



## Operator Matrices and Their Weyl Type Theorems

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**Abstract.** We denote the collection of the  $2 \times 2$  operator matrices with  $(1, 2)$ -entries having closed range by  $\mathcal{S}$ . In this paper, we study the relations between the operator matrices in the class  $\mathcal{S}$  and their component operators in terms of the Drazin spectrum and left Drazin spectrum, respectively. As some application of them, we investigate how the generalized Weyl's theorem and the generalized  $a$ -Weyl's theorem hold for operator matrices in  $\mathcal{S}$ , respectively. In addition, we provide a simple example about an operator matrix in  $\mathcal{S}$  satisfying such Weyl type theorems.

### 1. Introduction

If  $\mathcal{H}$  is a complex Hilbert space and we decompose  $\mathcal{H}$  as a direct sum of two subspaces  $\mathcal{H}_1$  and  $\mathcal{H}_2$ , each bounded linear operator  $T$  can be expressed as the operator matrix form

$$T = \begin{pmatrix} A & C \\ Z & B \end{pmatrix}$$

with respect to the space of decomposition, where  $A, B, C, Z$  are operators from  $\mathcal{H}_i$  into  $\mathcal{H}_j$  for  $i, j = 1, 2$ . We shall write  $N(T)$  and  $R(T)$  for the null space and the range of a bounded linear operator  $T$  on  $\mathcal{H}$ , respectively. Our goal is to find various connections between  $T$  and its components. However, it is not easy to find the relations between them without any conditions. So we begin with the following notation.

**Notation 1.1.** Throughout this paper, we denote the collection  $\mathcal{S}$  as follows:

$$\mathcal{S} = \left\{ \begin{pmatrix} A & C \\ Z & B \end{pmatrix} : \mathcal{H} \oplus \mathcal{K} \rightarrow \mathcal{H} \oplus \mathcal{K} \mid R(C) \text{ is closed} \right\}. \quad (1)$$

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The class  $\mathcal{S}$  is unexpectedly large. For example, if  $C$  is a semi-Fredholm operator or semi-regular, i.e.,  $N(C) \subset \bigcap_{n \in \mathbb{N}} R(C^n)$  and  $R(C)$  is closed, then the operator matrices  $\begin{pmatrix} A & C \\ Z & B \end{pmatrix}$  are in the class  $\mathcal{S}$ . For another example, if for given  $x \in \mathcal{H}$  there exists  $k > 0$  and a  $y \in \mathcal{H}$  such that (i)  $Cx = Cy$  and (ii)  $\|y\| \leq k\|Cx\|$ , then  $R(C)$  is closed. Hence the operator matrices  $\begin{pmatrix} A & C \\ Z & B \end{pmatrix}$  are in the class  $\mathcal{S}$ .

**Lemma 1.2.** ([5]) If  $M = \begin{pmatrix} A & C \\ Z & B \end{pmatrix} \in \mathcal{S}$ , then  $M$  has the following matrix representation;

$$M = \begin{pmatrix} A_1 & 0 & 0 \\ A_2 & 0 & C_1 \\ Z & B_1 & B_2 \end{pmatrix} \quad (2)$$

which maps from  $\mathcal{H} \oplus N(C) \oplus N(C)^\perp$  to  $R(C)^\perp \oplus R(C) \oplus \mathcal{K}$  where  $C_1 = C|_{N(C)^\perp}$ ,  $A_1 = P_{R(C)^\perp}A|_{\mathcal{H}}$ ,  $A_2 = P_{R(C)}A|_{\mathcal{H}}$ ,  $B_1$  denotes a mapping  $B$  from  $N(C)$  into  $\mathcal{K}$ ,  $B_2$  denotes a mapping  $B$  from  $N(C)^\perp$  into  $\mathcal{K}$ ,  $P_{R(C)^\perp}$  denotes the projection of  $\mathcal{H}$  onto  $R(C)^\perp$ , and  $P_{R(C)}$  denotes the projection of  $\mathcal{H}$  onto  $R(C)$ .

Weyl's theorem for upper triangular operator matrices has been studied by many authors (see [5]-[7], [13], [16], [18]-[20]). This paper is organized as follows. In Section 3, we study the relations between the operator matrices in the class  $\mathcal{S}$  and their component operators regarding the left Drazin spectrum. In Section 4, we also explore how the generalized Weyl's theorem and the generalized  $a$ -Weyl's theorem hold for operator matrices in  $\mathcal{S}$ , respectively. As some applications of them, we give a simple example of operator matrices in  $\mathcal{S}$  which satisfy Weyl type theorems.

## 2. Preliminaries

Let  $\mathcal{H}$  be a separable complex Hilbert space and let  $\mathcal{L}(\mathcal{H})$  denote the algebra of bounded linear operators on  $\mathcal{H}$ . If  $T \in \mathcal{L}(\mathcal{H})$ , let  $\alpha(T) := \dim N(T)$ ,  $\beta(T) := \dim N(T^*)$ , and let  $\sigma(T)$ ,  $\sigma_p(T)$ ,  $\sigma_a(T)$ , and  $\sigma_s(T)$  denote the spectrum, the point spectrum, the approximate point spectrum, and the surjective spectrum of  $T$ , respectively. For  $T \in \mathcal{L}(\mathcal{H})$ , the smallest nonnegative integer  $p$  such that  $N(T^p) = N(T^{p+1})$  is called the *ascent* of  $T$  and denoted by  $p(T)$ . If no such integer exists, we set  $p(T) = \infty$ . The smallest nonnegative integer  $q$  such that  $R(T^q) = R(T^{q+1})$  is called the *descent* of  $T$  and denoted by  $q(T)$ . If no such integer exists, we set  $q(T) = \infty$ .

We now simply review several notions of various spectra, which are used in this paper. An operator  $T \in \mathcal{L}(\mathcal{H})$  is called *upper semi-Fredholm* (resp., *lower semi-Fredholm*) if it has closed range and finite dimensional null space (resp., its range has finite co-dimension). If  $T \in \mathcal{L}(\mathcal{H})$  is either upper or lower semi-Fredholm, then  $T$  is called *semi-Fredholm* and *index of a semi-Fredholm operator*  $T$  is defined by  $i(T) := \alpha(T) - \beta(T)$ . If both  $\alpha(T)$  and  $\beta(T)$  are finite, then  $T$  is called *Fredholm*. An operator  $T \in \mathcal{L}(\mathcal{H})$  is called *Weyl* if it is Fredholm of index zero. For  $T \in \mathcal{L}(\mathcal{H})$  and a nonnegative integer  $n$ , we define  $T_n$  to be the restriction of  $T$  to  $R(T^n)$  viewed as a map from  $R(T^n)$  into  $R(T^n)$  where  $T_0 = T$ . If for some integer  $n$  the range  $R(T^n)$  is closed and  $T_n$  is upper (resp., lower) semi-Fredholm, then  $T$  is called *upper* (resp., *lower*) *semi-B-Fredholm*. Moreover, if  $T_n$  is Fredholm, then  $T$  is called *B-Fredholm*. An operator  $T$  is called *semi-B-Fredholm* if it is upper or lower semi-B-Fredholm. Let  $T \in \mathcal{L}(\mathcal{H})$  and let

$$\Delta(T) := \{n \in \mathbb{N} : m \in \mathbb{N} \text{ and } m \geq n \Rightarrow (R(T^m) \cap N(T)) \subseteq (R(T^n) \cap N(T))\}.$$

Then the *degree of stable iteration*  $\text{dis}(T)$  of  $T$  is defined as  $\text{dis}(T) := \inf \Delta(T)$ . Let  $T$  be semi-B-Fredholm and let  $d$  be the degree of stable iteration of  $T$ . It follows from [10, Proposition 2.1] that  $T_m$  is semi-Fredholm and  $i(T_m) = i(T_d)$  for each  $m \geq d$ . This enables us to define the *index of semi-B-Fredholm*  $T$  as the index of semi-Fredholm  $T_d$ . Let  $BF(\mathcal{H})$  be the class of all B-Fredholm operators. In [8], he studied this class of operators

and he proved [8, Theorem 2.7] that an operator  $T \in \mathcal{L}(\mathcal{H})$  is  $B$ -Fredholm if and only if  $T = T_1 \oplus T_2$  where  $T_1$  is Fredholm and  $T_2$  is nilpotent. It appears that the concept of Drazin invertibility plays an important role for the class of  $B$ -Fredholm operators. Let  $\mathcal{A}$  be a unital algebra. We say that an element  $x \in \mathcal{A}$  is *Drazin invertible of degree  $k$*  if there exists an element  $a \in \mathcal{A}$  such that  $x^k a x = x^k$ ,  $axa = a$ , and  $xa = ax$ . Let  $a \in \mathcal{A}$ . Then the *Drazin spectrum* is defined by

$$\sigma_D(a) := \{\lambda \in \mathbb{C} : a - \lambda \text{ is not Drazin invertible}\}.$$

It is well known that  $T$  is Drazin invertible if and only if it has finite ascent and descent, which is also equivalent to the fact that (see [17, Corollary 2.2])  $T = T_1 \oplus T_2$  where  $T_1$  is invertible and  $T_2$  is nilpotent. An operator  $T \in \mathcal{L}(\mathcal{H})$  is called *B-Weyl* if it is  $B$ -Fredholm of index 0. We review some spectra as follows;

- (1) the *semi-B-Fredholm spectrum*  $\sigma_{SBF}(T) := \{\lambda \in \mathbb{C} : T - \lambda \text{ is not semi-B-Fredholm}\}$ ,
- (2) the *B-Fredholm spectrum*  $\sigma_{BF}(T) := \{\lambda \in \mathbb{C} : T - \lambda \text{ is not B-Fredholm}\}$ ,
- (3) the *B-Weyl spectrum*  $\sigma_{BW}(T) := \{\lambda \in \mathbb{C} : T - \lambda \text{ is not B-Weyl}\}$ .

