



## On $c$ -sober spaces and $\omega^*$ -well-filtered spaces

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**Abstract.** Based on countably irreducible version of Topological Rudin's Lemma, we give some characterizations of  $c$ -sober spaces and  $\omega^*$ -well-filtered spaces. In particular, we prove that a topological space is  $c$ -sober iff its Smyth power space is  $c$ -sober and a  $c$ -sober space is an  $\omega^*$ -well-filtered space. We also show that a locally compact  $\omega^*$ -well-filtered  $P$ -space is  $c$ -sober and a  $T_0$  space  $X$  is  $c$ -sober iff the one-point compactification of  $X$  is  $c$ -sober.

### 1. Introduction

In non-Hausdorff topology and domain theory, the  $d$ -spaces, sober spaces and well-filtered spaces form three of the most important class (see [1, 3–6, 9–18]). In the past few years, the research on sober spaces and well-filtered spaces has got some breakthrough progress (see [14]). In order to study some aspects of well-filtered spaces concerning various countability properties, Xu, Shen, Xi and Zhao introduced two new types of spaces –  $\omega$ -well-filtered spaces and  $\omega^*$ -well-filtered spaces ([10, 11]), both of which generalize well-filtered spaces, and the authors obtained many interesting results. For instance, a first countable  $T_0$  space  $X$  is sober iff  $X$  is an  $\omega$ -well-filtered  $d$ -space; every first-countable  $\omega^*$ -well-filtered  $d$ -space is sober.

In the past two decades, some variants, or more specifically, generalizations, of sobriety such as bounded sobriety and  $k$ -bounded sobriety are introduced and studied. In [15], we introduced the concept of countably sober ( $c$ -sober for short) spaces to give some characterizations of countably approximating lattices [7] from topology structure perspective. In such spaces, every countably irreducible closed set is the closure of a unique singleton, where a set is countably irreducible simply means it cannot be covered by countably many closed sets unless one of the closed already covers it.  $C$ -sober spaces enjoy many pleasing properties similar to sober spaces (see [15, 16]). In [16], we established the dual equivalent between the category of complete lattices ordered generated by their countably prime elements and the category of  $c$ -sober  $P$ -spaces, where a  $P$ -space is a space in which the countable intersection of open sets is open [2, 8].

In this paper, We further study the properties of  $\omega^*$ -well-filtered spaces and  $c$ -sober spaces. It is well-known that every sober space is a well-filtered space, and a locally compact well-filtered space is sober. Recently, Lawson and Xi [6], Xu, Shen et al. [9, 10] proved every core compact well-filtered space is sober, giving a positive answer to Jia-Jung problem. It is a natural question whether there are some links between

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$c$ -sober spaces and  $\omega^*$ -well-filtered spaces. Following Xu, Shen, Xi and Zhao’s methods [9–11, 13], we give some new characterizations of  $c$ -sober spaces and  $\omega^*$ -well-filtered spaces. We obtain countably irreducible version of Topological Rudin’s Lemma, and prove that a topological space is  $c$ -sober iff its Smyth power space is  $c$ -sober and a  $c$ -sober space is an  $\omega^*$ -well-filtered space. We also show that a locally compact  $\omega^*$ -well-filtered  $P$ -space is  $c$ -sober and a topological space  $X$  is  $c$ -sober iff the one-point compactification of  $X$  is  $c$ -sober.

**2. Preliminary**

We refer to [1] for the standard definitions and notations of order theory and domain theory, and to [3] for the topology.

For a poset  $P$  and  $A \subseteq P$ , let  $\downarrow A = \{x \in P : x \leq a \text{ for some } a \in A\}$  and  $\uparrow A = \{x \in P : x \geq a \text{ for some } a \in A\}$ . For  $x \in P$ , we write  $\downarrow x$  for  $\downarrow \{x\}$  and  $\uparrow x$  for  $\uparrow \{x\}$ . Define  $A^\uparrow = \{x \in P : x \text{ is an upper bound of } A \text{ in } P\}$ . A subset  $A$  is called a *lower set* (resp., an *upper set*) if  $A = \downarrow A$  (resp.,  $A = \uparrow A$ ). For a nonempty set  $B$  of  $P$ , let  $\max(B) = \{b \in B : b \text{ is a maximal element of } B\}$  and  $\min(B) = \{b \in B : b \text{ is a minimal element of } B\}$ . For a set  $X$ ,  $|X|$  will denote the cardinality of  $X$ . Let  $\mathbb{N}$  denote the set of all natural numbers with the usual order and  $\omega = |\mathbb{N}|$ .

A nonempty subset  $D$  of a poset  $P$  is *directed* (resp., *countably directed*) if every nonempty finite (resp., countable) subset of  $D$  have an upper bound in  $D$ . A subset  $I \subseteq P$  is called an *ideal* of  $P$  if  $I$  is a directed lower set. Dually, we define the notion of *filters*. A poset  $P$  is called a *directed complete poset* (resp., *countably directed complete poset*), or *dcpo* (resp., *cdcpo*) for short, if for any directed (countably directed) subset  $D \subseteq P$ ,  $\bigvee D$  exists in  $P$ . In [11], *cdcpo* is written as  $\omega^*$ -*dcpo*.

For a  $T_0$  space  $X$  and  $A \subseteq X$ , the closure of  $A$  in  $X$  is denoted by  $\text{cl}_X A$  or simply by  $\overline{A}$  if there no confusion. We use  $\leq_X$  to represent the *specialization order* on  $X$ , that is,  $x \leq_X y$  iff  $x \in \overline{\{y\}}$ . In the following, when a  $T_0$  space  $X$  is considered as a poset, the order always refers to the specialization order if no other explanation. Let  $\mathcal{O}(X)$  (resp.,  $\mathcal{C}(X)$ ) be the set of all open subsets (resp., closed subsets) of  $X$ .

