

**M-HARMONIC BLOCH SPACE AND BMO IN THE BERGMAN METRIC ON THE UNIT BALL**

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**ABSTRACT.** In [2] it was shown that many characterizations of analytic Bloch functions also characterize  $\mathcal{M}$ -harmonic Bloch functions. In this paper we give several additional characterizations of  $\mathcal{M}$ -harmonic Bloch functions on the unit ball  $B$  of  $C^n$ .

**1. Introduction.** In this paper we continue the investigation of  $\mathcal{M}$ -harmonic Bloch space began in [2], where it was shown that many characterizations of analytic Bloch functions also characterize  $\mathcal{M}$ -harmonic Bloch functions.

The main purpose of this paper is to give several additional characterizations of  $\mathcal{M}$ -harmonic Bloch functions on the unit ball  $B$  of  $C^n$ .

As in [5], we say that a function  $u \in C^2(B)$  is  $\mathcal{M}$ -harmonic in  $B$ ,  $f \in \mathcal{M}$ , if  $\tilde{\Delta}u(z) = 0$  for every  $z \in B$ . The operator  $\tilde{\Delta}$  is the invariant Laplacian defined by  $\tilde{\Delta}u(z) = \Delta(u \circ \varphi_z)(0)$ ,  $z \in B$ , where  $\Delta$  is the ordinary Laplacian and  $\varphi_z$  the standard automorphism of  $B$  ( $\varphi_z \in \text{Aut}(B)$ ) taking 0 to  $z$  ( see [5] ).

For  $f \in C^1(B)$ ,  $Df = \left( \frac{\partial f}{\partial z_1}, \dots, \frac{\partial f}{\partial z_n} \right)$  denotes the complex gradient of  $f$ ,  $\nabla f = \left( \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_{2n}} \right)$ ,  $z_k = x_{2k-1} + ix_{2k}$ ,  $k = 1, 2, \dots, n$ , denotes the real gradient of  $f$ .

For  $f \in C^1(B)$  let  $\tilde{D}f(z) = D(f \circ \varphi_z)(0)$ ,  $z \in B$ , and  $\tilde{\nabla}f(z) = \nabla(f \circ \varphi_z)(0)$ ,  $z \in B$ , be the invariant complex gradient of  $f$  and the invariant real gradient of  $f$  respectively.

We say that  $f \in C^1(B)$  is a Bloch function,  $f \in \mathcal{B}$ , if  $\|f\|_{\mathcal{B}} = \sup_{z \in B} |\tilde{\nabla}f(z)| < \infty$ .

Let  $\beta(\cdot, \cdot)$  be a Bergman metric on  $B$ . By definition ([3], p.45)  $\beta$  is the "integrated form" of the infinitesimal metric

$$G_z = (g_{ij}(z)) = \frac{1}{2} \left( \frac{\partial^2}{\partial z_i \partial \bar{z}_j} \log K(z, z) \right), \text{ where } K(z, w) = (1 - \langle z, w \rangle)^{-n-1}$$

is the Bergman reproducing kernel for  $B$ .

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Let  $\|\cdot\|_\beta$  denote the Lipschitz norm; i.e. if  $f$  is a continuous function on  $B$ . Then  $\|f\|_\beta$  is the smallest  $A \geq 0$  for which  $|f(z) - f(w)| \leq A\beta(z, w)$ ,  $z, w \in B$ .

We say that  $f \in \text{Lip } \beta$  if  $\|f\|_\beta < \infty$ .

For  $f \in C^1(B)$ , define

$$Q_f(z) = \sup_{w \neq 0} \left\{ \frac{(|\langle Df(z), \bar{w} \rangle|^2 + |\langle D\bar{f}(z), \bar{w} \rangle|^2)^{1/2}}{\sqrt{\langle G_z w, w \rangle}} \right\} \quad \text{and} \quad \|f\|_Q = \sup_{z \in B} Q_f(z).$$

We define  $Q$  to be the space of functions  $f \in C^1(B)$  such that  $\|f\|_Q < \infty$ .

For  $f \in C(B)$ , we define  $\text{Osc}(f)(z) = \sup\{|f(z) - f(w)| : w \in E(z, r)\}$ , where  $E(z, r) = \{w \in B : \beta(z, w) \leq r\}$ ,  $0 < r < \infty$ .

We say that  $f \in C(B)$  is of bounded oscillation,  $f \in BO$ , if  $\|f\|_{\text{Osc}} = \sup_{z \in B} \text{Osc}(f)(z) < \infty$ .

Let  $|E(z, r)| = \nu(E(z, r))$ , where  $\nu$  is a normalized Lebesgue measure on  $B$ .

