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On Upper Triangular Operator 2 × 2 **Matrices Over** C*-Algebras

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Abstract. We study adjointable, bounded operators on the direct sum of two copies of the standard Hilbert C^* -module over a unital C^* -algebra \mathcal{A} that are given by upper triangular 2 by 2 operator matrices. Using the definition of \mathcal{A} -Fredholm and semi- \mathcal{A} -Fredholm operators given in [3], [4], we obtain conditions relating semi- \mathcal{A} -Fredholmness of these operators and that of their diagonal entries, thus generalizing the results in [1], [2]. Moreover, we generalize the notion of the spectra of operators by replacing scalars by the elements in the C^* -algebra \mathcal{A} . Considering these new spectra in \mathcal{A} of bounded, adjointable operators on Hilbert C^* -modules over \mathcal{A} related to the classes of \mathcal{A} -Fredholm and semi- \mathcal{A} -Fredholm operators, we prove an analogue or a generalized version of the results in [1] concerning the relationship between the spectra of 2 by 2 upper triangular operator matrices and the spectra of their diagonal entries.

1. Introduction

Perturbations of spectra of operator matrices were earlier studied in several papers such as [1]. In [1] Djordjevic lets *X* and *Y* be Banach spaces and the operator $M_C : X \oplus Y \longrightarrow X \oplus Y$ be given as 2×2 operator

matrix $\begin{vmatrix} A & C \\ 0 & B \end{vmatrix}$ where $A \in B(X)$, $B \in B(Y)$ and $C \in B(Y, X)$. Djordjevic investigates the relationship between

certain semi-Fredholm properties of A, B and certain semi-Fredholm properties of M_C . Then he deduces as corollaries the description of the intersection of spectra of M'_Cs , when C varies over all operators in B(Y, X) and A, B are fixed, in terms of spectra of A and B. The spectra which he considers are not in general ordinary spectra, but rather different kind of Fredholm spectra such as essential spectra, left and right Fredholm spectra etc...

Some of the main results in [1] are Theorem 3.2, Theorem 4.4 and Theorem 4.6. In Theorem 3.2 Djordjevic gives necessary and sufficient conditions on operators A and B for the operator M_C to be Fredholm.

Recall that two Banach spaces *U* and *V* are *isomorphic up to a finite dimensional subspace,* if one of the following statements hold:

- (a) there exists a bounded below operator $J_1 : U \to V$, such that dim $V/J_1(U) < \infty$, or ;
- (b) there exists a bounded below operator $J_2 : V \to U$, such that dim $V/J_2(V) < \infty$.

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Recall also that for a Banach space *X*, the sets $\Phi(X)$, $\Phi_l(X)$, $\Phi_r(X)$ denote the sets of all Fredholm, left-Fredholm and right-Fredholm operators on *X*, respectively.

Theorem 1.1. [1, Theorem 3.2] Let $A \in \mathcal{L}(X)$ and $B \in \mathcal{L}(Y)$ be given and consider the statements:

- (i) $M_C \in \Phi(X \oplus Y)$ for some $C \in \mathcal{L}(Y, X)$;
- (*ii*) (a) $A \in \Phi_l(X)$;
 - (b) $B \in \Phi_r(Y)$;
 - (c) $\mathcal{N}(B)$ and $X/\overline{\mathcal{R}(A)}$ are isomorphic up to a finite dimensional subspace.

Then (i) is equivalent to (ii).

The implication (i) implies (ii) was proved in [2], whereas Djordjevic proves the implication (ii) implies (i).

Similarly in Theorem 4.4 and Theorem 4.6 of [1] Djordjevic investigates the case when M_C is right and left semi-Fredholm operator, respectively. Here we are going to recall these results as well, but first we repeat the following definition from [1]:

Definition 1.2. [1, Definition 4.2] Let X and Y be Banach spaces. We say that X can be embedded in Y and write $X \leq Y$ if and only if there exists a left invertible operator $J : X \rightarrow Y$. We say that X can essentially be embedded in Y and write X < Y, if and only if $X \leq Y$ and Y/T(X) is an infinite dimensional linear space for all $T \in \mathcal{L}(X, Y)$.

Remark 1.3. [1, Remark 4.3] Obviously, $X \leq Y$ if and only if there exists a right invertible operator $J_1 : Y \rightarrow X$.

If *H* and *K* are Hibert spaces, then $H \leq K$ if and only if dim $H \leq \dim K$. Also H < K if and only if dim $H < \dim K$ and *K* is infinite dimensional. Here dim *H* denotes the dimension of *H*.

Theorem 1.4. [1, Theorem 4.4] Let $A \in \mathcal{L}(X)$ and $B \in \mathcal{L}(Y)$ be given operators and consider the following statements:

- (*i*) (a) $B \in \Phi_r(Y)$;
- (b) Either $A \in \Phi_r(X)$, or $\mathcal{R}(A)$ is closed and complemented in X and $X/\mathcal{R}(A) \leq \mathcal{N}(B)$.
- (ii) $M_C \in \Phi_r(X \oplus Y)$ for some $C \in \mathcal{L}(X, Y)$.
- (*iii*) (a) $B \in \Phi_r(Y)$;

(b) Either $A \in \Phi_r(X)$ or $\mathcal{R}(A)$ is not closed, or $\mathcal{N}(B) \prec X/\overline{\mathcal{R}(A)}$ does not hold.

Then (i) is equivalent to (ii) which is again equivalent to (iii).

Theorem 1.5. [1, Theorem 4.6] Let $A \in \mathcal{L}(X)$ and $B \in \mathcal{L}(Y)$ be given operators and consider the following statements:

(*i*) (a) $A \in \Phi_l(Y)$;

(b) *Either* $B \in \Phi_l(X)$, or $\mathcal{R}(B)$ and $\mathcal{N}(B)$ are closed and complemented subspaces of Y and $\mathcal{N}(B) \leq X/\overline{\mathcal{R}(A)}$.

- (ii) $M_C \in \Phi_l(X \oplus Y)$ for some $C \in \mathcal{L}(Y, X)$.
- (*iii*) (a) $A \in \Phi_l(X)$;
 - (b) Either $B \in \Phi_l(X)$, or $\mathcal{R}(B)$ is not closed, or $\mathcal{R}(A)^\circ \prec \mathcal{N}(B)$ does not hold.

Then (i) is equivalent to (ii) which is again equivalent to (iii).

Now, Hilbert C^* -modules are natural generalization of Hilbert spaces when the field of scalars is replaced by a C^* -algebra.

Fredholm theory on Hilbert *C*^{*}-modules as a generalization of Fredholm theory on Hilbert spaces was started by Mishchenko and Fomenko in [4]. They have introduced the notion of a Fredholm operator on the standard module $H_{\mathcal{A}}$. Moreover, they have shown that the set of these generalized Fredholm operators is open in the norm topology, that it is invariant under compact perturbation and they have proved the generalization of the Atkinson theorem and of the index theorem. Their definition of \mathcal{A} -Fredholm operator on $H_{\mathcal{A}}$ is the following:

[4, Definition] A (bounded \mathcal{A} linear) operator $F : H_{\mathcal{A}} \to H_{\mathcal{A}}$ is called \mathcal{A} -Fredholm if 1) it is adjointable;

2) there exists a decomposition of the domain $H_{\mathcal{A}} = M_1 \tilde{\oplus} N_1$, and the range, $H_{\mathcal{A}} = M_2 \tilde{\oplus} N_2$, where M_1, M_2, N_1, N_2 are closed \mathcal{A} -modules and N_1, N_2 have a finite number of generators, such that *F* has the matrix from

$$\left[\begin{array}{cc}F_1 & 0\\0 & F_4\end{array}\right]$$

with respect to these decompositions and $F_1 : M_1 \to M_2$ is an isomorphism. The notation $\tilde{\oplus}$ denotes the direct sum of modules without orthogonality, as given in [5].

In [3] we went further in this direction and defined semi- \mathcal{A} -Fredholm operators on Hilbert C^* -modules. We investigated then and proved several properties of these generalized semi Fredholm operators on Hilbert C^* -modules as an analogue or generalization of the well-known properties of classical semi-Fredholm operators on Hilbert and Banach spaces. In particular we have shown that the class of upper semi- \mathcal{A} -Fredholm operators and lower semi- \mathcal{A} -Fredholm operators on $H_{\mathcal{A}}$, denoted by $\mathcal{M}\Phi_+(H_{\mathcal{A}})$ and $\mathcal{M}\Phi_-(H_{\mathcal{A}})$, respecively, are exactly those that are one-sided invertible modulo compact operators on $H_{\mathcal{A}}$. Hence they are natural generalizations of the classical left and right semi-Fredholm operators on Hilbert spaces.

