2} \frac{zf'''(z)}{f'(z)} \right] \left[|z|^2 + (1 - |z|^2) \left(\frac{zf'(z)}{f(z)} - \frac{1}{2} \frac{zf''(z)}{f'(z)} \right) \right] \right. \\ \left. + z^2 \frac{(1 - |z|^2)^2}{2} S_f(z) \right| \leq |z|^2, \quad z \in U,$$

then f is a univalent function in U .

$\alpha = 1$ in Theorem 3 gives us the Nehari's sufficient univalence condition:

Theorem 4 [4]. *If $f \in A$ and*

$$|S_f(z)| \leq 2(1 - |z|^2)^{-2}, \quad z \in U,$$

then f is univalent in U .

4. If $h' = g' \cdot (f')^{-1}$ we obtain an univalence condition which generalize the criterion due to Epstein:

Theorem 5 [8]. *Let $f, g \in A$ and $\alpha \in \mathbb{C}$. If $\operatorname{Re} \alpha > 1/2$ and*

$$(8) \quad \left| \frac{1 - \alpha}{\alpha} \left[|z|^2 - \frac{1 - |z|^2}{2} \left(\frac{zf''(z)}{f'(z)} - \frac{zg''(z)}{g'(z)} \right) \right. \right. \\ \left. \left. + (1 - |z|^2) \frac{zf'(z)}{f(z)} \right] \left[|z|^2 - \frac{1 - |z|^2}{2} \left(\frac{zf''(z)}{f'(z)} + \frac{zg''(z)}{g'(z)} \right) \right] \right. \\ \left. + |z|^2(1 - |z|^2) \frac{zg''(z)}{g'(z)} + z^2 \frac{(1 - |z|^2)^2}{2} [S_f(z) - S_g(z)] \right| \leq |z|^2, \quad z \in U,$$

then f is a univalent function in U .

$\alpha = 1$ in Theorem 4 gives us the Epstein's sufficient univalence condition:

Theorem 6 [2]. *If $f, g \in A$ and*

$$(9) \quad \left| \frac{(1 - |z|^2)^2}{2} \left[S_f(z) - S_g(z) \right] + (1 - |z|^2) \bar{z} \frac{g''(z)}{g'(z)} \right| \leq 1, \quad z \in U,$$

then f is a univalent function in U .

5. If $h(z) = z$ we obtain the following univalence criterion which generalize the criterion due to Becker:

Theorem 7 [3]. *Let $f \in A$ and $\alpha \in \mathbb{C}$. If $\operatorname{Re} \alpha > 1/2$ and*

$$(10) \quad \left| \frac{1 - \alpha}{\alpha} \left[|z|^2 + (1 - |z|^2) \frac{z f'(z)}{f(z)} \right] + (1 - |z|^2) \frac{z f''(z)}{f'(z)} \right| \leq 1, \quad z \in U,$$

then the function f is univalent in U .

$\alpha = 1$ in Theorem 7 gives us the Becker's sufficient univalence condition:

Theorem 8 [1]. *If $f \in A$ and*

$$(11) \quad (1 - |z|^2) \left| \frac{z f''(z)}{f'(z)} \right| \leq 1, \quad z \in U,$$

then the function f is univalent in U .

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