Now we define the next sets;

$$\begin{aligned} SBF_+(\mathcal{H}) &:= \{T \in \mathcal{L}(\mathcal{H}) : T \text{ is upper semi-B-Fredholm}\}, \\ SBF_-(\mathcal{H}) &:= \{T \in \mathcal{L}(\mathcal{H}) : T \text{ is lower semi-B-Fredholm}\}, \\ SBF_+^-(\mathcal{H}) &:= \{T \in \mathcal{L}(\mathcal{H}) : T \in SBF_+(\mathcal{H}) \text{ and } i(T) \leq 0\}, \\ SBF_-^+(\mathcal{H}) &:= \{T \in \mathcal{L}(\mathcal{H}) : T \in SBF_-(\mathcal{H}) \text{ and } i(T) \geq 0\}, \\ LD(\mathcal{H}) &:= \{T \in \mathcal{L}(\mathcal{H}) : p(T) < \infty \text{ and } R(T^{p(T)+1}) \text{ is closed}\}, \\ RD(\mathcal{H}) &:= \{T \in \mathcal{L}(\mathcal{H}) : q(T) < \infty \text{ and } R(T^{q(T)}) \text{ is closed}\}. \end{aligned}$$

By definitions, we recall the *upper semi-B-essential approximate point spectrum*  $\sigma_{SBF_+^-}(T)$ , the *lower semi-B-essential approximate point spectrum*  $\sigma_{SBF_-^+}(T)$ , the *left Drazin spectrum*  $\sigma_{LD}(T)$ , and the *right Drazin spectrum*  $\sigma_{RD}(T)$  given by

$$\begin{aligned} \sigma_{SBF_+^-}(T) &:= \{\lambda \in \mathbb{C} : T - \lambda \notin SBF_+(\mathcal{H})\}, \\ \sigma_{SBF_-^+}(T) &:= \{\lambda \in \mathbb{C} : T - \lambda \notin SBF_-(\mathcal{H})\}, \\ \sigma_{LD}(T) &:= \{\lambda \in \mathbb{C} : T - \lambda \notin LD(\mathcal{H})\}, \\ \sigma_{RD}(T) &:= \{\lambda \in \mathbb{C} : T - \lambda \notin RD(\mathcal{H})\}. \end{aligned}$$

It is well known that

$$\begin{aligned} \sigma_{SBF_+^-}(T) \cup \sigma_{SBF_-^+}(T) &= \sigma_{BW}(T), \\ \sigma_{SBF_+^-}(T) \subseteq \sigma_{LD}(T) &= \sigma_{SBF_+^-}(T) \cup \text{acc } \sigma_a(T) \subseteq \sigma_D(T), \\ \sigma_{SBF_-^+}(T) \subseteq \sigma_{RD}(T) &= \sigma_{SBF_-^+}(T) \cup \text{acc } \sigma_s(T) \subseteq \sigma_D(T). \end{aligned}$$

The notation  $p_0(T)$  (resp.,  $p_0^a(T)$ ) denotes the set of all poles (resp., left poles) of  $T$ , while  $\pi_0(T)$  (resp.,  $\pi_0^a(T)$ ) is the set of all eigenvalues of  $T$  which is an isolated point in  $\sigma(T)$  (resp.,  $\sigma_a(T)$ ). We say that *generalized Browder’s theorem* for  $T$  if  $\sigma(T) \setminus \sigma_{BW}(T) = p_0(T)$ , *generalized  $a$ -Browder’s theorem* for  $T$  if  $\sigma_a(T) \setminus \sigma_{SBF_+^-}(T) = p_0^a(T)$ , *generalized Weyl’s theorem* for  $T$  if  $\sigma(T) \setminus \sigma_{BW}(T) = \pi_0(T)$ , and *generalized  $a$ -Weyl’s theorem* for  $T$  if  $\sigma_a(T) \setminus \sigma_{SBF_+^-}(T) = \pi_0^a(T)$ . It is well known that

$$\begin{array}{ccc} \text{generalized } a\text{-Weyl’s theorem} & \implies & \text{generalized Weyl’s theorem} \\ \Downarrow & & \Downarrow \\ \text{generalized } a\text{-Browder’s theorem} & \implies & \text{generalized Browder’s theorem.} \end{array}$$

### 3. The filling in holes of the spectra for operator matrices

Throughout this section, whenever  $M = \begin{pmatrix} A & C \\ Z & B \end{pmatrix}$  is in the class  $\mathcal{S}$  in Notation 1.1, we denote  $M$  by the matrix representation as (2) for every  $Z \in \mathcal{L}(\mathcal{H}, \mathcal{K})$ .

Let  $M = \begin{pmatrix} A & C \\ Z & B \end{pmatrix}$  be an operator matrix in the class  $\mathcal{S}$ . Since  $R(C)$  is closed,  $C_1 = C|_{N(C)^\perp} : N(C)^\perp \rightarrow R(C)$  is invertible. For a given complex number  $\lambda$ , using the representation of Lemma 1.2, we write  $M - \lambda$  as follows;

$$\begin{aligned} M - \lambda &= \begin{pmatrix} A_1 - \lambda & 0 & 0 \\ A_2 - \lambda & 0 & C_1 \\ Z & B_1 - \lambda & B_2 - \lambda \end{pmatrix} \\ &= \begin{pmatrix} 0 & I & 0 \\ 0 & 0 & I \\ I & 0 & (B_2 - \lambda)C_1^{-1} \end{pmatrix} \begin{pmatrix} B_1 - \lambda & \Delta_\lambda & 0 \\ 0 & A_1 - \lambda & 0 \\ 0 & 0 & C_1 \end{pmatrix} \begin{pmatrix} 0 & I & 0 \\ I & 0 & 0 \\ C_1^{-1}(A_2 - \lambda) & 0 & I \end{pmatrix} \end{aligned} \tag{3}$$

where  $A_1 - \lambda = P_{R(C)^\perp}(A - \lambda)|_{\mathcal{H}}$ ,  $A_2 - \lambda = P_{R(C)}(A - \lambda)|_{\mathcal{H}}$ ,  $B_1 - \lambda = (B - \lambda)|_{N(C)}$ ,  $B_2 - \lambda = (B - \lambda)|_{N(C)^\perp}$  and  $\Delta_\lambda = Z - (B_2 - \lambda)C_1^{-1}(A_2 - \lambda)$  (see [5, Page 714] for more details). Note that

$$\begin{pmatrix} 0 & I & 0 \\ 0 & 0 & I \\ I & 0 & B_2 C_1^{-1} \end{pmatrix} \text{ and } \begin{pmatrix} 0 & I & 0 \\ I & 0 & 0 \\ C_1^{-1} A_2 & 0 & I \end{pmatrix} \text{ are invertible.} \tag{4}$$

From now on, we use the above matrix representation (3) for  $M - \lambda$ . We start with the filling in holes problem for the Drazin spectrum of the operator matrices in the class  $\mathcal{S}$ .

**Lemma 3.1.** *Let  $S, T, A$ , and  $B \in \mathcal{L}(\mathcal{H})$ . Then the following statements hold.*

- (i) *If  $ST$  is Drazin invertible and  $T$  is invertible, then  $S$  is also Drazin invertible.*
- (ii) *If  $A$  and  $B$  are invertible, and  $T = ASB$ , then  $T$  is Drazin invertible if and only if  $S$  is Drazin invertible.*

*Proof.* (i) Since  $U = ST$  is Drazin invertible, 0 is a pole of the resolvent operator  $U^{-1}$  of order  $p$ . Moreover,  $R(U^p)$  is closed and

$$\mathcal{H} = R(U^p) \oplus N(U^p). \tag{5}$$

On a direct sum of  $\mathcal{H}$  we can write  $U$  by  $U = U_1 \oplus U_2$ , where  $U_1$  is invertible on  $R(U^p)$  and  $U_2$ , the restriction of  $U$  to  $N(U^p)$ , is nilpotent of order  $p$ . Suppose that  $T$  is invertible. From (5), we have

$$T^{-1}(\mathcal{H}) = \mathcal{H} = R(U^p) \oplus N(U^p).$$

So  $S(\mathcal{H}) = UT^{-1}(\mathcal{H}) = (U_1 \oplus U_2)(R(U^p) \oplus N(U^p))$  where  $U_1$  is invertible on  $R(U^p)$  and  $U_2$  is nilpotent of order  $p$ . Therefore  $S$  is Drazin invertible.