The idea in this paper was to use the classes of semi- \mathcal{A} -Freholm operators on $H_{\mathcal{A}}$ and prove that an analogue or a generalized version of [1, Theorem 3.2], [1, Theorem 4.4], [1, Theorem 4.6] hold in the setting of these new classes of operators. This is a part of our general research project which aim is to establish semi-Fredholm theory on Hilbert *C*^{*}-modules in the setting of the above mentioned generalized Fredholm and semi-Fredholm operators over *C*^{*}-algebras defined in [4], [3], as a generalization of the classical semi-Fredholm theory on Hilbert and Banach spaces. The results of this project are contained in several papers such as [3].

It is worth mentioning that our Theorem 3.2 is a generalization of a result in [2], as the implication in one way in Theorem 3.2 in [1] was already proved in [2]. In addition, we show that in the case when X = Y = H where *H* is a Hilbert space Theorem 4.4 and Theorem 4.6 in [1] can be simplified.

Let us remind now the definition of the essential spectrum of bounded operators on Banach spaces. Namely, for a bounded operator T on a Banach space, the essential spectrum of T denoted $\sigma_e(T)$ is defined to be the set of all $\lambda \in \mathbb{C}$ for which $T - \lambda I$ is not Fredholm.

In [1] Djordjevic considers the essential spectra of A, B, M_C and he describes the situation when $\sigma_e(M_C) = \sigma_e(A) \cup \sigma_e(B)$ in a chain of propositions. He shows first in Proposition 3.1 that $\sigma_e(M_C) \subset \sigma_e(A) \cup \sigma_e(B)$ in general and then, in Proposition 3.5 he gives sufficient conditions on A and B for the equality to hold. Next, passing from Hilbert spaces to Hilbert C*-modules we don't only replace the field of scalars by a C*-algebra \mathcal{A} , but also work with \mathcal{A} valued spectrum instead of the standard one. Namely, given an \mathcal{A} -linear, bounded, adjointable operator F on $H_{\mathcal{A}}$, we consider the operators of the form $F - \alpha 1$ as α varies over \mathcal{A} and this gives rise to a different kind of spectra of F in \mathcal{A} as a generalization of ordinary spectra of F in \mathbb{C} . Using the generalized definitions of Fredholm and semi-Fredholm operators on $H_{\mathcal{A}}$ given in [4] and [3] together with these new, generalized spectra in \mathcal{A} , we obtain an analogue of [1, Proposition 3.1], [1, Proposition 3.4] and [1, Proposition 3.5].

Finally we give a description of the intersection, when *C* varies over $B^a(H_{\mathcal{R}})$, of the generalized essential spectra in \mathcal{A} , of the operator matrix $M_C^{\mathcal{R}}$. We deduce this description as corollary from our generalizations of Theorem 3.2 in [1]. Similar corollaries follow from our generalizations of Theorem 4.4 and Theorem 4.6 in [1], however in these corollaries we consider the generalized left and right Fredholm spectra of $M_C^{\mathcal{R}}$ in \mathcal{A} instead of the generalized essential spectrum of $M_C^{\mathcal{R}}$ in \mathcal{A} .

2. Preliminaries

In this section we are going to introduce the notation, the definitions in [3] that are needed in this paper as well as some auxiliary results which are going to be used later in the proofs. Throughout this paper we let \mathcal{A} be a unital C*-algebra, $H_{\mathcal{A}}$ be the standard module over \mathcal{A} and we let $B^a(H_{\mathcal{A}})$ denote the set of all bounded , adjointable operators on $H_{\mathcal{A}}$. Next, for $\alpha \in \mathcal{A}$ we let α I denote the operator from $H_{\mathcal{A}}$ into $H_{\mathcal{A}}$ given by α I(x) = α I(x_1, \ldots, x_n, \ldots) = ($\alpha x_1, \ldots, \alpha x_n, \ldots$) for all $x = (x_1, \ldots, x_n, \ldots) \in H_{\mathcal{A}}$. Here the coordinates are given w.r.t the standard basis { e_k }. The operator α I is obviously \mathcal{A} -linear and it is adjointable with its adjoint α^* I. Moreover, if *I* is the identity operator in $H_{\mathcal{A}} \oplus H_{\mathcal{A}}$, then for $\alpha \in \mathcal{A}$ we let $\alpha I = \begin{bmatrix} \alpha 1 & 0 \\ 0 & \alpha 1 \end{bmatrix}$, that is $\alpha I(x,y) = \alpha I((x_1,\ldots,x_n,\ldots)), ((y_1,\ldots,y_n,\ldots)) = ((\alpha x_1,\ldots,\alpha x_n,\ldots)), ((\alpha y_1,\ldots,\alpha y_n,\ldots)) \text{ for all } x,y \in H_{\mathcal{A}}.$

According to [5, Definition 1.4.1], we say that a Hilbert C^{*}-module M over \mathcal{A} is finitely generated if there exists a finite set $\{x_i\} \subseteq M$ such that M equals the linear span (over \mathcal{A}) of this set.

Throughout the paper we will denote by \oplus the direct sum of orthogonal Hilbert submodules, whereas the direct sum of Hilbert submodules as Banach subspaces, without orthogonality, will be denoted by $\tilde{\Phi}$, as in [5].

Definition 2.1. [3, Definition 2.1] Let $F \in B^a(H_{\mathcal{A}})$. We say that F is an upper semi- \mathcal{A} -Fredholm operator if there exists a decomposition

$$H_{\mathcal{A}} = M_1 \tilde{\oplus} N_1 \xrightarrow{F} M_2 \tilde{\oplus} N_2 = H_{\mathcal{A}}$$

with respect to which F has the matrix

$$\left[\begin{array}{cc}F_1&0\\0&F_4\end{array}\right]'$$

where F_1 is an isomorphism M_1, M_2, N_1, N_2 are closed submodules of $H_{\mathcal{A}}$ and N_1 is finitely generated. Similarly, we say that F is a lower semi-A-Fredholm operator if all the above conditions hold except that in this case we assume that N_2 (and not N_1) is finitely generated.

Set

 $\mathcal{M}\Phi_+(H_{\mathcal{R}}) = \{ F \in B^a(H_{\mathcal{R}}) \mid F \text{ is upper semi-}\mathcal{A}\text{-}Fredholm \},\$ $\mathcal{M}\Phi_{-}(H_{\mathcal{H}}) = \{ F \in B^{a}(H_{\mathcal{H}}) \mid F \text{ is lower semi-}\mathcal{A}\text{-}Fredholm \},\$

 $\mathcal{M}\Phi(H_{\mathcal{R}}) = \{ F \in B^a(H_{\mathcal{R}}) \mid F \text{ is } \mathcal{A}\text{-Fredholm operator on } H_{\mathcal{R}} \}.$

The decomposition from the [Definition 2.1] for operator F will be called an $M\Phi_+$ -decomposition, $M\Phi_-$ decomposition or $\mathcal{M}\Phi$ -decomposition for F depending on whether N_1, N_2 or both N_1 and N_2 are finitely generated.

Lemma 2.2. Let M, N, W be Hilbert C^{*}-modules over a unital C^{*}-algebra \mathcal{A} . If $F \in B^a(M, N), D \in B^a(N, W)$ and $DF \in \mathcal{M}\Phi(M, W)$, then there exists a chain of decompositions

$$M = M_2^{\perp} \oplus M_2 \xrightarrow{F} F(M_2^{\perp}) \oplus R \xrightarrow{D} W_1 \widetilde{\oplus} W_2 = W$$

w.r.t. which F, D have the matrices $\begin{bmatrix} F_1 & 0 \\ 0 & F_4 \end{bmatrix}$, $\begin{bmatrix} D_1 & D_2 \\ 0 & D_4 \end{bmatrix}$, respectively, where F_1 , D_1 are isomorphisms, M_2 , W_2 are finitely generated, $F(M_2^{\perp}) \oplus R = N$ and in addition $M = M_2^{\perp} \oplus M_2 \xrightarrow{DF} W_1 \oplus W_2 = W$ is an $\mathcal{M}\Phi$ -decomposition for DF.

for DF.

Proof. By the proof of [5, Theorem 2.7.6] applied to the operator

$$DF \in \mathcal{M}\Phi(M, W),$$

there exists an $\mathcal{M}\Phi$ -decomposition

$$M = M_2^{\perp} \oplus M_2 \xrightarrow{\mathrm{DF}} W_1 \oplus W_2 = W$$

for DF. This is because the proof of [5, Theorem 2.7.6] also holds when we consider arbitrary Hilbert C*modules *M* and *W* over unital C^{*}-algebra \mathcal{A} and not only the standard module $H_{\mathcal{A}}$. Then we can proceed as in the proof of Theorem 2.2 [3], part 2) implies 1). \Box

Lemma 2.3. If $D \in \mathcal{M}\Phi_{-}(H_{\mathcal{R}})$, then there exists an $\mathcal{M}\Phi_{-}$ -decomposition $H_{\mathcal{R}} = N_{1}^{\prime \perp} \oplus N_{1}^{\prime} \xrightarrow{D} M_{2} \oplus N_{2}^{\prime} = H_{\mathcal{R}}$ for D. Similarly, if $F \in \mathcal{M}\Phi_+(H_{\mathcal{A}})$, then there exists an $\mathcal{M}\Phi_+$ -decomposition $H_{\mathcal{A}} = M_2^{\perp} \oplus N_1 \xrightarrow{F} N_2^{\perp} \oplus N_2 = H_{\mathcal{A}}$ for F. *Proof.* Follows from the proofs of Theorem 2.2 [3] and Theorem 2.3 [3], part 1) implies 2).

