



## Covering Properties of $C_p(X)$ and $C_k(X)$

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**Abstract.** Let  $X$  be a Tychonoff space. We survey some classic and recent results that characterize the topology or cardinality of  $X$  when  $C_p(X)$  or  $C_k(X)$  is covered by certain families of sets (sequences, resolutions, closure-preserving coverings, compact coverings ordered by a second countable space) which swallow or not some classes of sets (compact sets, functionally bounded sets, pointwise bounded sets) in  $C(X)$ .

### 1. Preliminaries

Unless otherwise stated,  $X$  will stand for an infinite Tychonoff space. We denote by  $C_p(X)$  the linear space  $C(X)$  of real-valued continuous functions on  $X$  equipped with the pointwise topology  $\tau_p$ . The topological dual of  $C_p(X)$  is denoted by  $L(X)$ , or by  $L_p(X)$  when provided with the weak\* topology. We denote by  $C_k(X)$  the space  $C(X)$  equipped with the compact-open topology  $\tau_k$ . A family  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  of subsets of a set  $X$  is a *resolution* for  $X$  if it covers  $X$  and verifies that  $A_\alpha \subseteq A_\beta$  for  $\alpha \leq \beta$ . A family of bounded sets in a locally convex space  $E$  that swallows the bounded sets is called a *fundamental family of bounded sets*. Definitions not included in this paper can be found in [6, 18, 49].

### 2. Countable coverings for $C_p(X)$

The following folklore result can be found in [49, Proposition 9.18]. Velichko's theorem can be found in [1, I.2.1 Theorem] or in [49, Theorem 9.12].

**Theorem 2.1.** *The space  $C_p(X)$  admits a fundamental sequence of pointwise bounded sets if and only if  $X$  is finite.*

**Theorem 2.2 (Velichko).** *The space  $C_p(X)$  is covered by a sequence of compact sets if and only if  $X$  is finite.*

Next theorem extends Velichko's result to relatively countably compact sets.

**Theorem 2.3 (Tkachuk-Shakhmatov [75]).**  *$C_p(X)$  is covered by a sequence of relatively countably compact sets if and only if  $X$  is finite.*

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Theorem 2.5 below extends Tkachuk-Shakhmatov theorem to pointwise bounded relatively sequentially complete sets. Recall that a sequence  $\{f_n\}_{n=1}^\infty$  of real-valued functions defined on  $X$  is *pointwise eventually constant* [34] if for each  $x \in X$  there is a constant  $f(x)$  such that  $f_n(x) = f(x)$  for all but finitely many  $n \in \mathbb{N}$ .

**Theorem 2.4 (Ferrando-Kąkol-Saxon [34, Theorem 3.1]).**  $C_p(X)$  is covered by a sequence of relatively sequentially complete sets if and only if  $X$  is a  $P$ -space.

*Proof.* Assume that  $C_p(X) = \bigcup_{n=1}^\infty Q_n$  with  $Q_n$  relatively sequentially complete for every  $n \in \mathbb{N}$  and let  $\{f_n\}_{n=1}^\infty$  be a uniformly bounded pointwise eventually constant sequence in  $C_p(X)$  with limit  $f$  in  $\mathbb{R}^X$ . Let us denote by  $C^b(X)$  the Banach space of all continuous and bounded functions on  $X$  equipped with the supremum norm  $\|\cdot\|_\infty$ . Fix  $k > 0$  such that  $\sup_{n \in \mathbb{N}} \|f_n\|_\infty \leq k$ .

Since  $\{C^b(X) \cap Q_n : n \in \mathbb{N}\}$  is a countable covering of  $C^b(X)$ , according to the Baire category theorem there is  $p \in \mathbb{N}$  such that the closure  $B_p$  of  $C^b(X) \cap Q_p$  in  $C^b(X)$  has an interior point in the norm topology. So, if  $D$  denotes the closed unit ball of  $C^b(X)$ , there are  $\epsilon > 0$  and  $h \in Q_p$  with  $h + \epsilon D \subseteq B_p$ . Since  $f_n \in kD$  for each  $n \in \mathbb{N}$ , we have  $\{h + \epsilon k^{-1} f_n : n \in \mathbb{N}\} \subseteq B_p$ . As  $C^b(X) \cap Q_p$  is norm dense in  $B_p$ , for each  $n \in \mathbb{N}$  there is  $g_n \in C^b(X) \cap Q_p$  with  $|g_n(x) - (h + \epsilon k^{-1} f_n)(x)| < n^{-1}$  for all  $x \in X$ . Since  $\{h + \epsilon k^{-1} f_n\}_{n=1}^\infty$  is a pointwise eventually constant sequence that converges to  $h + \epsilon k^{-1} f$ , clearly  $g_n \rightarrow h + \epsilon k^{-1} f$  pointwise on  $X$ . Using the fact that  $Q_p$  is relatively sequentially complete, it turns out that  $h + \epsilon k^{-1} f \in C(X)$ . Hence  $f \in C(X)$ . But, as follows from [34, Theorem 1.1], a Tychonoff space  $X$  is a  $P$ -space if and only if each uniformly bounded pointwise eventually constant sequence in  $C_p(X)$  converges in  $C_p(X)$ . So,  $X$  is a  $P$ -space. For the converse note that if  $X$  is a  $P$ -space, then  $C_p(X)$  is sequentially complete [8].  $\square$

**Theorem 2.5 (Ferrando-Kąkol-Saxon [34, Corollary 3.2]).**  $C_p(X)$  is covered by a sequence of pointwise bounded relatively sequentially complete sets if and only if  $X$  is finite.

*Proof.* If  $C_p(X) = \bigcup_{n=1}^\infty Q_n$  with each  $Q_n$  pointwise bounded and relatively sequentially complete, Theorem 2.4 ensures that  $X$  is a  $P$ -space. If  $\{x_n\}_{n=1}^\infty$  is an infinite sequence in  $X$ , for each  $n \in \mathbb{N}$  there is  $\alpha_n > 0$  with  $\sup_{g \in Q_n} |g(x_n)| < \alpha_n$ . But [49, Lemma 9.5] provides  $f \in C(X)$  with  $f(x_n) = \alpha_n$ , i. e., such that  $f \notin Q_n$  for every  $n \in \mathbb{N}$ , a contradiction. Thus  $X$  must be finite.  $\square$

**Theorem 2.6 (Tkachuk, [69, 3.11 Theorem]).** If  $C_p(X)$  is covered by a sequence of functionally bounded sets, then  $X$  is pseudocompact and each countable subset of  $X$  is closed, discrete and  $C^*$ -embedded in  $X$ .

*Proof.* (Sketch) Let us call  $\sigma$ -bounded a space which is covered by countably many functionally bounded sets and assume that  $C_p(X)$  is  $\sigma$ -bounded. If  $X$  is not pseudocompact, it contains a closed homeomorphic copy  $Y$  of  $\mathbb{N}$ , hence  $C$ -embedded [39, Problem 3L]. Since the restriction map  $T : C_p(X) \rightarrow C_p(Y)$  defined by  $Tf = f|_Y$  is continuous and onto, this implies that  $C_p(Y)$  is  $\sigma$ -bounded. Hence  $C_p(\mathbb{N}) = \mathbb{R}^{\mathbb{N}}$  is covered by a sequence of compact sets and Velichko’s theorem ensures that  $\mathbb{N}$  must be finite, a contradiction. On the other hand, since  $C_p(X, I) = \{f \in C(X) : -1 \leq f \leq 1\}$  is a retract of  $C_p(X)$ , it turns out that  $C_p(X, I)$  is  $\sigma$ -bounded. If  $Z$  is a non-closed countable subset of  $X$  and  $y \in \bar{Z} \setminus Z$ , it is not hard to show that  $M = \{f \in C_p(X, I) : f(y) = 0\}$  is also covered by countably many functionally bounded sets  $\{F_n : n \in \mathbb{N}\}$ . But one can determine a function  $f \in M$  such that  $f \notin F_n$  for every  $n \in \mathbb{N}$  (see [69, 3.7 Lemma] for details). So, such  $Z$  does not exist. Finally, it is well-known that a subspace  $S$  of  $X$  is  $C^*$ -embedded if and only if  $\overline{S}^{\beta X} = \beta S$ . If each countable set in  $X$  is closed, it can be seen that each countable set  $A$  is discrete and  $C^*$ -embedded if and only if  $\overline{A}^{\beta X} = \beta A$ , [69, 3.8 Proposition]. With the help of this result one can show that if  $C_p(X, I)$  is  $\sigma$ -bounded, every countable subset of  $X$  is discrete and  $C^*$ -bounded [69, 3.9 Theorem].  $\square$

### 3. Uncountable coverings for $C_p(X)$

Recall that  $X$  is a Lindelöf  $\Sigma$ -space if it is a continuous image of a space that can be perfectly mapped onto a second countable space [1, 57]. Also,  $X$  is a Lindelöf  $\Sigma$ -space if and only if is *countably  $K$ -determined* [63], i. e., if there is an upper semi-continuous (*usc*) map  $T$  from a subspace  $\Sigma$  of  $\mathbb{N}^{\mathbb{N}}$  into the family  $\mathcal{K}(X)$  of compact subsets of  $X$  such that  $\bigcup \{T(\alpha) : \alpha \in \Sigma\} = X$ . This is equivalent to saying that (i)  $\{T(\alpha) : \alpha \in \Sigma\}$  covers  $X$  and (ii) if  $\alpha_n \rightarrow \alpha$  in  $\Sigma$  and  $x_n \in T(\alpha_n)$  for every  $n \in \mathbb{N}$  the sequence  $\{x_n\}_{n=1}^{\infty}$  has a cluster point in  $T(\alpha)$ . A space  $X$  is  *$K$ -analytic* (resp. *quasi-Suslin*) if there is a map  $T$  from  $\mathbb{N}^{\mathbb{N}}$  into  $\mathcal{K}(X)$  (resp. into the family of countably compact sets in  $X$ ) such that (i)  $\{T(\alpha) : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  covers  $X$  and (ii) if  $\alpha_n \rightarrow \alpha$  in  $\mathbb{N}^{\mathbb{N}}$  and  $x_n \in T(\alpha_n)$  for each  $n \in \mathbb{N}$  the sequence  $\{x_n\}$  has a cluster point contained in  $T(\alpha)$  (see [76, I.4.2 and I.4.3]). Each  $\sigma$ -compact ( $\sigma$ -countably compact) space is  $K$ -analytic (resp. quasi-Suslin). A space  $X$  is *analytic* if it is a continuous image of  $\mathbb{N}^{\mathbb{N}}$ . Each analytic space is  $K$ -analytic, each  $K$ -analytic space is quasi-Suslin and Lindelöf  $\Sigma$ , and each Lindelöf  $\Sigma$ -space is Lindelöf. A family  $\mathcal{N}$  of subsets of  $X$  is a *network* for  $X$  if for any  $x \in X$  and any open set  $U$  in  $X$  with  $x \in U$  there is some  $P \in \mathcal{N}$  such that  $x \in P \subseteq U$ . The *network weight*  $nw(X)$  of  $X$  is the least cardinality of a network of  $X$ , and a space  $X$  is called *cosmic* if  $nw(X) = \aleph_0$ . Alternatively,  $X$  is a cosmic space if and only if it is a continuous image of a separable metric space [56]. So, each analytic space is cosmic. Conversely, every  $K$ -analytic cosmic space is analytic [49, Proposition 6.4]. Moreover,  $C_p(X)$  is a cosmic space if and only if  $X$  is cosmic [56, Proposition 10.5]. A family  $\mathcal{N}$  of subsets of a space  $X$  is a *network modulo a family*  $\mathcal{A}$  of subsets of  $X$  if for each open set  $V$  of  $X$  and for every  $A \in \mathcal{A}$  with  $A \subseteq V$  there exists  $N \in \mathcal{N}$  such that  $A \subseteq N \subseteq V$ . A space is Lindelöf  $\Sigma$  if and only if it admits a countable network modulo a covering by compact sets [49, Proposition 3.5]. Hence, every cosmic space is a Lindelöf  $\Sigma$ -space. A space  $X$  is *angelic* if relatively countably compact sets in  $X$  are relatively compact and for every relatively compact subset  $A$  of  $X$  each point of  $\overline{A}$  is the limit of a sequence of  $A$ , [36]. A space  $X$  is *projectively  $\sigma$ -compact* if each separable metrizable space  $Y$  that is a continuous image of  $X$  is  $\sigma$ -compact. Clearly, every  $\sigma$ -bounded space (in the sense of Theorem 2.6) is projectively  $\sigma$ -compact [3, Proposition 1.1], and every projectively  $\sigma$ -compact cosmic space is  $\sigma$ -compact (see [49, Proposition 9.4] or [60]). A space  $C_p(X)$  is said to be *Lindelöf  $\Sigma$ -framed* (or  *$K$ -analytic-framed*) in  $\mathbb{R}^X$  if there is a Lindelöf  $\Sigma$ -space (resp. a  $K$ -analytic space)  $S$  in  $\mathbb{R}^X$  such that  $C(X) \subseteq S$ . A family  $\mathcal{N}$  of subsets of a topological space  $X$  is called a  *$cs^*$ -network at a point*  $x \in X$  if for each sequence  $\{x_n\}_{n=1}^{\infty}$  in  $X$  converging to  $x$  and for each neighborhood  $O_x$  of  $x$  there is a set  $N \in \mathcal{N}$  such that  $x \in N \subseteq O_x$  and the set  $\{n \in \mathbb{N} : x_n \in N\}$  is infinite [38];  $\mathcal{N}$  is a  *$cs^*$ -network* in  $X$  if  $\mathcal{N}$  is a  $cs^*$ -network at each point  $x \in X$ .

**Lemma 3.1.** *If  $C_p(X)$  is Lindelöf  $\Sigma$ -framed in  $\mathbb{R}^X$ , then  $vX$  is a Lindelöf  $\Sigma$ -space and  $C_p(X)$  is angelic.*

*Proof.* First statement after the conditional comes from [59, Theorem 3.5] or [22, Theorem 3]. For the second use the first and [62, Theorem 3], since  $C_p(X)$  is angelic whenever  $C_p(vX)$  is angelic.  $\square$

**Lemma 3.2 (Ferrando-Kąkol, [29, Lemma 1]).** *Let  $X$  be nonempty and  $Z$  be a subspace of  $\mathbb{R}^X$ . If  $Z$  has a countable network modulo a cover  $\mathcal{B}$  of  $Z$  by pointwise bounded subsets, then  $Y = \bigcup \{\overline{B} : B \in \mathcal{B}\}$ , closures in  $\mathbb{R}^X$ , is a Lindelöf  $\Sigma$ -space such that  $Z \subseteq Y \subseteq \mathbb{R}^X$ .*

*Proof.* Let  $\mathcal{N} = \{T_n : n \in \mathbb{N}\}$  be a countable network modulo a cover  $\mathcal{B}$  of  $Z$  consisting of pointwise bounded sets. Set  $\mathcal{N}_1 = \{\overline{T}_n : n \in \mathbb{N}\}$ ,  $\mathcal{B}_1 = \{\overline{B} : B \in \mathcal{B}\}$ , closures in  $\mathbb{R}^X$ , and  $Y = \bigcup \mathcal{B}_1$ . Let us show that  $\mathcal{N}_1$  is a network in  $Y$  modulo the compact cover  $\mathcal{B}_1$  of  $Y$ . In fact, if  $U$  is a neighborhood in  $\mathbb{R}^X$  of  $\overline{B}$ , use  $\overline{B}$  compactness to get a closed neighborhood  $V$  of  $\overline{B}$  in  $\mathbb{R}^X$  contained in  $U$ . Since  $\mathcal{N}$  is a network modulo  $\mathcal{B}$  in  $Z$  there is  $n \in \mathbb{N}$  with  $B \subseteq T_n \subseteq V \cap Z$ , which implies that  $\overline{B} \subseteq \overline{T}_n \subseteq U$ . According to Nagami’s criterion [1, IV.9.1 Proposition],  $Y$  is a Lindelöf  $\Sigma$ -space such that  $Z \subseteq Y \subseteq \mathbb{R}^X$ .  $\square$

**Theorem 3.3 (Ferrando-Kąkol, [29, Proposition 1]).** *The following asserts are equivalent*

1.  $C_p(X)$  admits a resolution of pointwise bounded sets.
2.  $C_p(X)$  is  $K$ -analytic-framed in  $\mathbb{R}^X$ .