(ii) Suppose that  $A$  and  $B$  are invertible, and  $T = ASB$ . If  $T$  is Drazin invertible, it follows from (i) that  $AS$  is Drazin invertible. By [21, Theorem 2.3],  $SA$  is also Drazin invertible. Since  $A$  is invertible,  $S$  is Drazin invertible again from (i). The converse implication is satisfied by the same way.  $\square$

**Remark 3.2.** In general, we observe that even though  $ST$  is Drazin invertible and  $S$  is invertible,  $T$  may not be Drazin invertible. For example, let  $U$  be the unilateral shift operator on  $l^2(\mathbb{N})$ . Since the spectrum  $\sigma(U)$  of  $U$  is the closed unit disc, both  $U$  and  $U^*$  are not Drazin invertible. If  $S$  and  $T$  have the following operator matrix forms;

$$S = I \oplus \begin{pmatrix} I & U \\ 0 & I \end{pmatrix} \text{ and } T = I \oplus \begin{pmatrix} -U & 0 \\ I & 0 \end{pmatrix},$$

then  $ST = I \oplus \begin{pmatrix} 0 & 0 \\ I & 0 \end{pmatrix}$  is Drazin invertible and  $S$  is invertible. However,  $S^{-1}(ST) = T$  is not Drazin invertible.

**Lemma 3.3.** [6, Lemma 2.2] Let  $A \in B(\mathcal{H})$  and  $B \in B(\mathcal{K})$  be given. Then the following implication holds for every  $C \in B(\mathcal{K}, \mathcal{H})$ .

$$\begin{pmatrix} A & C \\ 0 & B \end{pmatrix} \text{ is right Drazin invertible} \implies B \text{ is right Drazin invertible.}$$

**Lemma 3.4.** [12, Theorem 2.3] Let  $A \in B(\mathcal{H})$  and  $B \in B(\mathcal{K})$  be given operators such that the ranges  $R(A)$  and  $R(B)$  are closed. Then, the range  $R\left(\begin{pmatrix} A & C \\ 0 & B \end{pmatrix}\right)$  is closed for every  $C \in B(\mathcal{K}, \mathcal{H})$  if and only if at least one of  $\dim N(A^*)$  and  $\dim N(B)$  is finite.

**Lemma 3.5.** Let  $M \in \mathcal{S}$ . Then, with the same notation as in (3), the following statements hold.

- (i) If  $M$  is Drazin invertible, then  $B_1$  is left Drazin invertible and  $A_1$  is right Drazin invertible.
- (ii) If  $\dim N(M) < \infty$  and both  $A_1$  and  $B_1$  are left Drazin invertible, then so is  $M$ .

*Proof.* If  $M \in \mathcal{S}$ , then  $M$  can be written as

$$M = \begin{pmatrix} 0 & I & 0 \\ 0 & 0 & I \\ I & 0 & B_2 C_1^{-1} \end{pmatrix} \begin{pmatrix} B_1 & \Delta_0 & 0 \\ 0 & A_1 & 0 \\ 0 & 0 & C_1 \end{pmatrix} \begin{pmatrix} 0 & I & 0 \\ I & 0 & 0 \\ C_1^{-1} A_2 & 0 & I \end{pmatrix},$$

where  $A_1 = P_{R(C)+A}|_{\mathcal{H}}$ ,  $A_2 = P_{R(C)}A|_{\mathcal{H}}$ ,  $B_1 = B|_{N(C)}$ ,  $B_2 = B|_{N(C)^\perp}$  and  $\Delta_0 = Z - B_2 C_1^{-1} A_2$ .

(i) Suppose that  $M$  is Drazin invertible. By Lemma 3.1,  $\begin{pmatrix} B_1 & \Delta_0 \\ 0 & A_1 \end{pmatrix}$  is Drazin invertible. Since  $\sigma_{RD}(T) \subset \sigma_D(T)$  for an arbitrary operator  $T \in \mathcal{L}(\mathcal{H})$ , it follows that  $\begin{pmatrix} B_1 & \Delta_0 \\ 0 & A_1 \end{pmatrix}$  is right Drazin invertible. By Lemma 3.3, we know that  $A_1$  is right Drazin invertible. Also, since  $\begin{pmatrix} B_1 & \Delta_0 \\ 0 & A_1 \end{pmatrix}$  is left Drazin invertible, it follows from [2, Theorem 2.1] that  $\begin{pmatrix} B_1^* & 0 \\ \Delta_0^* & A_1^* \end{pmatrix}$  is right Drazin invertible. But, there exists a unitary operator  $\begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}$  such that

$$\begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} \begin{pmatrix} B_1^* & 0 \\ \Delta_0^* & A_1^* \end{pmatrix} \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}^{-1} = \begin{pmatrix} A_1^* & \Delta_0^* \\ 0 & B_1^* \end{pmatrix}.$$

Hence  $\begin{pmatrix} B_1^* & 0 \\ \Delta_0^* & A_1^* \end{pmatrix}$  and  $\begin{pmatrix} A_1^* & \Delta_0^* \\ 0 & B_1^* \end{pmatrix}$  are similar. Again by Lemma 3.3,  $B_1^*$  is right Drazin invertible. Therefore  $B_1$  is left Drazin invertible.

(ii) Suppose that both  $A_1$  and  $B_1$  are left Drazin invertible. Then  $p(A_1) < \infty$ ,  $p(B_1) < \infty$ , and  $R(T^{p(A_1)+1})$  and  $R(T^{p(B_1)+1})$  are closed. Let  $k := p(B_1) < \infty$  and  $l := p(A_1) < \infty$ . Then we can choose  $n := \max\{k, l\}$ . By [11, Lemma 2.2], we know that  $p\left(\begin{pmatrix} B_1 & \Delta_0 \\ 0 & A_1 \end{pmatrix}\right) \leq 2n < \infty$ . In fact, it is known that for each positive integer  $k$ ,

$$\dim N(M^k) = \dim N\left(\begin{pmatrix} B_1 & \Delta_0 \\ 0 & A_1 \end{pmatrix}^k\right).$$

However,  $\dim N(M) < \infty$ , thus  $M$  has finite ascent. So it suffices to show that the range of the next operator matrix is closed;

$$\begin{pmatrix} B_1 & \Delta_0 \\ 0 & A_1 \end{pmatrix}^{2n+1} = \begin{pmatrix} B_1^{2n+1} & B_1^{2n} \Delta_0 + \dots + \Delta_0 A_1^{2n} \\ 0 & A_1^{2n+1} \end{pmatrix}.$$

On the other hand, since  $R(A_1^{l+1})$  and  $R(B_1^{k+1})$  are closed, it follows from [2, Lemma 1.1] that both  $R(A_1^{2n+1})$  and  $R(B_1^{2n+1})$  are closed for  $n := \max\{k, l\}$ . Since  $A_1$  has finite ascent, it is well known that  $\dim N(A_1) < \infty$ , so this implies from [2, Remark 2.3] that  $\dim N(A_1^{2n+1}) < \infty$ . Hence it follows from Lemma 3.4 that  $R\left[\begin{pmatrix} B_1 & \Delta_0 \\ 0 & A_1 \end{pmatrix}^{2n+1}\right]$  is closed. Therefore  $R(M^{2n+1})$  is closed, so this means that  $M$  is left Drazin invertible.  $\square$

In [22], Zhang et al. discussed that  $\sigma_D\left(\begin{pmatrix} A & C \\ 0 & B \end{pmatrix}\right) \cup Q = \sigma_D(A) \cup \sigma_D(B)$ , where  $Q$  is the union of certain holes in  $\sigma_D\left(\begin{pmatrix} A & C \\ 0 & B \end{pmatrix}\right)$  which happen to be subsets of  $\sigma_D(A) \cap \sigma_D(B)$ . So it is naturally to ask what is exactly the set  $Q$  for  $2 \times 2$  operator matrices  $M$  in the class  $\mathcal{S}$ . From this argument, we proved the following theorem.

**Theorem 3.6.** For  $M \in \mathcal{S}$ , then the following property holds:

$$\sigma_D(A_1) \cup \sigma_D(B_1) = \sigma_D(M) \cup Q$$

where  $\sigma_D(\cdot)$  denotes the Drazin spectrum of  $\cdot$  and  $Q$  is the union of certain of the holes in  $\sigma_D(M)$  which happen to be subsets of  $\sigma_D(A_1) \cap \sigma_D(B_1)$ .