Definition 2.4. [3, Definition 5.1] Let $F \in \mathcal{M}\Phi(H_{\mathcal{R}})$. We say that $F \in \tilde{\mathcal{M}}\Phi^-_+(H_{\mathcal{R}})$ if there exists a decomposition

$$H_{\mathcal{A}} = M_1 \tilde{\oplus} N_1 \xrightarrow{\mathrm{F}} M_2 \tilde{\oplus} N_2 = H_{\mathcal{A}}$$

with respect to which F has the matrix

$$\left[\begin{array}{cc}F_1&0\\0&F_4\end{array}\right],$$

where F_1 is an isomorphism, N_1, N_2 are closed, finitely generated and $N_1 \leq N_2$, that is N_1 is isomorphic to a closed submodule of N₂. We define similarly the class $\tilde{\mathcal{M}}\Phi^+_{-}(H_{\mathcal{A}})$, the only difference in this case is that N₂ \leq N₁. Then we set

$$\mathcal{M}\Phi_{+}^{-}(H_{\mathcal{A}}) = (\mathcal{M}\Phi_{+}^{-}(H_{\mathcal{A}})) \cup (\mathcal{M}\Phi_{+}(H_{\mathcal{A}}) \setminus \mathcal{M}\Phi(H_{\mathcal{A}}))$$

and

$$\mathcal{M}\Phi_{-}^{+}(H_{\mathcal{R}}) = (\mathcal{M}\Phi_{-}^{+}(H_{\mathcal{R}})) \cup (\mathcal{M}\Phi_{-}(H_{\mathcal{R}}) \setminus \mathcal{M}\Phi(H_{\mathcal{R}}))$$

3. Perturbations of spectra in \mathcal{A} of operator matrices acting on $H_{\mathcal{A}} \oplus H_{\mathcal{A}}$

It this section we will consider the operator $\mathbf{M}_{\mathbf{C}}^{\mathcal{A}}(\mathbf{F}, \mathbf{D}) : H_{\mathcal{A}} \oplus H_{\mathcal{A}} \to H_{\mathcal{A}} \oplus H_{\mathcal{A}}$ given as 2 × 2 operator matrix

$$\left[\begin{array}{cc} F & C \\ 0 & D \end{array}\right]'$$

where $C \in B^{a}(H_{\mathcal{A}})$.

To simplify notation, throughout this paper, we will only write $\mathbf{M}_{C}^{\mathcal{A}}$ instead of $\mathbf{M}_{C}^{\mathcal{A}}(F, D)$ when $F, D \in B^{a}(H_{\mathcal{A}})$ are given. Let $\sigma_e^{\mathcal{A}}(\mathbf{M}_C^{\mathcal{A}}) = \{ \alpha \in \mathcal{A} | \mathbf{M}_C^{\mathcal{A}} - \alpha \mathbf{I} \text{ is not } \mathcal{A}\text{-Fredholm } \}.$ Then we have the following proposition.

Proposition 3.1. For given $F, C, D \in B^a(H_{\mathcal{A}})$, one has

$$\sigma_e^{\mathcal{A}}(\mathbf{M}_{\mathsf{C}}^{\mathcal{A}}) \subset (\sigma_e^{\mathcal{A}}(\mathsf{F}) \cup \sigma_e^{\mathcal{A}}(\mathsf{D}))$$

Proof. Observe first that

$$\mathbf{M}_{C}^{\mathcal{A}} - \alpha \mathbf{I} = \begin{bmatrix} 1 & 0 \\ 0 & D - \alpha 1 \end{bmatrix} \begin{bmatrix} 1 & C \\ 0 & 1 \end{bmatrix} \begin{bmatrix} F - \alpha 1 & 0 \\ 0 & 1 \end{bmatrix}.$$

Now $\begin{bmatrix} 1 & C \\ 0 & 1 \end{bmatrix}$ is clearly invertible in $B^{a}(H_{\mathcal{A}} \oplus H_{\mathcal{A}})$ with inverse $\begin{bmatrix} 1 & -C \\ 0 & 1 \end{bmatrix}$, so it follows that $\begin{bmatrix} 1 & C \\ 0 & 1 \end{bmatrix}$ is \mathcal{A} -Fredholm. If, in addition both $\begin{bmatrix} F - \alpha 1 & 0 \\ 0 & 1 \end{bmatrix}$ and $\begin{bmatrix} 1 & 0 \\ 0 & D - \alpha 1 \end{bmatrix}$ are \mathcal{A} -Fredholm, then $\mathbf{M}_{C}^{\mathcal{A}} - \alpha \mathbf{I}$ is \mathcal{A} -Fredholm being a composition of \mathcal{A} -Fredholm operators. But, if $F - \alpha \mathbf{I}$ is \mathcal{A} -Fredholm, then clearly $\begin{bmatrix} F - \alpha 1 & 0 \\ 0 & 1 \end{bmatrix}$ is \mathcal{A} -Fredholm, and similarly if $D - \alpha \mathbf{I}$ is \mathcal{A} -Fredholm, then $\mathbf{M}_{C}^{\mathcal{A}} - \alpha \mathbf{I}$ is \mathcal{A} -Fredholm. Thus, if both $F - \alpha \mathbf{I}$ and $D - \alpha \mathbf{I}$ are \mathcal{A} -Fredholm, then $\mathbf{M}_{C}^{\mathcal{A}} - \alpha \mathbf{I}$ is \mathcal{A} -Fredholm. The proposition follows. \Box

This proposition just gives an inclusion. We are going to investigate in which cases the equality holds. To this end we introduce first the following theorem.

Theorem 3.2. Let $F, D \in B^a(H_{\mathcal{A}})$. If $\mathbf{M}_C^{\mathcal{A}} \in \mathcal{M}\Phi(H_{\mathcal{A}} \oplus H_{\mathcal{A}})$ for some $C \in B^a(H_{\mathcal{A}})$, then $F \in \mathcal{M}\Phi_+(H_{\mathcal{A}})$, $D \in \mathcal{M}\Phi_-(H_{\mathcal{A}})$ and for all decompositions

$$H_{\mathcal{A}} = M_1 \tilde{\oplus} N_1 \xrightarrow{\mathrm{F}} M_2 \tilde{\oplus} N_2 = H_{\mathcal{A}},$$
$$H_{\mathcal{A}} = M_1' \tilde{\oplus} N_1' \xrightarrow{\mathrm{D}} M_2' \tilde{\oplus} N_2' = H_{\mathcal{A}}$$

w.r.t. which F, D have matrices $\begin{bmatrix} F_1 & 0 \\ 0 & F_4 \end{bmatrix}$, $\begin{bmatrix} D_1 & 0 \\ 0 & D_4 \end{bmatrix}$, respectively, where F_1 , D_1 are isomorphisms, and N_1 , N'_2 are finitely generated, there exist closed submodules

 $\tilde{N'_1}, \tilde{\tilde{N'_1}}, \tilde{N_2}, \tilde{\tilde{N_2}}$ such that $N_2 \cong \tilde{N_2}, N'_1 \cong \tilde{N'_1}, \tilde{\tilde{N_2}}$ and $\tilde{\tilde{N'_1}}$ are finitely generated and

$$\tilde{N}_2 \oplus \tilde{\tilde{N}}_2 \cong \tilde{N}'_1 \oplus \tilde{\tilde{N}}'_1$$

Proof. Again write $\mathbf{M}_{C}^{\mathcal{A}}$ as $\mathbf{M}_{C}^{\mathcal{A}} = \mathbf{D}'\mathbf{C}'\mathbf{F}'$ where

$$\mathbf{F}' = \begin{bmatrix} \mathbf{F} & \mathbf{0} \\ \mathbf{0} & \mathbf{1} \end{bmatrix}, \mathbf{C}' = \begin{bmatrix} \mathbf{1} & \mathbf{C} \\ \mathbf{0} & \mathbf{1} \end{bmatrix}, \mathbf{D}' = \begin{bmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & \mathbf{D} \end{bmatrix}.$$