A nonempty subset  $A$  of  $X$  is *irreducible* if for any  $F_1, F_2 \in \mathcal{C}(X)$ ,  $A \subseteq F_1 \cup F_2$  implies  $A \subseteq F_1$  or  $A \subseteq F_2$ . A space  $X$  is called *sober*, if for every irreducible closed set  $F$ , there is a unique point  $a \in X$  such that  $F = \overline{\{a\}}$ . We denote the set of all irreducible (resp., irreducible closed) subsets of space  $X$  by  $\text{lrr}(X)$  (resp.,  $\text{lrr}_c(X)$ ).

**Definition 2.1.** ([15, 16]) Let  $X$  be a topological space and  $F \subseteq X$ .

- (1)  $F$  is called *countably irreducible* if  $F$  is nonempty and if for any countable family  $\{B_i : i \in \mathbb{N}\} \subseteq \mathcal{C}(X)$ ,  $F \subseteq \bigcup_{i \in \mathbb{N}} B_i$  implies that  $F \subseteq B_i$  for some  $i \in \mathbb{N}$ .
- (2)  $X$  is called a *countably sober space*, or  *$c$ -sober space* for short, if for every countably irreducible closed set  $F$ , there exists a unique  $a \in X$  such that  $F = \overline{\{a\}}$ .

We denote the set of all countably irreducible (resp., irreducible closed) subsets of space  $X$  by  $\text{Clrr}(X)$  (resp.,  $\text{Clrr}_c(X)$ ). Since  $\text{Clrr}_c(X) \subseteq \text{lrr}_c(X)$ , sober spaces are  $c$ -sober spaces and the converse is not true. Let  $X$  be an infinite countable set endowed with cofinite topology. Then  $X$  is a  $c$ -sober but not a sober space.

**Lemma 2.2.** Let  $X$  and  $Y$  be two spaces.

- (1) If  $A$  is a countably directed subset of  $X$ , then  $A \in \text{Clrr}(X)$ .
- (2) If  $A \in \text{Clrr}(X)$ , then  $\text{cl}_X A \in \text{Clrr}_c(X)$ .
- (3) If  $Y$  is a subspace of  $X$  and  $A \subseteq Y$ , then  $A \in \text{Clrr}(Y)$  iff  $A \in \text{Clrr}(X)$ .
- (4) If  $f : X \rightarrow Y$  is continuous and  $A \in \text{Clrr}(X)$ , then  $f(A) \in \text{Clrr}(Y)$ .

**Remark 2.3.** Let  $X$  be an uncountably infinite set endowed with the co-countable topology (the empty set and the complements of countable subsets of  $X$  are open). Let  $A$  be a countably infinite subset of  $X$ . Then  $\text{cl}_X A = X \in \text{Clrr}_c(X)$  but  $A \notin \text{Clrr}(X)$ .

For any topological space  $X$ ,  $\mathcal{G} \subseteq 2^X$ , let  $\diamond_{\mathcal{G}} A = \{G \in \mathcal{G} : G \cap A \neq \emptyset\}$  and  $\square_{\mathcal{G}} A = \{G \in \mathcal{G} : G \subseteq A\}$ . The symbols  $\diamond_{\mathcal{G}} A$  and  $\square_{\mathcal{G}} A$  will be simply written as  $\diamond A$  and  $\square A$  respectively, if there is no ambiguous. The

lower Vietoris topology on  $\mathcal{G}$  is the topology that has  $\{\diamond_{\mathcal{G}}U : U \in \mathcal{O}(X)\}$  as a subbase, and the resulting space is denoted by  $P_H(\mathcal{G})$ . The upper Vietoris topology on  $\mathcal{G}$  is the topology that has  $\{\square_{\mathcal{G}}U : U \in \mathcal{O}(X)\}$  as a base, and the resulting space is denoted by  $P_S(\mathcal{G})$ .

A subset  $A$  of a space  $X$  is called saturated if  $A$  equals the intersection of all open sets containing it (equivalently,  $A$  is an upper set in the specialization order). We shall use  $K(X)$  to denote the set of all nonempty compact saturated subsets of  $X$  and endow it with the Smyth preorder, that is, for  $K_1, K_2 \in K(X)$ ,  $K_1 \sqsubseteq K_2$  iff  $K_2 \subseteq K_1$ .  $X$  is called well-filtered if it is  $T_0$ , and for any open set  $U$  and filtered family  $\mathcal{K} \subseteq K(X)$ ,  $\bigcap \mathcal{K} \subseteq U$  implies  $K \subseteq U$  for some  $K \in \mathcal{K}$ . The space  $P_S(K(X))$ , denoted shortly by  $P_S(X)$ , is called the Smyth power space or upper space of  $X$ . It is easy to verify that the specialization order on  $P_S(X)$  is the Smyth order (that is,  $\leq_{P_S(X)} = \sqsubseteq$ ). The canonical mapping  $\xi_X (= x \mapsto \uparrow x) : X \rightarrow P_S(X)$ , is an order and topological embedding.

**Remark 2.4.** ([9, 13]) Let  $X$  be a  $T_0$  space and  $\mathcal{A} \subseteq K(X)$ . Then  $\bigcap \mathcal{A} = \bigcap \text{cl}_{P_S(X)} \mathcal{A}$ .

The proof of the following proposition is similar to that of [1, Exercise V-4.4], and we omit it.

**Proposition 2.5.** Let  $X$  be a  $T_0$  space. Then

- (1)  $P_H(\text{Clrr}_c(X))$  is a  $c$ -sober space.
- (2) The mapping  $\eta_X : X \rightarrow P_H(\text{Clrr}_c(X))$  given by  $\eta_X(x) = \overline{\{x\}}$ , is an order and topological embedding.
- (3) If  $Y$  is a  $c$ -sober space and  $f : X \rightarrow Y$  is a continuous mapping, then there exists a unique continuous mapping  $f^* : P_H(\text{Clrr}_c(X)) \rightarrow Y$  such that  $f^* \circ \eta_X = f$ .

We call the space  $P_H(\text{Clrr}_c(X))$ , shortly denoted  $X^{cs}$ , with the mapping  $\eta_X$  the  $c$ -sobrification of  $X$ .

Rudin’s Lemma plays a crucial role in domain theory (see [1, 3, 4, 9–14, 14]). In 2013, Heckmann and Keimel [4] established the following topological variant of Rudin’s Lemma.