For fixed  $r > 0$  and  $f \in L^2(B)$ , we define

$$\hat{f}(z, r) = \frac{1}{|E(z, r)|} \int_{E(z, r)} f(w) d\nu(w) \quad \text{and}$$

$$MO_r(f)(z) = \left( \frac{1}{|E(z, r)|} \int_{E(z, r)} |f(w) - \hat{f}(z, r)|^2 d\nu(w) \right)^{1/2}.$$

We say  $f \in L^2(B)$  is in  $BMO_r$  if  $\|f\|_{BMO_r} = \sup_z MO_r(f)(z) < \infty$ , and  $f$  is in  $BMO_r^*$  if

$$\|f\|_{BMO_r^*} = \sup_{z \in B} \left( \frac{1}{|E(z, r)|} \int_{E(z, r)} |f(w) - f(z)|^2 d\nu(w) \right)^{1/2} < \infty.$$

Given  $f \in L^2(B)$ , let

$$MO(f)(z) = \left( \frac{1}{2} \int_B \int_B |f(u) - f(v)|^2 |K_z(u)|^2 |K_z(v)|^2 d\nu(u) d\nu(v) \right)^{1/2}, \quad z \in B,$$

where  $K_z(u) = K(z, z)^{-1/2} K(u, z)$ .

We say  $f \in L^2(B)$  is in  $BMO$  if  $\|f\|_{BMO} = \sup_{z \in B} MO(f)(z) < \infty$ .

**THEOREM.** For  $f \in \mathcal{M} \cap L^2(B)$ , the following are equivalent:

- |       |                             |      |                                 |
|-------|-----------------------------|------|---------------------------------|
| (i)   | $f \in B$ ,                 | (ii) | $f \in Q$ ,                     |
| (iii) | $f \in \text{Lip } \beta$ , | (iv) | $f \in BO$ ,                    |
| (v)   | $f \in BMO$ ,               | (vi) | $f \in BMO_r$ for all $r > 0$ , |

- (vii)  $f \in BMO_r$  for some  $r > 0$ ,
- (viii)  $f \in BMO_r^*$  for all  $r > 0$ ,
- (ix)  $f \in BMO_r^*$  for some  $r > 0$ .

For holomorphic functions the theorem was proved in [6] and [1].

We don't need in this note the characterizations of *M*-harmonic Bloch functions obtained in [2], but we state them for a reader's convenience.

**THEOREM J.P.** *Let  $f \in \mathcal{M}$ . Then the following are equivalent:*

- (i)  $f \in \mathcal{B}$ ,
- (ii)  $\sup_{z \in B} (\tilde{\Delta}|f|^2)^{1/2} < \infty$ ,
- (iii)  $\sup_{z \in B} \sqrt{1 - |z|^2} (|Df(z)|^2 - |Rf(z)|^2 + |D\bar{f}(z)|^2 - |R\bar{f}(z)|^2)^{1/2} < \infty$ ,
- (iv)  $\sup_{z \in B} (1 - |z|^2)|\nabla f(z)| < \infty$ ,
- (v)  $\sup_{z \in B} (1 - |z|^2) (|Rf(z)| + |R\bar{f}(z)|) < \infty$ ,

Here, as usual,  $R$  denotes the radial derivative  $R = \sum_{j=1}^n z_j \frac{\partial}{\partial z_j}$ .

**2. Proof of Theorem.** To show (i)  $\Rightarrow$  (ii) we need the following lemma.

**LEMMA 2.1.** *Let  $f \in C^1(B)$ . Then  $Q_f(\varphi(z)) = Q_{f \circ \varphi}(z)$ ,  $z \in B$ , for all  $\varphi \in \text{Aut}(B)$ .*

**PROOF.** Notice that each  $\varphi \in \text{Aut}(B)$  is an isometry on  $B$  in the Bergman metric. This statement is expressed by the following equation:

$$\langle G_{\varphi(z)} J_z(\varphi)w, J_z(\varphi)w \rangle = \langle G_z w, w \rangle, \text{ for all } z \in B; w \in C^n; \varphi \in \text{Aut}(B).$$

Here  $J_z(\varphi)$  denotes the complex Jacobian matrix of  $\varphi$  at  $z$ .