Since $\mathbf{M}_{C}^{\mathcal{A}}$ is \mathcal{A} -Fredholm, if

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = M \tilde{\oplus} N \xrightarrow{\mathbf{M}_{\mathsf{C}}^{\mathcal{A}}} M' \tilde{\oplus} N' = H_{\mathcal{A}} \oplus H_{\mathcal{A}}$$

is a decomposition w.r.t. which $\mathbf{M}_{C}^{\mathcal{A}}$ has the matrix $\begin{bmatrix} (\mathbf{M}_{C}^{\mathcal{A}})_{1} & 0\\ 0 & (\mathbf{M}_{C}^{\mathcal{A}})_{4} \end{bmatrix}$ where $(\mathbf{M}_{C}^{\mathcal{A}})_{1}$ is an isomorphism and N, N' are finitely generated, then by Lemma 2.2 and also using that C' is invertible, one may easily deduce that there exists a chain of decompositions

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = M \tilde{\oplus} N \xrightarrow{F'} R_1 \tilde{\oplus} R_2 \xrightarrow{C'} C'(R_1) \tilde{\oplus} C'(R_2) \xrightarrow{D'} M' \tilde{\oplus} N' = H_{\mathcal{A}} \oplus H_{\mathcal{A}}$$

w.r.t. which F', C', D' have matrices

$$\left[\begin{array}{cc} F_1' & 0\\ 0 & F_4' \end{array}\right] \cdot \left[\begin{array}{cc} C_1' & 0\\ 0 & C_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2'\\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2'\\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] \cdot \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_2' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \end{array}\right] + \left[\begin{array}{cc} D_1' & D_4' \\ + \left[\begin{array}{cc} D_1' & D_4' \\ 0 & D_4' \\$$

respectively, where F'_1, C'_1, C'_4, D'_1 are isomorphisms. So D' has the matrix $\begin{bmatrix} D'_1 & 0\\ 0 & D'_4 \end{bmatrix}$ w.r.t. the decomposition

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = WC'(R_1) \tilde{\oplus} WC'(R_2) \xrightarrow{D} M' \tilde{\oplus} N' = H_{\mathcal{A}} \oplus H_{\mathcal{A}},$$

where W has the matrix $\begin{bmatrix} 1 & -D'_1{}^{-1}D'_2 \\ 0 & 1 \end{bmatrix}$ w.r.t the decomposition

$$C'(R_1) \oplus C'(R_2) \xrightarrow{W} C'(R_1) \oplus C'(R_2)$$

and is therefore an isomorphism. It follows from this that

$$F' \in \mathcal{M}\Phi_+(H_{\mathcal{A}}\oplus H_{\mathcal{A}}), D' \in \mathcal{M}\Phi_-(H_{\mathcal{A}}\oplus H_{\mathcal{A}}),$$

as *N* and *N*' are finitely generated submodules of $H_{\mathcal{A}} \oplus H_{\mathcal{A}}$. Moreover $R_2 \cong WC'(R_2)$, as WC' is an isomorphism.

Since there exists an adjointable isomorphism between $H_{\mathcal{A}}$ and $H_{\mathcal{A}} \oplus H_{\mathcal{A}}$, using [3, Theorem 2.2] and [3, Theorem 2.3] it is easy to deduce that F' is left invertible and D' is right invertible in the "Calkin" algebra on $B^a(H_{\mathcal{A}} \oplus H_{\mathcal{A}})/K(H_{\mathcal{A}} \oplus H_{\mathcal{A}})$. It follows from this that F is left invertible and D is right invertible in the "Calkin" algebra $B^a(H_{\mathcal{A}})/K(H_{\mathcal{A}})$, hence $F \in \mathcal{M}\Phi_+(H_{\mathcal{A}})$ and $D \in \mathcal{M}\Phi_-(H_{\mathcal{A}})$ again by [3, Theorem 2.2] and [3, Theorem 2.3], respectively. Choose arbitrary $\mathcal{M}\Phi_+$ and $\mathcal{M}\Phi_-$ decompositions for F and D respectively i.e.

 $H_{\mathcal{A}} = M_{1} \tilde{\oplus} N_{1} \stackrel{\mathrm{F}}{\longrightarrow} M_{2} \tilde{\oplus} N_{2} = H_{\mathcal{A}},$ $H_{\mathcal{A}} = M_{1}' \tilde{\oplus} N_{1}' \stackrel{\mathrm{D}}{\longrightarrow} M_{2}' \tilde{\oplus} N_{2}' = H_{\mathcal{A}}.$ $H_{\mathcal{A}} \oplus H_{\mathcal{A}} = (M_{1} \oplus H_{\mathcal{A}}) \tilde{\oplus} (N_{1} \oplus \{0\})$ $\downarrow \mathrm{F}'$ $H_{\mathcal{A}} \oplus H_{\mathcal{A}} = (M_{2} \oplus H_{\mathcal{A}}) \tilde{\oplus} (N_{2} \oplus \{0\})$ $H_{\mathcal{A}} \oplus H_{\mathcal{A}} = (H_{\mathcal{A}} \oplus M_{1}') \tilde{\oplus} (\{0\} \oplus N_{1}')$ $\downarrow \mathrm{D}'$ $H_{\mathcal{A}} \oplus H_{\mathcal{A}} = (H_{\mathcal{A}} \oplus M_{2}') \tilde{\oplus} (\{0\} \oplus N_{2}')$

and

Then

are $\mathcal{M}\Phi_+$ and $\mathcal{M}\Phi_-$ -decompositions for F' and D' respectively. Hence the decomposition

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = M \tilde{\oplus} N \xrightarrow{F'} R_1 \tilde{\oplus} R_2 = H_{\mathcal{A}} \oplus H_{\mathcal{A}}$$

and the $\mathcal{M}\Phi_+$ -decomposition given above for F' are two $\mathcal{M}\Phi_+$ -decompositions for F'. Again, since there exists an adjointable isomorphism between $H_{\mathcal{R}} \oplus H_{\mathcal{R}}$ and $H_{\mathcal{R}}$, we may apply [3, Corollary 2.18] to operator F' to deduce that

 $((N_2 \oplus \{0\}) \oplus P) \cong (R_2 \oplus \tilde{P})$ for some finitely generated submodules P, \tilde{P} of $H_{\mathcal{A}} \oplus H_{\mathcal{A}}$. Similarly, since

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = WC'(R_1) \tilde{\oplus} WC'(R_2) \xrightarrow{D'} M' \tilde{\oplus} N' = H_{\mathcal{A}} \oplus H_{\mathcal{A}}$$

and

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = (H_{\mathcal{A}} \oplus M_1') \tilde{\oplus} (\{0\} \oplus N_1')$$

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = (H_{\mathcal{A}} \oplus M'_2) \oplus (\{0\} \oplus N'_2)$$

are two $M\Phi_-$ -decompositions for D', we may by the same arguments apply [3, Corollary 2.19] to the operator D' to deduce that

$$((\{0\} \oplus N_1') \oplus P') \cong (WC'(R_2) \oplus \tilde{P}')$$

for some finitely generated submodules P', \tilde{P}' of $H_{\mathcal{A}} \oplus H_{\mathcal{A}}$. Since WC' is an isomorphism, we get

$$((WC'(R_2)\oplus\tilde{P}')\oplus\tilde{P})\cong (WC'(R_2)\oplus\tilde{P}'\oplus\tilde{P})\cong (R_2\oplus\tilde{P}\oplus\tilde{P}')\cong ((R_2\tilde{\Phi}\tilde{P})\oplus\tilde{P}').$$

Hence

$$(((N_2 \oplus \{0\}) \oplus \tilde{P}) \oplus \tilde{P}') \cong (((\{0\} \oplus N_1') \oplus \tilde{P}') \oplus \tilde{P}).$$

This gives $(N_2 \oplus P \oplus \tilde{P}') \cong (N'_1 \oplus P' \oplus \tilde{P})$ (Here \oplus always denotes the direct sum of modules in the sense of [5, Example 1.3.4]). Now

$$N_2 \oplus P \tilde{\oplus} \tilde{P}' = (N_2 \oplus \{0\} \oplus \{0\}) \tilde{\oplus} (\{0\} \oplus P \oplus P'),$$

 $N_1 \oplus P' \tilde{\oplus} P' = (N_1' \oplus \{0\} \oplus \{0\}) \tilde{\oplus} (\{0\} \oplus P' \oplus \tilde{P})$

and they are submodules of $L_5(H_{\mathcal{A}})$ which is isomorphic to $H_{\mathcal{A}}$ (the notation $L_5(H_{\mathcal{A}})$ is as in [5, Example 1.3.4]). Call the isomorphism between $H_{\mathcal{A}}$ for and $L_5(H_{\mathcal{A}})$ for U and set

$$\tilde{N_2} = \mathrm{U}(N_2 \oplus \{0\} \oplus \{0\}), \tilde{\tilde{N_2}} = \mathrm{U}(\{0\} \oplus P \oplus P'),$$

$$\tilde{N}_1 = \mathrm{U}(N_1' \oplus \{0\} \oplus \{0\}), \tilde{N}_1' = \mathrm{U}(\{0\} \oplus P' \oplus \tilde{P}).$$

Since $P, P', \tilde{P}, \tilde{P}'$ are finitely generated, the result follows. \Box

Remark 3.3. [1, Theorem 3.2], part (1) implies (2) follows actually as a corollary from our Theorem 3.2 in the case when X = Y = H, where H is a Hilbert space. Indeed, by Theorem 3.2 if $\mathbf{M}_{\mathbb{C}} \in \Phi(H \oplus H)$, then $F \in \Phi_+(H)$ and $D \in \Phi_-(H)$. Hence ImF and ImD are closed, dim ker F, dim ImD^{\perp} < ∞ . W.r.t. the decompositions $H = \ker F^{\perp} \oplus \ker F \xrightarrow{F} \operatorname{ImF} \oplus \operatorname{ImF}^{\perp} = H$ and

 $H = \ker D^{\perp} \oplus \ker D \xrightarrow{D} \operatorname{Im} D \oplus \operatorname{Im} D^{\perp} = H,$

F, D have matrices $\begin{bmatrix} F_1 & 0 \\ 0 & F_4 \end{bmatrix}$, $\begin{bmatrix} D_1 & 0 \\ 0 & D_4 \end{bmatrix}$, respectively, where F₁, D₁ are isomorphisms.