*Proof.* Let  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  be a resolution for  $C_p(X)$  of bounded sets, denote by  $B_\alpha$  the closure of  $A_\alpha$  in  $\mathbb{R}^X$  and put  $Z = \bigcup\{B_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$ . Clearly each  $B_\alpha$  is a compact subset of  $\mathbb{R}^X$  and  $Z$  is a quasi-Suslin space [11, Proposition 1] such that  $C_p(X) \subseteq Z \subseteq \mathbb{R}^X$ . As each quasi-Suslin space  $Z$  has a countable network modulo a resolution  $\mathcal{B}$  of  $Z$  consisting of countably compact sets (see [20, Proof Theorem 8]) and every countable compact subset of  $\mathbb{R}^X$  is pointwise bounded, Lemma 3.2 assures that  $Y = \bigcup\{\bar{B} : B \in \mathcal{B}\}$  is a Lindelöf  $\Sigma$ -space, hence Lindelöf, such that  $Z \subseteq Y \subseteq \mathbb{R}^X$ . As each set  $\bar{B}$  with  $B \in \mathcal{B}$  is compact, and  $\{\bar{B} : B \in \mathcal{B}\}$  is a resolution for  $Y$ , again  $Y$  is a quasi-Suslin space. Since every Lindelöf quasi-Suslin space is  $K$ -analytic and  $C_p(X) \subseteq Y \subseteq \mathbb{R}^X$ , it turns out that  $C_p(X)$  is  $K$ -analytic-framed in  $\mathbb{R}^X$ . For the converse, note that each  $K$ -analytic space has a resolution consisting of compact sets [67].  $\square$

**Theorem 3.4 (Arkhangel’skiĭ-Calbrix, [4, Theorem 2.3]).** *If  $C_p(X)$  is  $K$ -analytic-framed in  $\mathbb{R}^X$ , then  $X$  is projectively  $\sigma$ -compact.*

*Proof.* Assume  $C_p(X)$  is  $K$ -analytic-framed in  $\mathbb{R}^X$ . Let  $Y$  be a separable metric space that is a continuous image of  $X$ , say  $f : X \rightarrow Y$ . Consider the pullback  $f^* : \mathbb{R}^Y \rightarrow \mathbb{R}^X$  defined by  $f^*(g) = g \circ f$ , which is a linear homeomorphism onto  $f^*(\mathbb{R}^Y)$  with closed range [1, 0.4.6 Proposition]. If  $S$  is a  $K$ -analytic space such that  $C(X) \subseteq S \subseteq \mathbb{R}^X$ , then  $f^*(C(Y)) \subseteq S \cap f^*(\mathbb{R}^Y)$ , which is a  $K$ -analytic subspace of  $\mathbb{R}^X$ , since  $S \cap f^*(\mathbb{R}^Y)$  is closed in  $S$ . Hence  $T := (f^*)^{-1}(S) \cap \mathbb{R}^Y$  is a  $K$ -analytic subspace of  $\mathbb{R}^Y$  such that  $C(Y) \subseteq T \subseteq \mathbb{R}^Y$ , i. e.,  $C_p(Y)$  is  $K$ -analytic-framed in  $\mathbb{R}^Y$ . So, if  $\mathbb{R}_+$  are the nonnegative real numbers, since there exists a (strictly increasing) homeomorphism from  $\mathbb{R}$  onto  $\mathbb{R}^+$ , there exists a  $K$ -analytic subspace  $M$  of  $\mathbb{R}_+^Y$  such that  $C^+(Y) := C(Y) \cap \mathbb{R}_+^Y$  is contained in  $M$ . Let  $\varphi : \mathbb{N}^{\mathbb{N}} \rightarrow \mathcal{K}(M)$ , where  $\mathcal{K}(M)$  designates the family of compact sets of  $M$ , an usc map such that  $\bigcup\{\varphi(\alpha) : \alpha \in \mathbb{N}^{\mathbb{N}}\} = M$ . Define  $\lambda : \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{R}_+^Y$  by  $\lambda(\alpha) = \inf \varphi(\{\beta \in \mathbb{N}^{\mathbb{N}} : \beta \leq \alpha\})$ . As  $\{\beta \in \mathbb{N}^{\mathbb{N}} : \beta \leq \alpha\}$  is a compact set in  $\mathbb{N}^{\mathbb{N}}$ ,  $\varphi(\{\beta \in \mathbb{N}^{\mathbb{N}} : \beta \leq \alpha\})$  is a compact set in  $M$  and the infimum is with respect to the pointwise ordering of  $\mathbb{R}^Y$ , hence  $\lambda(\alpha)(y) = \inf\{\varphi(\beta)(y) : \beta \leq \alpha\} > 0$  for each  $y \in Y$ . Clearly  $\lambda(\alpha) \leq \lambda(\beta)$  whenever  $\beta \leq \alpha$ , and if  $f \in C^+(Y) \subseteq M$  there is  $\gamma \in \mathbb{N}^{\mathbb{N}}$  such that  $f \in \varphi(\gamma)$ , so that  $\lambda(\gamma) \leq f$ . Let  $(\bar{Y}, d)$  be a metric compactification of  $Y$ . For each  $\alpha \in \mathbb{N}^{\mathbb{N}}$  set  $K_\alpha = \bigcap\{\bar{Y} \setminus B(y, \lambda(\alpha)(y)) : y \in Y\}$ , where  $B(y, \lambda(\alpha)(y)) = \{z \in \bar{Y} : d(y, z) < \lambda(\alpha)(y)\}$  is the open ball in  $\bar{Y}$  of center  $y$  and radius  $\lambda(\alpha)(y) \geq 0$ . Clearly  $K_\alpha$  is a compact set in  $\bar{Y} \setminus Y$ , and we claim that  $\{K_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a compact resolution for  $\bar{Y} \setminus Y$  that swallows the compact sets in  $\bar{Y} \setminus Y$ . The relation  $K_\alpha \subseteq K_\beta$  comes from  $\lambda(\beta) \leq \lambda(\alpha)$  whenever  $\alpha \leq \beta$ . In addition, if  $Q$  is a compact set in  $\bar{Y} \setminus Y$ , the function  $h : \bar{Y} \rightarrow \mathbb{R}_+$  defined by  $h(y) = d(y, Q)$  belongs to  $C^+(Y)$  when restricted to  $Y$ . So, there is  $\gamma \in \mathbb{N}^{\mathbb{N}}$  such that  $\lambda(\gamma) \leq h|_Y$ . Thus  $d(y, z) \geq \lambda(\gamma)(y)$  for every  $y \in Y$  and  $z \in Q$ . In other words,  $Q \cap \bigcup\{B(y, \lambda(\alpha)(y)) : y \in Y\} = \emptyset$ , which means that  $Q \subseteq K_\gamma$ . In this circumstances, Christensen’s theorem [15, Theorem 3.3] shows that  $\bar{Y} \setminus Y$  is a Polish space, so an absolute  $G_\delta$  [51, Chapter 6, Problem K]. Consequently,  $Y$  is an  $F_\sigma$  of the compact space  $\bar{Y}$ , i. e.,  $Y$  is a  $\sigma$ -compact space.  $\square$

**Corollary 3.5.** *If  $C_p(X)$  admits a resolution consisting of pointwise bounded sets, then  $X$  is projectively  $\sigma$ -compact.*

*Proof.* This is a straightforward consequence of Theorems 3.3 and 3.4.  $\square$

**Theorem 3.6 (Ferrando-Kąkol, [29, Corollary 1]).** *Let  $X$  be a cosmic space.  $C_p(X)$  has a resolution of pointwise bounded sets if and only if  $X$  is  $\sigma$ -compact.*

*Proof.* The ‘only if’ statement is consequence of Corollary 3.5 and the fact, mentioned earlier, that each projectively  $\sigma$ -compact cosmic space is  $\sigma$ -compact. For the ‘if’ part note that if  $X = \bigcup_{n=1}^\infty K_n$  with each  $K_n$  compact, the family  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  with

$$A_\alpha = \{f \in C(X) : \sup_{x \in K_n} |f(x)| \leq \alpha(n), n \in \mathbb{N}\}$$

is a resolution for  $C(X)$  consisting of pointwise bounded sets.  $\square$

**Theorem 3.7 (Calbrix [9, Theorem 2.3.1]).** *If  $C_p(X)$  is analytic, then  $X$  is  $\sigma$ -compact.*

*Proof.* If  $C_p(X)$  is analytic, it is cosmic. Hence  $X$  is also a cosmic space [56, Proposition 10.5]. Since  $C_p(X)$  is  $K$ -analytic, it has a resolution of pointwise bounded sets (actually, of compact sets [67]). So, Theorem 3.6 ensures that  $X$  is  $\sigma$ -compact.  $\square$

**Corollary 3.8.** *If  $X$  is metrizable, the following are equivalent.*

1.  $C_p(X)$  is analytic.
2.  $X$  is  $\sigma$ -compact.
3.  $C_p(X)$  has a resolution of pointwise bounded sets.

*Proof.*  $1 \Rightarrow 2$  follows from Theorem 3.7 and, as mentioned above,  $2 \Rightarrow 3$  always holds true. On the other hand, if  $C_p(X)$  has a resolution of pointwise bounded sets, then  $C_p(X)$  is  $K$ -analytic-framed in  $\mathbb{R}^X$  by Theorem 3.3 and angelic by Lemma 3.1. But if  $X$  is metrizable,  $C_p(X)$  is angelic if and only if  $X$  is separable [49, Corollary 6.10]. Consequently, for metrizable  $X$ , the fact that  $C_p(X)$  has a resolution of pointwise bounded sets entails that  $X$  is a cosmic space. So, Theorem 3.6 yields the implication  $3 \Rightarrow 2$ . Finally, if  $X$  is a metrizable  $\sigma$ -compact space then  $X$  is separable. Thus  $C_p(X)$  is analytic by a classic result of Christensen [15, Theorem 3.7] (cf. Theorem 4.4 below). Hence  $2 \Rightarrow 1$ .  $\square$

**Corollary 3.9.** *If  $C_p(C_p(X))$  has a resolution consisting of pointwise bounded sets, then  $X$  is pseudocompact.*

*Proof.* If  $X$  is not pseudocompact, then  $C_p(X)$  contains a complemented (linearly homeomorphic) copy of  $\mathbb{R}^\omega$ . If  $P$  is a continuous linear projection from  $C_p(X)$  onto the linear subspace  $\mathbb{R}^\omega$  the (linear) restriction map  $T : C_p(C_p(X)) \rightarrow C_p(\mathbb{R}^\omega)$  given by  $T\varphi = \varphi|_{\mathbb{R}^\omega}$  is continuous and onto, for if  $\psi \in C(\mathbb{R}^\omega)$  then  $\psi \circ P \in C(C_p(X))$  and  $T(\psi \circ P) = \psi$  due to  $Pg = g$  for every  $g \in \mathbb{R}^\omega$ . Hence  $T$  carries a resolution from  $C_p(C_p(X))$  onto  $C_p(\mathbb{R}^\omega)$  made up of pointwise bounded sets. Since  $\mathbb{R}^\omega$  is metrizable, Corollary 3.8 shows that  $\mathbb{R}^\omega$  is a  $\sigma$ -space, which is not true.  $\square$

**Theorem 3.10 (Tkachuk [71, 2.8 Theorem]).**  *$C_p(X)$  has a resolution consisting of compact sets if and only if it is  $K$ -analytic.*

*Proof.* If  $C_p(X)$  has a resolution consisting of compact sets, then  $C_p(X)$  is a quasi-Suslin space [11, Proposition 1]. But, according to Lemma 3.1, the space  $C_p(X)$  is angelic, and every quasi-Suslin angelic space is  $K$ -analytic [11]. The converse can be found in [67] or in [49, Theorem 3.2].  $\square$

The following result was stated and proved by Tkachuk, [71, 3.9 Theorem]. However, it can also be derived as a consequence of Valdivia’s closed graph theorem for  $K$ -analytic spaces [76, Chapter I] (as mentioned in [71]), which is the approach we choose.

**Theorem 3.11.** *Assume  $C_p(X)$  is a Baire space.  $C_p(X)$  has a resolution of compact sets if and only if  $X$  is countable and discrete.*

*Proof.* According to Theorem 3.10, if  $C_p(X)$  has a resolution of compact sets then  $C_p(X)$  is  $K$ -analytic. Hence  $C_p(X)$  is a locally convex space which is both Baire and  $K$ -analytic, so a separable Fréchet space by [76, I.4.3.(21)]. This forces to  $C_p(X) = \mathbb{R}^X$  with  $X$  countable. Hence  $X$  is countable and discrete.  $\square$

**Theorem 3.12 (Arhangel’skiĭ).** *If  $C_p(X)$  is both Baire and a Lindelöf  $\Sigma$ -space, then  $X$  is countable.*

*Proof.* Let us prove this result with the additional assumption that  $X$  is realcompact. A proof of the general case can be found in [71, 3.8 Theorem]. If  $C_p(X)$  is a Baire space, it is barrelled, i. e., each closed absorbing absolutely convex set is a neighborhood of the null function. Hence, by the Buchwalter-Schmets theorem, the functionally bounded sets in  $X$  are finite [8] (see also [1, I.3.4 Theorem]). If  $C_p(X)$  is a Lindelöf  $\Sigma$ -space, then  $\nu X$  is a Lindelöf  $\Sigma$ -space by Lemma 3.1 (see also [59, Theorem 3.5]). Since by assumption  $X = \nu X$ , it turns out that  $X$  is a Lindelöf  $\Sigma$ -space with finite compact sets. Consequently  $X$  must be countable [1, IV.6.15 Proposition].  $\square$

**Theorem 3.13.** *Let  $C_p(X)$  be a Baire space. If  $C_p(X)$  has a resolution of pointwise bounded sets, then  $X$  is countable.*

*Proof.* This follows from a general property of locally convex spaces which assures that each locally convex Baire space  $E$  with a resolution of bounded sets is metrizable (see [50, Corollary 1]). Let us try a direct approach. Let  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  be a resolution for  $C_p(X)$  consisting of absolutely convex pointwise bounded sets. Define  $\beta(1) = n_1, \beta(i + 1) = \alpha(i)$  for each  $i \in \mathbb{N}$ , and set  $B_\beta := n_1 \overline{\text{abx}(A_\alpha)}$  where  $\text{abx}(A_\alpha)$  stands for the absolutely convex cover of  $A_\alpha$  and the closure is in  $\mathbb{R}^X$ . Thus  $Z := \bigcup\{B_\beta : \beta \in \mathbb{N}^{\mathbb{N}}\}$  is a linear subspace of  $\mathbb{R}^X$ , and each set  $B_\beta$  is compact with  $B_\alpha \subseteq B_\beta$  if  $\alpha \leq \beta$ . So,  $Z$  is a locally convex Baire space with a resolution of compact sets. By [31, Theorem 1],  $Z$  is a separable Fréchet space. Hence  $C_p(X)$  is metrizable, so  $X$  must be countable.  $\square$

**Theorem 3.14.** *Let  $X$  be a paracompact locally compact space.  $C_p(X)$  has a resolution of pointwise bounded sets if and only if  $X$  is  $\sigma$ -compact.*

*Proof.* As follows from [7, 9.10 Theorem 5] the space  $X$  is the topological sum  $\bigoplus_{\alpha \in A} X_\alpha$  of a family  $\{X_\alpha : \alpha \in A\}$  of locally compact  $\sigma$ -compact (pairwise disjoint) subspaces of  $X$ . Consequently,  $C_p(X) = \prod_{\alpha \in A} C_p(X_\alpha)$  isomorphically. By the previous equality,  $C_p(X)$  contains a copy of  $\mathbb{R}^A$ . If  $C_p(X)$  has a resolution of pointwise bounded sets, the subspace  $\mathbb{R}^A$  of  $C_p(X)$  also has a resolution of pointwise bounded sets. Since  $\mathbb{R}^A$  is a Baire space, Theorem 3.13 shows that  $A$  must be countable. So,  $X$  is  $\sigma$ -compact. The converse also holds as shown in the ‘if’ part of Theorem 3.6.  $\square$

The preceding theorem was originally stated as a part of [10, Proposition 2.2] assuming  $C_p(X)$  is  $K$ -analytic.

**Theorem 3.15 (Tkachuk, [71, 3.7 Theorem]).**  *$C_p(X)$  has a resolution of compact sets that swallows the compact sets if and only if  $X$  is countable and discrete.*

*Proof.* Assume  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a resolution for  $C_p(X)$  of compact sets that swallows the compact sets of  $C_p(X)$ . We claim that compact subsets of  $X$  are finite. Otherwise there exists an infinite compact set  $K$  in  $X$ . Since, according to Theorem 3.10,  $C_p(X)$  is  $K$ -analytic, it turns out that  $C_p(C_p(X))$  is angelic [24, Theorem 78]. As  $K$  is embedded in  $C_p(C_p(X))$ , it must be a Fréchet-Urysohn compact, so there is a non trivial sequence  $\{x_n\}_{n=1}^\infty$  that converges to some  $x \in K$ . Let  $S = \{x_n : n \in \mathbb{N}\} \cup \{x\}$ , so that  $S$  is a countable compact set, hence metrizable. Thus, there is a linear extender map  $\varphi : C_p(S) \rightarrow C_p(X)$ , i. e., such that  $\varphi(f|_S) = f$  for every  $f \in C(X)$ , which embeds  $C_p(S)$  into a closed linear subspace of  $C_p(X)$ , [5, Proposition 4.1]. Therefore the metrizable space  $C_p(S)$  also has a resolution of compact sets that swallows the compact sets in  $C_p(S)$ . According to Christensen’s theorem [24, Theorem 94] this means that  $C_p(S)$  is a Polish space. Hence, [1, I.3.3 Corollary] ensures that the compact set  $S$  is discrete, hence finite. This contradiction ensures that the compact sets in  $X$  are finite.