Thus, since  $J_z(\varphi)$  is an invertible matrix, we have  $Q_{f \circ \varphi}(z) =$

$$\begin{aligned} &= \sup_{w \neq 0} \left\{ \frac{(|\langle D(f \circ \varphi)(z), \bar{w} \rangle|^2 + |\langle D(\bar{f} \circ \varphi)(z), \bar{w} \rangle|^2)^{1/2}}{\sqrt{\langle G_z w, w \rangle}} \right\} \\ &= \sup_{w \neq 0} \left\{ \frac{(|\langle D(f \circ \varphi)(z), \bar{w} \rangle|^2 + |\langle D(\bar{f} \circ \varphi)(z), \bar{w} \rangle|^2)^{1/2}}{\sqrt{\langle G_{\varphi(z)} J_z(\varphi)w, J_z(\varphi)w \rangle}} \right\} \\ &= \sup_{w \neq 0} \left\{ \frac{\left( \left| \langle D(f \circ \varphi)(z), \overline{(J_z(\varphi))^{-1}w} \rangle \right|^2 + \left| \langle D(\bar{f} \circ \varphi)(z), \overline{(J_z(\varphi))^{-1}w} \rangle \right|^2 \right)^{1/2}}{\sqrt{\langle G_{\varphi(z)} w, w \rangle}} \right\} \\ &= \sup_{w \neq 0} \left\{ \frac{(|\langle Df(z), \bar{w} \rangle|^2 + |\langle D\bar{f}(z), \bar{w} \rangle|^2)^{1/2}}{\sqrt{\langle G_{\varphi(z)} w, w \rangle}} \right\} = Q_f(\varphi(z)). \end{aligned}$$

(i)  $\Rightarrow$  (ii). Since  $\alpha^2 = \inf_{|w|=1} \langle G_0 w, w \rangle > 0$ , it follows from the definition of  $Q_f(z)$  that

$$\begin{aligned} Q_{f \circ \varphi_z}(0) &\leq \frac{1}{\alpha} (|D(f \circ \varphi_z)(0)|^2 + |D(\bar{f} \circ \varphi_z)(0)|^2)^{1/2} = \\ &= \frac{1}{\alpha} (|\tilde{D}f(z)|^2 + |\tilde{D}\bar{f}(z)|^2)^{1/2} = \frac{1}{\alpha\sqrt{2}} |\tilde{\nabla}f(z)|, \end{aligned}$$

and so, by Lemma 2.1,

$$\|f\|_Q = \sup_{z \in B} Q_f(z) = \sup_{z \in B} Q_f(\varphi_z(0)) = \sup_{z \in B} Q_{f \circ \varphi_z}(0) \leq C \sup_{z \in B} |\tilde{\nabla}f(z)| = C \|f\|_B.$$

Here and elsewhere constants are denoted by  $C$  which may indicate a different constant from one occurrence to the next.

(ii)  $\Rightarrow$  (iii). Fix  $z, w \in B$ . Let  $\gamma : [0, 1] \rightarrow B$  be a geodesic (in the Bergman metric) with  $\gamma(0) = z$  and  $\gamma(1) = w$ . Then

$$\begin{aligned} |f(z) - f(w)| &= \left| \int_0^1 \frac{d}{dt} f(\gamma(t)) dt \right| \leq \int_0^1 \left| \langle Df(\gamma(t)), \overline{\gamma'(t)} \rangle + \overline{\langle D\bar{f}(\gamma(t)), \overline{\gamma'(t)} \rangle} \right| dt \\ &\leq \int_0^1 \left( \left| \langle Df(\gamma(t)), \overline{\gamma'(t)} \rangle \right| + \left| \langle D\bar{f}(\gamma(t)), \overline{\gamma'(t)} \rangle \right| \right) dt \\ &\leq \sqrt{2} \int_0^1 Q_f(\gamma(t)) \sqrt{\langle G_{\gamma(t)} \gamma'(t), \gamma'(t) \rangle} dt \leq \sqrt{2} \|f\|_Q \beta(z, w). \end{aligned}$$

Thus,  $\|f\|_\beta \leq \sqrt{2} \|f\|_Q$ .

(iii)  $\Rightarrow$  (iv) follows from the following characterization of the class  $BO$ .

LEMMA 2.2 ([1], p. 329) For a continuous function  $f$  on  $B$ , the following are equivalent:

- (1)  $f$  is in  $BO$ ,
- (2) there is a constant  $C = C_f > 0$  with  $|f(z) - f(w)| \leq C + C\beta(z, w)$ ,  
for all  $z, w$  in  $B$ ,
- (3)  $\sup_{z \in B} \|f \circ \varphi_z - f(z)\|_{L^p(B)} < \infty$  for all  $p > 0$ .

(iv)  $\Rightarrow$  (v) follows from the identity  $\|f \circ \varphi_z - f(z)\|_{L^2(B)} = MO(f)(z)$  and Lemma 2.2.