From Theorem 3.2 it follows that there exist closed subspaces \tilde{N}_2 , $\tilde{\tilde{N}}_2$, $\tilde{\tilde{N}}_1'$, $\tilde{\tilde{N}}_1'$ such that $\tilde{N}_2 \cong \text{ImF}^{\perp}$, $\tilde{N}_1' \cong \text{ker D}$, dim $\tilde{\tilde{N}}_2$, dim $\tilde{\tilde{N}}_1' < \infty$ and $\tilde{\tilde{N}}_2$

 $(\tilde{N}_2 \oplus \tilde{\tilde{N}}_2) \cong (\tilde{\tilde{N}}'_1 \oplus \tilde{\tilde{N}}'_1)$. But this just means that ImF^{\perp} and ker D are isomorphic up to a finite dimensional subspace in the sense of [1, Definition 2.2] because we consider Hilbert subspaces now.

Proposition 3.4. Suppose that there exists some $C \in B^a(H_{\mathcal{A}})$ such that the inclusion $\sigma_e^{\mathcal{A}}(\mathbf{M}_C^{\mathcal{A}}) \subset \sigma_e^{\mathcal{A}}(F) \cup \sigma_e^{\mathcal{A}}(D)$ is proper. Then for any

 $\alpha \in [\sigma_e^{\mathcal{A}}(\mathrm{F}) \cup \sigma_e^{\mathcal{A}}(\mathrm{D})] \setminus \sigma_e^{\mathcal{A}}(\mathbf{M}_{\mathrm{C}}^{\mathcal{A}})$

we have

$$\alpha \in \sigma_e^{\mathcal{A}}(\mathbf{F}) \cap \sigma_e^{\mathcal{A}}(\mathbf{D}).$$

Proof. Assume that

$$\alpha \in [\sigma_e^{\mathcal{A}}(\mathbf{F}) \setminus \sigma_e^{\mathcal{A}}(\mathbf{D})] \setminus \sigma_e^{\mathcal{A}}(\mathbf{M}_{\mathbf{C}}^{\mathcal{A}}).$$

Then $(F - \alpha 1) \notin \mathcal{M}\Phi(H_{\mathcal{R}})$ and $(D - \alpha 1) \in \mathcal{M}\Phi(H_{\mathcal{R}})$. Moreover, since $\alpha \notin \sigma_e^{\mathcal{A}}(\mathbf{M}_C^{\mathcal{R}})$, then $(\mathbf{M}_C^{\mathcal{A}} - \alpha 1)$ is \mathcal{A} -Fredholm. From Theorem 3.2, it follows that $(F - \alpha 1) \in \mathcal{M}\Phi_+(H_{\mathcal{R}})$. Since $(F - \alpha 1) \in \mathcal{M}\Phi_+(H_{\mathcal{R}}), (D - \alpha 1) \in \mathcal{M}\Phi(H_{\mathcal{R}})$, we can find decompositions

$$H_{\mathcal{A}} = M_1 \tilde{\oplus} N_1 \xrightarrow{\mathrm{F}-\alpha 1} M_2 \tilde{\oplus} N_2 = H_{\mathcal{A}},$$
$$H_{\mathcal{A}} = M'_1 \tilde{\oplus} N'_1 \xrightarrow{\mathrm{D}-\alpha 1} M'_2 \tilde{\oplus} N'_2 = H_{\mathcal{A}},$$

w.r.t. which $F - \alpha 1$, $D - \alpha 1$ have matrices

$$\begin{bmatrix} (F - \alpha 1)_1 & 0 \\ 0 & (F - \alpha 1)_4 \end{bmatrix}' \begin{bmatrix} (D - \alpha 1)_1 & 0 \\ 0 & (D - \alpha 1)_4 \end{bmatrix}'$$

respectively, where $(F - \alpha 1)_1$, $(D - \alpha 1)_1$ are isomorphisms, N_1 , N'_1 and N'_2 are finitely generated. By Theorem 3.2 there exist then closed submodules

 $\tilde{N}_2, \tilde{N}_2, \tilde{N}_1', \tilde{\tilde{N}}_1'$ such that $N_2 \cong \tilde{N}_2, N_1' \cong \tilde{N}_1', (\tilde{N}_2 \oplus \tilde{\tilde{N}}_2) \cong (\tilde{N}_1' \oplus \tilde{\tilde{N}}_1')$ and $\tilde{\tilde{N}}_2, \tilde{\tilde{N}}_1'$ are finitely generated. But then, since N_1' is finitely generated (as $(D - \alpha 1) \in \mathcal{M}\Phi(H_{\mathcal{R}})$), we get that \tilde{N}_1' is finitely generated being isomorphic to N_1' . Hence $(\tilde{N}_1' \oplus \tilde{\tilde{N}}_1')$ is finitely generated also (as both \tilde{N}_1' and $\tilde{\tilde{N}}_1'$ are finitely generated). Thus $(\tilde{N}_2 \oplus \tilde{\tilde{N}}_2)$ is finitely generated as well, so \tilde{N}_2 is finitely generated. Therefore N_2 is finitely generated, being isomorphic to \tilde{N}_2 . Hence $F - \alpha 1$ is in $\mathcal{M}\Phi(H_{\mathcal{R}})$. This contradicts the choice of

$$\alpha \in [\sigma_e^{\mathcal{A}}(\mathbf{F}) \setminus \sigma_e^{\mathcal{A}}(\mathbf{D})] \setminus \sigma_e^{\mathcal{A}}(\mathbf{M}_{\mathbf{C}}^{\mathcal{A}}).$$

Thus

 $[\sigma_{e}^{\mathcal{A}}(\mathbf{F}) \setminus \sigma_{e}^{\mathcal{A}}(\mathbf{D})] \setminus \sigma_{e}^{\mathcal{A}}(\mathbf{M}_{C}^{\mathcal{A}}) = \emptyset.$

Analogously we can prove

$$[\sigma_e^{\mathcal{A}}(\mathsf{D}) \setminus \sigma_e^{\mathcal{A}}(\mathsf{F})] \setminus \sigma_e^{\mathcal{A}}(\mathbf{M}_{\mathsf{C}}^{\mathcal{A}}) = \emptyset$$

The proposition follows. \Box

Next, we define the following classes of operators on $H_{\mathcal{A}}$:

$$\mathcal{MS}_{+}(H_{\mathcal{R}}) = \{ F \in B^{a}(H_{\mathcal{R}}) \mid (F - \alpha 1) \in \mathcal{M}\Phi_{-}^{+}(H_{\mathcal{R}}) \\$$
whenever $\alpha \in \mathcal{A}$ and $(F - \alpha 1) \in \mathcal{M}\Phi_{\pm}(H_{\mathcal{R}}) \}$,
$$\mathcal{MS}_{-}(H_{\mathcal{R}}) = \{ F \in B^{a}(H_{\mathcal{R}}) \mid (F - \alpha 1) \in \mathcal{M}\Phi_{+}^{-}(H_{\mathcal{R}}) \\$$
whenever $\alpha \in \mathcal{A}$ and $(F - \alpha 1) \in \mathcal{M}\Phi_{\pm}(H_{\mathcal{R}}) \}$.