Since  $C_p(X)$  is  $K$ -analytic, Lemma 3.1 asserts that  $vX$  is a Lindelöf  $\Sigma$ -space. But a Lindelöf  $\Sigma$ -space with finite compact sets is countable [1, IV.6.15 Proposition], so  $X$  is countable. On the other hand, if  $Q$  is a compact set in  $C_p(X)$  there is  $\gamma \in \mathbb{N}^{\mathbb{N}}$  such that  $Q \subseteq A_\gamma$ . Hence,  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a resolution of compact sets for the metrizable space  $C_p(X)$  that swallows the compact sets of  $C_p(X)$ . So, again  $C_p(X)$  is a Polish space by Christensen’s theorem, and one more time [1, I.3.3 Corollary] asserts that  $X$  is discrete.

For the converse, note that  $C_p(X)$  coincides with  $\mathbb{R}^{\mathbb{N}}$  whenever  $X$  is countable and discrete. Then  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  with  $A_\alpha = \{x \in \mathbb{R}^{\mathbb{N}} : |x_n| \leq \alpha_n\}$  is a resolution for  $C_p(X) = \mathbb{R}^{\mathbb{N}}$  consisting of compact sets that swallows the compact sets in  $\mathbb{R}^{\mathbb{N}}$ .  $\square$

**Theorem 3.16 (Ferrando-Gabrielyan-Kąkol [28, Theorem 3.3]).**  *$C_p(X)$  has a resolution of pointwise bounded sets that swallows the pointwise bounded sets if and only if  $X$  is countable. In other words,  $C_p(X)$  has a fundamental resolution of pointwise bounded sets if and only if  $X$  is countable.*

*Proof.* (Sketch) If  $C_p(X)$  admits a fundamental resolution of pointwise bounded sets one can fix [28, Theorem 3.3] a countable family of closed sets (some of them may be empty)  $\mathcal{K} = \{K_n(\alpha) : n \in \mathbb{N}, \alpha \in \mathbb{N}^{\mathbb{N}}\}$  in  $X$  enjoying the properties:

1.  $K_n(\alpha) \subseteq K_{n+1}(\alpha)$  for every  $n \in \mathbb{N}$  and each  $\alpha \in \mathbb{N}^{\mathbb{N}}$ .
2.  $K_n(\alpha) \supseteq K_n(\beta)$  for every  $n \in \mathbb{N}$  whenever  $\alpha \leq \beta$ .
3.  $\bigcup_{n \in \mathbb{N}} K_n(\alpha) = X$  for each  $\alpha \in \mathbb{N}^{\mathbb{N}}$ .
4. For every increasing closed covering  $\{V_n : n \in \mathbb{N}\}$  of  $X$  there exists  $\gamma \in \mathbb{N}^{\mathbb{N}}$  such that  $K_n(\gamma) \subseteq V_n$  for all  $n \in \mathbb{N}$ .

Then it turns out that the family  $\mathcal{N} := \{N_{mn}(\alpha) : m, n \in \mathbb{N}, \alpha \in \mathbb{N}^{\mathbb{N}}\}$ , where

$$N_{mn}(\alpha) := \left\{ f \in C(X) : |f(x)| \leq \frac{1}{m} \quad \forall x \in K_n(\alpha) \right\}$$

and  $N_{mn}(\alpha) := \{0\}$  if  $K_n(\alpha)$  is empty, is a countable  $cs^*$ -network at the origin in  $C_p(X)$  (see [28, Proposition 3.2] or [24, Claim 108] for details). So, according to [65, Theorem 2.3],  $X$  must be countable.  $\square$

Recall that a locally convex space  $E$  is a *quasi-(LB)-space* if  $E$  has a resolution consisting of *Banach disks*, i. e., of absolutely convex bounded sets  $D$  whose linear span  $E_D$  is a Banach space when equipped with the Minkowski functional of  $D$  as a norm.

**Theorem 3.17 (Valdivia, [77]).** *If  $E$  is a quasi-(LB)-space, there exists a resolution for  $E$  consisting of Banach disks that swallows the Banach disks of  $E$ .*

*Proof.* (Sketch) Let  $\{D_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  be a resolution for  $E$  consisting of Banach disks. For  $(n_1, \dots, n_k) \in \mathbb{N}^{\mathbb{N}}$  define the absolutely convex set

$$C_{n_1, \dots, n_k} = \bigcup \{D_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}, \alpha(i) = n_i, 1 \leq i \leq k\}.$$

If  $\alpha \in \mathbb{N}^{\mathbb{N}}$  and  $U$  a neighborhood of the origin in  $E$  it can be easily seen that there exists  $k(\alpha, U) \in \mathbb{N}$  such that  $C_{\alpha(1), \dots, \alpha(k)} \subseteq kU$ . So, if we set  $F_{\alpha(1), \dots, \alpha(k)} := \text{span}(C_{\alpha(1), \dots, \alpha(k)})$  for every  $k \in \mathbb{N}$  and  $F_\alpha := \bigcap \{F_{\alpha(1), \dots, \alpha(k)} : k \in \mathbb{N}\}$ , the sequence

$$\{F_\alpha \cap k^{-1}C_{\alpha(1), \dots, \alpha(k)} : k \in \mathbb{N}\}$$

is a base of absolutely convex neighborhoods of the origin in the linear subspace  $F_\alpha$  of a locally convex topology  $\tau_\alpha$  stronger than the relative topology of  $E$ . In fact, it turns out that  $\{(F_\alpha, \tau_\alpha) : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a family Fréchet spaces [77, Proposition 21] which covers  $E$ . Now, for  $\alpha \in \mathbb{N}^{\mathbb{N}}$  set  $\bar{\alpha}(i) = \alpha(2i - 1)$  for each  $i \in \mathbb{N}$  and define

$$Q_\alpha = \bigcap_{k=1}^{\infty} \alpha(2k) \cdot (F_{\bar{\alpha}} \cap C_{\bar{\alpha}(1), \dots, \bar{\alpha}(k)})$$

The family  $\{Q_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is clearly a resolution for  $E$ , and consists of Banach disks. It remains to prove that this family swallows the Banach disks of  $E$ . In order to establish this statement, choose a Banach disk  $D$  in  $E$  and consider the Banach space  $E_D$ . Then consider the canonical inclusion  $J : E_D \rightarrow E$  and put  $U_{n_1, \dots, n_k} := J^{-1}(C_{n_1, \dots, n_k})$ . As  $E_D = \bigcup \{U_{n_1} : n_1 \in \mathbb{N}\}$  and  $U_{n_1, \dots, n_k} = \bigcup \{U_{n_1, \dots, n_k, n_{k+1}} : n_{k+1} \in \mathbb{N}\}$  for each  $k \in \mathbb{N}$ , there is  $\beta \in \mathbb{N}^{\mathbb{N}}$  such that  $\overline{U_{\beta(1), \dots, \beta(k)}}$ , closure in  $E_D$ , is a neighborhood of the origin in  $E_D$  for each  $k \in \mathbb{N}$ . So, using the fact that  $\text{Int}(\overline{U_{\beta(1), \dots, \beta(k)}}) \subseteq U_{\beta(1), \dots, \beta(k)}$  for each  $k \in \mathbb{N}$  (see [77] or [49, Proposition 3.21] for details), if  $x \in E_D$  and  $k \in \mathbb{N}$  there is  $\lambda > 0$  such that  $\lambda x \in U_{\beta(1), \dots, \beta(k)}$ , which implies that  $J(x) \in F_{\beta(1), \dots, \beta(k)}$ . This shows that  $J(E_D) \subseteq F_\beta$ . So, by the closed graph theorem  $J$  is a continuous linear map from  $E_D$  into  $F_\beta$ . Hence, if we choose a sequence  $\{m_k\}_{k=1}^{\infty}$  in  $\mathbb{N}$  such that

$$D \subseteq m_k \cdot (F_\beta \cap C_{\beta(1), \dots, \beta(k)})$$

for every  $k \in \mathbb{N}$ , setting  $\gamma(2k) = m_k$  and  $\gamma(2k - 1) = \beta(k)$  for each  $k \in \mathbb{N}$ , it follows that  $D \subseteq Q_\gamma$ .  $\square$

**Theorem 3.18 (Ferrando-Gabrielyan-Kąkol [28, Proposition 3.6]).** *Let  $X$  be a  $P$ -space.  $C_p(X)$  has a resolution of pointwise bounded sets if and only if  $X$  is countable and discrete.*

*Proof.* If  $X$  is a  $P$ -space then  $C_p(X)$  is locally complete [34, Theorem 1.1], i. e., each pointwise bounded set is contained in a Banach disk. So, according to Theorem 3.17 there exists a resolution for  $C_p(X)$  consisting of Banach disks that swallows the pointwise bounded sets in  $C_p(X)$ . Hence,  $X$  is countable by Theorem 3.16. But every countable  $P$ -space is discrete.  $\square$

Alternatively, one may use the fact that  $C_p(X)$  is a Baire space (note that  $C_p(X)$  is pseudocomplete [72, Section 1.5, p. 46] whenever  $X$  is a  $P$ -space and use [72, Problem 464]). Then apply Theorem 3.13 to conclude that  $X$  must be countable, hence discrete.

Recall that a sequence  $\{x_n\}_{n=1}^\infty$  in a locally convex space  $E$  is called *local null* or *Mackey convergent* to zero [52, 28.3] if there is a closed disk  $B$  in  $E$  such that  $x_n \rightarrow 0$  in the normed space  $E_B$ . Each local null sequence in  $E$  is a null sequence.

**Theorem 3.19 (Ferrando, [25, Theorem 12]).**  *$C_p(X)$  admits a resolution of convex compact sets that swallows the local null sequences in  $C_p(X)$  if and only if  $X$  is countable and discrete.*

*Proof.* We may assume that  $C_p(X)$  admits a resolution  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  of absolutely convex compact sets swallowing the local null sequences in  $C_p(X)$ . If  $T : C_p(vX) \rightarrow C_p(X)$  denotes the restriction map  $Tg = g|_X$  we proceed as in [49, Proposition 9.14] to show that the family  $\mathcal{A} = \{T^{-1}(A_\alpha) : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a resolution for  $C_p(vX)$  consisting of (absolutely convex) compact sets, with the additional benefit that  $\mathcal{A}$  swallows the local null sequences in  $C_p(vX)$ . So, we may assume without loss of generality that  $X$  is realcompact or, equivalently, that  $C_p(X)$  is bornological [8]. Hence, we denote as above by  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  a resolution for  $C_p(X)$ , with  $X$  realcompact, consisting of absolutely convex compact sets that swallows the local null sequences in  $C_p(X)$ .

Let  $\mathcal{M}$  denote the family of all local null sequences in  $C_p(X)$ . Since  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  swallows the members of  $\mathcal{M}$ , the Mackey\* topology  $\mu(L(X), C(X))$  of  $L(X)$  is stronger than the topology  $\tau_{c_0}$  on  $L(X)$  of the uniform convergence on the local null sequences of  $C_p(X)$ . As in addition  $\sigma(L(X), C(X)) \leq \tau_{c_0}$ , we conclude that  $(L(X), \tau_{c_0})' = C(X)$ . Moreover, since we are assuming that  $C_p(X)$  is bornological, its  $\tau_{c_0}$ -dual  $(L(X), \tau_{c_0})$  is complete by [52, 28.5.(1)].

We claim that every compact set in  $X$  is finite. Indeed, if  $K$  is a compact set in  $X$ , the homeomorphic copy  $\delta(K)$  of  $K$  in  $L_p(X)$  is compact, i. e.,  $\delta(K)$  is a  $\sigma(L(X), C(X))$ -compact set in  $L(X)$ . So, the completeness of  $(L(X), \tau_{c_0})$ , together with Krein's theorem and the fact that  $\tau_{c_0}$  is a locally convex topology of the dual pair  $\langle L(X), C(X) \rangle$ , ensures that the weak\* closure  $Q = \overline{\text{abx}(\delta(K))}$  in  $L(X)$ , where  $\text{abx}(\delta(K))$  stands for the absolutely convex hull of  $\delta(K)$ , is a compact set in  $L_p(X)$ , hence a strongly bounded set. Since  $C_p(X)$  is quasi-barrelled [47, 11.7.3 Corollary], the strongly bounded sets in  $L(X)$  are finite-dimensional. Therefore the set  $\delta(K)$ , as a linearly independent system of vectors in  $L(X)$ , must be finite. Thus  $K$  is finite as well.

Since  $vX = X$  is a Lindelöf  $\Sigma$ -space by Lemma 3.1 and as we know each Lindelöf  $\Sigma$ -space with finite compact sets is countable [1, IV.6.15 Proposition],  $X$  is countable. So  $C_p(X)$  is a metrizable space. But in a metrizable locally convex space, the local null sequences and the null sequences are the same [52, 28.3.(1) c)]. Furthermore, if  $M$  is a compact set in the metrizable space  $C_p(X)$ , then  $M$  lies in the closed absolutely convex cover of a null sequence  $\{f_n\}_{n=1}^\infty$ , [52, 21.10.(3)]. So, if  $\{f_n\}_{n=1}^\infty \subseteq A_\gamma$ , thanks to the fact that  $A_\gamma$  is a closed absolutely convex set, it turns out that  $M \subseteq A_\gamma$ . Therefore  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a compact resolution for  $C_p(X)$  that swallows the compact sets of  $C_p(X)$ . So,  $C_p(X)$  is a Polish space by Christensen's theorem [24, Theorem 94]. But then [1, I.3.3 Corollary] asserts that  $X$  is discrete. The converse is obvious.  $\square$

**Theorem 3.20 (Ferrando, [25, Theorem 16]).**  *$C_p(X)$  has a resolution of absolutely convex pointwise bounded sequentially complete sets that swallows the null sequences if and only if  $X$  is countable and discrete.*

*Proof.* It can be readily seen that there is no loss of generality if we assume  $X$  to be realcompact. If  $C_p(X)$  has a resolution  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  of the stated characteristics and  $\{f_n\}_{n=1}^\infty$  is a null sequence in  $C_p(X)$ , there is



$\gamma \in \mathbb{N}^{\mathbb{N}}$  such that  $f_n \in A_\gamma$  for every  $n \in \mathbb{N}$ . Since  $\sum_{i=1}^n \xi_i f_i \in A_\gamma$  for every  $\xi \in \ell_1$  with  $\|\xi\|_1 \leq 1$  and  $A_\gamma$  is sequentially complete, it follows that  $\sum_{i=1}^\infty \xi_i f_i \in A_\gamma$  for every  $\xi \in \ell_1$  with  $\|\xi\|_1 \leq 1$ . So, the Banach disk

$$Q := \left\{ \sum_{i=1}^\infty \xi_i f_i : \xi \in \ell_1, \|\xi\|_1 \leq 1 \right\}$$

is contained in  $A_\gamma$ . Now, it can be proved as in [52, 20.10.(6)] that  $Q = \{f_n : n \in \mathbb{N}\}^{00}$ , the absolute bipolar of the null sequence  $\{f_n : n \in \mathbb{N}\}$ . Since each local null sequence is a null sequence, the dual of  $(L(X), \tau_{c_0})$  is  $C(X)$ , so  $\sigma(L(X), C(X)) \leq \tau_{c_0} \leq \mu(L(X), C(X))$ . As  $C_p(X)$  is bornological, the space  $L(X)$  is  $\mu(L(X), C(X))$ -complete. So, proceeding as in the proof of Theorem 3.19, with the help of Krein’s theorem we establish that each compact set in  $X$  is finite. Now, using the fact that the resolution  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  consists of pointwise bounded sets, Lemma 3.1 asserts that  $X$  is a Lindelöf  $\Sigma$ -space. Thus  $X$  must be countable, [1, IV.6.15 Proposition], so  $C_p(X)$  is metrizable.

If  $M$  is a compact set in the metrizable space  $C_p(X)$ , as mentioned above  $M$  lies in the closed absolutely convex cover of a null sequence  $\{f_n\}_{n=1}^\infty$ . So, if  $\{f_n\} \subseteq A_\gamma$  then  $M \subseteq A_\gamma$ . Thus  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a resolution for  $C_p(X)$  that swallows the compact sets of  $C_p(X)$ . Since each set  $A_\alpha$  is precompact in  $C_p(X)$  and sequentially complete, the metrizability of  $C_p(X)$  ensures that  $A_\alpha$  is compact in  $C_p(X)$ . Hence  $C_p(X)$  is a Polish space by Christensen’s theorem. Thus  $X$  is discrete. The converse is clear, since each (absolutely convex) compact set in  $\mathbb{R}^{\mathbb{N}}$  is pointwise bounded and sequentially complete.  $\square$

Another result of this type, which we state without proof is the following.