*Proof.* We first show that for  $M \in \mathcal{S}$ ,

$$[\sigma_D(B_1) \cup \sigma_D(A_1)] \setminus [\sigma_D(B_1) \cap \sigma_D(A_1)] \subset \sigma_D(M) \subset \sigma_D(B_1) \cup \sigma_D(A_1). \tag{6}$$

Indeed, let  $\lambda \notin \sigma_D(B_1) \cup \sigma_D(A_1)$ . Then both  $B_1 - \lambda$  and  $A_1 - \lambda$  are Drazin invertible, so they have finite ascent and descent. It follows from [14, Lemma 2.5] that  $\begin{pmatrix} B_1 - \lambda & \Delta_\lambda \\ 0 & A_1 - \lambda \end{pmatrix}$  has also finite ascent and descent, so this is Drazin invertible. From Lemma 3.1,  $M$  is also Drazin invertible. To show the first inclusion, we let  $\lambda \in [\sigma_D(B_1) \cup \sigma_D(A_1)] \setminus \sigma_D(M)$ . Then  $M - \lambda$  is Drazin invertible. Again from Lemma 3.1 and (3), we get that  $\begin{pmatrix} B_1 - \lambda & \Delta_\lambda & 0 \\ 0 & A_1 - \lambda & 0 \\ 0 & 0 & C_1 \end{pmatrix}$  is Drazin invertible. Since  $C_1$  is invertible, it follows that  $\begin{pmatrix} B_1 - \lambda & \Delta_\lambda \\ 0 & A_1 - \lambda \end{pmatrix}$  is Drazin invertible. Note that

$$\begin{pmatrix} B_1 - \lambda & \Delta_\lambda \\ 0 & A_1 - \lambda \end{pmatrix} = \begin{pmatrix} I & 0 \\ 0 & A_1 - \lambda \end{pmatrix} \begin{pmatrix} I & \Delta_\lambda \\ 0 & I \end{pmatrix} \begin{pmatrix} B_1 - \lambda & 0 \\ 0 & I \end{pmatrix}. \tag{7}$$

If  $A_1 - \lambda$  is Drazin invertible, then it follows from (7) that  $B_1 - \lambda$  is Drazin invertible. Similarly, if  $B_1 - \lambda$  is Drazin invertible, then so is  $A_1 - \lambda$ . This means that  $\lambda \in \sigma_D(B_1) \cap \sigma_D(A_1)$ . Thus (6) can be proved.

Next, we claim that for  $M \in \mathcal{S}$ , we have

$$\eta(\sigma_D(M)) = \eta(\sigma_D(B_1) \cup \sigma_D(A_1)), \tag{8}$$

where  $\eta(K)$  denotes the polynomially convex hull of the compact set  $K \subset \mathbb{C}$ . Indeed, if  $M - \lambda$  is Drazin invertible, then  $\begin{pmatrix} B_1 - \lambda & \Delta_\lambda \\ 0 & A_1 - \lambda \end{pmatrix}$  is Drazin invertible. By Lemma 3.5(i), we get that

$$\sigma_{LD}(B_1) \cup \sigma_{RD}(A_1) \subset \sigma_D(M).$$

Since  $\text{int}(\sigma_D(M)) \subset \text{int}(\sigma_D(A_1) \cup \sigma_D(B_1))$  by (6), where the interior of a set  $S$  is denoted by  $\text{int}(S)$ , it follows from the previous fact and punctured neighborhood theorem ([22]) that

$$\begin{aligned} \partial(\sigma_D(B_1) \cup \sigma_D(A_1)) &\subset \partial(\sigma_D(B_1)) \cup \partial(\sigma_D(A_1)) \\ &\subset \sigma_{LD}(B_1) \cup \sigma_{RD}(A_1) \subset \sigma_D(M). \end{aligned}$$

Therefore it follows from (6) that (8) can be proved, so that the passage from  $\sigma_D(B_1) \cup \sigma_D(A_1)$  to  $\sigma_D(M)$  is the filling in certain of the holes in  $\sigma_D(B_1) \cap \sigma_D(A_1)$ . Hence this completes the proof of this theorem.  $\square$

We recall that  $T \in \mathcal{L}(\mathcal{H})$  is said to have the *single valued extension property* (or SVEP) if for every open subset  $G$  of  $\mathbb{C}$  and any  $\mathcal{H}$ -valued analytic function  $f$  on  $G$  such that  $(T - \lambda)f(\lambda) \equiv 0$  on  $G$ , we have  $f(\lambda) \equiv 0$  on  $G$ . Then we get the next corollary.

**Corollary 3.7.** *Let  $M \in \mathcal{S}$ . Assume that one of the following statements holds.*

- (i)  $\sigma_D(B_1) \cap \sigma_D(A_1)$  has no interior points.
- (ii)  $\sigma_i(M) = \sigma_i(B_1) \cup \sigma_i(A_1)$  for  $\sigma_i \in \{\sigma, \sigma_b, \sigma_w\}$ .
- (iii)  $B_1^*$  or  $A_1$  has the single valued extension property.

Then we have

$$\sigma_D(M) = \sigma_D(B_1) \cup \sigma_D(A_1). \tag{9}$$

*Proof.* If (i) holds, the proof is clear from Theorem 3.6.

Suppose that (ii) holds. It suffices to show that this inclusion  $\sigma_D(B_1) \cup \sigma_D(A_1) \subset \sigma_D(M)$  are satisfied since  $\sigma_D(M) \subset \sigma_D(B_1) \cup \sigma_D(A_1)$  by Theorem 3.6. Without loss of generality, it is enough to show that if  $0 \notin \sigma_D(M)$ , then  $0 \notin \sigma_D(B_1) \cup \sigma_D(A_1)$ . If  $0 \notin \sigma_D(M)$ , then  $M$  is Drazin invertible and  $0 \in \text{iso}\sigma(M)$ . Since  $0 \in \text{iso}\sigma(M)$ , then there exists  $\epsilon > 0$  such that for  $0 < |\lambda| < \epsilon$ ,  $M - \lambda$  is invertible. If  $\sigma(M) = \sigma(B_1) \cup \sigma(A_1)$  holds, then both  $B_1 - \lambda$  and  $A_1 - \lambda$  are invertible for  $0 < |\lambda| < \epsilon$ , so this implies that  $B_1$  and  $A_1$  are Drazin invertible. We now suppose that  $\sigma_b(M) = \sigma_b(B_1) \cup \sigma_b(A_1)$  holds. Then from [7],  $B_1 - \lambda$  is left invertible and  $A_1 - \lambda$  is right invertible for any  $0 < |\lambda| < \epsilon$ . Thus both the ascent of  $B_1 - \lambda$  and the descent of  $A_1 - \lambda$  are zero. Since  $M - \lambda$  is invertible for  $0 < |\lambda| < \epsilon$ , it follows that  $0 \notin \sigma_b(M) = \sigma_b(B_1) \cup \sigma_b(A_1)$ , so  $B_1 - \lambda$  and  $A_1 - \lambda$  are Browder. Hence they are invertible for  $0 < |\lambda| < \epsilon$ . This means that  $0 \notin \sigma_D(B_1) \cup \sigma_D(A_1)$ . So it remains to prove that  $\sigma_w(M) = \sigma_w(B_1) \cup \sigma_w(A_1)$  holds. The proof follows from [1, Theorem 3.4] by the similar method.

Finally, assume (iii) holds. Without loss of generality, if  $0 \notin \sigma_D(M)$ , then there exists  $\epsilon > 0$  such that for every  $\lambda \in \mathbb{C}$ ,  $0 < |\lambda| < \epsilon$ ,  $M - \lambda$  is invertible. Thus  $\begin{pmatrix} B_1 - \lambda & \Delta_\lambda \\ 0 & A_1 - \lambda \end{pmatrix}$  is also invertible. So,  $B_1 - \lambda$  is left invertible and  $A_1 - \lambda$  is right invertible. Since  $B_1^*$  or  $A_1$  has the single valued extension property, both  $A_1 - \lambda$  and  $B_1 - \lambda$  are invertible for  $0 < |\lambda| < \epsilon$ . This means that  $B_1$  and  $A_1$  are Drazin invertible. Hence  $\sigma_D(A_1) \cup \sigma_D(B_1) \subset \sigma_D(M)$ .  $\square$

Let  $\rho_D(T) = \mathbb{C} \setminus \sigma_D(T)$  be the *Drazin resolvent set* of  $T \in \mathcal{L}(\mathcal{H})$ . Now we apply the main result in [22, Theorem 3.1] to full matrix version  $M \in \mathcal{S}$ .