Proposition 3.5. If $F \in \mathcal{MS}_+(H_{\mathcal{R}})$ or $D \in \mathcal{MS}_-(H_{\mathcal{R}})$, then for all $C \in B^a(H_{\mathcal{R}})$, we have

$$\sigma_{e}^{\mathcal{A}}(\mathbf{M}_{\mathbf{C}}^{\mathcal{A}}) = \sigma_{e}^{\mathcal{A}}(\mathbf{F}) \cup \sigma_{e}^{\mathcal{A}}(\mathbf{D})$$

Proof. By Proposition 3.4, it suffices to show the inclusion. Assume that

$$\alpha \in [\sigma_e^{\mathcal{A}}(\mathbf{F}) \cup \sigma_e^{\mathcal{A}}(\mathbf{D})] \setminus \sigma_e^{\mathcal{A}}(\mathbf{M}_{\mathbf{C}}^{\mathcal{A}}).$$

Then, $(\mathbf{M}_{\mathbb{C}}^{\mathcal{A}} - \alpha 1) \in \mathcal{M}\Phi(H_{\mathcal{A}} \oplus H_{\mathcal{A}})$. By Theorem 3.2, we have

$$(F - \alpha 1) \in \mathcal{M}\Phi_+(H_{\mathcal{R}}), (D - \alpha 1) \in \mathcal{M}\Phi_-(H_{\mathcal{R}}).$$

Let again

$$\begin{split} H_{\mathcal{A}} &= M_1 \tilde{\oplus} N_1 \xrightarrow{\mathrm{F}-\alpha 1} M_2 \tilde{\oplus} N_2 = H_{\mathcal{A}}, \\ H_{\mathcal{A}} &= M_1' \tilde{\oplus} N_1' \xrightarrow{\mathrm{D}-\alpha 1} M_2' \tilde{\oplus} N_2' = H_{\mathcal{A}} \end{split}$$

be decompositions w.r.t. which $F - \alpha 1$, $D - \alpha 1$ have matrices

$$\begin{bmatrix} (F - \alpha 1)_1 & 0\\ 0 & (F - \alpha 1)_4 \end{bmatrix}' \begin{bmatrix} (D - \alpha 1)_1 & 0\\ 0 & (D - \alpha 1)_4 \end{bmatrix}'$$

respectively, where $(F - \alpha 1)_1$, $(D - \alpha 1)_1$, are isomorphisms and N_1, N'_2 are finitely generated submodules of $H_{\mathcal{A}}$. Again, by Theorem 3.2, there exist closed submodules $\tilde{N}_2, \tilde{N}_2, \tilde{N}_1, \tilde{N}_1'$ such that $N_2 \cong \tilde{N}_2, N'_1 \cong \tilde{N}_1'$, $(\tilde{N}_2 \oplus \tilde{N}_2) \cong (\tilde{N}_1' \oplus \tilde{N}_1')$ and $\tilde{N}_2, \tilde{N}_1'$ are finitely generated submodules. If $F \in \mathcal{MS}_+(H_{\mathcal{A}})$, then since $(F - \alpha 1) \in \mathcal{M}\Phi_{\pm}(H_{\mathcal{A}})$, we get that $(F - \alpha 1) \in \mathcal{M}\Phi_-^+(H_{\mathcal{A}})$. Thus

 $(F - \alpha 1) \in \mathcal{M}\Phi_{-}(H_{\mathcal{R}})$ in particular. So $(F - \alpha 1) \in \mathcal{M}\Phi_{+}(H_{\mathcal{R}}) \cap \mathcal{M}\Phi_{-}(H_{\mathcal{R}})$ and by [3, Corollary 2.4], we know that $\mathcal{M}\Phi_{+}(H_{\mathcal{R}}) \cap \mathcal{M}\Phi_{-}(H_{\mathcal{R}}) = \mathcal{M}\Phi(H_{\mathcal{R}})$. Then, by [3, Lemma 2.16], we have that N_2 must be finitely generated, hence \tilde{N}_2 must be finitely generated. Thus $\tilde{N}_2 \oplus \tilde{N}_2$ is finitely generated.

Since $(\tilde{N}_2 \oplus \tilde{N}_2) \cong (\tilde{N}'_1 \oplus \tilde{N}'_1)$, it follows that \tilde{N}'_1 is finitely generated, hence N'_1 is finitely generated also. So $(D - \alpha 1) \in \mathcal{M}\Phi(H_{\mathcal{R}})$. Similarly, we can show that if $D \in S_-(H_{\mathcal{R}})$, then $(F - \alpha 1) \in \mathcal{M}\Phi(H_{\mathcal{R}})$. In both cases $(F - \alpha 1) \in \mathcal{M}\Phi(H_{\mathcal{R}})$ and $(D - \alpha 1) \in \mathcal{M}\Phi(H_{\mathcal{R}})$, which contradicts that $\alpha \in \sigma_e^{\mathcal{R}}(F) \cup \sigma_e^{\mathcal{R}}(D)$. \Box

Theorem 3.6. Let $F \in \mathcal{M}\Phi_+(H_{\mathcal{H}}), D \in \mathcal{M}\Phi_-(H_{\mathcal{H}})$ and suppose that there exist decompositions

$$H_{\mathcal{A}} = M_1 \widehat{\oplus} N_1 \xrightarrow{\Gamma} N_2^{\perp} \oplus N_2 = H_{\mathcal{A}}$$
$$H_{\mathcal{A}} = N_1^{\prime \perp} \oplus N_1^{\prime} \xrightarrow{D} M_2^{\prime} \widehat{\oplus} N_2^{\prime} = H_{\mathcal{A}}$$

w.r.t. which F, D have matrices

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$$\left[\begin{array}{cc}F_1&0\\0&F_4\end{array}\right]\prime\left[\begin{array}{cc}D_1&0\\0&D_4\end{array}\right]\prime$$

respectively, where F_1 , D_1 are isomorphims, N_1 , N'_2 are finitely generated and assume also that one of the following statements hold:

a) There exists some $J \in B^a(N_2, N'_1)$ such that $N_2 \cong \text{ImJ}$ and ImJ^{\perp} is finitely generated. b) There exists some $J' \in B^a(N'_1, N_2)$ such that $N'_1 \cong \text{ImJ}', (\text{ImJ}')^{\perp}$ is finitely generated. Then $\mathbf{M}_C^{\mathcal{A}} \in \mathcal{M}\Phi(H_{\mathcal{A}} \oplus H_{\mathcal{A}})$ for some $C \in B^a(H_{\mathcal{A}})$.

Remark 3.7. ImJ^{\perp} in part a) denotes the orthogonal complement of ImJ in N'₁ and ImJ'^{\perp} denotes the orthogonal complement of ImJ' in N₂.

By [5, Theorem 2.3.3], if ImJ is closed, then ImJ is indeed orthogonally complementable, so since in assumption a) above ImJ \cong N₂, it follows that ImJ is closed, so N'₁ = ImJ \oplus ImJ^{\perp}. Similarly, in b) N₂ = ImJ' \oplus ImJ'^{\perp}.

Proof. Suppose that b) holds, and consider the operator $\tilde{J'} = J'P_{N'_1}$ where $P_{N'_1}$ denotes the orthogonal projection onto N'_1 . Then $\tilde{J'}$ can be considered as a bounded adjointable operator on $H_{\mathcal{R}}$ (as N_2 is orthogonally complementable in $H_{\mathcal{R}}$). To simplify notation, we let $M_2 = N_2^{\perp}$, $M'_1 = N'_1^{\perp}$ and we let $\mathbf{M}_{\tilde{\nu}}^{\mathcal{R}} = \mathbf{M}_{\tilde{\nu}}$. We claim then that w.r.t. the decomposition

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = (M_1 \oplus H_{\mathcal{A}}) \tilde{\oplus} (N_1 \oplus \{0\})$$

$$\downarrow \mathbf{M}_{\tilde{j}'}$$
$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = ((M_2 \tilde{\oplus} \mathrm{Im} J') \oplus M_2') \tilde{\oplus} (\mathrm{Im} J'^{\perp} \oplus N_2'),$$

 $\mathbf{M}_{\tilde{l}'}$ has the matrix

$$\begin{array}{c|c} (\mathbf{M}_{\tilde{j}'})_1 & (\mathbf{M}_{\tilde{j}'})_2 \\ (\mathbf{M}_{\tilde{j}'})_3 & (\mathbf{M}_{\tilde{j}'})_4 \end{array} \right],$$

where $(\mathbf{M}_{\tilde{l}'})_1$ is an isomorphism. To see this observe first that

$$\begin{aligned} (\mathbf{M}_{\tilde{j}'})_1 &= \sqcap_{(M_2 \tilde{\oplus} \mathrm{Im} J') \oplus M'_2} \mathbf{M}_{\tilde{j}'|_{M_1 \oplus H_{\mathcal{R}}}} = \\ & \begin{bmatrix} F_{|_{M_1}} & \tilde{j}' \\ 0 & D \sqcap_{M'_1} \end{bmatrix} \end{aligned}$$

(as $\sqcap_{M'_2} D = D \sqcap_{M'_1}$), where $\sqcap_{(M_2 \oplus ImJ') \oplus M'_2}$ denotes the projection onto