**Theorem 3.21 (Ferrando, [25, Theorem 33]).** *Let  $X$  be first countable.  $C_p(X)$  has a resolution of pointwise bounded sets that swallows the Cauchy sequences if and only if  $X$  is countable.*

#### 4. Uncountable coverings for $C_k(X)$

**Theorem 4.1 (Ferrando-Moll, [35, Corollary 5]).** *The space  $C_k(X)$  has a resolution consisting of compact sets if and only if it is  $K$ -analytic.*

*Proof.* If  $C_k(X)$  has a resolution consisting of compact sets, so does  $C_p(X)$ . So, Lemma 3.1 and Theorem 3.3 ensure that  $\nu X$  is a Lindelöf  $\Sigma$ -space and  $C_p(X)$  is angelic. Therefore  $C_k(X)$  is angelic as well [36, 3.3 Theorem]. Since  $C_k(X)$  is a quasi-Suslin space, necessarily  $C_k(X)$  must be  $K$ -analytic [11].  $\square$

**Theorem 4.2 (Gabrielyan-Kąkol [37, Corollary 2.10]).** *Let  $X$  be metrizable.  $C_k(X)$  has a resolution of compact sets that swallows the compact sets if and only if  $X$  is  $\sigma$ -compact.*

*Proof.* If  $C_k(X)$  has a resolution of compact sets,  $C_p(X)$  has a resolution of pointwise bounded sets. So, Corollary 3.8 assures that  $X$  is  $\sigma$ -compact. Conversely, if  $\{K_m : m \in \mathbb{N}\}$  is an increasing sequence of compact sets in  $X$  covering  $X$  then  $\Delta_m = \{(x, x) : x \in K_m\}$  is compact in the metric space  $(X \times X, d)$ . Hence, the sequence  $\{U_{m,n} : n \in \mathbb{N}\}$  where

$$U_{m,n} = \{(x, y) \in X \times X : d((x, y), \Delta_m) < n^{-1}\}$$

is a basis of the system of neighborhoods of  $\Delta_m$ . Let us encode in each  $\alpha \in \mathbb{N}^{\mathbb{N}}$  a whole sequence  $\{\alpha_n\}_{n=1}^\infty$  of elements of  $\mathbb{N}^{\mathbb{N}}$  by considering a bidimensional array whose  $i^{\text{th}}$  file is formed by coordinates  $(\alpha_i(1), \alpha_i(2), \dots, \alpha_i(n), \dots)$  of  $\alpha_i$  and defining  $\alpha$  by setting  $\alpha(1) = \alpha_1(1)$ ,  $\alpha(2) = \alpha_1(2)$ ,  $\alpha(3) = \alpha_2(1)$ ,  $\alpha(4) = \alpha_1(3)$ ,  $\alpha(5) = \alpha_2(2)$ ,  $\alpha(6) = \alpha_3(1)$ ,  $\alpha(7) = \alpha_1(4)$ ,  $\dots$  and so on. Conversely, given  $\alpha \in \mathbb{N}^{\mathbb{N}}$  we may extract a sequence  $\{\alpha_n\}_{n=1}^\infty \subseteq \mathbb{N}^{\mathbb{N}}$  from  $\alpha$  as indicated above. Then let  $A_\alpha$  be the absolutely convex set

$$\left\{ f \in C(X) : \sup_{(x,y) \in U_{m,\alpha_m(n)}} |f(x) - f(y)| \leq \frac{1}{n}, \sup_{x \in K_m} |f(x)| \leq \alpha_m(1) \quad \forall m, n \in \mathbb{N} \right\}.$$

Let  $x \in X$  and  $\epsilon > 0$  be given. Take  $m \in \mathbb{N}$  such that  $x \in K_m$  and  $1/n < \epsilon$ . Setting  $U_{m,n}(x) := \{y \in X : (x, y) \in U_{m,n}\}$ , each  $f \in A_\alpha$  satisfies

$$\sup_{y \in U_{m,\alpha_m(n)}(x)} |f(x) - f(y)| \leq \sup_{(z,y) \in U_{m,\alpha_m(n)}} |f(z) - f(y)| \leq n^{-1} < \epsilon.$$

As  $U_{m,\alpha_m(n)}(x)$  is a neighborhood of  $x$ , this means that  $A_\alpha$  is equicontinuous at  $x$ . So all sets  $A_\alpha$  are equicontinuous. In addition, since  $\sup_{f \in A_\alpha} |f(z)| \leq \alpha_m(1)$  if  $z \in K_m$ , we see that  $A_\alpha$  is pointwise bounded and closed. Hence  $A_\alpha$  is a compact set in  $C_k(X)$ .

On the other hand, if  $\mathcal{K}$  is a compact set in  $C_k(X)$ , the fact that  $X$  is a  $k_{\mathbb{R}}$ -space guarantees that  $\mathcal{K}$  is equicontinuous (Ascoli’s theorem). Since  $\mathcal{K}$  is equicontinuous at each  $x \in K_m$ , for each  $n \in \mathbb{N}$  there is  $\epsilon(m, n, x) > 0$  such that

$$\sup_{y \in B(x, \epsilon(m, n, x))} |f(x) - f(y)| \leq \frac{1}{2n} \tag{1}$$

for all  $f \in \mathcal{K}$ , where  $B(x, \epsilon)$  stands for the open ball of center at  $x$  and radius  $\epsilon > 0$ .

Setting  $U = \bigcup_{z \in K_m} B(z, \epsilon(m, n, z)) \times B(z, \epsilon(m, n, z))$ , if  $(x, y) \in U$  there is  $z \in K_m$  such that  $x, y \in B(z, \epsilon(m, n, z))$ , so  $|f(x) - f(y)| \leq |f(x) - f(z)| + |f(z) - f(y)| < n^{-1}$  for all  $f \in \mathcal{K}$ . As  $\Delta_m \subseteq U$  there is  $r(m, n) \in \mathbb{N}$  with  $\Delta_m \subseteq U_{m,r(m,n)} \subseteq U$ . Thus

$$\sup_{(x,y) \in U_{m,r(m,n)}} |f(x) - f(y)| \leq \frac{1}{n}.$$

On the other hand, the fact that  $\mathcal{K}$  is a compact set for the compact-open topology ensures that for each  $m \in \mathbb{N}$  there is  $k_m \in \mathbb{N}$  such that  $\sup_{f \in \mathcal{K}} \sup_{x \in K_m} |f(x)| \leq k_m$ . Hence, setting  $\alpha$  such that  $\alpha_m(n) = r(m, n)$ , we may assume that  $\alpha_m(1) \geq k_m$ . All this says that  $\mathcal{K} \subseteq A_\alpha$ . As  $A_\alpha \subseteq A_\beta$  if  $\alpha \leq \beta$ , the family  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is as stated.  $\square$

**Corollary 4.3 (Ferrando [23, Proposition 3]).** *Let  $X$  be a metrizable space.  $C_k(X)$  has a fundamental bounded resolution if and only if  $X$  is  $\sigma$ -compact.*

*Proof.* If  $X$  is  $\sigma$ -compact, Theorem 4.2 ensures that  $C_k(X)$  has a resolution consisting of compact sets that swallows the compact sets. So,  $C_k(X)$  has a bounded resolution  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  consisting of closed absolutely convex bounded sets. As  $X$  is a  $k_{\mathbb{R}}$ -space,  $C_k(X)$  is complete and consequently each  $A_\alpha$  is a Banach disk. So, Theorem 3.17 provides a resolution  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  for  $C_k(X)$  consisting of Banach disks that swallows the Banach disks, hence the bounded sets in  $C_k(X)$ . Thus,  $C_k(X)$  has a fundamental bounded resolution. The converse comes from Corollary 3.8.  $\square$

**Theorem 4.4 (Christensen [15, Theorem 3.7]).** *Let  $X$  be a separable metric space.  $C_k(X)$  is analytic if and only if  $X$  is  $\sigma$ -compact.*

*Proof.* If  $C_k(X)$  is analytic then  $C_p(X)$  is analytic as well, so Calbrix’s theorem ensures that  $X$  is  $\sigma$ -compact. If  $X$  is  $\sigma$ -compact then  $C_k(X)$  has a resolution of compact sets by Theorem 4.2. Hence  $C_k(X)$  is  $K$ -analytic by Theorem 4.1. As  $X$  is a separable metric space, it is a cosmic space, and so is  $C_p(X)$ . So,  $C_p(X)$  being  $K$ -analytic and cosmic is analytic. Hence  $C_p(X)$  must be submetrizable by the second statement of [24, Theorem 85] (see [66, Proposition 6.3]). Consequently,  $C_k(X)$  is  $K$ -analytic and submetrizable, hence analytic by the first statement of [24, Theorem 85].  $\square$

If  $\mathcal{N}$  is a uniformity for a (nonempty) set  $X$ , we denote by  $\tau_{\mathcal{N}}$  the uniform topology defined by  $\mathcal{N}$ . A base  $\{U_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  of  $\mathcal{N}$  is called a  $\mathfrak{G}$ -base if  $U_\beta \subseteq U_\alpha$  whenever  $\alpha \leq \beta$ . There is no loss of generality by assuming that each  $U_\alpha$  is a symmetric vicinity. On the other hand, if  $\{\mathcal{U}_\lambda : \lambda \in \Lambda\}$  is the family of all admissible uniformities for a completely regular space  $(X, \tau)$ , the smallest uniformity  $\mathcal{U}_{\lambda_0}$  that makes all  $\tau$ -continuous functions  $f : X \rightarrow \mathbb{R}$  uniformly continuous, is called the *Nachbin uniform structure* of  $X$ , [61].

**Theorem 4.5 (Ferrando, [21, Theorem 1]).**  $C_k(X)$  has a resolution consisting of equicontinuous sets if and only if there exists an admissible uniformity for  $X$ , larger than or equal to the Nachbin uniformity, with a  $\mathfrak{G}$ -base.

*Proof.* Assume  $\mathcal{N}$  is a uniformity for  $X$  which contains the Nachbin uniform structure and let  $\{U_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  be a  $\mathfrak{G}$ -base of  $\mathcal{N}$ . If  $\{\alpha_n\}_{n=1}^\infty$  is a sequence in  $\mathbb{N}^{\mathbb{N}}$ , encode  $\{\alpha_n\}_{n=1}^\infty$  in  $\alpha$  as indicated in the proof of Theorem 4.2 and define

$$P_\alpha = \left\{ f \in C(X) : \sup_{(x,y) \in U_{\alpha_n}} |f(x) - f(y)| \leq \frac{1}{n} \forall n \in \mathbb{N} \right\}.$$

We claim that  $\{P_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a resolution for  $C_k(X)$  consisting of equicontinuous sets. In fact, since if  $\alpha \leq \beta$  then  $\alpha_n \leq \beta_n$  for every  $n \in \mathbb{N}$ , clearly  $P_\alpha \subseteq P_\beta$ . On the other hand, if  $f \in C(X)$ , since  $\mathcal{N}$  is larger than the Nachbin uniformity,  $f$  is  $\mathcal{N}$ -uniformly continuous on  $X$ . Bearing in mind that  $\{U_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a  $\mathfrak{G}$ -base of  $\mathcal{N}$ , for each  $n \in \mathbb{N}$  there exists  $\alpha_n \in \mathbb{N}^{\mathbb{N}}$  such that  $|f(x) - f(y)| \leq 1/n$  whenever  $(x, y) \in U_{\alpha_n}$ , which shows that  $f \in P_\alpha$  for  $\alpha$  defined as above. Finally, let us see that each set  $P_\alpha$  is equicontinuous. Indeed, given  $\epsilon > 0$  take  $n \in \mathbb{N}$  such that  $1/n < \epsilon$ . According to the definition of  $P_\alpha$  there is  $\alpha_n \in \mathbb{N}^{\mathbb{N}}$ , which we extract from  $\alpha$  as explained earlier, such that  $|f(x) - f(y)| < \epsilon$  whenever  $(x, y) \in U_{\alpha_n}$  and this happens for every  $f \in P_\alpha$ , which shows that  $P_\alpha$  is uniformly equicontinuous, hence equicontinuous.

For the converse, suppose that  $\{P_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a resolution of  $C_k(X)$  consisting of equicontinuous sets. For each  $\alpha \in \mathbb{N}^{\mathbb{N}}$  define

$$V_\alpha = \{(x, y) \in X \times X : \sup_{f \in P_\alpha} |f(x) - f(y)| < \alpha(1)^{-1}\}.$$

If  $\alpha \leq \beta$  then  $P_\alpha \subseteq P_\beta$ , which implies that  $V_\beta \subseteq V_\alpha$ . Let us see that  $\{V_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a base of some uniformity  $\mathcal{N}$  for  $X$ . First observe that the diagonal  $\Delta(X) = \{(x, x) : x \in X\}$  is contained in each  $V_\alpha$ , so no  $V_\alpha$  is empty. On the other hand, clearly  $\{V_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a filter-base with  $V_\alpha^{-1} = V_\alpha$ . In addition, if  $\beta \in \mathbb{N}^{\mathbb{N}}$  satisfies that  $\beta \geq \alpha$  with  $\beta(1) \geq 2\alpha(1)$  we claim that  $V_\beta \circ V_\beta \subseteq V_\alpha$ . Indeed, if  $(x, y) \in V_\beta \circ V_\beta$  there is  $z \in X$  with  $(x, z), (z, y) \in V_\beta$ . Hence  $|f(x) - f(z)| < \beta(1)^{-1}$  and  $|f(z) - f(y)| < \beta(1)^{-1}$  for every  $f \in P_\beta$ . So,  $|f(x) - f(y)| < 2\beta(1)^{-1} \leq \alpha(1)^{-1}$  for all  $f \in P_\alpha \subseteq P_\beta$ , which shows that  $(x, y) \in V_\alpha$ .

Let us check that  $\mathcal{N}$  is an admissible uniformity for  $X$ , i. e., that  $\tau_{\mathcal{N}}$  coincides with the original topology of  $X$ . Since  $X$  is completely regular, it suffices to show that  $X$  and  $(X, \tau_{\mathcal{N}})$  have the same continuous functions. Take  $f \in C(X)$ , pick an arbitrary point  $x_0 \in X$  and choose  $\epsilon > 0$ . Then select  $\alpha \in \mathbb{N}^{\mathbb{N}}$  such that  $f \in P_\alpha$  and  $\alpha(1)^{-1} < \epsilon$ . Clearly

$$V_\alpha(x_0) = \{y \in X : (x_0, y) \in V_\alpha\}$$

is a  $\tau_{\mathcal{N}}$ -neighborhood of  $x_0$ , and since  $|f(x) - f(y)| < \alpha(1)^{-1} < \epsilon$  for every  $(x, y) \in V_\alpha$ , we have in particular that  $|f(x_0) - f(y)| < \epsilon$  for all  $y \in V_\alpha(x_0)$ . This shows that  $f$  is continuous at  $x_0$  under  $\tau_{\mathcal{N}}$ . Assume conversely that  $f \in C(X, \tau_{\mathcal{N}})$  and fix  $x_0 \in X$  and  $\epsilon > 0$ . Then there is  $\alpha \in \mathbb{N}^{\mathbb{N}}$  with  $|f(x_0) - f(y)| < \epsilon$  for every  $y \in V_\alpha(x_0)$ . But, since  $P_\alpha$  is equicontinuous at  $x_0$ , there exists a neighborhood  $V$  of  $x_0$  of the original topology of  $X$  such that  $\sup_{h \in P_\alpha} |h(y) - h(x_0)| < \alpha(1)^{-1}$  for every  $y \in V$ . Hence if  $x \in V$  then  $\sup_{h \in P_\alpha} |h(x) - h(x_0)| < \alpha(1)^{-1}$ , which according to the definition of  $V_\alpha$  means that  $x \in V_\alpha(x_0)$ . This shows that  $V \subseteq V_\alpha(x_0)$  and thus  $|f(x_0) - f(y)| < \epsilon$  for all  $y \in V$ . So  $f$  is continuous at  $x_0$  under the original topology of  $X$  and  $f \in C(X)$ .

Let us finally check that the uniformity  $\mathcal{N}$  generated by the base  $\{V_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is larger than the Nachbin uniformity. We have to prove that every real-valued continuous function on  $X$  is  $\mathcal{N}$ -uniformly continuous. Now, given  $f \in C(X)$  and  $\epsilon > 0$ , taking advantage of the fact that  $\{P_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a resolution of  $C(X)$ , we can choose  $\gamma \in \mathbb{N}^{\mathbb{N}}$  such that  $\gamma(1)^{-1} < \epsilon$  and  $f \in P_\gamma$ . Consequently, for each  $(x, y) \in V_\gamma$  it happens that  $|f(x) - f(y)| < \gamma(1)^{-1} < \epsilon$ , which shows that  $f$  is  $\mathcal{N}$ -uniformly continuous, as stated.  $\square$

**Corollary 4.6.** Let  $X$  be a  $k_{\mathbb{R}}$ -space. If  $C_k(X)$  is  $K$ -analytic then there exists an admissible uniformity for  $X$ , larger than or equal to the Nachbin uniformity, with a  $\mathfrak{G}$ -base.