**Corollary 3.8.** *Suppose that  $M \in \mathcal{S}$ . Then the following relation holds;*

$$\bigcap_{Z \in \mathcal{L}(\mathcal{H}, \mathcal{K})} \sigma_D(M) \subseteq \left( \bigcap_{Z \in \mathcal{L}(\mathcal{H}, \mathcal{K})} \sigma(M) \right) \setminus [\rho_D(B_1) \cap \rho_D(A_1)].$$

Moreover, if one of the following conditions holds;

- (1)  $\sigma(B_1) \cap \sigma(A_1) = \emptyset$ ; (2)  $\text{int}(\sigma_p(A_1)) = \emptyset$ ;
- (3)  $\text{int}(\sigma_p(B_1^*)) = \emptyset$ ; (4)  $\sigma_s(A_1) = \sigma(A_1)$ ; (5)  $\sigma_a(B_1) = \sigma(B_1)$ ,

then we have

$$\bigcap_{Z \in \mathcal{L}(\mathcal{H}, \mathcal{K})} \sigma_D(M) = \left( \bigcap_{Z \in \mathcal{L}(\mathcal{H}, \mathcal{K})} \sigma(M) \right) \setminus [\rho_D(B_1) \cap \rho_D(A_1)]$$

*Proof.* The proof follows from [22, Theorem 3.1].  $\square$

Motivated by Theorem 3.6, we have a similar development for the left Drazin spectrum. So we first recall the following lemma. Here, we say that  $\eta(K)$  denotes the *polynomially convex hull* of the compact set  $K \subset \mathbb{C}$ .

**Lemma 3.9.** ([6]) Let  $T \in \mathcal{L}(\mathcal{H})$ . Then

$$\eta(\sigma_\tau(T)) = \eta(\sigma_D(T))$$

holds for  $\sigma_\tau \in \{\sigma_{SBF}, \sigma_{BW}, \sigma_{LD}, \sigma_{RD}\}$ .

**Lemma 3.10.** If  $M \in \mathcal{S}$ , then the following properties hold;

$$\eta(\sigma_\tau(M)) = \eta(\sigma_\tau(B_1) \cup \sigma_\tau(A_1)), \tag{10}$$

where  $\sigma_\tau \in \{\sigma_{SBF}, \sigma_{BW}, \sigma_{LD}, \sigma_{RD}\}$ .

*Proof.* Let  $\sigma_\tau \in \{\sigma_{SBF}, \sigma_{BW}, \sigma_{LD}, \sigma_{RD}\}$ . By Lemma 3.9, we obtain that  $\eta(\sigma_\tau(B_1) \cup \sigma_\tau(A_1)) = \eta(\sigma_D(B_1) \cup \sigma_D(A_1))$  for  $B_1 \in \mathcal{L}(N(C))$  and  $A_1 \in \mathcal{L}(\mathcal{H})$ . Thus by [22, Theorem 2.9], we have

$$\begin{aligned} \eta\left(\sigma_\tau\left(\begin{pmatrix} B_1 & \Delta_0 \\ 0 & A_1 \end{pmatrix}\right)\right) &= \eta\left(\sigma_D\left(\begin{pmatrix} B_1 & \Delta_0 \\ 0 & A_1 \end{pmatrix}\right)\right) \\ &= \eta(\sigma_D(B_1) \cup \sigma_D(A_1)) = \eta(\sigma_\tau(B_1) \cup \sigma_\tau(A_1)). \end{aligned}$$

Therefore we have the desired result.  $\square$

**Theorem 3.11.** Let  $M \in \mathcal{S}$  and let every  $\lambda$  be a complex value of finite multiplicity for  $M$ . Then the next equality holds;

$$\sigma_{LD}(B_1) \cup \sigma_{LD}(A_1) = \sigma_{LD}(M) \cup \mathcal{W},$$

where  $\mathcal{W}$  is the union of the certain of the holes in  $\sigma_{LD}(M)$  which happen to be subsets of  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$ .

*Proof.* We first show that

$$\sigma_{LD}(B_1) \subseteq \sigma_{LD}(M) \subseteq \sigma_{LD}(B_1) \cup \sigma_{LD}(A_1). \tag{11}$$

Since the second inclusion in (11) holds from Lemma 3.5(ii), we only need to show the first inclusion in (11). Suppose that  $M - \lambda$  is left Drazin invertible. Since  $\dim N(M - \lambda) < \infty$  for every  $\lambda \in \mathbb{C}$ , it follows from (3) and (4) that  $\begin{pmatrix} B_1 - \lambda & \Delta_\lambda \\ 0 & A_1 - \lambda \end{pmatrix}$  is left Drazin invertible. By [2, Theorem 2.1] and Lemma 3.5(i),  $B_1 - \lambda$  is left Drazin invertible. Consequently, (11) is proved and this implies that

$$(\sigma_{LD}(B_1) \cup \sigma_{LD}(A_1)) \setminus \sigma_{LD}(M) \subseteq \sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1). \tag{12}$$

Therefore, from Lemma 3.5(ii) the passage from  $\sigma_{LD}(B_1) \cup \sigma_{LD}(A_1)$  to  $\sigma_{LD}\left(\begin{pmatrix} B_1 & \Delta_0 \\ 0 & A_1 \end{pmatrix}\right)$  is the filling in certain of the holes in  $\sigma_{LD}\left(\begin{pmatrix} B_1 & \Delta_0 \\ 0 & A_1 \end{pmatrix}\right)$ . Moreover, the certain of the holes in  $\sigma_{LD}\left(\begin{pmatrix} B_1 & \Delta_0 \\ 0 & A_1 \end{pmatrix}\right)$  should occur in  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  from (12). This proof is complete.  $\square$

In the following example, we observe an operator matrix  $M$  satisfying the assumptions in Theorem 3.11.

**Example 3.12.** Let  $U$  be the unilateral shift on  $l^2(\mathbb{N})$ . We denote an operator matrix  $M$  on  $l^2(\mathbb{N}) \oplus l^2(\mathbb{N})$  as follows;

$$M := \begin{pmatrix} UU^* & -I \\ I & I \end{pmatrix}.$$

Since the identity operator has closed range,  $M$  belongs to  $\mathcal{S}$ . If  $x \oplus y \in N(M - \lambda)$  where  $x = (x_n)$  and  $y = (y_n)$  for  $n = 1, 2, 3, \dots$ , then it follows from a simple calculation that

$$\begin{cases} \lambda x_1 + y_1 = 0 \\ x_1 + (1 - \lambda)y_1 = 0 \end{cases} \quad \text{and} \quad \begin{cases} (1 - \lambda)x_i - y_i = 0 \\ x_i + (1 - \lambda)y_i = 0 \end{cases} \quad \text{for } i = 2, 3, \dots,$$

so that  $x \oplus y = 0 \oplus 0$  for every  $\lambda \in \mathbb{C}$ . This means that every  $\lambda$  is a complex value of finite multiplicity for  $M$ .



**Remark 3.13.** Suppose that  $M \in \mathcal{S}$ . Then we have the similar result as Theorem 3.11 in terms of the right Drazin spectrum. This means that the following equality is satisfied.

$$\sigma_{RD}(B_1) \cup \sigma_{RD}(A_1) = \sigma_{RD}(M) \cup \mathcal{W},$$

where  $\mathcal{W}$  is the union of the certain of the holes in  $\sigma_{RD}(M)$  which happen to be subsets of  $\sigma_{RD}(B_1) \setminus \sigma_{RD}(A_1)$ .

**Corollary 3.14.** Let  $M \in \mathcal{S}$ . Then the following statements hold.

(i) If every  $\lambda$  is a complex value of finite multiplicity for  $M$  and  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  has no interior points, then

$$\sigma_{LD}(M) = \sigma_{LD}(A_1) \cup \sigma_{LD}(B_1).$$

(ii) If  $\sigma_{RD}(B_1) \setminus \sigma_{RD}(A_1)$  has no interior points, then

$$\sigma_{RD}(M) = \sigma_{RD}(A_1) \cup \sigma_{RD}(B_1).$$

In [3, Theorem 2.5], Aiena et al. proved that every left Drazin invertible operator  $T \in \mathcal{L}(\mathcal{H})$  is equivalent to an upper semi-B-Fredholm operator having the single valued extension property at 0. So the next result comes from this argument and Corollary 3.14.

**Theorem 3.15.** Let  $M \in \mathcal{S}$  and let every  $\lambda$  be a complex value of finite multiplicity for  $M$ . Suppose that  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  has no interior points and both  $A_1$  and  $B_1$  have the single valued extension property. Then

$$\sigma_{SBF_+^-}(M) = \sigma_{SBF_+^-}(A_1) \cup \sigma_{SBF_+^-}(B_1).$$

*Proof.* Let  $\lambda \notin \sigma_{SBF_+^-}(M)$ . Then  $M - \lambda$  is upper semi-B-Fredholm and  $i(M - \lambda) \leq 0$ . Since  $M$  has the single valued extension property by [1], we have that  $M$  is left Drazin invertible. So it follows from Corollary 3.14 that  $A_1 - \lambda$  and  $B_1 - \lambda$  are also left Drazin invertible, and hence this means that they are upper semi-B-Fredholm and their indices are not positive, respectively. Consequently, we get the next inclusion,

$$\sigma_{SBF_+^-}(B_1) \cup \sigma_{SBF_+^-}(A_1) \subseteq \sigma_{SBF_+^-}(M). \tag{13}$$

To show the opposite inclusion of (13), let  $\lambda \notin \sigma_{SBF_+^-}(B_1) \cup \sigma_{SBF_+^-}(A_1)$ . Then  $B_1 - \lambda$  and  $A_1 - \lambda$  are left Drazin invertible from [3, Theorem 2.5]. Since  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  has no interior points,  $M - \lambda$  is left Drazin invertible. Therefore  $\lambda \notin \sigma_{SBF_+^-}(M)$  and this implies that  $\sigma_{SBF_+^-}(B_1) \cup \sigma_{SBF_+^-}(A_1) \supseteq \sigma_{SBF_+^-}(M)$ . Hence the proof is completed.  $\square$

Like the case of the upper semi-B-essential approximate point spectrum, we also observe the similar results for the lower semi-B-essential approximate point spectrum and the B-weyl spectrum.