(v)  $\Rightarrow$  (vi). Since  $|E(z, r)| \cong |1 - \langle w, z \rangle|^{n+1} \cong (1 - |z|^2)^{n+1}$ ,  $w \in E(z, r)$ , we have

$$MO(f)(z)^2 \geq \frac{C_r}{|E(z, r)|^2} \int_{E(z, r)} \int_{E(z, r)} |f(u) - f(v)|^2 d\nu(u) d\nu(v) = 2C_r MO_r(f)(z)^2$$

The desired result follows at once.

LEMMA 2.3. ([1], p. 331). For any fixed  $r$ ,  $BMO_r$  is contained in BMO. Moreover, there is a constant  $C_r$ , such that for all  $f$  in  $BMO_r$ ,  $\|f\|_{BMO} \leq C_r \|f\|_{BMO_r}$ .

Hence, (v)  $\Leftrightarrow$  (vi)  $\Leftrightarrow$  (vii).

LEMMA 2.4. For any fixed  $r$ ,  $\mathcal{M} \cap BMO_r = \mathcal{M} \cap BMO_r^*$ .

PROOF. For  $f \in BMO_r^*$ , we find, by use of the Cauchy-Schwarz inequality, that

$$\begin{aligned} MO_r(f)(z) &= \left( \frac{1}{2|E(z,r)|^2} \int_{E(z,r)} \int_{E(z,r)} |f(u) - f(v)|^2 d\nu(u) d\nu(v) \right)^{1/2} \leq \\ &\leq \sqrt{2} \left( \frac{1}{|E(z,r)|} \int_{E(z,r)} |f(w) - f(z)|^2 d\nu(w) \right)^{1/2}. \end{aligned}$$

Thus,  $BMO_r^* \subset BMO_r$ .

Conversely, let  $f \in \mathcal{M} \cap BMO_r$ . Then

$$f(z) = \int_B h(\varphi_z(w)) f(w) d\tau(w), \quad z \in B,$$

where  $h$  is a radial function which belongs to  $C^\infty(B)$  and with the compact support such that

$$\int_B h(w) d\tau(w) = 1 \quad \text{and} \quad d\tau(z) = (1 - |z|^2)^{-n-1} d\nu(z).$$

Since  $\tau$  is  $\mathcal{M}$ -invariant, we have  $\int_B h(\varphi_z(w)) d\tau(w) = 1, z \in B$ .

Thus,

$$\begin{aligned} |f(z) - \hat{f}(z,r)| &= \left| \int_B h(\varphi_z(w)) f(w) d\tau(w) - \int_B \hat{f}(z,r) h(\varphi_z(w)) d\tau(w) \right| \\ &\leq \int_B |h(\varphi_z(w))| |f(w) - \hat{f}(z,r)| d\tau(w). \end{aligned}$$

By a suitable choice of function  $h$  we get

$$|f(z) - \hat{f}(z,r)| \leq \frac{C}{|E(z,r)|} \int_{E(z,r)} |f(w) - \hat{f}(z,r)| d\nu(w).$$

Using this we find that

$$\begin{aligned} \|f\|_{BMO_r^*} &= \sup_{z \in B} \left( \frac{1}{|E(z, r)|} \int_{E(z, r)} |f(w) - f(z)|^2 d\nu(w) \right)^{1/2} \\ &\leq \|f\|_{BMO_r} + \sup_{z \in B} |f(z) - \hat{f}(z, r)| \leq C \|f\|_{BMO_r}. \end{aligned}$$

As a consequence, we have (vi)  $\Leftrightarrow$  (vii)  $\Leftrightarrow$  (viii)  $\Leftrightarrow$  (ix).

To finish the proof of Theorem it remains to show that (ix)  $\Rightarrow$  (i).

Since  $f$  is  $\mathcal{M}$ -harmonic, by Theorem 2.1 ([4]), we have

$$|\tilde{\nabla} f(z)|^2 \leq C \int_{E(z, r)} |f(w)|^2 d\tau(w), \quad \text{and hence}$$

$$|\tilde{\nabla} f(z)|^2 \leq C \int_{E(z, r)} |f(w) - f(z)|^2 d\tau(w) \leq \frac{C}{|E(z, r)|} \int_{E(z, r)} |f(w) - f(z)|^2 d\nu(w).$$

Therefore,  $\|f\|_B \leq C \|f\|_{BMO_r^*}$ .

This finishes the proof of Theorem.

Added in proof. After I had submitted the paper for publication I realized that K. Hahn and E. Yaussfi also had obtained some of the equivalences in (i) through (ix) in the paper:

$\mathcal{M}$ -Harmonic Besov  $p$ -spaces and Hankel Operators in the Bergman Space on the Ball in  $C^n$ , Manuscripta Math., 71(1991), 67-81.

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