**Theorem 4.7 (Ferrando-Gabrielyan-Kąkol, [27, Theorem 1.8]).**  $C_k(X)$  has a resolution consisting of weakly compact sets that swallows the weakly compact sets if and only if  $X$  is countable and discrete.

*Proof.* First we claim that if  $C_k(X)$  has a resolution  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  consisting of weakly compact sets that swallows the weakly compact sets in  $C_k(X)$ , each compact set in  $X$  is finite. As  $C_p(X)$  admits a resolution of compact sets, it is  $K$ -analytic by Theorem 3.10, so  $C_p(C_p(X))$  is angelic by Lemma 3.1. Hence, each compact set of  $X \hookrightarrow C_p(C_p(X))$  is Fréchet-Urysohn. If there exists an infinite compact set  $K$  in  $X$ , then  $K$  contains an infinite convergent sequence that, together with its limit, is homeomorphic to a metrizable compact subset  $Q$  of  $\beta X$ . Thus, there is a continuous linear extender map  $\varphi : C_p(Q) \rightarrow C_p(\beta X)$ , [5]. If  $S : C_p(\beta X) \rightarrow C_p(X)$  is the restriction map  $Sg = g|_X$ , the mapping  $\psi = S \circ \varphi$  is a continuous linear extender, i. e.,  $\psi(f)|_Q = f$  for every  $f \in C(Q)$ . This ensures that the linear map  $\psi : C(Q) \rightarrow C_k(X)$  (weak), where  $C_k(X)$  (weak) stands for the space  $C_k(X)$  equipped with its weak topology, has closed graph. Since  $C(Q)$  is a Banach space and  $C_k(X)$  (weak) has a resolution of compact sets, the closed graph theorem [31, Theorem 1] ensures that  $\psi : C(Q) \rightarrow C_k(X)$  (weak) is continuous, so weakly continuous.

A routine procedure shows that the family  $\{\psi^{-1}(A_\alpha) : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a resolution for the Banach space  $C(Q)$  consisting of weakly compact sets. If  $P$  is a compact set under the weak topology of  $C_k(Q)$ , then  $\psi(P)$  is a compact set in  $C_k(X)$  (weak). Hence, there is a  $\gamma \in \mathbb{N}^{\mathbb{N}}$  such that  $\psi(P) \subseteq A_\gamma$ , so that  $P \subseteq \psi^{-1}(A_\gamma)$ . This means that  $\{\psi^{-1}(A_\alpha) : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  swallows the compact sets of  $C(Q)$  (weak). But it is shown in [55] that for compact  $Q$ , if the Banach space  $C(Q)$  has a resolution of weakly compact sets that swallows the weakly compact sets, then  $Q$  is finite. Thus  $Q$  must be a finite set, a contradiction.

Finally, since each compact set in  $X$  is finite, one has  $C_k(X) = C_k(X)$  (weak) =  $C_p(X)$ . So  $X$  must be countable and discrete by Theorem 3.15.  $\square$

A Fréchet space  $E$  is called a *Strongly Weakly Countably Generated* (briefly a SWCG) space if every bounded set in  $(E', \mu(E', E))$  is metrizable. Equivalently,  $E$  is a SWCG space if given a base of closed absolutely convex neighborhoods of zero  $\{U_n : n \in \mathbb{N}\}$  with  $2U_{n+1} \subseteq U_n$  for each  $n \in \mathbb{N}$  there exists an absolutely convex weakly compact set  $K \subseteq E$  such that for every weakly compact (absolutely convex) set  $L \subseteq E$  and every  $n \in \mathbb{N}$  there is  $\alpha(n) \in \mathbb{N}$  with  $L \subseteq \alpha(n)K + U_n$  [30, Theorem 9]. A Fréchet space  $E$  is called *Strongly Weakly  $K$ -Analytic* (briefly SWKA) space if  $(E, \sigma(E, E'))$  admits a compact resolution that swallows the  $\sigma(E, E')$ -compact sets.

If  $E$  is a Fréchet space with a base of closed absolutely convex neighborhoods of zero  $\{U_n : n \in \mathbb{N}\}$  such that  $2U_{n+1} \subseteq U_n$  for each  $n \in \mathbb{N}$ , a resolution  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  for  $E$  is called *weakly compactly generated* if there exists an absolutely convex weakly compact set  $K$  such that

$$A_\alpha = \bigcap_{n=1}^{\infty} (\alpha(n)K + U_n)$$

for every  $\alpha \in \mathbb{N}^{\mathbb{N}}$ . Clearly  $A_\alpha \subseteq A_\beta$  whenever  $\alpha \leq \beta$ , and the condition imposed to the base implies that each  $A_\alpha$  is closed. Hence  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a weakly compact resolution for  $E$ , as follows from [30, Claim 6].

**Theorem 4.8.** A Fréchet space  $E$  is SWCG if and only if  $E$  has a weakly compactly generated resolution that swallows the weakly compact sets.

*Proof.* Assume that  $E$  is a SWCG space, and let  $\{U_n : n \in \mathbb{N}\}$  be a base of closed absolutely convex neighborhoods of the origin such that  $2U_{n+1} \subseteq U_n$  for every  $n \in \mathbb{N}$ . For every  $\alpha \in \mathbb{N}^{\mathbb{N}}$  set  $A_\alpha := \bigcap_{n=1}^{\infty} (\alpha(n)K + U_n)$ , where  $K$  is the absolute convex weakly compact set mentioned after the definition of SWCG space. Clearly  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a weakly compactly generated resolution for  $E$ . If  $L \subseteq E$  is a weakly compact set in  $E$ , for each  $n \in \mathbb{N}$  there exists  $\gamma(n) \in \mathbb{N}$  such that  $L \subseteq \gamma(n)K + U_n$ , so that  $L \subseteq A_\gamma$ . Hence  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  swallows the weakly compact sets of  $E$ .

Assume conversely that  $E$  contains a weakly compactly generated resolution  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  that swallows the weakly compact sets. Then there exists a weakly compact absolutely convex set  $Q$  such that  $A_\alpha = \bigcap_{n=1}^{\infty} (\alpha(n)Q + U_n)$  for every  $\alpha \in \mathbb{N}^{\mathbb{N}}$ . If  $L$  is any weakly compact set in  $E$  there is  $\gamma \in \mathbb{N}^{\mathbb{N}}$  such that  $L \subseteq A_\gamma$ , hence for each  $n \in \mathbb{N}$  one gets  $L \subseteq \gamma(n)Q + U_n$ . So  $E$  is a SWCG space.  $\square$

**Theorem 4.9 (Ferrando-Kąkol, [30, Theorem 22]).** *If  $C_k(X)$  is a Fréchet space, the following statements are equivalent*

1.  $C_k(X)$  is a SWCG space.
2.  $C_k(X)$  is a SWKA space.
3.  $X$  is countable and discrete.

*Proof.* Clearly  $1 \Rightarrow 2$ . Equivalence  $2 \Leftrightarrow 3$  is consequence of Theorem 4.7. If  $X$  is countable and discrete then  $C_k(X) = \mathbb{R}^X$  is reflexive, so  $3 \Rightarrow 1$ .  $\square$

### 5. Closure-preserving coverings for $C_p(X)$

A closure-preserving covering of  $C_p(X)$  is a generalization of a locally finite covering. A covering  $\mathcal{F}$  of a space  $X$  is called *closure-preserving* if

$$\overline{\bigcup \{F : F \in \mathcal{G}\}} = \bigcup \{\overline{F} : F \in \mathcal{G}\}$$

for any  $\mathcal{G} \subseteq \mathcal{F}$ .

**Theorem 5.1 (Guerrero, [40, Corollary 2.7]).**  *$C_p(X)$  admits a closure-preserving covering by closed  $\sigma$ -countably compact sets if and only if  $X$  is finite.*

*Proof.* First let us suppose that  $\mathcal{F}$  is a closure-preserving covering of  $C_p(X)$  by closed  $\sigma$ -compact subspaces. Note that  $X$  must be pseudocompact. Otherwise  $C_p(X)$  has a closed homeomorphic copy of  $\mathbb{R}^{\mathbb{N}}$  and hence  $C_p(\mathbb{N})$  has a closure-preserving covering  $\mathcal{G}$  by closed  $\sigma$ -compact subspaces. As the space  $C_p(\mathbb{N})$  is separable, there exists a countable subfamily  $\mathcal{G}_0 \subseteq \mathcal{G}$  such that  $\bigcup \mathcal{G}_0 = \overline{\bigcup \mathcal{G}_0} = C_p(\mathbb{N})$ , which means that  $C_p(\mathbb{N})$  is covered by a countable family of compact sets. Thus  $\mathbb{N}$  should be finite by Velichko’s theorem, a contradiction.

If  $f \in C(X)$  we claim that  $f(X)$  is finite. Indeed, if  $Y := f(X)$  since  $Y$  is a separable metric space the space  $C_p(Y)$  is separable. On the other hand, as  $X$  is pseudocompact and  $Y$  is second countable  $f$  is an  $\mathbb{R}$ -quotient map [72, S.154, Fact 3], so the pullback  $f^* : C_p(Y) \rightarrow C_p(X)$  defined by  $f^*(g) = g \circ f$  embeds  $C_p(Y)$  in  $C_p(X)$  as a closed subspace [1, 0.4.10 Proposition]. Therefore,  $C_p(Y)$  is covered by a closure-preserving family  $\mathcal{M}$  of closed  $\sigma$ -compact subspaces and there exists a countable subfamily  $\mathcal{N}$  of  $\mathcal{M}$  such that  $\bigcup \mathcal{N} = \overline{\bigcup \mathcal{N}} = C_p(Y)$ . Again Velichko’s theorem implies that  $Y$  must be finite.

Since  $f(X)$  is finite for every  $f \in C(X)$ , the space  $X$  must be finite. If not there is a countable discrete subspace  $D = \{x_n : n \in \mathbb{N}\}$  in  $X$  and a countable family of open sets  $\{U_n : n \in \mathbb{N}\}$  such that  $U_n \cap D = \{x_n\}$  and  $\overline{U_i} \cap \overline{U_j} = \emptyset$  if  $i \neq j$ . So, for each  $n \in \mathbb{N}$  there is  $f_n \in C(X)$  with  $0 \leq f_n \leq 1$  such that  $f_n(x_n) = 1$  and  $f_n(x) = 0$  if  $x \in X \setminus U_n$ . Then clearly  $f = \sum_{n=1}^{\infty} f_n \in C(X)$  but  $f(X) \supseteq D$ , which is infinite, a contradiction.

If the closure-preserving covering consists of closed  $\sigma$ -countably compact sets instead of closed  $\sigma$ -compact sets, we get the same conclusion by using the Tkachuk-Shakhmatov theorem instead of Velichko’s theorem.

Conversely,  $\mathbb{R}^n$  can always be covered by a countable family of compact balls.  $\square$

**Theorem 5.2 (Guerrero, [40, Corollary 2.8]).** *If  $C_p(X)$  admits a closure-preserving covering by countably compact sets then  $X$  is finite.*

*Proof.* Let  $\mathcal{F}$  be a closure-preserving cover of  $C_p(X)$  by countably compact sets. If  $X$  is not pseudocompact, there is a sequence  $\{F_n : n \in \mathbb{N}\}$  in  $\mathcal{F}$  with  $\bigcup_{n=1}^{\infty} \overline{F_n} \cap \mathbb{R}^{\mathbb{N}} = \mathbb{R}^{\mathbb{N}}$ . So,  $\mathbb{R}^{\mathbb{N}}$  is covered by a countable family of pseudocompact sets. In this case Theorem 2.6 forces  $\mathbb{N}$  to be pseudocompact, a contradiction. So,  $X$  is pseudocompact.

Then [1, 3.4.23 Theorem] shows that each member of  $\mathcal{F}$  is a compact set. Hence,  $\mathcal{F}$  is a closure-preserving cover of  $C_p(X)$  by compact sets, and the conclusion follows from the preceding theorem.  $\square$

**Lemma 5.3 (Guerrero, [40, Lemma 2.10]).** *Let  $X$  be an infinite compact space. If  $C_p(X)$  admits a closure-preserving covering by subspaces of density less than or equal to an infinite cardinal  $\kappa$  then  $w(X) \leq \kappa$ .*

*Proof.* We shall restrict ourselves to the case  $\kappa = \aleph_0$ , what will be used later. So, assume  $C_p(X)$  admits a closure-preserving covering  $\mathcal{F}$  by closed separable subspaces. Proceed by contradiction by supposing  $w(X) > \aleph_0$ . It suffices to consider the case  $w(X) = \aleph_1$ .

Since  $d(C_p(X)) = iw(X) = w(X) = \aleph_1$  [58], there is  $\mathcal{F}_0 \subseteq \mathcal{F}$  such that  $|\mathcal{F}_0| \leq \aleph_1$  and  $C_p(X) = \bigcup \mathcal{F}_0$ . This covering can be rewritten as  $\{F_\alpha : 0 \leq \alpha < \omega_1\}$ , and if we define  $G_\alpha = \bigcup \{F_\beta : 0 \leq \beta < \alpha\}$  for every  $0 \leq \alpha < \omega_1$ , clearly  $\mathcal{G} = \{G_\alpha : 0 \leq \alpha < \omega_1\}$  is an increasing closure-preserving covering of  $C_p(X)$  by separable subspaces which swallows the separable sets in  $C_p(X)$ .

As  $X$  is embeddable in  $[0, 1]^{\omega_1}$ , let us consider the natural projections  $\pi_\alpha : X \rightarrow [0, 1]^\alpha$  for  $0 \leq \alpha < \omega_1$ . For each  $\alpha < \omega_1$  define  $Z_\alpha = \pi_\alpha(X)$  and set  $M_\alpha := \pi_\alpha^*(C(Z_\alpha))$ , where  $\pi_\alpha^* : C_p(Z_\alpha) \rightarrow C_p(X)$  is the pullback of  $\pi_\alpha$ , defined as usual by  $\pi_\alpha^*(h) = h \circ \pi_\alpha$ . It can be easily seen that the family  $\mathcal{M} = \{M_\alpha : 0 \leq \alpha < \omega_1\}$  is another increasing covering of  $C_p(X)$  such that  $d(M_\alpha) = w(Z_\alpha) \leq \aleph_0$ . So, for each  $\alpha < \omega_1$  there exists  $\alpha \leq \beta < \omega_1$  with  $M_\alpha \subseteq G_\beta$ . Conversely, for each  $\beta < \omega_1$  there exists  $\beta \leq \gamma < \omega_1$  with  $\overline{G_\beta} \subseteq M_\gamma$ .

Note that  $X$  cannot be embedded in  $[0, 1]^\alpha$  for any  $\alpha < \omega_1$ , otherwise if  $X \hookrightarrow [0, 1]^\gamma$  then  $w(X) = |\gamma| = \aleph_0$ , a contradiction. This entails that for each  $\alpha < \omega_1$  there exists  $\beta < \omega_1$  with  $\beta \geq \alpha$  such that both  $G_\alpha \subseteq M_\beta$  and the natural projection  $\pi_{\alpha,\beta} : Z_\beta \rightarrow Z_\alpha$  is not injective. So we may get an increasing sequence of countable ordinals  $\{\alpha_n : n \in \mathbb{N}\}$  such that  $\overline{G_{\alpha_{2n-1}}} \subseteq M_{2n} \subseteq G_{\alpha_{2n+1}}$  and the projection  $\pi_{\alpha_{2n-1}, \alpha_{2n}} : Z_{\alpha_{2n}} \rightarrow Z_{\alpha_{2n-1}}$  is not injective. Let  $\gamma := \sup \{\alpha_n : n \in \mathbb{N}\}$  and for each  $n$  choose two different points  $x_n, y_n \in Z_{\alpha_{2n}} \subseteq Z_\gamma$  with  $\pi_{\alpha_{2n-1}, \gamma}(x_n) = \pi_{\alpha_{2n-1}, \alpha_{2n}}(x_n) = \pi_{\alpha_{2n-1}, \alpha_{2n}}(y_n) = \pi_{\alpha_{2n-1}, \gamma}(y_n)$ .

According to [40, Lemma 2.9] there is  $g \in C(Z_\gamma)$  whose restriction to  $\{x_n, y_n : n \in \mathbb{N}\}$  is injective, so that  $g(x_n) \neq g(y_n)$  for every  $n \in \mathbb{N}$ . This means that  $\text{supp } g \not\subseteq Z_{\alpha_{2n}}$  for all  $n \in \mathbb{N}$ , in other words,  $g$  does not belong to  $C(Z_{\alpha_{2n}})$  for any  $n \in \mathbb{N}$ . Hence, the function  $f = \pi_\gamma^*(g) \in \pi_\gamma^*(C(Z_\gamma)) = M_\gamma$  does not belong to  $M_{\alpha_{2n}}$  for any  $n \in \mathbb{N}$ . Thus  $f \notin \bigcup_{n=1}^\infty M_{2n} = G_\gamma$ , the latter equality because both  $\mathcal{G}$  and  $\mathcal{M}$  are increasing,  $G_{\alpha_{2n-1}} \subseteq M_{\alpha_{2n}} \subseteq G_{\alpha_{2n+1}}$  and  $\bigcup \mathcal{G} = C(X)$ .