**Lemma 2.6.** (Topological Rudin’s Lemma) Let  $X$  be a topological space and  $\mathcal{A}$  an irreducible subset of the Smyth power space  $P_S(X)$ . Then every closed set  $C \subseteq X$  that meets all members of  $\mathcal{A}$  contains a minimal irreducible closed subset  $A$  that meets all members of  $\mathcal{A}$ .

In [10] and [11], Xu, Shen, Xi and Zhao introduced the following two kinds of countable version of well-filtered spaces.

**Definition 2.7.** ([10]) A  $T_0$  space  $X$  is called  $\omega$ -well-filtered, if for any countable filtered family  $\{K_i : i < \omega\} \subseteq K(X)$  and  $U \in \mathcal{O}(X)$ , it holds that

$$\bigcap_{i < \omega} K_i \subseteq U \Rightarrow \exists i_0 < \omega, K_{i_0} \subseteq U.$$

Let  $X$  be a set and  $\mathcal{A} \subseteq 2^X$ .  $\mathcal{A}$  is called a countably filtered family if  $\mathcal{A}$  is a countably directed subset of the poset  $(2^X, \supseteq)$ , which means for any countable subfamily  $\mathcal{F} \subseteq \mathcal{A}$ , there exists an  $A \in \mathcal{A}$  such that  $A \subseteq B$  for each  $B \in \mathcal{F}$ .

**Definition 2.8.** ([11]) A  $T_0$  space  $X$  is called  $\omega^*$ -well-filtered, if for any countably filtered family  $\{K_i : i \in I\} \subseteq K(X)$  and  $U \in \mathcal{O}(X)$ , it satisfies that

$$\bigcap_{i \in I} K_i \subseteq U \Rightarrow \exists i_0 \in I, K_{i_0} \subseteq U.$$

### 3. C-sober spaces

In this section, we formulate and prove some equational characterizations of  $c$ -sober spaces. First of all, we give countably irreducible version of Topological Rudin’s Lemma, which plays a vital role in characterizing  $c$ -sober spaces and  $\omega^*$ -well-filtered spaces.

**Lemma 3.1.** *Let  $X$  be a topological space and  $\mathcal{A}$  a countably irreducible subset of the Smyth power space  $P_S(X)$ . Then every closed set  $C \subseteq X$  that meets all members of  $\mathcal{A}$  contains a minimal countably irreducible closed subset  $A$  that meets all members of  $\mathcal{A}$ .*

*Proof.* Let  $C = \{B \subseteq X : B \text{ is closed and } B \cap A \neq \emptyset \text{ for each } A \in \mathcal{A}\}$ . Then  $C \in C \neq \emptyset$ . Since all members of  $\mathcal{A}$  are compact,  $C$  is closed under filtered intersection. By the order-dual of Zorn’s Lemma,  $C$  contains a minimal element  $A$ . Now we show that  $A$  is countably irreducible.

Let  $A \subseteq \bigcup_{i \in \mathbb{N}} B_i$ , where  $\{B_i : i \in \mathbb{N}\} \subseteq C(X)$ . Then  $A = \bigcup_{i \in \mathbb{N}} (A \cap B_i)$ . For any  $K \in \mathcal{A}$ , since  $K \cap A \neq \emptyset$ , there is some  $i \in \mathbb{N}$  such that  $K \cap A \cap B_i \neq \emptyset$ , and whence  $K \in \diamond(A \cap B_i)$ . Thus  $\mathcal{A} \subseteq \bigcup_{i \in \mathbb{N}} \diamond(A \cap B_i)$ . Since  $\mathcal{A}$  is a countably irreducible subsets of the space  $P_S(X)$  and the sets  $\diamond(A \cap B_i)$  are closed in  $P_S(X)$ ,  $\mathcal{A} \subseteq \diamond(A \cap B_j)$  for some  $j \in \mathbb{N}$ . Thus  $A \cap B_j \in C$ . By minimality of  $A$  in  $C$ ,  $A = A \cap B_j \subseteq B_j$ . Therefore  $A$  is countably irreducible.  $\square$

**Corollary 3.2.** *Let  $X$  be a  $T_0$  space. If  $\mathcal{A} \in \text{Clrr}_c(P_S(X))$ , then there exists a family  $\{A_i : i \in I\}$  of minimal countably irreducible closed sets such that  $\mathcal{A} = \bigcap_{i \in I} \diamond A_i$ .*

**Proposition 3.3.** *For a  $T_0$  space  $X$ , the following conditions are equivalent:*

- (1)  $X$  is a  $c$ -sober space.
- (2) For any  $A \in \text{Clrr}(X)$ ,  $\overline{A} \cap \bigcap_{a \in A} \uparrow a \neq \emptyset$ .
- (3) For any  $A \in \text{Clrr}_c(X)$ ,  $A \cap \bigcap_{a \in A} \uparrow a \neq \emptyset$ .
- (4) For any  $A \in \text{Clrr}(X)$  and  $U \in \mathcal{O}(X)$ ,  $\bigcap_{a \in A} \uparrow a \subseteq U$  implies  $\uparrow a \subseteq U$  for some  $a \in A$ .
- (5) For any  $A \in \text{Clrr}_c(X)$  and  $U \in \mathcal{O}(X)$ ,  $\bigcap_{a \in A} \uparrow a \subseteq U$  implies  $\uparrow a \subseteq U$  for some  $a \in A$ .

*Proof.* The proof is similar to that of [9, Proposition 5.7].  $\square$

**Theorem 3.4.** *For a  $T_0$  space  $X$ , the following conditions are equivalent:*

- (1)  $X$  is a  $c$ -sober space.
- (2) For any  $\mathcal{A} \in \text{Clrr}(P_S(X))$  and  $U \in \mathcal{O}(X)$ ,  $\bigcap \mathcal{A} \subseteq U$  implies  $K \subseteq U$  for some  $K \in \mathcal{A}$ .
- (3) For any  $\mathcal{A} \in \text{Clrr}_c(P_S(X))$  and  $U \in \mathcal{O}(X)$ ,  $\bigcap \mathcal{A} \subseteq U$  implies  $K \subseteq U$  for some  $K \in \mathcal{A}$ .
- (4)  $P_S(X)$  is a  $c$ -sober space.