**Remark 3.16.** Let  $M \in \mathcal{S}$ . If  $\sigma_{RD}(B_1) \setminus \sigma_{RD}(A_1)$  has no interior points, and both  $A_1^*$  and  $B_1^*$  have the single valued extension property, then we have the next equality.

$$\sigma_{SBF_+^+}(M) = \sigma_{SBF_+^+}(A_1) \cup \sigma_{SBF_+^+}(B_1).$$

As a consequence of Theorem 3.15, we get the following corollary.

**Corollary 3.17.** Let  $M \in \mathcal{S}$  and let every  $\lambda$  be a complex value of finite multiplicity for  $M$ . Suppose that  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  and  $\sigma_{RD}(B_1) \setminus \sigma_{RD}(A_1)$  have no interior points and both  $A_1$  and  $B_1$  (or  $A_1^*$  and  $B_1^*$ ) have the single valued extension property. Then

$$\sigma_{BW}(M) = \sigma_{BW}(B_1) \cup \sigma_{BW}(A_1).$$

**4. Weyl type theorems for operator matrices**

In this section, we explore how generalized Weyl’s theorem and generalized  $a$ -Weyl’s theorem for  $M \in \mathcal{S}$  hold. We characterize the operator matrices  $M \in \mathcal{S}$  satisfying generalized Browder’s theorem and generalized  $a$ -Browder’s theorem, respectively, by means of localized single valued extension property under the condition which  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  has no interior points. We start with the following lemma.

**Lemma 4.1.** *Let  $A_1, B_1$  and  $M$  be the same notations as in (3). Suppose  $A_1$  and  $B_1$  have the single valued extension property. Then  $M$  has also the single valued extension property.*

*Proof.* Let  $D$  be an open set in  $\mathbb{C}$  and  $f = f_1 \oplus f_2 \oplus f_3 : D \rightarrow \mathcal{H} \oplus N(C) \oplus N(C)^\perp$  be an analytic function such that

$$(M - \lambda) \begin{pmatrix} f_1(\lambda) \\ f_2(\lambda) \\ f_3(\lambda) \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

on  $D$ . Since  $\begin{pmatrix} 0 & I & 0 \\ 0 & 0 & I \\ I & 0 & (B_2 - \lambda)C_1^{-1} \end{pmatrix}$  is invertible, it follows from (3) that

$$\begin{pmatrix} B_1 - \lambda & \Delta_\lambda & 0 \\ 0 & A_1 - \lambda & 0 \\ 0 & 0 & C_1 \end{pmatrix} \begin{pmatrix} g_1(\lambda) \\ g_2(\lambda) \\ g_3(\lambda) \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

where  $\begin{pmatrix} g_1(\lambda) \\ g_2(\lambda) \\ g_3(\lambda) \end{pmatrix} = \begin{pmatrix} 0 & I & 0 \\ I & 0 & 0 \\ C_1^{-1}(A_2 - \lambda) & 0 & I \end{pmatrix} \begin{pmatrix} f_1(\lambda) \\ f_2(\lambda) \\ f_3(\lambda) \end{pmatrix}$ . Therefore, we get that

$$\begin{cases} (B_1 - \lambda)g_1(\lambda) + \Delta_\lambda g_2(\lambda) = 0 \\ (A_1 - \lambda)g_2(\lambda) = 0 \\ C_1 g_3(\lambda) = 0 \end{cases}$$

on  $D$ . Since  $C_1$  is invertible,  $g_3(\lambda) = 0$ . Moreover, since  $A_1$  and  $B_1$  have the single valued extension property, it follows that  $g_2(\lambda) = 0$  and  $g_1(\lambda) = 0$ . Therefore

$$0 = \begin{pmatrix} g_1(\lambda) \\ g_2(\lambda) \\ g_3(\lambda) \end{pmatrix} = \begin{pmatrix} 0 & I & 0 \\ I & 0 & 0 \\ C_1^{-1}(A_2 - \lambda) & 0 & I \end{pmatrix} \begin{pmatrix} f_1(\lambda) \\ f_2(\lambda) \\ f_3(\lambda) \end{pmatrix}.$$

Since  $\begin{pmatrix} 0 & I & 0 \\ I & 0 & 0 \\ C_1^{-1}(A_2 - \lambda) & 0 & I \end{pmatrix}$  is invertible, it follows that

$$\begin{pmatrix} f_1(\lambda) \\ f_2(\lambda) \\ f_3(\lambda) \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

on  $D$ . Hence  $M$  has the single valued extension property.  $\square$

Now, we examine necessary and sufficient conditions for which operator matrices in the class  $\mathcal{S}$  satisfy generalized  $a$ -Browder’s (resp., Browder’s) theorem.

**Theorem 4.2.** *Let  $M \in \mathcal{S}$  and let every  $\lambda$  be a complex value of finite multiplicity for  $M$ . Suppose that  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  has no interior points.*

- (i) Generalized Browder’s theorem holds for  $M$  if and only if either  $A_1$  and  $B_1$  or  $A_1^*$  and  $B_1^*$  have the single valued extension property at every  $\lambda \notin \sigma_{BW}(M)$ .
- (ii) Generalized  $a$ -Browder’s theorem holds for  $M$  if and only if  $A_1$  and  $B_1$  have the single valued extension property at every  $\lambda \notin \sigma_{SBF_+}(M)$ .

*Proof.* (i) Suppose that  $A_1$  and  $B_1$  have the single valued extension property at  $\lambda \notin \sigma_{BW}(M)$ . We will show that  $\sigma_D(M) = \sigma_{BW}(M)$ . Since  $\sigma_{BW}(M) \subseteq \sigma_D(M)$  holds, we will prove the opposite inclusion. Let  $\lambda \notin \sigma_{BW}(M)$ . Then  $A_1$  and  $B_1$  have the single valued extension property at  $\lambda$  and so  $M$  has the single valued extension property at  $\lambda$  by Lemma 4.1. Since  $M - \lambda$  is  $B$ -Weyl, it follows from [1, Theorem 3.4] and (3) that  $p(M - \lambda) = q(M - \lambda) < \infty$ . Thus  $M - \lambda$  is Drazin invertible, and hence  $\sigma_D(M) \subseteq \sigma_{BW}(M)$ . Therefore generalized Browder’s theorem holds for  $M$ . Assume that  $A_1^*$  and  $B_1^*$  have the single valued extension property at  $\lambda \notin \sigma_{BW}(M)$ . If  $\lambda \notin \sigma_{BW}(M)$ , then  $M^*$  has the single valued extension property at  $\lambda$ . Since  $M - \lambda$  is  $B$ -Weyl, it follows that  $\lambda \notin \sigma_D(M)$ . Thus  $\sigma_D(M) = \sigma_{BW}(M)$ .

Conversely, we assume that generalized Browder’s theorem holds for  $M$ . Then  $\sigma_D(M) = \sigma_{BW}(M)$ . Let  $\lambda \notin \sigma_{BW}(M)$ . Then  $M - \lambda$  is Drazin invertible. Since  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  has no interior points, it follows from Corollary 3.14 that  $B_1 - \lambda$  and  $A_1 - \lambda$  are both left Drazin invertible. Since  $A_1 - \lambda$  is right Drazin invertible by Lemma 3.5(i), it follows that  $A_1 - \lambda$  is Drazin invertible. Thus  $B_1 - \lambda$  is also Drazin invertible by [22, Corollary 2.8]. Therefore  $A_1$  and  $B_1$ , as well as,  $A_1^*$  and  $B_1^*$  have the single valued extension property at  $\lambda$ .

(ii) Suppose that  $A_1$  and  $B_1$  have the single valued extension property at  $\lambda \notin \sigma_{SBF_+}(M)$ . We will show that  $\sigma_{LD}(M) = \sigma_{SBF_+}(M)$ . Since  $\sigma_{SBF_+}(M) \subseteq \sigma_{LD}(M)$  holds, we only show the opposite inclusion. Let  $\lambda \notin \sigma_{SBF_+}(M)$ . Then  $A_1$  and  $B_1$  have the single valued extension property at  $\lambda$  and so  $M$  has the single valued extension property at  $\lambda$  by Lemma 4.1. Since  $M - \lambda$  is upper semi- $B$ -Fredholm, it follows from [2, Theorem 2.5] that  $M - \lambda$  is left Drazin invertible. Thus  $\sigma_{LD}(M) = \sigma_{SBF_+}(M)$ .