On the other hand, let a finite subset  $A$  of  $X$  and  $\epsilon > 0$  be given. Let

$$U_f = \{h \in M_\gamma : |h(x) - f(x)| < \epsilon, x \in A\}$$

be a neighborhood of  $f$  in the relative topology of  $M_\gamma$ . If  $\pi_\gamma(x) = \pi_\gamma(y)$  for  $x, y \in A$  then  $f(x) = f(y)$ , so we may assume  $\pi_\gamma(x) \neq \pi_\gamma(y)$  for each pair  $x, y \in A$ . In this case there is  $l \in \mathbb{N}$  such that  $\pi_{\alpha_{2l}, \gamma}$  is one-to-one on  $\pi_\gamma(A)$ . Hence  $\pi_{\alpha_{2l}} = \pi_{\alpha_{2l}, \gamma} \circ \pi_\gamma$  is one-to-one on  $A$ . So, we can choose  $\varphi \in C(Z_{\alpha_{2l}})$  such that  $\varphi(\pi_{\alpha_{2l}}(x)) = f(x)$  for each  $x \in A$ .

Since  $h := \varphi \circ \pi_{\alpha_{2l}} = \pi_{\alpha_{2l}}^*(\varphi) \in M_{2l} \subseteq M_\gamma$ , clearly  $h \in U_f$ . So,  $f \in \overline{M_{2l}}$  and consequently  $f \in \overline{M_{2l}} \subseteq \overline{G_{\alpha_{2n+1}}} \subseteq G_{\alpha_{2n+3}} \subseteq G_\gamma$ , a contradiction.  $\square$

**Theorem 5.4 (Guerrero, [40, Corollary 2.13]).** *Let  $X$  be an infinite compact space.  $C_p(X)$  admits a closure-preserving covering by separable subspaces if and only if  $X$  is metrizable.*

*Proof.* If  $X$  is a compact metrizable space, then  $C_p(X)$  is separable. Let  $D$  be a countable dense subspace of  $C_p(X)$ . For every  $f \in C(X)$  put  $D_f := D \cup \{f\}$ . Then clearly  $\mathcal{F} = \{D_f : f \in C(X)\}$  is a closure-preserving covering of  $C_p(X)$  by separable subspaces. Conversely, if the space  $C_p(X)$  admits a closure-preserving covering by separable subspaces, Lemma 5.3 with  $\kappa = \aleph_0$  yields  $w(X) \leq \aleph_0$ . Since  $X$  is compact, this implies that  $X$  must be metrizable.  $\square$

**Theorem 5.5 (Guerrero, [40, Corollary 2.14]).** *Let  $X$  be an infinite compact space.  $C_p(X)$  admits a closure-preserving covering by second countable subspaces if and only if  $X$  is countable.*

*Proof.* If  $C_p(X)$  admits a closure-preserving covering by second countable subspaces, then  $C_p(X)$  admits a closure-preserving cover by separable subspaces. Hence  $X$  is metrizable by the previous theorem and,

consequently,  $C_p(X)$  is separable. This clearly implies that  $C_p(X)$  has indeed a countable covering by second countable subspaces, so we may apply [70, Corolary 1.7] to guarantee that  $X$  is countable.  $\square$

For the following lemma, given a function  $f \in C^b(X)$  and a number  $\epsilon > 0$  let

$$I(f, \epsilon) = \{g \in C^b(X) : \|f - g\|_\infty \leq \epsilon\}.$$

**Lemma 5.6 (Guerrero-Tkachuk [43, Proposition 2.1 (a)]).** *If  $\mathcal{F}$  is a closure-preserving covering of  $C_p(X)$  by closed subspaces, there exist  $F \in \mathcal{F}$  and  $f \in C^b(X)$  such that  $I(f, \epsilon) \subseteq F$  for some  $\epsilon > 0$ .*

*Proof.* We claim that the family  $\{F \cap C^b(X) : F \in \mathcal{F}\}$  is also a closure-preserving covering by closed subspaces of the Banach space  $C^b(X)$  equipped with the supremum-norm  $\|\cdot\|_\infty$ . Indeed, since the Banach topology  $\tau_u$  is stronger than the pointwise topology, denoting  $C^b(X)$  by  $G$ , if  $\mathcal{F}' \subseteq \mathcal{F}$  one has

$$\bigcup_{F \in \mathcal{F}'} F \cap G = G \cap \overline{\bigcup_{F \in \mathcal{F}'} F}^{\tau_p} \supseteq G \cap \overline{\bigcup_{F \in \mathcal{F}'} F \cap G}^{\tau_p} \supseteq \overline{\bigcup_{F \in \mathcal{F}'} F \cap G}^{\tau_u} \supseteq \bigcup_{F \in \mathcal{F}'} F \cap G.$$

By [68, Theorem 2.5] there exist  $F \in \mathcal{F}$  and  $f \in C^b(X)$  for which there is an open ball

$$B(f, \delta) = \{g \in C^b(X) : \|f - g\|_\infty < \delta\}$$

centered at  $f$  in the Čech-complete space  $(C^b(X), \|\cdot\|_\infty)$ , such that  $B(f, \delta) \subseteq F \cap G$ . Hence, if  $\epsilon = \delta/2$  we get  $I(f, \epsilon) \subseteq F$ .  $\square$

**Theorem 5.7 (Guerrero-Tkachuk [43, Corollary 2.5]).** *If  $\mathcal{P}$  is a hereditary topological property and  $C_p(X)$  has a closure-preserving cover  $\mathcal{F}$  by closed subspaces such that each  $F \in \mathcal{F}$  has property  $\mathcal{P}$ , both  $C_p(X, [0, 1])$  and  $C_p(X)$  have property  $\mathcal{P}$ .*

*Proof.* Under these hypotheses we claim that some  $F \in \mathcal{F}$  contains a homeomorphic copy of  $C_p(X)$ . By Lemma 5.6 there exist  $F \in \mathcal{F}$  and  $f \in C^b(X)$  such that  $I(f, \epsilon) \subseteq F$  for some  $\epsilon > 0$ . Then the map  $\varphi : C_p(X, [0, 1]) \rightarrow C_p^b(X)$  defined by

$$\varphi(g) = 2\epsilon\left(g - \frac{1}{2}\right) + f$$

is a homeomorphism such that  $\varphi(C(X, [0, 1])) = I(f, \epsilon)$ . Since  $F$  has the hereditary property  $\mathcal{P}$ , the set  $I(f, \epsilon)$  also has property  $\mathcal{P}$  and consequently  $C_p(X, [0, 1])$  has property  $\mathcal{P}$ . But  $C_p(X, [0, 1])$  contains  $C_p(X, (0, 1))$ , which is homeomorphic to  $C_p(X)$ . So  $C_p(X)$  also has property  $\mathcal{P}$ .  $\square$

**Theorem 5.8 (Guerrero-Tkachuk [43, Theorem 2.7]).** *Let  $\mathcal{P}$  be a closed hereditary topological property. If  $C_p(X)$  has a closure-preserving cover  $\mathcal{F}$  by closed subspaces such that each  $F \in \mathcal{F}$  has property  $\mathcal{P}$ , then  $C_p(X, [0, 1])$  has property  $\mathcal{P}$ .*

*Proof.* Again Lemma 5.6 provides  $F \in \mathcal{F}$  and  $f \in C^b(X)$  such that  $I(f, \epsilon) \subseteq F$  for some  $\epsilon > 0$ . By the proof of Theorem 5.7 the subspace  $I(f, \epsilon)$  of  $C_p^b(X)$  is homeomorphic to  $C_p(X, [0, 1])$  and closed in  $F$ , so  $C_p(X, [0, 1])$  has property  $\mathcal{P}$ .  $\square$

**Remark 5.9.** *Applications of the preceding results.* Theorem 5.7 applies for instance to the Fréchet-Urysohn property and metrizability. Theorem 5.8 applies to  $K$ -analyticity, Lindelöf  $\Sigma$ -property and normality. Concerning realcompactness, if  $C_p(X)$  has a closure-preserving cover  $\mathcal{F}$  by closed subspaces such that each  $F \in \mathcal{F}$  is realcompact, Theorem 5.8 ensures that the space  $C_p(X, [0, 1])$  is realcompact. Since  $C(X, (0, 1))$  can be obtained from  $C_p(X, [0, 1])$  by throwing out a union of  $G_\delta$ -subsets of  $C_p(X, [0, 1])$ , it turns out that  $C(X, (0, 1))$  is realcompact (see [72, Problem 408]). Hence  $C_p(X)$  is realcompact.

**Corollary 5.10 (Guerrero-Tkachuk [43, Proposition 2.20]).** *If  $X$  is a Lindelöf  $\Sigma$ -space and  $C_p(X)$  has a closure-preserving cover by closed Lindelöf  $\Sigma$ -subspaces then  $C_p(X)$  is a Lindelöf  $\Sigma$ -space.*

*Proof.* According to Theorem 5.8,  $C_p(X, [0, 1])$  must be a Lindelöf  $\Sigma$ -space. So [1, IV.9.17 Theorem] ensures that  $C_p(X)$  is a Lindelöf  $\Sigma$ -space.  $\square$

### 6. Domination by a second countable space

Given a Tychonoff space  $M$ , a family of sets  $\mathcal{A}$  of another Tychonoff space  $X$  is said to be  $M$ -ordered (or ordered by  $M$ ) if  $\mathcal{A} = \{A_K : K \in \mathcal{K}(M)\}$ , where  $\mathcal{K}(M)$  denotes the family of all compact sets in  $M$ , and  $P \subseteq Q$  in  $M$  implies  $A_P \subseteq A_Q$ . The space  $X$  is said to be  $M$ -dominated (or dominated by the space  $M$ ) if  $X$  has an  $M$ -ordered covering  $\mathcal{A}$  consisting of compact sets (an  $M$ -ordered compact covering).

**Theorem 6.1 (Cascales-Orihuela-Tkachuk, [14, 2.1(a) Theorem]).** *Every Lindelöf  $\Sigma$ -space is dominated by a second countable space.*

*Proof.* An equivalent definition of Lindelöf  $\Sigma$ -space says that  $X$  is a Lindelöf  $\Sigma$ -space if and only if there exists a second countable space  $M$  and a compact-valued usc map  $T : M \rightarrow \mathcal{K}(X)$  such that  $\bigcup \{T(x) : x \in M\} = X$ . If  $K$  is a compact set in  $M$ , define  $A_K = \bigcup \{T(x) : x \in K\}$ . Clearly  $\mathcal{A} = \{A_K : K \in \mathcal{K}(M)\}$  is an  $M$ -ordered cover consisting of compact sets.  $\square$

The class of spaces dominated by second countable spaces has good stability properties [14, 2.1 Theorem].

**Theorem 6.2 (Cascales-Orihuela-Tkachuk, [14, 2.2 Proposition]).** *The following relations are equivalent for a Tychonoff space  $X$ .*

1.  $X$  has a resolution consisting of compact sets.
2.  $X$  is  $\mathbb{N}^{\mathbb{N}}$ -dominated.
3.  $X$  is dominated by a Polish space.

*Proof.* 1  $\Rightarrow$  2. Let  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  be a resolution for  $X$  of compact sets. If  $P \in \mathcal{K}(\mathbb{N}^{\mathbb{N}})$ , define  $\alpha_P \in \mathbb{N}^{\mathbb{N}}$  by  $\alpha_P(i) = \max \pi_i(P)$ , where  $\pi_i : \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}$  is the canonical  $i^{\text{th}}$ -projection. Clearly  $\alpha_P \leq \alpha_Q$  if  $P \subseteq Q$  and if we set  $A_P := A_{\alpha_P}$  for every  $P \in \mathcal{K}(\mathbb{N}^{\mathbb{N}})$ , then  $\mathcal{A} = \{A_P : P \in \mathcal{K}(\mathbb{N}^{\mathbb{N}})\}$  is an  $\mathbb{N}^{\mathbb{N}}$ -ordered family of compact sets which covers  $X$ . The latter because if  $x \in X$  there is  $\gamma \in \mathbb{N}^{\mathbb{N}}$  with  $x \in A_\gamma$ , and the set  $Q_\gamma := \{\alpha \in \mathbb{N}^{\mathbb{N}} : \alpha(i) \leq \gamma(i) \forall i \in \mathbb{N}\}$  is compact in  $\mathbb{N}^{\mathbb{N}}$  and verifies that  $\alpha_{Q_\gamma} = \gamma$ . So  $x \in A_{Q_\gamma}$ .

2  $\Rightarrow$  1. Let  $\{A_P : P \in \mathcal{K}(\mathbb{N}^{\mathbb{N}})\}$  be an  $\mathbb{N}^{\mathbb{N}}$ -ordered compact cover of  $X$ . If  $\gamma \in \mathbb{N}^{\mathbb{N}}$  let  $Q_\gamma \in \mathcal{K}(\mathbb{N}^{\mathbb{N}})$  be the previously defined set that verifies the equality  $\alpha_{Q_\gamma} = \gamma$ . Then the family  $\mathcal{A} = \{A_\gamma : \gamma \in \mathbb{N}^{\mathbb{N}}\}$  with  $A_\gamma := A_{Q_\gamma}$  verifies that  $A_\gamma \subseteq A_\delta$  if  $\gamma \leq \delta$ . Moreover,  $\mathcal{A}$  covers  $X$ . For if  $x \in X$  there is  $P \in \mathcal{K}(\mathbb{N}^{\mathbb{N}})$  with  $x \in A_P$ . So, if  $\sigma(i) = \max \pi_i(P)$  for every  $i \in \mathbb{N}$  then  $A_P \subseteq A_\sigma$  and hence  $x \in A_\sigma$ . Therefore  $\mathcal{A}$  is a resolution for  $X$  by compact sets.

2  $\Rightarrow$  3 is clear. Finally, if  $X$  is dominated by a Polish space  $M$ , there is an  $M$ -ordered compact cover  $\mathcal{A} = \{A_K : K \in \mathcal{K}(M)\}$ . Since  $M$  is a Polish space, there is an open continuous map  $\varphi : \mathbb{N}^{\mathbb{N}} \rightarrow M$  from  $\mathbb{N}^{\mathbb{N}}$  onto  $M$ . Consider the family  $\mathcal{F} = \{A_{\varphi(Q_\alpha)} : \alpha \in \mathbb{N}^{\mathbb{N}}\}$ . If  $x \in X$  there is a compact set  $K$  in  $M$  such that  $x \in A_K$  and there exists  $P \in \mathcal{K}(\mathbb{N}^{\mathbb{N}})$  such that  $\varphi(P) = K$  [18, 5.5.8]. If  $\sigma(i) = \max \pi_i(P)$  for every  $i \in \mathbb{N}$  then  $P \subseteq Q_\sigma$  and hence  $K = \varphi(P) \subseteq \varphi(Q_\sigma)$  so that  $x \in A_{\varphi(Q_\sigma)}$ . Hence  $\mathcal{F}$  covers  $X$  and clearly  $\mathcal{F}$  is a  $\mathbb{N}^{\mathbb{N}}$ -ordered compact covering for  $X$ . So  $X$  is  $\mathbb{N}^{\mathbb{N}}$ -dominated. This shows that 3  $\Rightarrow$  2.  $\square$

**Theorem 6.3 (Cascales-Orihuela-Tkachuk, [14, 2.4 Corollary]).**  $C_p(X)$  is dominated by a Polish space if and only if it is  $K$ -analytic.

*Proof.* If  $C_p(X)$  is dominated by a Polish space, by the previous theorem  $C_p(X)$  has a resolution consisting of compact sets. So  $C_p(X)$  is  $K$ -analytic by Theorem 3.10. Conversely, if  $C_p(X)$  is  $K$ -analytic, it has a resolution of compact sets [67]. Thus, according to Theorem 6.2,  $C_p(X)$  is dominated by a Polish space.  $\square$



**Lemma 6.4.** *If  $X$  is dominated by a second countable space,  $X$  has a countable network modulo a covering by countably compact sets and  $C_p(X)$  is Lindelöf  $\Sigma$ -framed in  $\mathbb{R}^X$ .*

*Proof.* If  $X$  is dominated by a second countable space  $M$ , the first statement of the consequent follows from [14, 2.6 Proposition], where one should notice that the fact that  $M$  is second countable is critical. The second follows from [71, 2.7 Proposition].  $\square$

**Theorem 6.5 (Cascales-Orihuela-Tkachuk, [14, 2.15 Theorem]).**  *$C_p(X)$  is dominated by a second countable space if and only if it is a Lindelöf  $\Sigma$ -space.*

*Proof.* Sufficiency is Theorem 6.1. For the necessity assume that  $\{F_K : K \in \mathcal{K}(M)\}$  is an  $M$ -ordered compact covering of  $C_p(X)$ . Apply Lemma 6.4 to show that  $C_p(C_p(X))$  is Lindelöf  $\Sigma$ -framed in  $\mathbb{R}^X$  and then Lemma 3.1 to get that  $vC_p(X)$  is a Lindelöf  $\Sigma$ -space. Then apply [1, IV.9.5 Theorem] to conclude that  $vX$  is also a Lindelöf  $\Sigma$ -space, which guarantees that the space  $C_p(vX)$  is angelic [25, Theorem 78].