*Proof.* (1)  $\Rightarrow$  (2): Let  $\mathcal{A} \in \text{Clrr}(P_S(X))$  and  $U \in \mathcal{O}(X)$  with  $\bigcap \mathcal{A} \subseteq U$ . If  $K \not\subseteq U$  for all  $K \in \mathcal{A}$ , then  $K \cap (X \setminus U) \neq \emptyset$ . By Lemma 3.1, there exists a minimal countably irreducible closed set  $A \subseteq X \setminus U$  such that  $A$  meets all members of  $\mathcal{A}$ . Since  $X$  is  $c$ -sober, there exists an  $a \in X$  such that  $A = \overline{\{a\}}$ . It follows from  $A \subseteq X \setminus U$  that  $a \notin U$ . On the other hand, since  $K \cap A = K \cap \overline{\{a\}} \neq \emptyset$  for all  $K \in \mathcal{A}$ ,  $a \in K$ , and whence  $a \in \bigcap \mathcal{A} \subseteq U$ , this is a contradiction.

(2)  $\Rightarrow$  (3): Trivial.

(3)  $\Rightarrow$  (4): Suppose  $\mathcal{A} \in \text{Clrr}_c(P_S(X))$  and let  $H = \bigcap \mathcal{A}$ . By condition (3),  $H \neq \emptyset$ . Now we prove the following:

(i)  $H \in \mathcal{K}(X)$ .

Let  $\{U_i : i \in I\} \subseteq \mathcal{O}(X)$  be a directed family with  $\bigcap \mathcal{A} = H \subseteq \bigcup_{i \in I} U_i$ . By condition (3), there exists some  $K \in \mathcal{A}$  such that  $K \subseteq \bigcup_{i \in I} U_i$ . Since  $K$  is compact, there is an  $i \in I$  such that  $K \subseteq U_i$ . Thus  $H = \bigcap \mathcal{A} \subseteq U_i$ .

(ii)  $\mathcal{A} = \text{cl}_{P_S(X)}\{H\}$ .

Since  $\mathcal{A} = \text{cl}_{P_S(X)}\mathcal{A}$ , we need only to prove that  $\mathcal{A} \cap \square U \neq \emptyset$  if and only if  $\{H\} \cap \square U \neq \emptyset$  for any  $U \in \mathcal{O}(X)$ . In fact,

$$\begin{aligned} \mathcal{A} \cap \square U \neq \emptyset &\Leftrightarrow \exists K \in \mathcal{A} \text{ such that } K \subseteq U \\ &\Leftrightarrow \bigcap \mathcal{A} = H \subseteq U \quad (\text{By condition (3)}) \\ &\Leftrightarrow \{H\} \cap \square U \neq \emptyset. \end{aligned}$$

(4)  $\Rightarrow$  (1): For any  $A \in \text{Clrr}_c(X)$  and  $U \in \mathcal{O}(X)$  with  $\bigcap_{a \in A} \uparrow a \subseteq U$ . Then  $\xi_X(A) \in \text{Clrr}(P_S(X))$ . If  $K \in \bigcap_{a \in A} \uparrow_{\mathcal{K}(X)} \xi_X(a)$ , then  $K \subseteq \uparrow a$  for all  $a \in A$ , and whence  $K \subseteq \bigcap_{a \in A} \uparrow a \subseteq U$ . Thus  $\bigcap_{a \in A} \uparrow_{\mathcal{K}(X)} \xi_X(a) \subseteq \square U$ . Since  $P_S(X)$  is sober, by the equivalence of (1) and (4) in Proposition 3.3, there exists some  $a \in A$  such that  $\uparrow_{\mathcal{K}(X)} \xi_X(a) \subseteq \square U$ . Hence  $a \in U$ . By Proposition 3.3 again,  $X$  is  $c$ -sober.  $\square$

**Theorem 3.5.** Let  $X$  be a  $T_0$  space. Then the following conditions are equivalent:

- (1)  $X$  is  $c$ -sober.
- (2) For every continuous mapping  $f : X \rightarrow Y$  from  $X$  to a  $T_0$  space  $Y$  and any  $\mathcal{A} \in \text{Clrr}(P_S(X))$ ,  $\uparrow f(\bigcap \mathcal{A}) = \bigcap_{K \in \mathcal{A}} \uparrow f(K)$ .
- (3) For every continuous mapping  $f : X \rightarrow Y$  from  $X$  to a  $T_0$  space  $Y$  and any  $\mathcal{A} \in \text{Clrr}_c(P_S(X))$ ,  $\uparrow f(\bigcap \mathcal{A}) = \bigcap_{K \in \mathcal{A}} \uparrow f(K)$ .
- (4) For every continuous mapping  $f : X \rightarrow Y$  from  $X$  to a  $c$ -sober space  $Y$  and any  $\mathcal{A} \in \text{Clrr}(P_S(X))$ ,  $\uparrow f(\bigcap \mathcal{A}) = \bigcap_{K \in \mathcal{A}} \uparrow f(K)$ .
- (5) For every continuous mapping  $f : X \rightarrow Y$  from  $X$  to a  $c$ -sober space  $Y$  and any  $\mathcal{A} \in \text{Clrr}_c(P_S(X))$ ,  $\uparrow f(\bigcap \mathcal{A}) = \bigcap_{K \in \mathcal{A}} \uparrow f(K)$ .

*Proof.* (1)  $\Rightarrow$  (2): It need only to check  $\bigcap_{K \in \mathcal{A}} \uparrow f(K) \subseteq \uparrow f(\bigcap \mathcal{A})$ . Let  $y \in \bigcap_{K \in \mathcal{A}} \uparrow f(K)$ . Then for each  $K \in \mathcal{A}$ ,  $f(K) \cap \overline{\{y\}} \neq \emptyset$ , equivalently,  $K \cap f^{-1}(\overline{\{y\}}) \neq \emptyset$ . Since  $X$  is  $c$ -sober, we can show  $f^{-1}(\overline{\{y\}}) \cap \bigcap \mathcal{A} \neq \emptyset$ . In fact, if  $f^{-1}(\overline{\{y\}}) \cap \bigcap \mathcal{A} = \emptyset$ , then  $\bigcap \mathcal{A} \subseteq X \setminus f^{-1}(\overline{\{y\}})$ . By Theorem 3.4,  $K \subseteq X \setminus f^{-1}(\overline{\{y\}})$  for some  $K \in \mathcal{A}$ . Thus  $K \cap f^{-1}(\overline{\{y\}}) = \emptyset$ , a contradiction.