Conversely, we suppose that generalized  $a$ -Browder’s theorem holds for  $M$ . Then  $\sigma_{LD}(M) = \sigma_{SBF_+}(M)$ . Let  $\lambda \notin \sigma_{SBF_+}(M)$ . Then  $M - \lambda$  is left Drazin invertible. Since  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  has no interior points, it follows from Corollary 3.14 that  $A_1 - \lambda$  and  $B_1 - \lambda$  are both left Drazin invertible. Therefore  $A_1$  and  $B_1$  have the single valued extension property at  $\lambda$ .  $\square$

Recall that an operator  $T \in \mathcal{L}(\mathcal{H})$  is *normal* if  $T^*T = TT^*$ , *hyponormal* if  $T^*T \geq TT^*$ , *paranormal* if  $\|Tx\|^2 \leq \|T^2x\|\|x\|$  for all  $x \in \mathcal{H}$ , respectively.

**Corollary 4.3.** *Let  $M \in \mathcal{S}$  and let every  $\lambda$  be a complex value of finite multiplicity for  $M$ . If one of the following statements holds;*

- (i)  $A$  has finite spectrum and  $B$  is paranormal,
  - (ii)  $A = I$  and  $B$  is paranormal,
- then  $M$  satisfies the generalized  $a$ -Browder’s theorem.

*Proof.* (i) Suppose that  $A$  has finite spectrum and  $B$  is paranormal. Since  $M \in \mathcal{S}$ , it follows that  $M$  has the following matrix representation as in (2) ;

$$M = \begin{pmatrix} A_1 & 0 & 0 \\ A_2 & 0 & C_1 \\ Z & B_1 & B_2 \end{pmatrix}.$$

Then  $B_1$  is also paranormal. In this case,  $A_1$  and  $B_1$  have the single valued extension property. Moreover,  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  has no interior points. Hence, from Theorem 4.2,  $M$  satisfies the generalized  $a$ -Browder’s theorem.

(ii) If  $A = I$  and  $B$  is paranormal, then  $A_1$  and  $B_1$  are also paranormal. Moreover, in this case,  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  has no interior points. In this case, since  $B_1$  and  $A_1$  have paranormal, they have the single valued extension property. Hence  $M$  satisfies the generalized  $a$ -Browder’s theorem from Theorem 4.2.  $\square$

**Example 4.4.** Let  $M \in \mathcal{S}$  and let every  $\lambda$  be a complex value of finite multiplicity for  $M$ . Suppose that  $\sigma(A) = \{0, 1\}$  and  $B$  is a weighted shift defined by  $Be_n = \beta_n e_{n+1}$  where  $\beta_n = \frac{n+1}{n+2}$ . Then  $B$  is clearly a hyponormal operator. Hence  $M$  satisfies generalized  $a$ -Browder’s theorem from Corollary 4.3.

In [23, Theorem 3.1], Zguitti investigated how the generalized Weyl’s theorem holds for upper triangular operator matrices. So we have naturally the next theorem for an operator matrix in the class  $\mathcal{S}$ .

**Theorem 4.5.** Let  $M \in \mathcal{S}$  and let every  $\lambda$  be a complex value of finite multiplicity for  $M$ . Suppose that  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  have no interior points. Then the following statements hold.

(i) Suppose that  $A_1$  and  $B_1$  have the single valued extension property at every  $\lambda \notin \sigma_{SBF_+}(M)$ . If  $B_1 \oplus A_1$  satisfies generalized  $a$ -Weyl’s theorem, then so does  $M$ .

(ii) Assume that either  $A_1$  and  $B_1$  or  $A_1^*$  and  $B_1^*$  have the single valued extension property at  $\lambda \notin \sigma_{BW}(M)$ . If  $B_1 \oplus A_1$  satisfies generalized Weyl’s theorem, then so does  $M$ .

*Proof.* (i) Let  $A_1$  and  $B_1$  have the single valued extension property at  $\lambda \notin \sigma_{SBF_+}(M)$ . Then generalized  $a$ -Browder’s theorem holds for  $M$  by Theorem 4.2. This means that  $\sigma_a(M) \setminus \sigma_{SBF_+}(M) \subseteq \pi_0^a(M)$ . So we will show that  $\pi_0^a(M) \subseteq \sigma_a(M) \setminus \sigma_{SBF_+}(M)$ . Let  $\lambda \in \pi_0^a(M)$ . Then  $\lambda \in \text{iso } \sigma_a(M)$  and  $\alpha(M - \lambda) > 0$ . We first prove that  $\sigma_a(M) = \sigma_a(B_1 \oplus A_1)$ . By [20, (12)], it is obvious that  $\sigma_a(B_1) \subseteq \sigma_a(B_1 \oplus A_1) \subseteq \sigma_a(B_1) \cup \sigma_a(A_1)$ . Thus we have

$$(\sigma_a(B_1) \cup \sigma_a(A_1)) \setminus \sigma_a(M) \subseteq \sigma_a(A_1) \setminus \sigma_a(B_1). \tag{14}$$

It follows from [20, Theorem 2] that the passage from  $\sigma_a(M)$  to  $\sigma_a(B_1) \cup \sigma_a(A_1)$  is the filling in certain of the holes in  $\sigma_a(M)$ . But (14) says that the filling in certain of the holes in  $\sigma_a(M)$  should occur in  $\sigma_a(A_1) \setminus \sigma_a(B_1)$ . Since  $\text{acc } \sigma_a(A_1) \subseteq \sigma_{LD}(A_1)$ , if  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  has no interior points then  $\sigma_a(A_1) \setminus \sigma_a(B_1)$  also has no interior points. Thus  $\sigma_a(M) = \sigma_a(B_1) \cup \sigma_a(A_1)$ . It follows that  $\sigma_a(M) = \sigma_a(B_1 \oplus A_1)$ , and so  $\lambda \in \text{iso } \sigma_a(B_1 \oplus A_1)$ . Since  $\alpha(M - \lambda) > 0$  and

$$N(B_1 - \lambda) \oplus \{0\} \subseteq N(M - \lambda) \subseteq (B_1 - \lambda)^{-1}(\Delta_0(N(A_1 - \lambda))) \oplus N(A_1 - \lambda),$$

it is obvious that  $\alpha(B_1 \oplus A_1 - \lambda) > 0$ , and hence  $\lambda \in \pi_0^a(B_1 \oplus A_1)$ . Since  $B_1 \oplus A_1$  satisfies generalized  $a$ -Weyl’s theorem,  $\lambda \in \sigma_a(B_1 \oplus A_1) \setminus \sigma_{SBF_+}(B_1 \oplus A_1)$ . Moreover, since the equality  $\sigma_{LD}(B_1 \oplus A_1) = \sigma_{SBF_+}(B_1 \oplus A_1)$  holds, it follows that  $B_1 \oplus A_1 - \lambda$  is left Drazin invertible. Since  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  has no interior points, it follows from Corollary 3.14 that  $\lambda \notin \sigma_{LD}(M)$ . Thus  $\lambda \in \sigma_a(M) \setminus \sigma_{SBF_+}(M)$ , and hence  $\pi_0^a(M) \subseteq \sigma_a(M) \setminus \sigma_{SBF_+}(M)$ . Therefore generalized  $a$ -Weyl’s theorem holds for  $M$ .

(ii) Suppose that either  $A_1$  and  $B_1$  have the single valued extension property at  $\lambda \notin \sigma_{BW}(M)$ . Then generalized Browder’s theorem holds for  $M$  by Theorem 4.2 and so  $\sigma(M) \setminus \sigma_{BW}(M) \subseteq \pi_0(M)$ . So we will prove that  $\pi_0(M) \subseteq \sigma(M) \setminus \sigma_{BW}(M)$ . Let  $\lambda \in \pi_0(M)$ . Since  $\lambda \in \text{iso } \sigma(M)$ , there exists  $\epsilon > 0$  such that  $M - \mu$  is invertible for  $0 < |\lambda - \mu| < \epsilon$ . It follows from [19, Theorem 2] that  $B_1 - \mu$  is left invertible and  $A_1 - \mu$  is right invertible for  $0 < |\lambda - \mu| < \epsilon$ . But  $\sigma_{LD}(B) \setminus \sigma_{LD}(A)$  has no interior points, hence  $B - \mu$  is invertible for  $0 < |\lambda - \mu| < \epsilon$ . This implies by [19, Corollary 4] that  $A - \mu$  is also invertible for  $0 < |\lambda - \mu| < \epsilon$ . Thus  $\lambda \in \text{iso } \sigma(B_1 \oplus A_1)$ . Since  $\alpha(M - \lambda) > 0$ , it is obvious that  $\alpha(B_1 \oplus A_1 - \lambda) > 0$ . Thus  $\lambda \in \pi_0(B_1 \oplus A_1)$ . Since  $B_1 \oplus A_1$  satisfies generalized Weyl’s theorem, we have that  $\lambda \in \sigma(B_1 \oplus A_1) \setminus \sigma_{BW}(B_1 \oplus A_1)$ . But  $\sigma_D(B_1 \oplus A_1) = \sigma_{BW}(B_1 \oplus A_1)$ , hence  $B_1 \oplus A_1$  has the single valued extension property at  $\lambda$ . By Lemma 3.5(ii),  $B_1 - \lambda$  is left Drazin invertible, and so  $B_1$  has the single valued extension property at  $\lambda$ . It follows that  $A_1 - \lambda$  is also the single valued extension property at  $\lambda$ . On the other hand,  $A_1 - \lambda$  is right Drazin invertible by Lemma 3.5(i). Thus  $A_1 - \lambda$  is Drazin invertible. Similarly, if  $A_1^*$  and  $B_1^*$  have the single valued extension property at  $\lambda \notin \sigma_{BW}(M)$ , then  $B_1^* \oplus A_1^*$  has the single valued extension property at  $\lambda$ . Again by Lemma 3.5(i),  $B_1 - \lambda$  is Drazin invertible. Hence by [22, Theorem 2.9],  $M - \lambda$  is Drazin invertible. Therefore  $\lambda \in \sigma(M) \setminus \sigma_{BW}(M)$ , and so  $\pi_0(M) \subseteq \sigma(M) \setminus \sigma_{BW}(M)$ . Consequently, generalized Weyl’s theorem holds for  $M$ .  $\square$

As an application of Theorem 4.5, we get the following corollary.