If  $T : C_p(vX) \rightarrow C_p(X)$  denotes the restriction map  $Tf = f|_X$ , it can be easily seen that  $\{G_K : K \in \mathcal{K}(M)\}$ , where  $G_K = T^{-1}(F_K)$  is an  $M$ -ordered compact covering of  $C_p(vX)$ . Since  $C_p(vX)$  is dominated by a second countable space, Lemma 6.4 asserts that  $C_p(vX)$  has a countable network modulo a covering by countably compact subsets. But  $C_p(vX)$  angelicity ensures that  $C_p(vX)$  has a countable network modulo a covering by compact sets. So, according to [1, IV.9.1 Proposition],  $C_p(vX)$  is a Lindelöf  $\Sigma$ -space. Consequently  $C_p(X)$ , as a continuous image of a Lindelöf  $\Sigma$ -space, is also a Lindelöf  $\Sigma$ -space.  $\square$

Domination of each subspace of  $C_p(X)$  by a second countable space also leads to some interesting properties. We state the following theorem without proof (see [14] for details).

**Theorem 6.6 (Cascales-Orihuela-Tkachuk, [14, 2.18 Proposition]).** *If every subspace of  $C_p(X)$  is dominated by a second countable space, then  $C_p(X)$  is cosmic.*

A Tychonoff space  $X$  is *strongly dominated* by  $M$  if there exists an  $M$ -ordered compact covering  $\mathcal{F}$  of  $X$  that swallows the compact sets in  $X$ . Strong domination by second countable spaces has been extensively studied in [14, 41, 45, 74]. Under CH it is shown in [14, 3.10 Theorem] that, for compact  $X$ , if  $C_p(X)$  is strongly dominated by a second countable space,  $X$  must be countable. The CH is removed in [41], where it is proved that, assuming  $C_p(X)$  is a strongly dominated by a second countable space, if  $X$  is separable, scattered, second countable, compact or pseudocompact, then  $X$  is countable. Theorem 6.8 below extends this result to all Tychonoff spaces.

**Lemma 6.7 (Guerrero-Tkachuk, [45, Lemma 3.4.5]).** *Let  $X$  be an uncountable Lindelöf  $\Sigma$ -space. Assume  $C_p(X)$  is strongly dominated by a second countable space  $M$ , and let  $\{F_K : K \in \mathcal{K}(M)\}$  be an  $M$ -ordered compact covering of  $C_p(X)$  that swallows the compact sets in  $C_p(X)$ . Then there exists a family  $\mathcal{Q} = \{Q_K : K \in \mathcal{K}(M)\}$  of compact sets of  $\mathbb{R}^X$  such that  $Q_K \subseteq Q_L$  if  $K \subseteq L$  and  $\bigcup \mathcal{Q}$  contains the linear subspace  $\Sigma(X)$  of all countable supported functions of  $\mathbb{R}^X$ .*

*Proof.* If  $K \in \mathcal{K}(M)$ , let  $a_K(x) = \inf \{g(x) : g \in F_K\}$  and  $b_K(x) = \sup \{g(x) : g \in F_K\}$ . Letting

$$Q_K = \prod_{x \in X} [a_K(x), b_K(x)],$$

the family  $\mathcal{Q} = \{Q_K : K \in \mathcal{K}(M)\}$  consists of compact sets in  $\mathbb{R}^X$  and clearly verifies that  $Q_K \subseteq Q_L$  if  $K \subseteq L$ . We claim that  $\Sigma(X) \subseteq \bigcup \mathcal{Q}$ .

Choose  $f \in \Sigma(X)$  and denote by  $A = \{x_i : i \in \mathbb{N}\}$  the countable support of  $f$ . By Theorem 6.5 we know that  $C_p(X)$  is a Lindelöf  $\Sigma$ -space, hence [64, Theorem 5.4] provides a retraction  $r : X \rightarrow F$  such that  $A \subseteq F$  and  $|F| \leq \aleph_0$ . If  $F = \{y_n : n \in \mathbb{N}\}$ , put  $U_1 = F$  and  $U_{n+1} = F \setminus \{y_1, \dots, y_n\}$  for each  $n \in \mathbb{N}$ . Clearly, the family  $\{U_n : n \in \mathbb{N}\}$  consists of  $F$ -open sets and is point-finite in  $F$ , i. e., each  $x \in F$  belongs at most to finitely-many sets  $U_n$ . Moreover  $y_n \in U_n$  for every  $n \in \mathbb{N}$ . Since  $F$  is a retract of  $X$ , it follows that the family  $\{V_n : n \in \mathbb{N}\}$ , where  $V_n := r^{-1}(U_n)$  for each  $n \in \mathbb{N}$ , consists of open sets in  $X$ , is point-finite in  $X$  and verifies that  $y_n \in V_n$

for every  $n \in \mathbb{N}$ . If  $x_i = y_{n_i}$  and we set  $W_i = V_{n_i}$  for each  $i \in \mathbb{N}$ , the family  $\{W_i : i \in \mathbb{N}\}$  consists of open sets in  $X$ , is point-finite in  $X$  and verifies that  $x_i \in W_i$  for every  $i \in \mathbb{N}$ .

For each  $i \in \mathbb{N}$  choose  $f_i \in C(X)$  with  $0 \leq f_i \leq 1$  such that  $f_i(x_i) = 1$  and  $f_i(X \setminus W_i) = \{0\}$  and define  $g_i = |f(x_i)| \cdot f_i$  and  $h_i = -|f(x_i)| \cdot f_i$ . As the family  $\{W_i : i \in \mathbb{N}\}$  is point-finite, the set  $P = \{g_i, h_i : i \in \mathbb{N}\} \cup \{0\}$ , where here  $0$  stands for the identically null function on  $X$ , is compact in  $C_p(X)$ . Consequently, there exists some  $K \in \mathcal{K}(M)$  such that  $P \subseteq F_K$ , which means that  $g(x) \in [a_K(x), b_K(x)]$  for each  $g \in P$ . Since  $f(x_i)$  coincides with  $g_i(x_i)$  or with  $h_i(x_i)$ , clearly  $f(x_i) \in [a_K(x_i), b_K(x_i)]$  for each  $i \in \mathbb{N}$ , whereas if  $x \notin A$  then  $f(x) = 0 \in [a_K(x), b_K(x)]$  since  $0 \in P \subseteq F_K$ . Therefore  $f \in Q_K$  and the proof is over.  $\square$

**Theorem 6.8 (Guerrero-Tkachuk, [45, Theorem 3.4]).**  $C_p(X)$  is strongly dominated by a second countable space if and only if  $X$  is countable.

*Proof.* Suppose that  $C_p(X)$  is strongly dominated by a second countable space and let  $\{F_K : K \in \mathcal{K}(M)\}$  be an  $M$ -ordered compact covering of  $C_p(X)$  that swallows the compact sets in  $C_p(X)$ . Proceeding by contradiction, assume that  $X$  is uncountable. By [41, Theorem 3.10] there is no loss of generality if we assume that  $X$  is a Lindelöf  $\Sigma$ -space. So, according to Lemma 6.7, there exists a family  $\mathcal{Q} = \{Q_K : K \in \mathcal{K}(M)\}$  of compact sets in  $\mathbb{R}^X$  such that  $Q_K \subseteq Q_L$  if  $K \subseteq L$  and  $Y = \bigcup \mathcal{Q}$  contains the linear subspace  $\Sigma(X)$  of countable supported functions of  $\mathbb{R}^X$ .

It is not hard to see that this implies that there exists a Lindelöf  $\Sigma$ -space  $Z$  such that  $Y \subseteq Z \subseteq \mathbb{R}^X$ , so that  $\Sigma(X) \subseteq Z$ . But  $\Sigma(X)$  is not Lindelöf  $\Sigma$ -framed in  $\mathbb{R}^X$  if  $X$  is uncountable [45, Proposition 3.1].  $\square$

In [45, Theorem 3.9] it is showed that, for compact  $X$ , if  $C_p(X, [0, 1])$  is strongly dominated by a second countable space, then  $X$  is countable. In [74] the requirement of compactness of  $X$  is relaxed by the following result, which we state without proof.

**Theorem 6.9 (Tkachuk, [74, 3.7 Theorem]).** Let  $X$  be a Lindelöf  $\Sigma$ -space. If  $C_p(X, [0, 1])$  is strongly dominated by a second countable space, then  $X$  is countable.

## 7. Some examples

**Example 7.1.** If  $\Omega$  is a nonempty open subset of  $\mathbb{R}^n$ , both the space  $\mathcal{D}(\Omega)$  of test functions equipped with its usual inductive limit topology and the space of distributions  $\mathcal{D}'(\Omega)$  endowed with the Mackey\* topology  $\mu(\mathcal{D}'(\Omega), \mathcal{D}(\Omega))$ , which coincides with the strong topology  $\beta(\mathcal{D}'(\Omega), \mathcal{D}(\Omega))$  (see [46, Chapter 4]), are analytic. The first statement is consequence of the fact that the inductive limit of a sequence of Fréchet-Montel spaces is analytic, the second follows from the fact that the strong dual of an inductive limit of a sequence of Fréchet-Montel locally convex spaces is also analytic (see [76, I.4.4.(21) and I.4.4.(23)]).

**Example 7.2.** The space  $C_p(Z)$  with  $Z$  being the set of all weak  $P$ -points of  $\mathbb{N}^*$ . If  $X$  is a Tychonoff space, a point  $x \in X$  is called a weak  $P$ -point of  $X$  if  $x \notin \overline{A}$  for any countable set  $A \subseteq X \setminus \{x\}$ . Every  $P$ -point of  $X$  is a weak  $P$ -point of  $X$ . The subspace  $Z$  of all weak  $P$ -points of the remainder  $\mathbb{N}^* = \beta\mathbb{N} \setminus \mathbb{N}$  of the Stone-Čech compactification  $\beta\mathbb{N}$  of  $\mathbb{N}$  is dense in  $\mathbb{N}^*$  [53], so it is infinite. But the space  $C_p(Z)$  is covered by a sequence of pseudocompact sets (i. e.,  $C_p(Z)$  is  $\sigma$ -pseudocompact) [2, 6.4 Example]. By Theorem 2.6, the space  $Z$  is pseudocompact (see [2, 6.3 Proposition] for a direct proof of this property) and each countable subset of  $Z$  is closed, discrete and  $C^*$ -embedded in  $Z$ . Note that  $C_p(Z)$  is not  $\sigma$ -compact, otherwise  $Z$  would be finite by Velichko's theorem.

**Example 7.3.** The Sorgenfrey line  $\mathbb{S}$  is a (hereditarily) Lindelöf space which is not a Lindelöf  $\Sigma$ -space, since  $\mathbb{S} \times \mathbb{S}$  is not Lindelöf. Hence Lemma 3.1 prevents the space  $C_p(\mathbb{S})$  to have a resolution consisting of pointwise bounded sets.

**Example 7.4.** The space  $C_p(\mathbb{N}^{\mathbb{N}})$  is not  $K$ -analytic-framed in  $\mathbb{R}^{\mathbb{N}^{\mathbb{N}}}$ . By Corollary 3.8 the space  $C_p(\mathbb{N}^{\mathbb{N}})$  is not analytic and does not admit a resolution of pointwise bounded sets. Hence,  $C_p(\mathbb{N}^{\mathbb{N}})$  is not  $K$ -analytic-framed in  $\mathbb{R}^{\mathbb{N}^{\mathbb{N}}}$  because of Theorem 3.3.

**Example 7.5.** *The spaces  $C_k(\mathbb{R})$  and  $C_k(\mathbb{Q})$ .* Both spaces have a resolution of compact sets that swallows the compact sets by virtue of Theorem 4.2. By Theorem 4.3 they also have a fundamental resolution of bounded sets, and according to Theorem 4.4 both spaces are analytic.

**Example 7.6.** *The spaces  $C_p(\mathbb{R})$  and  $C_p(\mathbb{Q})$ .* Although both spaces have a resolution of compact sets, according to Theorem 3.15 they do not have a resolution of compact sets that swallows the compact sets. The space  $C_p(\mathbb{Q})$  has a fundamental resolution of pointwise bounded sets but, as follows from Theorem 3.16, such a resolution lacks in  $C_p(\mathbb{R})$ .

**Example 7.7.** Let  $\mathbb{N}$  be equipped with the discrete topology and choose  $p \in \beta\mathbb{N} \setminus \mathbb{N}$ . Then  $X := \mathbb{N} \cup \{p\}$  with the relative topology of  $\beta\mathbb{N}$  is a non discrete space with finite compact sets, hence hemicompact. So,  $C_p(X)$  is analytic by Theorem 4.4, and  $C_k(X)$  is a Fréchet space with a resolution of compact sets that swallows the compact sets by Theorem 4.2.

**Example 7.8.** *The space  $\omega_1$  of countable ordinals with the order topology.* It is essentially well-known that if  $\aleph_1 = \mathfrak{d}$  (the dominating cardinal) the space  $\omega_1$  has a resolution of compact sets that swallows the compact sets in  $\omega_1$ . However  $\omega_1$  is not even a  $\mu$ -space, since  $\omega_1$  is pseudocompact but not compact.

**Example 7.9.** *The space  $C_p(\omega_1)$ .* Clearly  $C_p(\omega_1)$  is not analytic because of Theorem 3.7. Actually,  $C_p(\omega_1)$  does not admit a resolution of compact sets, since every topological space with a resolution of compact sets has countable extent (closed discrete sets are countable) [49, Corollary 3.5] whereas the extent of  $C_p(\omega_1)$  is uncountable. Consequently,  $C_p(\omega_1)$  is not  $K$ -analytic although, as is well-known, it is a Lindelöf space. Note that  $\omega_1$  is pseudocompact, hence projectively  $\sigma$ -compact.

**Example 7.10.** *If  $C_k(X)$  admits a resolution of convex compact sets that swallows the local null sequences,  $X$  need not be countable or discrete.* If  $X$  is an infinite  $\sigma$ -compact metric space, then  $C_k(X)$  has a resolution  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  of compact sets that swallows the compact sets (hence the local null sequences) of  $C_k(X)$  by virtue of Theorem 4.2. But, if one looks at the proof of this theorem, the sets  $A_\alpha$  are absolutely convex. So,  $C_k(\mathbb{R})$  has a resolution of absolutely convex compact sets that swallows the local null sequences.

**Example 7.11.** *A  $K$ -analytic not analytic  $C_p$ -space.* Let  $X$  be the Reznichenko compact space mentioned in [2, 7.14 Example]. This is a Talagrand compact space with a point  $p$  such that  $X = \beta Y$  with  $Y = X \setminus \{p\}$ . Hence  $Y$  is a pseudocompact not realcompact space, so that  $X = \nu Y$ . Since  $C_p(Y)$  is a continuous image of  $C_p(X)$ , the space  $C_p(Y)$  is  $K$ -analytic.  $C_p(Y)$  is not analytic by Theorem 3.7.

**Example 7.12.** *The space  $C_p(L(\aleph_1))$ , where  $L(\aleph_1)$  is the Lindelöfication of the discrete space of cardinality  $\aleph_1$ .* Since  $L(\aleph_1)$  is a  $P$ -space,  $C_p(L(\aleph_1))$  is Baire. So, by Theorem 3.13,  $C_p(L(\aleph_1))$  lacks a resolution of pointwise bounded sets. As  $L(\aleph_1)$  is a Lindelöf  $P$ -space, it is projectively  $\sigma$ -compact [3, Proposition 2.2]. Hence, the converse of Corollary 3.5 fails.

**Example 7.13.** *The space  $C_p(L(\aleph_1), [0, 1])$ .* Under CH the space  $C_p(L(\aleph_1), [0, 1])$  has a compact resolution [71, 2.10 Example]. Since  $C_p(L(\aleph_1), [0, 1])$  is countably compact but not compact,  $C(L(\aleph_1), [0, 1])$  is not a  $\mu$ -space, hence it is not  $K$ -analytic.

**Example 7.14.**  *$C_p(X)$  need not be Lindelöf if  $C_p(X, [0, 1])$  is a Lindelöf  $\Sigma$ -space.* If  $X$  is a discrete space of cardinality  $\aleph_1$  then  $C_p(X, [0, 1]) = [0, 1]^{\omega_1}$  is compact, but  $C_p(X) = \mathbb{R}^{\omega_1}$  is not Lindelöf. If both  $\nu X$  and  $C_p(X, [0, 1])$  are Lindelöf  $\Sigma$ -spaces, then  $C_p(X)$  is a Lindelöf  $\Sigma$ -space (see [1, IV.9.17 Proposition] or [73, Problem 217]).