(2)  $\Rightarrow$  (3)  $\Rightarrow$  (5), (2)  $\Rightarrow$  (4)  $\Rightarrow$  (5): Trivial.

(5)  $\Rightarrow$  (1): Let  $\eta_X : X \rightarrow X^{cs} (= P_H(\text{Clrr}_c(X)))$  be the topological embedding from  $X$  into its  $c$ -sobrification and  $\xi_X : X \rightarrow P_S(X)$  the canonical topological embedding from  $X$  into the Smyth power space of  $X$ . Let  $A \in \text{Clrr}_c(X)$ . Then  $\text{cl}_{P_S(X)} \xi_X(A) = \diamond_{K(X)} A \in \text{Clrr}_c(P_S(X))$ . Thus

$$\begin{aligned} \uparrow_{\text{Clrr}_c(X)} \eta_X(\bigcap \xi_X(A)) &= \uparrow_{\text{Clrr}_c(X)} \eta_X(\bigcap \text{cl}_{P_S(X)} \xi_X(A)) \quad (\text{By Remark 2.4}) \\ &= \uparrow_{\text{Clrr}_c(X)} \eta_X(\bigcap \diamond_{K(X)} A) \\ &= \bigcap_{K \in \diamond_{K(X)} A} \uparrow_{\text{Clrr}_c(X)} \eta_X(K) \quad (\text{By condition (5)}) \end{aligned}$$

Since  $\uparrow_{\text{Clrr}_c(X)} \eta_X(\bigcap \xi_X(A)) = \uparrow_{\text{Clrr}_c(X)} \eta_X(A^\uparrow)$  and  $\bigcap_{K \in \diamond_{K(X)} A} \uparrow_{\text{Clrr}_c(X)} \eta_X(K) = \uparrow_{\text{Clrr}_c(X)} A$ ,  $A \in \uparrow_{\text{Clrr}_c(X)} A = \uparrow_{\text{Clrr}_c(X)} \eta_X(A^\uparrow)$ . Therefore, there is some  $x \in A^\uparrow$  such that  $\overline{\{x\}} \subseteq A$ , and consequently,  $A = \overline{\{x\}}$ . Thus  $X$  is  $c$ -sober.  $\square$

**Theorem 3.6.** The following conditions are equivalent for a  $T_0$  space  $X$ :

- (1)  $X$  is  $c$ -sober.
- (2) For any  $(A, K) \in \text{Clrr}_c(X) \times \mathbf{K}(X)$ ,  $\diamond_{K(X)} A$  is an ideal of  $(\mathbf{K}(X), \sqsubseteq)$ ,  $\text{max}(A) \neq \emptyset$  and  $\downarrow (A \cap K) \in \mathbf{C}(X)$ .

*Proof.* (1)  $\Rightarrow$  (2): Suppose that  $X$  is  $c$ -sober and  $(A, K) \in \text{Clrr}_c(X) \times \mathbf{K}(X)$ . Then there exists an  $x \in X$  such that  $A = \overline{\{x\}}$ , and hence  $\text{max}(A) = \{x\} \neq \emptyset$ . Note that  $\diamond_{K(X)} A = \{K \in \mathbf{K}(X) : K \cap \downarrow x \neq \emptyset\} = \{K \in \mathbf{K}(X) : \uparrow x \subseteq K\} = \{K \in \mathbf{K}(X) : K \sqsupseteq \uparrow x\} = \downarrow_{K(X)} \uparrow x$ ,  $\diamond_{K(X)} A$  is an ideal of  $(\mathbf{K}(X), \sqsubseteq)$ . Now we show that  $\downarrow (A \cap K) = \downarrow (\downarrow x \cap A) \in \mathbf{C}(X)$ . If  $\downarrow x \cap K = \emptyset$ , that is,  $x \notin K$ , then  $\downarrow (\downarrow x \cap K) = \emptyset$ ; if  $x \in K$ , then  $\downarrow (\downarrow x \cap K) = \downarrow x \in \mathbf{C}(X)$ .

(2)  $\Rightarrow$  (1): Let  $\mathcal{A} \in \text{Clrr}(P_S(X))$  and  $U \in \mathcal{O}(X)$  with  $\bigcap \mathcal{A} \subseteq U$ . If  $K \not\subseteq U$  for each  $K \in \mathcal{A}$ , then by Lemma 3.1,  $X \setminus U$  contains a minimal countably irreducible closed subset  $A$  with  $\mathcal{A} \subseteq \diamond_{K(X)} A$ . For any  $K \in \mathcal{A}$ , we can show that  $\downarrow (A \cap K)$  meets all members of  $\mathcal{A}$ . In fact, let  $K' \in \mathcal{A}$ , then there exists a  $K'' \in \diamond_{K(X)} A$  such that  $K'' \subseteq K \cap K'$  since  $\diamond_{K(X)} A$  is directed. Thus  $\emptyset \neq K'' \cap A \subseteq K \cap K' \cap A \subseteq \downarrow (K \cap A) \cap K'$ . Note that  $\downarrow (A \cap K) \in \mathbf{C}(X)$  by condition (2), it follows from the minimality of  $A$  that  $\downarrow (A \cap K) = A$  for all  $K \in \mathcal{A}$ . Select an  $x \in \text{max}(A)$ . Then  $x \in \downarrow (A \cap K)$  for each  $K \in \mathcal{A}$ , and consequently, there exists an  $a_k \in A \cap K$  such that  $x \leq a_k$ . Then  $x = a_k$  since  $x \in \text{max}(A)$ . Therefore  $x \in \bigcap \mathcal{A} \subseteq U \subseteq X \setminus A$ , a contradiction. By Theorem 3.4,  $X$  is  $c$ -sober.  $\square$

Let  $X$  be topological space, set  $X^* = X \cup \{\infty\}$  with the topology whose members are the open subsets of  $X$  and all subsets  $U$  of  $X^*$  such that  $X^* \setminus U$  is a closed compact subset of  $X$ . The space  $X^*$  is called the *one-point compactification* [3] of  $X$ . It is well-known the following properties hold:

- (i)  $\mathbf{C}(X^*) = \{C \cup \{\infty\} : C \in \mathbf{C}(X)\} \cup \{E \subseteq X : X \text{ is closed and compact in } (X, \mathcal{O}(X))\}$ .
- (ii)  $X^*$  is a  $T_0$  space if and only if  $X$  is a  $T_0$  space.