**Corollary 4.6.** *Let  $M \in \mathcal{S}$  and let every  $\lambda$  be a complex value of finite multiplicity for  $M$ . Then the following statements hold.*

- (i) *If  $A$  and  $B$  are compact and isoloid and  $B_1 \oplus A_1$  satisfies generalized Weyl’s theorem, then  $M$  satisfies generalized  $a$ -Weyl’s theorem.*
- (ii) *If  $A$  is compact and isoloid and  $B$  is hyponormal, then  $M$  satisfies generalized Weyl’s theorem.*

*Proof.* (i) Suppose that  $A$  and  $B$  are compact and isoloid. Then  $A_1, A_1^*, B_1,$  and  $B_1^*$  have the single valued extension property from [1]. Moreover,  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  has no interior points and  $B_1 \oplus A_1$  satisfies generalized  $a$ -Weyl’s theorem by [18]. Hence, from Theorem 4.5(i),  $M$  satisfies generalized  $a$ -Weyl’s theorem.

(ii) Suppose that  $A$  is compact and isoloid and  $B$  is hyponormal. Then  $A_1$  is decomposable and  $B_1$  is also hyponormal. In this case,  $A_1$  and  $B_1$  have the single valued extension property. Moreover,  $\sigma_{LD}(A_1) \setminus \sigma_{LD}(B_1)$  has no interior points and  $B_1 \oplus A_1$  satisfies generalized Weyl’s theorem by [18]. Hence, from Theorem 4.5(ii),  $M$  satisfies generalized Weyl’s theorem.  $\square$

Finally, we investigate an equivalent condition so that the operator matrix  $M \in \mathcal{S}$  satisfies generalized Weyl’s theorem.

**Theorem 4.7.** *Let  $M \in \mathcal{S}$  and let every  $\lambda$  be a complex value of finite multiplicity for  $M$ . Suppose  $A_1^*$  and  $B_1^*$  have the single valued extension property at  $\lambda \notin \sigma_{BW}(M) \cup \sigma_{BW}(B_1 \oplus A_1)$ . If  $A_1$  and  $B_1$  are isoloid, then the following statements are equivalent :*

- (i) *Generalized Weyl’s theorem holds for  $B_1 \oplus A_1$ .*
- (ii) *Generalized Weyl’s theorem holds for  $M$ .*

*Proof.* Suppose that  $A_1^*$  and  $B_1^*$  have the single valued extension property at  $\lambda \notin \sigma_{BW}(M) \cup \sigma_{BW}(B_1 \oplus A_1)$ . We will first show that  $\sigma(M) = \sigma(B_1 \oplus A_1)$  and  $\sigma_{BW}(M) = \sigma_{BW}(B_1 \oplus A_1)$ . Let  $\lambda \notin \sigma(B_1 \oplus A_1)$ . Then  $B_1 - \lambda$  is left invertible by [19, Theorem 2]. But  $B_1^*$  has the single valued extension property at  $\lambda$ , and hence  $B_1 - \lambda$  is invertible by Remark 2.4. Thus  $A_1 - \lambda$  is also invertible by [19, Corollary 4]. Consequently,  $\lambda \notin \sigma(B_1 \oplus A_1)$ , and so  $\sigma(B_1 \oplus A_1) \subseteq \sigma(M)$ . Since  $\sigma(M) \subseteq \sigma(B_1 \oplus A_1)$  holds by [7, Lemma 3.1], we have  $\sigma(M) = \sigma(B_1 \oplus A_1)$ . If  $\lambda \notin \sigma_{BW}(M)$ , then  $M^*$  has the single valued extension property at  $\lambda$ , and hence  $M - \lambda$  is Drazin invertible. By Lemma 3.5(i),  $B_1 - \lambda$  is left Drazin invertible. Since  $B_1^*$  has the single valued extension property at  $\lambda$ ,  $B_1 - \lambda$  is Drazin invertible. It follows from [22, Corollary 2.8] that  $A_1 - \lambda$  is also Drazin invertible. Hence  $\lambda \notin \sigma_{BW}(B_1 \oplus A_1)$  and so  $\sigma_{BW}(B_1 \oplus A_1) \subseteq \sigma_{BW}(M)$ .

Conversely, suppose that  $\lambda \notin \sigma_{BW}(B_1 \oplus A_1)$ . Then  $A_1^*$  and  $B_1^*$  have the single valued extension property at  $\lambda$ , and so  $B_1^* \oplus A_1^*$  has the single valued extension property at  $\lambda$ . Thus  $\lambda \notin \sigma_D(B_1 \oplus A_1)$ . It follows from Lemma 3.5(i) and [22, Theorem 2.9] that  $M - \lambda$  is Drazin invertible. So  $\lambda \notin \sigma_{BW}(M)$ . Therefore  $\sigma_{BW}(M) \subseteq \sigma_{BW}(B_1 \oplus A_1)$ , and this implies that  $\sigma_{BW}(M) = \sigma_{BW}(B_1 \oplus A_1)$ .

Now, we shall prove that  $\pi_0(M) = \pi_0(B_1 \oplus A_1)$ . Let  $\lambda \in \pi_0(M)$ . Then  $\lambda \in \text{iso } \sigma(M)$  and  $\alpha(M - \lambda) > 0$ . Since  $\sigma(M) = \sigma(B_1 \oplus A_1)$ , it follows that  $\lambda \in \text{iso } \sigma(B_1 \oplus A_1)$ . Moreover, since

$$N(B_1 - \lambda) \oplus \{0\} \subseteq N(M - \lambda) \subseteq (B_1 - \lambda)^{-1}(\Delta_0(N(A_1 - \lambda))) \oplus N(A_1 - \lambda),$$

it is clear that  $\alpha(B_1 \oplus A_1 - \lambda) > 0$ . Thus  $\lambda \in \pi_0(B_1 \oplus A_1)$ , and so  $\pi_0(M) \subseteq \pi_0(B_1 \oplus A_1)$ .

Conversely, let  $\lambda \in \pi_0(B_1 \oplus A_1)$ . Then  $\lambda \in \text{iso } \sigma(B_1 \oplus A_1)$  and  $\alpha(B_1 \oplus A_1 - \lambda) > 0$ . Since  $A_1$  and  $B_1$  are isoloid, it follows that  $\alpha(A_1 - \lambda) > 0$  and  $\alpha(B_1 - \lambda) > 0$ . Since  $N(B_1 - \lambda) \oplus \{0\} \subseteq N(M - \lambda)$ ,  $\alpha(M - \lambda) > 0$ . But  $\sigma(M) = \sigma(B_1 \oplus A_1)$ , hence  $\lambda \in \pi_0(M)$ . Therefore  $\pi_0(M) = \pi_0(B_1 \oplus A_1)$ . Hence this completes the proof.  $\square$

**Example 4.8.** Let  $C$  be the bilateral shift given by  $Ce_n = e_{n+1}$  on  $L^2(\mu)$  with respect to  $e_n(z) = z^n$  for  $n \in \mathbb{Z}$ . If  $A = I$  and  $B$  is a multiplication operator on a Lebesgue space  $L^2(\mu)$  where  $\mu$  is a planar positive Borel measure with compact support. Then  $\begin{pmatrix} A & C \\ Z & B \end{pmatrix} \in \mathcal{S}$ . In this case, since  $A$  and  $B$  are normal,  $B_1$  and  $A_1$  are also normal and isoloid. Therefore,  $B_1 \oplus A_1$  satisfies generalized Weyl’s theorem. On the other hand, since  $B_1^*$  and  $A_1^*$  have the single-valued extension property, we conclude from Theorem 4.7 that  $\begin{pmatrix} A & C \\ Z & B \end{pmatrix}$  satisfies generalized Weyl’s theorem for every  $Z \in \mathcal{L}(L^2(\mu), L^2(\mu))$ .

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