**Example 7.15.** *Neither  $\mathbb{R}^{\mathbb{N}}$  nor  $C_p([0, 1])$  admits a closure-preserving covering by functionally bounded subspaces.* Otherwise, since both spaces are separable and the closure of a functionally bounded set is also functionally bounded, both would admit a countable covering by (closed) functionally bounded subspaces. So, Theorem 2.6 would require  $\mathbb{N}$  to be pseudocompact, which is not, and every countable set in  $[0, 1]$  should be closed, which is neither the case since  $[0, 1]$  is uncountable and separable.

**Example 7.16 (Okunev, [59, Example 2.7]).** *There exists a  $\sigma$ -compact space  $X$  such that  $C_p(X)$  is not Lindelöf. If  $Y$  is the subspace of  $[0, 1]^{\omega_1}$  consisting of all function of finite support and  $g \in [0, 1]^{\omega_1}$  is the constant function  $g(t) = 1$  for every  $t \in \omega_1$ , define  $X = Y \cup \{g\}$ . Then  $C_p(X)$  is such space. Note that  $g \in \overline{Y}$  but no countable subset of  $Y$  contains  $g$  in its closure, so that  $X$  has uncountable tightness  $t(X)$ . Hence  $C_p(X)$  is not a Lindelöf space because of Asanov’s theorem [1, I.4.1 Theorem]. Clearly  $C_p(X)$  has a bounded resolution. So, according to Theorem 3.3,  $C_p(X)$  is  $K$ -analytic-framed in  $\mathbb{R}^X$ . But  $C_p(X)$  is not  $K$ -analytic because it is not Lindelöf.*

**Example 7.17 (Guerrero-Tkachuk, [43, Example 3.8]).** *There exists a  $\sigma$ -compact space  $X$  such that  $C_p(X)$  is not Lindelöf but it contains a dense  $\sigma$ -compact subspace  $M$ . Let  $Z$  be the subspace of  $\{0, 1\}^{\omega_1}$  consisting of those functions of finite support and define the function  $g$  as in the previous example. The space  $X = Z \cup \{g\}$  is as promised. For each  $f \in C(X)$  put  $M_f := M \cup \{f\}$ . Then  $\mathcal{F} = \{M_f : f \in C(X)\}$  is a closure-preserving cover of  $C_p(X)$  by  $\sigma$ -compact subspaces. This shows that the closedness condition of the sets of the closure-preserving covering in the statement of Theorem 5.1 cannot be dropped.*

### 8. Further research

If  $\Delta = \{(x, x) : x \in X\}$  is the diagonal of  $X \times X$ , much research has been developed on the (strong) domination of the space  $(X \times X) \setminus \Delta$  by a second countable space. We provide a brief account of this investigation, but first let us point out a couple of facts.

In first place, according to [18, Excercise 4.2.B] a compact space  $X$  is metrizable if and only if  $\Delta$  is a  $G_\delta$ -set in  $X \times X$ . On the other hand, for compact  $X$ , if  $C_p(X, [0, 1])$  is a Lindelöf  $\Sigma$ -space, clearly  $C_p(X)$  is also a Lindelöf  $\Sigma$ -space. Hence Baturov’s theorem [1, III.6.1 Theorem] shows that for every subspace  $Y$  of  $C_p(C_p(X))$  the extent  $ext(Y)$  of  $Y$  equals the Lindelöf number  $l(Y)$  of  $Y$ . As  $X^n$  is embedded in  $L_p(X)$ , for each  $n \in \mathbb{N}$ , as a closed subspace [72, Problem 337], so in  $C_p(C_p(X))$ , clearly  $X^2 \setminus \Delta$  is embedded in  $C_p(C_p(X))$ . Consequently, one gets  $l(X^2 \setminus \Delta) = ext(X^2 \setminus \Delta)$ . On the other hand, since each space which is dominated by a second countable space has countable extent [14, 2.1 (h) Theorem], if  $(X \times X) \setminus \Delta$  is dominated by a second countable space, it follows that  $l(X^2 \setminus \Delta) = \aleph_0$ , i. e.,  $(X \times X) \setminus \Delta$  is a Lindelöf space. This implies that  $\Delta$  is a  $G_\delta$ -set in  $X \times X$ , so  $\Delta$  must be metrizable.

The first result on this subject is [13, Theorem 1], whence it follows that, for compact  $X$ , if the space  $(X \times X) \setminus \Delta$  is strongly  $\mathbb{N}^{\mathbb{N}}$ -dominated (equivalently, strongly dominated by a Polish space) then  $X$  is metrizable. This extends to the following.

**Theorem 8.1 (Cascales-Muñoz-Orihuela [12, Corollary 22]).** *For a compact space  $X$  the following statements are equivalent.*

1.  $X$  is metrizable.
2.  $(X \times X) \setminus \Delta$  is strongly dominated by a Polish space.
3.  $(X \times X) \setminus \Delta$  is strongly dominated by a separable metric space.

For strong domination of a Tychonoff space by a second countable space, one has

**Theorem 8.2 (Guerrero-Tkachuk, [45, Corollary 3.6]).** *If  $(X \times X) \setminus \Delta$  is strongly dominated by a second countable space, then  $X$  is cosmic.*

Since each compact cosmic space is metrizable, one gets again

**Corollary 8.3 (Cascales-Orihuela-Tkachuk, [14, 3.11 Theorem]).** *A compact space  $X$  is metrizable if and only if  $(X \times X) \setminus \Delta$  is strongly dominated by a second countable space.*

In [74, Example 4.6] it is shown that under MA the space  $(X \times X) \setminus \Delta$  with  $X$  non-metrizable, first countable, compact space, is strongly dominated by a countable space (with a unique non-isolated point). So, under MA, for compact  $X$  strong domination of  $(X \times X) \setminus \Delta$  by a countable space does not imply the metrizability of  $X$ .

In [16] it is shown that under CH a compact space  $X$  is metrizable whenever  $(X \times X) \setminus \Delta$  is dominated by a Polish space. This result was extended to ZFC in [17] as follows.

**Theorem 8.4 (Dow-Hart, [17, Theorem 8]).** *A compact space  $X$  is metrizable if and only if  $(X \times X) \setminus \Delta$  is dominated by a Polish space.*

Under CH one may change the Polish space domination of the previous theorem into second countable domination.

**Theorem 8.5 (Guerrero-Tkachuk, [44, 3.3 Corollary]).** *Under CH a compact space  $X$  is metrizable if and only if  $(X \times X) \setminus \Delta$  is dominated by a second countable space.*

Recently, the following ZFC result has been published.

**Theorem 8.6 (Feng, [19, Theorem 5.3]).** *Let  $X$  be a compact space. If  $(X \times X) \setminus \Delta$  is dominated by the space  $\mathbb{Q}$ , then  $X$  is metrizable.*

Since [44], when  $(X \times X) \setminus \Delta$  is dominated by a space  $M$ , it is usual to say that  $X$  has an  $M$ -diagonal. With this new terminology and since the space  $\mathbb{P}$  of irrationals is homeomorphic to the Polish space  $\mathbb{N}^{\mathbb{N}}$ , Theorems 8.4 and 8.6 can be stated as follows.

**Theorem 8.7.** *Let  $X$  be a compact space  $X$ . If  $X$  has either a  $\mathbb{P}$ -diagonal or a  $\mathbb{Q}$ -diagonal, then  $X$  is metrizable.*

The following result is a proper extension of Theorem 8.1.

**Theorem 8.8 (Guerrero, [42, Theorem 2.3]).** *If  $M$  is a separable metric space, every compact space with an  $M$ -diagonal is metrizable.*

In [44, Theorem 3.4 (a)] it is shown that under CH if a Tychonoff space  $X$  has a second countable diagonal, then  $X$  is cosmic. The following result show that the preceding statement also holds in ZFC.

**Theorem 8.9 (Guerrero, [42, Corollary 2.4]).** *For a Tychonoff space  $X$ , if  $(X \times X) \setminus \Delta$  is dominated by a second countable space, then  $X$  is cosmic.*

Since, as mentioned earlier, each compact cosmic space is metrizable, this solves in the positive the following question originally posed by Cascales, Orihuela and Tkachuk in [14].

**Problem 8.10 (Guerrero-Tkachuk, [44, Question 4.1]).** *Let  $X$  be a compact space. If  $(X \times X) \setminus \Delta$  is dominated by a second countable space, is it true in ZFC that  $X$  metrizable?*

It is proved in [70] that if  $C_p(X)$  is covered by a countable family of countably tight sets, then  $C_p(X)$  has countable tightness. In [78] is shown that a compact space with a closure-preserving covering by finite sets must be Eberlein compact. Related research about domination and strong domination of a space  $X$  by a locally compact second countable space  $M$ , by an  $\omega$ -hyperbounded space  $M$  (i. e., an space in which the closure of each  $\sigma$ -compact subspace is compact) or by a  $\kappa$ -hemicompact space  $M$  (for a given infinite cardinal  $\kappa$ ) can be found in [48].

On the other hand, the bidual  $M(X)$  of  $C_p(X)$  equipped with the relative topology of  $\mathbb{R}^X$  has recently deserved some attention in relation to the distinguished property of  $C_p(X)$  (see [33] and references therein), after the discovering that not always  $M(X)$  coincides with  $\mathbb{R}^X$  (in fact, it can be shown that  $M(X) = \mathbb{R}^X$  exactly when  $C_p(X)$  is distinguished, which is not always the case). Let us mention the following result (from which Theorem 3.16 is a straightforward consequence).

**Theorem 8.11 (Ferrando, [25, Theorem 28]).** *The bidual of  $C_p(X)$  has a resolution consisting of pointwise bounded sets if and only if  $X$  is countable.*

## 9. Some open problems

Theorem 2.4 asserts that if  $C_p(X)$  is covered by a sequence of relatively sequentially complete sets, then  $X$  is a  $P$ -space.

**Problem 9.1.** *If  $C_k(X)$  is covered by a sequence of weakly relatively sequentially complete sets, is  $X$  a  $P$ -space?*

Theorem 2.5 states that if  $C_p(X)$  is covered by a sequence of pointwise bounded relatively sequentially complete sets, then  $X$  is finite.

**Problem 9.2.** *If  $C_k(X)$  is covered by a sequence of bounded weakly relatively sequentially complete sets, must  $X$  be finite?*

By Corollary 3.5 if  $C_p(X)$  has a resolution consisting of pointwise bounded sets, then  $X$  is projectively  $\sigma$ -compact. On the other hand, according to [26, Theorem 3.1] the space  $X$  is  $\sigma$ -compact if and only if there exists a metrizable locally convex topology  $\tau$  on  $C(X)$  such that  $\tau_p \leq \tau \leq \tau_k$ . If  $\tau$  is a metrizable locally convex topology on  $C(X)$  stronger than  $\tau_p$  certainly  $C_p(X)$  has a resolution consisting of pointwise bounded sets, but if  $X$  is a  $\mu$ -space the  $\tau_k$ -closures of a fundamental system of  $\tau$ -neighborhoods of the origin in  $C(X)$  define a metrizable locally convex topology  $\eta$  on  $C(X)$  coarser than  $\tau_k$  because of the Nachbin-Shirota theorem. In other words, if  $X$  is a  $\mu$ -space and there is a metrizable locally convex topology  $\tau$  on  $C(X)$  such that  $\tau_p \leq \tau$ , there exists a metrizable locally convex topology  $\eta$  on  $C(X)$  such that  $\tau_p \leq \eta \leq \tau_k$ . So, the following makes sense.

**Problem 9.3 (Kačkol).** *Assume that  $X$  is a  $\mu$ -space. If  $C_p(X)$  has a resolution consisting of pointwise bounded sets, is there always a stronger metrizable locally convex topology  $\tau$  on  $C(X)$  such that  $\tau_p \leq \tau \leq \tau_k$ ?*

Observe that a positive answer to this question, also gives a positive answer to the following question.

**Problem 9.4.** *Assume that  $X$  is a  $\mu$ -space. Is it true that  $C_p(X)$  has a resolution consisting of pointwise bounded sets if and only if  $X$  is  $\sigma$ -compact? Equivalently, is it true that  $C_p(X)$  is  $K$ -analytic-framed in  $\mathbb{R}^X$  if and only if  $X$  is  $\sigma$ -compact?*

According to Theorem 3.16, the space  $C_p(X)$  has a resolution of pointwise bounded sets that swallows the pointwise bounded sets if and only if  $X$  is countable.

**Problem 9.5.** *If  $C_p(X)$  has a resolution of pointwise bounded sets that swallows the compact sets, is  $X$  countable?*

If  $X$  is first countable, Theorem 3.21 asserts that  $C_p(X)$  has a resolution of pointwise bounded sets that swallows the Cauchy sequences if and only if  $X$  is countable.

**Problem 9.6.** *If  $C_p(X)$  has a resolution of pointwise bounded sets that swallows the Cauchy sequences, is  $X$  countable?*

In Theorem 2.5 it is shown that  $C_p(X)$  is covered by a sequence of pointwise bounded relatively sequentially complete sets if and only if  $X$  is finite.

**Problem 9.7.** *If  $C_p(X)$  has a resolution of pointwise bounded relatively sequentially complete sets that swallows the pointwise bounded relatively sequentially complete sets, is  $X$  countable?*

Theorem 2.6 shows that if  $C_p(X)$  is covered by a sequence of functionally bounded sets,  $X$  is pseudo-compact and each countable set in  $X$  is closed, discrete and  $C^*$ -embedded.

**Problem 9.8.** *If  $C_p(X)$  has a resolution of functionally bounded sets that swallows the functionally bounded sets, is  $X$  countable and discrete?*

If  $X$  is metrizable, according to Theorem 4.2 the space  $C_k(X)$  has a resolution of compact sets that swallows the compact sets if and only if  $X$  is  $\sigma$ -compact.

**Problem 9.9.** Characterize in terms of the topology of  $X$  those spaces  $C_k(X)$  which admit a resolution of compact sets that swallows the compact sets.

If  $X$  is metrizable, Theorem 4.3 shows that  $C_k(X)$  has a resolution of bounded sets that swallows the bounded sets if and only if  $X$  is  $\sigma$ -compact, and in [23, Theorem 8] is proved that  $C_k(X)$  has a resolution of bounded sets that swallows the bounded sets if and only if  $X$  is a so-called *cn-space* [23, p. 3].

**Problem 9.10.** Is there a nicer characterization in terms of the topology of  $X$  of those spaces  $C_k(X)$  which admit a resolution of bounded sets that swallows the bounded sets.

By Theorem 6.9, if  $X$  is a Lindelöf  $\Sigma$ -space and  $C_p(X, [0, 1])$  is strongly dominated by a second countable space, then  $X$  is countable. So  $C_p(X)$  is metrizable and separable, i. e.,  $C_p(X)$  is cosmic. Consequently  $C_p(X)$  is a Lindelöf  $\Sigma$ -space.

**Problem 9.11 (Guerrero-Tkachuk, [45, Question 4.1]).** Suppose that  $C_p(X, [0, 1])$  is dominated by a second countable space. Must  $C_p(X, [0, 1])$  be a Lindelöf  $\Sigma$ -space?

**Problem 9.12 (Guerrero-Tkachuk, [45, Question 4.2]).** If  $X$  is metrizable and  $C_p(X, [0, 1])$  is dominated by a second countable space, must  $C_p(X, [0, 1])$  be a Lindelöf  $\Sigma$ -space?

**Problem 9.13 (Guerrero-Tkachuk, [45, Question 4.3]).** If  $X$  is Lindelöf and  $C_p(X, [0, 1])$  is dominated by a second countable space, must  $C_p(X, [0, 1])$  be a Lindelöf  $\Sigma$ -space?

Recalling again Theorem 3.16, the following natural question makes sense.

**Problem 9.14.** Let  $M$  be a second countable space. If  $C_p(X)$  is covered by an  $M$ -ordered family  $\mathcal{A} = \{A_K : K \in \mathcal{K}(M)\}$  consisting of pointwise bounded sets that swallows the pointwise bounded sets in  $C_p(X)$ , must  $X$  be countable?

**Problem 9.15 (Guerrero-Tkachuk, [44, 4.4 Question]).** If  $X$  is a compact space with a  $\sigma$ -compact diagonal, is  $X$  metrizable?

**Problem 9.16 (Guerrero-Tkachuk, [44, 4.5 Question]).** If  $X$  is a compact space with a Lindelöf  $\Sigma$  diagonal, is  $X$  metrizable?

**Problem 9.17 (Tkachuk, [74, 5.6 Question]).** Is it true in ZFC that for any compact first countable space  $X$  there exists a countable space  $M$  that strongly dominates  $(X \times X) \setminus \Delta$ ?

**Problem 9.18 (Guerrero, [42, Problem 4.1]).** Let  $X$  be a compact space. If  $(X \times X) \setminus \Delta$  is dominated by a metric space, is  $X$  metrizable?

In [25, Corollary 22] it is shown that for  $X$  realcompact, the weak\* bidual  $M(X)$  of  $C_p(X)$  is a Lindelöf  $\Sigma$ -space if and only if  $X$  is countable.

**Problem 9.19.** May we drop the condition that  $X$  is realcompact in the previous statement?

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