**Theorem 3.7.** Let  $X$  be a topological space. Then the following conditions are equivalent:

- (1)  $X$  is a  $c$ -sober space.
- (2) The space  $X^*$ , which is the one-point compactification of  $X$ , is a  $c$ -sober space.

*Proof.* (1)  $\Rightarrow$  (2): Suppose  $A \in \text{Clrr}_c(X^*)$ . We now distinguish the following three cases:

**Case 1.**  $\infty \notin A$ . Then  $A$  is a closed compact subset of  $X$ . It is easy to show that  $A \in \text{Clrr}_c(X)$ . In fact, for any  $\{C_i : i \in \mathbb{N}\} \subseteq \mathcal{C}(X)$ , if  $A \subseteq \bigcup_{i \in \mathbb{N}} C_i$ , then  $A = \bigcup_{i \in \mathbb{N}} (A \cap C_i)$ . Note that  $A \cap C_i$  is a closed and compact subset of  $X$ ,  $A \cap C_i \in \mathcal{C}(X^*)$  for every  $i \in \mathbb{N}$ . It follows from  $A \in \text{Clrr}_c(X^*)$  that there exists an  $i \in \mathbb{N}$  such that  $A \subseteq A \cap C_i \subseteq C_i$ . Thus  $A \in \text{Clrr}_c(X)$ . Since  $X$  is a  $c$ -sober space, there is an  $x \in X$  such that  $A = \text{cl}_X\{x\}$ . Since  $\infty \in X^* \setminus A \in \mathcal{O}(X^*)$  and  $x \notin X^* \setminus A$ ,  $\infty \notin \text{cl}_{X^*}\{x\}$ . Therefore,  $A = \text{cl}_X\{x\} = (\text{cl}_{X^*}\{x\}) \cap X = \text{cl}_{X^*}\{x\}$ .

**Case 2.**  $A = \{\infty\}$ . Trivial.

**Case 3.**  $A = A_1 \cup \{\infty\}$ , where  $A_1$  is a nonempty closed subset of  $X$ . Since  $A \in \text{Clrr}_c(X^*)$ ,  $A_1$  is not a compact subset of  $X$ . Now we prove that  $A_1 \in \text{Clrr}_c(X)$ . For any  $\{C_i : i \in \mathbb{N}\} \subseteq \mathcal{C}(X)$ , if  $A_1 \subseteq \bigcup_{i \in \mathbb{N}} C_i$ , then  $A \subseteq \bigcup_{i \in \mathbb{N}} (C_i \cup \{\infty\})$ . Note that  $C_i \cup \{\infty\} \in \mathcal{C}(X^*)$  for each  $i \in \mathbb{N}$  and  $A \in \text{Clrr}_c(X^*)$ , there exists an  $i \in \mathbb{N}$  such that  $A = A_1 \cup \{\infty\} \subseteq C_i \cup \{\infty\}$ . Then  $A_1 \subseteq C_i$ , proving that  $A_1 \in \text{Clrr}_c(X)$ . Since  $X$  is a  $c$ -sober space, there exists an  $x \in X$  such that  $A_1 = \text{cl}_X\{x\}$ . Hence  $A = \text{cl}_X\{x\} \cup \{\infty\} = ((\text{cl}_{X^*}\{x\}) \cap X) \cup \{\infty\} = \text{cl}_{X^*}\{x\} \cup \{\infty\}$ . Now we show that  $\infty \in \text{cl}_{X^*}\{x\}$ . We only need to prove that  $x \notin E$  for any closed compact subset  $E$  of  $X$ . Suppose there exists a closed compact subset  $E$  of  $X$  such that  $x \in E$ , then  $A_1 = \text{cl}_X\{x\} \subseteq E$ . Thus  $A_1$  is a compact subset of  $X$ . This is a contradiction.

(2)  $\Rightarrow$  (1): Suppose  $A \in \text{Clrr}_c(X)$ . Then  $\text{cl}_{X^*}A \in \text{Clrr}_c(X^*)$ . Since  $X^*$  is a  $c$ -sober space, there exists an  $x \in X^*$  such that  $\text{cl}_{X^*}A = \text{cl}_{X^*}\{x\}$ . It is obvious that  $x \neq \infty$ . Thus  $A = \text{cl}_X A = (\text{cl}_{X^*}A) \cap X = (\text{cl}_{X^*}\{x\}) \cap X = \text{cl}_X\{x\}$ . Therefore  $X$  is a  $c$ -sober space.

□

#### 4. $\omega^*$ -well-filtered spaces

In this section, we formulate some characterizations of  $\omega^*$ -well-filtered spaces and establish some connections between  $c$ -sober spaces and  $\omega^*$ -well-filtered spaces.

**Theorem 4.1.** *Let  $X$  be a  $T_0$  space. Consider the following conditions:*

- (1)  $X$  is  $c$ -sober.
- (2)  $X$  is  $\omega^*$ -well-filtered.

*Then (1)  $\Rightarrow$  (2), and if  $X$  is a locally compact  $P$ -space, then (1) and (2) are equivalent.*

*Proof.* (1)  $\Rightarrow$  (2): Suppose that  $\{K_i : i \in I\} \subseteq \mathcal{K}(X)$  is a countably filtered family,  $U \in \mathcal{O}(X)$ , and  $\bigcap_{i \in I} K_i \subseteq U$ . Then  $\{K_i : i \in I\}$  is countably directed in poset  $(\mathcal{K}(X), \leq_{P_S(X)})$ , and whence  $\{K_i : i \in I\} \in \text{Clrr}(P_S(X))$ . By Theorem 3.4, there exists an  $i \in I$  such that  $K_i \subseteq U$ . Thus  $X$  is  $\omega^*$ -well-filtered.

(2)  $\Rightarrow$  (1): Suppose  $X$  is a locally compact  $\omega^*$ -well-filtered  $P$ -space and  $A \in \text{Clrr}_c(X)$ . Let  $\mathcal{K}_A = \{K \in \mathcal{K}(X) : A \cap \text{int}K \neq \emptyset\}$ . Select an  $a \in A$ . Since  $X$  is locally compact, there exists  $K \in \mathcal{K}(X)$  such that  $a \in \text{int}K$ . Then  $a \in A \cap \text{int}K$ , and whence  $K \in \mathcal{K}_A \neq \emptyset$ . We claim that  $\mathcal{K}_A$  is countably filtered. Let  $\{K_i : i \in \mathbb{N}\} \subseteq \mathcal{K}_A$ . Then for each  $i \in \mathbb{N}$ ,  $A \cap \text{int}K_i \neq \emptyset$ . Since  $A \in \text{Clrr}_c(X)$ ,  $A \cap \bigcap_{i \in \mathbb{N}} \text{int}K_i \neq \emptyset$ . Select an  $x \in A \cap \bigcap_{i \in \mathbb{N}} \text{int}K_i$ . Since  $X$  is a  $P$ -space,  $\bigcap_{i \in \mathbb{N}} \text{int}K_i \in \mathcal{O}(X)$ . By the local compactness of  $X$ , there is a  $K^* \in \mathcal{K}(X)$  such that  $x \in \text{int}K^* \subseteq K^* \subseteq \bigcap_{i \in \mathbb{N}} \text{int}K_i$ , and whence  $K^* \in \mathcal{K}_A$  and  $K^* \subseteq \bigcap_{i \in \mathbb{N}} K_i$ . Therefore  $\mathcal{K}_A$  is countably filtered.

Note that  $A \cap K \neq \emptyset$  for each  $K \in \mathcal{K}_A$  and  $A \in \mathcal{C}(X)$ , we have  $\bigcap_{K \in \mathcal{K}_A} (K \cap A) \neq \emptyset$  since  $X$  is an  $\omega^*$ -well-filtered space. Let  $a \in \bigcap_{K \in \mathcal{K}_A} (K \cap A)$ . Then  $\overline{\{a\}} = \downarrow a \subseteq A$ . If there is an  $x \in A \setminus \downarrow a$ , then  $x \in X \setminus \downarrow a$ . Since  $X$  is locally compact, there exists a  $K \in \mathcal{K}(X)$  such that  $x \in \text{int}K \subseteq K \subseteq X \setminus \downarrow a$ . Thus  $K \in \mathcal{K}_A$  and  $a \notin K$ , a contradiction. Therefore  $A = \overline{\{a\}}$ . □

**Example 4.2.** (1) *Let  $X$  be a countably infinite set and  $X_{\text{cof}}$  the space equipped with the co-finite topology. Then  $X_{\text{cof}}$  is a locally compact  $c$ -sober space, but  $X_{\text{cof}}$  is not a well-filtered space.*

(2) *Let  $X$  be an uncountable set and  $X_{\text{coc}}$  the space equipped with the co-countable topology. Then  $X_{\text{coc}}$  is a well-filtered  $P$ -space, and hence an  $\omega^*$ -well-filtered space, but  $X_{\text{coc}}$  is not a  $c$ -sober space.*

**Theorem 4.3.** *For a  $T_0$  topological space  $X$ , the following conditions are equivalent:*

- (1)  $X$  is  $\omega^*$ -well-filtered.

(2) For every continuous mapping  $f : X \rightarrow Y$  from  $X$  to a  $T_0$  space and a countably filtered family  $\mathcal{K} \subseteq \mathbf{K}(X)$ ,  $\uparrow f(\bigcap \mathcal{K}) = \bigcap_{K \in \mathcal{K}} \uparrow f(K)$ .

(3) For every continuous mapping  $f : X \rightarrow Y$  from  $X$  to a  $c$ -sober space and a countably filtered family  $\mathcal{K} \subseteq \mathbf{K}(X)$ ,  $\uparrow f(\bigcap \mathcal{K}) = \bigcap_{K \in \mathcal{K}} \uparrow f(K)$ .

*Proof.* (1)  $\Rightarrow$  (2): Let  $\mathcal{K} \subseteq \mathbf{K}(X)$  be a countably filtered family. It need only to check  $\bigcap_{K \in \mathcal{K}} \uparrow f(K) \subseteq \uparrow f(\bigcap \mathcal{K})$ . Let  $y \in \bigcap_{K \in \mathcal{K}} \uparrow f(K)$ . Then for each  $K \in \mathcal{K}$ ,  $\overline{\{y\}} \cap f(K) \neq \emptyset$ , that is,  $K \cap f^{-1}(\overline{\{y\}}) \neq \emptyset$ . We can show that  $f^{-1}(\overline{\{y\}}) \cap \bigcap \mathcal{K} \neq \emptyset$ . In fact, suppose  $f^{-1}(\overline{\{y\}}) \cap \bigcap \mathcal{K} = \emptyset$ , then  $\bigcap \mathcal{K} \subseteq X \setminus f^{-1}(\overline{\{y\}})$ . Since  $X$  is  $\omega^*$ -well-filtered, there exists some  $K \in \mathcal{K}$  such that  $K \subseteq X \setminus f^{-1}(\overline{\{y\}})$ , a contradiction. Thus  $\overline{\{y\}} \cap f(\bigcap \mathcal{K}) \neq \emptyset$ . Therefore  $y \in \uparrow f(\bigcap \mathcal{K})$ , and consequently  $\bigcap_{K \in \mathcal{K}} \uparrow f(K) \subseteq \uparrow f(\bigcap \mathcal{K})$ .

(2)  $\Rightarrow$  (3): Trivial.

(3)  $\Rightarrow$  (1): Let  $\eta_X : X \rightarrow X^{cs} (= P_H(\text{Clrr}_c(X)))$  be the topological embedding from  $X$  into its  $c$ -sobrification. Suppose that  $\mathcal{K} \subseteq \mathbf{K}(X)$  is countably filtered,  $U \in \mathcal{O}(X)$ , and  $\bigcap \mathcal{K} \subseteq U$ . If  $K \not\subseteq U$  for all  $K \in \mathcal{K}$ , then by Lemma 3.1,  $X \setminus U$  contains a minimal countably irreducible closed subset  $A$  that still meets all members of  $\mathcal{K}$ . By condition (3),  $\bigcap_{K \in \mathcal{K}} \uparrow_{\text{Clrr}_c(X)} \eta_X(K) = \uparrow_{\text{Clrr}_c(X)} \eta_X(\bigcap \mathcal{K}) \subseteq \uparrow_{\text{Clrr}_c(X)} \eta_X(U) = \diamond_{\text{Clrr}_c(X)} U$ . For every  $K \in \mathcal{K}$ , since  $A \cap K \neq \emptyset$ ,  $A \in \uparrow_{\text{Clrr}_c(X)} \eta_X(K)$ . Thus  $A \in \bigcap_{K \in \mathcal{K}} \uparrow_{\text{Clrr}_c(X)} \eta_X(K) = \diamond_{\text{Clrr}_c(X)} U$ , and this means  $A \cap U \neq \emptyset$ , a contradiction. Therefore  $X$  is  $\omega^*$ -well-filtered.  $\square$

**Lemma 4.4.** ([1]) For a nonempty family  $\{K_i : i \in I\} \subseteq \mathbf{K}(X)$ ,  $\bigvee_{i \in I} K_i$  exists in  $\mathbf{K}(X)$  iff  $\bigcap_{i \in I} K_i \in \mathbf{K}(X)$ . In this case  $\bigvee_{i \in I} K_i = \bigcap_{i \in I} K_i$ .

**Theorem 4.5.** For a  $T_0$  topological space  $X$ , the following conditions are equivalent:

(1)  $X$  is an  $\omega^*$ -well-filtered space.

(2)  $\mathbf{K}(X)$  is a cdcpo, and  $\uparrow (A \cap \bigcap \mathcal{K}) = \bigcap_{K \in \mathcal{K}} \uparrow (A \cap K)$  for any countably filtered family  $\mathcal{K} \subseteq \mathbf{K}(X)$  and  $A \in \mathbf{C}(X)$ .

(3)  $\mathbf{K}(X)$  is a cdcpo, and  $\uparrow (A \cap \bigcap \mathcal{K}) = \bigcap_{K \in \mathcal{K}} \uparrow (A \cap K)$  for any countably filtered family  $\mathcal{K} \subseteq \mathbf{K}(X)$  and  $A \in \text{Clrr}_c(X)$ .

*Proof.* (1)  $\Rightarrow$  (2): Suppose  $\{K_i : i \in I\} \subseteq \mathbf{K}(X)$  is countably directed, then  $\bigcap_{i \in I} K_i \in \mathbf{K}(X)$  since  $X$  is an  $\omega^*$ -well-filtered space. Thus  $\bigvee_{K \in \mathbf{K}(X)} \{K_i : i \in I\} = \bigcap \{K_i : i \in I\}$ , and whence  $\mathbf{K}(X)$  is a cdcpo. Suppose  $\mathcal{K} \subseteq \mathbf{K}(X)$  is a countably filtered family and  $A \in \mathbf{C}(X)$ . It need only to check  $\bigcap_{K \in \mathcal{K}} \uparrow (A \cap K) \subseteq \uparrow (A \cap \bigcap \mathcal{K})$ . Let  $x \in \bigcap_{K \in \mathcal{K}} \uparrow (A \cap K)$ . Then for each  $K \in \mathcal{K}$ ,  $\downarrow x \cap A \cap K \neq \emptyset$ . It follows from the  $\omega^*$ -well-filteredness of  $X$  that  $\bigcap_{K \in \mathcal{K}} (\downarrow x \cap A \cap K) \neq \emptyset$ . Thus  $x \in \bigcap_{K \in \mathcal{K}} \uparrow (A \cap K)$ . Therefore  $\bigcap_{K \in \mathcal{K}} \uparrow (A \cap K) \subseteq \uparrow (A \cap \bigcap \mathcal{K})$ .

(2)  $\Rightarrow$  (3): Trivial.

(3)  $\Rightarrow$  (1): Suppose that  $\mathcal{K} \subseteq \mathbf{K}(X)$  is countably filtered,  $U \in \mathcal{O}(X)$  and  $\bigcap \mathcal{K} \subseteq U$ . If  $K \not\subseteq U$  for every  $K \in \mathcal{K}$ , then by Lemma 3.1,  $X \setminus U$  contains a minimal countably irreducible closed subset  $A$  that still meets all members of  $\mathcal{K}$ . Let  $\mathcal{K}^* = \{\uparrow (K \cap A) : K \in \mathcal{K}\}$ . Then  $\mathcal{K}^* \subseteq \mathbf{K}(X)$  and  $\mathcal{K}^*$  is countably filtered. Since  $\mathbf{K}(X)$  is a cdcpo, by Lemma 4.4,  $\emptyset \neq \bigcap \mathcal{K}^* = \bigcap_{K \in \mathcal{K}} \uparrow (K \cap A) \in \mathbf{K}(X)$ . By condition (3),  $\uparrow (A \cap \bigcap \mathcal{K}) = \bigcap_{K \in \mathcal{K}} \uparrow (A \cap K) \neq \emptyset$ . On the other hand,  $\uparrow (A \cap \bigcap \mathcal{K}) \subseteq \uparrow (A \cap U) = \emptyset$  since  $A \subseteq X \setminus U$ , a contradiction. Therefore  $X$  is an  $\omega^*$ -well-filtered space.  $\square$

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