 $(M_2 \oplus \text{Im} J') \oplus M'_2$ along $\text{Im} J'^{\perp} \oplus N'_2$ and $\sqcap_{M'_1}$ denotes the projection onto M'_1 along N'_1 . Clearly, $(\mathbf{M}_{\tilde{f}'})_1$ is onto $M_2 \oplus \text{Im} J' \oplus M'_2$. Now, if $(\mathbf{M}_{\tilde{f}'})_1 \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$ for some $x \in M_1, y \in H_{\mathcal{A}}$, then $D \sqcap_{M'_1} y = 0$, so $y \in N'_1$ as $D_{\mathsf{I}_{M'_1}}$ is bounded below. Also $Fx + \tilde{f}'y = 0$. But, since $y \in N'_1$, then $\tilde{f}'y = J'y$, so we get Fx + J'y = 0. Since $Fx \in M_2, J'y = N_2$ and $M_2 \cap N_2 = \{0\}$, we get Fx = J'y = 0. Since $F_{\mathsf{I}_{M_1}}$ and J' are bounded below, we get x = y = 0. So $(\mathbf{M}_{\tilde{f}'})_1$ is injective as well, thus an isomorphism. Recall next that $N_1 \oplus \{0\}$ and $\operatorname{Im} J'^{\perp} \oplus N'_2$ are finitely generated. By using the procedure of diagonalisation of $\mathbf{M}_{\tilde{f}'}$ as done in the proof of [5, Lemma 2.7.10], we obtain that $\mathbf{M}_{\tilde{f}'} \in \mathcal{M} \Phi(H_{\mathcal{A}} \oplus H_{\mathcal{A}})$.

Assume now that a) holds. Then there exists $\iota \in B^a(\text{ImJ}, N_2)$ s.t $\iota J = id_{N_2}$.

Let $\hat{\iota} = \iota P_{\text{ImJ}}$ where P_{ImJ} denote the orthogonal projection onto ImJ (notice that ImJ is orthogonally complementable in $H_{\mathcal{A}}$ since it is orthogonally complementable in N'_1 and $H_{\mathcal{A}} = N'_1 \oplus N'_1^{\perp}$). Thus $\hat{\iota} \in B^a(H_{\mathcal{A}})$. Consider $\mathbf{M}_{\widehat{\iota}} = \begin{bmatrix} F & \widehat{\iota} \\ 0 & D \end{bmatrix}$. We claim that w.r.t. the decomposition

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = (M_1 \oplus (M_1' \oplus \operatorname{Im} J)) \oplus (N_1 \oplus \operatorname{Im} J^{\perp}))$$

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 $\downarrow \mathbf{M}_{\hat{\iota}}$

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = (H_{\mathcal{A}} \oplus M_2') \tilde{\oplus} (\{0\} \oplus N_2'),$$

$$\begin{split} M_{i} \text{ has the matrix} \begin{bmatrix} (\mathbf{M}_{\overline{t}})_{1} & (\mathbf{M}_{\overline{t}})_{2} \\ (\mathbf{M}_{\overline{t}})_{3} & (\mathbf{M}_{\overline{t}})_{4} \end{bmatrix}, \text{ where } (\mathbf{M}_{\overline{t}})_{1} \text{ is an isomorphism. To see this, observe again that} \\ (\mathbf{M}_{\overline{t}})_{1} &= \sqcap_{(H_{\mathcal{A}} \oplus M'_{2})} \mathbf{M}_{\overline{t}|_{M_{1} \oplus (M'_{1} \oplus \mathbb{Im})}} = \begin{bmatrix} F_{|_{M_{1}}} & \widehat{\iota} \\ 0 & D \sqcap_{M'_{1}} \end{bmatrix}, \text{ so } (\mathbf{M}_{\overline{t}})_{1} \text{ is obviously onto } H_{\mathcal{A}} \oplus M'_{2}. \\ \text{Moreover, if } (\mathbf{M}_{\overline{t}})_{1} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \text{ for some } x \in M_{1} \text{ and } y \in M'_{1} \oplus \mathbb{Im}J, \text{ we get that } D \sqcap_{M'_{1}} y = 0, \text{ so } y \in \mathbb{Im}J. \\ \text{Hence } \widehat{\iota y} = \iota y, \text{ so, } Fx + \widehat{\iota y} = Fx + \iota y = 0. \text{ Since } Fx \in M_{2}, \iota y \in N_{2} \text{ and } M_{2} \cap N_{2} = \{0\}, \text{ we get } Fx = \iota y = 0. \text{ As } F_{|_{M_{1}}} \\ \text{and } \iota \text{ are bounded below, we deduce that } x = y = 0. \text{ So } (\mathbf{M}_{\overline{t}})_{1}, \text{ is also injective, hence an isomorphism. In addition, we recall that <math>N_{1} \oplus \mathbb{Im}J^{\perp}$$
 and $\{0\} \oplus N'_{2}$ are finitely generated, so by the same arguments as before, we deduce that $\mathbf{M}_{\overline{\iota}} \in \mathcal{M}\Phi(H_{\mathcal{A}} \oplus H_{\mathcal{A}}). \Box \end{split}$

Remark 3.8. We know from the proofs of [3, Theorem 2.2] and [3, Theorem 2.3], part 1) implies 2) that since

$$F \in \mathcal{M}\Phi_+(H_{\mathcal{A}}), D \in \mathcal{M}\Phi_-(H_{\mathcal{A}}),$$

we can find the decompositions

$$H_{\mathcal{A}} = M_1 \tilde{\oplus} N_1 \xrightarrow{F} N_2^{\perp} \oplus N_2 = H_{\mathcal{A}},$$
$$H_{\mathcal{A}} = N_1^{\prime \perp} \oplus N_1^{\prime} \xrightarrow{D} M_2^{\prime} \tilde{\oplus} N_2^{\prime} = H_{\mathcal{A}},$$

w.r.t. which F, D have matrices

$$\left[\begin{array}{cc} F_1 & 0\\ 0 & F_4 \end{array}\right]' \left[\begin{array}{cc} D_1 & 0\\ 0 & D_4 \end{array}\right]'$$

respectively, where F_1 , D_1 are isomorphisms, N_1 , N'_2 are finitely generated. However, in this theorem we have also the additional assumptions *a*) and *b*).

Remark 3.9. [1, Theorem 3.2], part (ii) implies (i) follows as a direct consequence of Theorem 3.6 in the case when X = Y = H, where H is a Hilbert space. Indeed, if $F \in \Phi_+(H)$, $D \in \Phi_-(H)$, ker D and ImF^{\perp} are isomorphic up to a finite dimensional subspace, then we may let

$$M_1 = \ker F^{\perp}, N_1 = \ker F^{\perp}, N_2^{\perp} = \operatorname{Im} F, N_2 = \operatorname{Im} F^{\perp}, N_1' = \ker D,$$

$$M'_{2} = \text{ImD}, N'_{2} = \text{ImD}^{\perp}, N'_{1} = \text{ker D}.$$

Since ker D and ImF^{\perp} are isomorphic up to a finite dimensional subspace, by [1, Definition 2.2] this means that either the condition a) or the condition b) in Theorem 3.6 holds. By Theorem 3.6 it follows then that $\mathbf{M}_{C} \in \Phi(H \oplus H)$.

Let $\tilde{W}(F, D)$ be the set of all $\alpha \in \mathcal{A}$ such that there exist decompositions

$$H_{\mathcal{A}} = M_1 \tilde{\oplus} N_1 \xrightarrow{F-\alpha 1} M_2 \tilde{\oplus} N_2 = H_{\mathcal{A}},$$
$$H_{\mathcal{A}} = M_1' \tilde{\oplus} N_1' \xrightarrow{D-\alpha 1} M_2' \tilde{\oplus} N_2' = H_{\mathcal{A}},$$

w.r.t. which $F - \alpha 1$, $D - \alpha 1$ have matrices

$$\begin{bmatrix} (F - \alpha 1)_1 & 0\\ 0 & (F - \alpha 1)_4 \end{bmatrix}' \begin{bmatrix} (D - \alpha 1)_1 & 0\\ 0 & (D - \alpha 1)_4 \end{bmatrix}'$$

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where $(F-\alpha 1)_1$, $(D-\alpha 1)_1$ are isomorphisms, N_1 , N'_2 are finitely generated submodules and such that there are no closed submodules \tilde{N}_2 , \tilde{N}_2 , \tilde{N}'_1 , \tilde{N}'_1 with the property that $N_2 \cong \tilde{N}_2$, $N'_1 \cong \tilde{N}'_1$, \tilde{N}_2 , \tilde{N}_1 are finitely generated and

$$(\tilde{N_2} \oplus \tilde{N_2}) \cong (\tilde{N_2}' \oplus \tilde{N_2'})$$

Set W(F, D) to be the set of all $\alpha \in \mathcal{A}$ such that there are no decompositions

$$H_{\mathcal{A}} = M_1 \tilde{\oplus} N_1 \xrightarrow{F - \alpha 1} N_2^{\perp} \tilde{\oplus} N_2 = H_{\mathcal{A}},$$
$$H_{\mathcal{A}} = N_1'^{\perp} \tilde{\oplus} N_1' \xrightarrow{D - \alpha 1} M_2' \tilde{\oplus} N_2' = H_{\mathcal{A}},$$

w.r.t. which F – α 1, D – α 1 have matrices

$$\begin{bmatrix} (F - \alpha 1)_1 & 0 \\ 0 & (F - \alpha 1)_4 \end{bmatrix}' \begin{bmatrix} (D - \alpha 1)_1 & 0 \\ 0 & (D - \alpha 1)_4 \end{bmatrix}'$$

where $(F - \alpha 1)_1$, $(D - \alpha 1)_1$, are isomorphisms N_1, N_2' are finitely generated and with the property that a) or b) in the Theorem 3.6 hold. Then we have the following corollary:

Corollary 3.10. For given $F \in B^a(H_{\mathcal{A}})$ and $D \in B^a(H_{\mathcal{A}})$,

$$\tilde{W}(F, D) \subseteq \bigcap_{C \in B^{a}(H_{\mathcal{A}})} \sigma_{e}^{\mathcal{A}}(\mathbf{M}_{C}^{\mathcal{A}}) \subseteq W(F, D)$$

Theorem 3.11. Suppose $\mathbf{M}_{C}^{\mathcal{A}} \in \mathcal{M}\Phi_{-}(H_{\mathcal{A}}\oplus H_{\mathcal{A}})$ for some $C \in B^{a}(H_{\mathcal{A}})$. Then $D \in \mathcal{M}\Phi_{-}(H_{\mathcal{A}})$ and in addition the following statement holds:

Either $F \in \mathcal{M}\Phi_{-}(H_{\mathcal{R}})$ or there exists decompositions

$$\begin{split} H_{\mathcal{A}} \oplus H_{\mathcal{A}} &= M_1 \tilde{\oplus} N_1 \xrightarrow{F'} M_2 \tilde{\oplus} N_2 = H_{\mathcal{A}} \oplus H_{\mathcal{A}}, \\ H_{\mathcal{A}} \oplus H_{\mathcal{A}} &= M'_1 \tilde{\oplus} N'_1 \xrightarrow{D'} M'_2 \tilde{\oplus} N'_2 = H_{\mathcal{A}} \oplus H_{\mathcal{A}}, \end{split}$$

w.r.t. which F', D' have the matrices $\begin{bmatrix} F'_1 & 0 \\ 0 & F'_4 \end{bmatrix}$, $\begin{bmatrix} D'_1 & 0 \\ 0 & D'_4 \end{bmatrix}$, where F'_1, D'_1 are isomorphisms, N'_2 is finitely generated, N_1, N_2, N'_1 are closed, but <u>not</u> finitely generated, and $M_2 \cong M'_1, N_2 \cong N'_1$.

Proof. If $\mathbf{M}_{\mathsf{C}}^{\mathcal{R}} \in \mathcal{M}\Phi_{-}(H_{\mathcal{R}} \oplus H_{\mathcal{R}})$, then there exists a decomposition

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = M_1 \oplus N_1 \xrightarrow{\mathbf{M}_{C}^{\mathcal{A}}} M_2 \tilde{\oplus} N_2 = H_{\mathcal{A}} \tilde{\oplus} H_{\mathcal{A}}$$

w.r.t. which \mathbf{M}_{C} has the matrix $\begin{bmatrix} (\mathbf{M}_{C}^{\mathcal{A}})_{1} & 0 \\ 0 & (\mathbf{M}_{C}^{\mathcal{A}})_{4} \end{bmatrix}$, where $(\mathbf{M}_{C}^{\mathcal{A}})_{1}$ is an isomorphism and N_{2} is finitely generated. By the part of [3, Theorem 2.3], part 1) implies 2) we may assume that $M_{1} = N_{1}^{\perp}$. Hence $F'_{|_{M_{1}}}$ is adjointable. Since $F'_{|_{M_{1}}}$ can be viewed as an operator in $B^{a}(M_{1}, (D'C')^{-1}(M_{2}))$, as M_{1} is orthogonally complementable,

by [5, Theorem 2.3.3.], $F'(M_1)$ is orthogonally complementable in $(D'C')^{-1}(M_2)$. By the same arguments as in the proof of [3, Theorem 2.2] part 2) implies 1) we deduce that there exists a chain of decompositions

$$M_1 \tilde{\oplus} N_1 \xrightarrow{F'} R_1 \tilde{\oplus} R_2 \xrightarrow{C'} C'(R_1) \tilde{\oplus} C'(R_2) \xrightarrow{D'} M_2 \tilde{\oplus} N_2$$

w.r.t. which F', C', D' have matrices $\begin{bmatrix} F'_1 & 0 \\ 0 & F'_4 \end{bmatrix}$, $\begin{bmatrix} C'_1 & 0 \\ 0 & C'_4 \end{bmatrix}$, $\begin{bmatrix} D'_1 & D'_2 \\ 0 & D'_4 \end{bmatrix}$, where F'_1, C'_1, C'_4, D'_1 are isomorphisms. Hence D' has the matrix $\begin{bmatrix} D'_1 & 0 \\ 0 & D'_4 \end{bmatrix}$, w.r.t. the decomposition

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = WC'(R_1) \tilde{\oplus} WC'(R_2) \xrightarrow{D'} M_2 \tilde{\oplus} N_2 = H_{\mathcal{A}} \oplus H_{\mathcal{A}},$$

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where W is an isomorphism. It follows that $D' \in \mathcal{M}\Phi_{-}(H_{\mathcal{A}}\tilde{\oplus}H_{\mathcal{A}})$, as N_2 is finitely generated. Hence $D \in \mathcal{M}\Phi_{-}(H_{\mathcal{A}})$ (by the same arguments as in the proof of Theorem 3.2). Next, assume that $F \notin \mathcal{M}\Phi_{-}(H_{\mathcal{A}})$, then

 $F' \notin \mathcal{M}\Phi_{-}(H_{\mathcal{R}}\oplus H_{\mathcal{R}})$. Therefore R_2 can <u>not</u> be finitely generated (otherwise F' would be in $\mathcal{M}\Phi_{-}(H_{\mathcal{R}}\oplus H_{\mathcal{R}})$). Now, $R_1 \cong WC'(R_1)$, $R_2 = WC'(R_2)$. □

Remark 3.12. In case of ordinary Hilbert spaces, [1, Theorem 4.4] part 2) implies 3) follows as a corollary from Theorem 3.11. Indeed, suppose that $D \in B(H)$ and that $F \in B(H)$ (where H is a Hilbert space). If ker $D < ImF^{\perp}$, this means by [1, Remark 4.4] that dim ker $D < \infty$. So, if (2) in [1, Theorem 4.4] holds, that is $\mathbf{M}_{C} \in \Phi_{-}(H \oplus H)$ for some $C \in B(H)$, then by Theorem 3.11 $D \in \Phi_{-}(H)$ and either $F \in \Phi_{-}(H)$ or there exist decompositions

$$H \oplus H = M_1 \tilde{\oplus} N_1 \xrightarrow{F'} M_2 \tilde{\oplus} N_2 = H \oplus H,$$

$$H \oplus H = M'_1 \tilde{\oplus} N'_1 \xrightarrow{D} M'_2 \tilde{\oplus} N'_2 = H \oplus H,$$

which satisfy the conditions described in Theorem 3.11. In particular N_2 , N'_1 are infinite dimensional whereas N'_2 is finite dimensional. Suppose that $F \notin \Phi_-(H)$ and that the decompositions above exist. Observe that ker $D' = \{0\} \oplus \ker D$. Hence, if dim ker $D < \infty$, then dim ker $D' < \infty$. Since $D'_{|_{M'_1}}$ is an isomorphism, by the same arguments

as in the proof of [5, Proposition 3.6.8] one can deduce that ker $D' \subseteq N'_1$. Assume that dim ker $D = \dim \ker D' < \infty$ and let $\tilde{N'_1}$ be the orthogonal complement of ker D' in N'_1 , that is $N'_1 = \ker D' \oplus \tilde{N'_1}$. Now, since ImD' is closed as $D' \in \mathcal{M}\Phi_-(H \oplus H)$, then $D'_{|N'_1}$ is an isomorphism. Since dim $N'_1 = \infty$ and dim ker $D' < \infty$, we have dim $N'_1 = \infty$

. Hence $D'(\tilde{N}'_1)$ is infinite dimensional subspace of N'_2 . This is a contradiction since dim N'_2 is finite. Thus, if $F \notin \Phi_-(H)$, we must have that ker D is infinite dimensional. Hence, we deduce, as a corollary, [1, Theorem 4.4] in case when X = Y = H, where H is a Hilbert space. In this case, part (3b) in [1, Theorem 4.4] could be reduced to the following statement: Either $F \in \Phi_-(H)$ or dim ker $D = \infty$.

Theorem 3.13. Let $F, D \in B^a(H_{\mathcal{A}})$ and suppose that $D \in \mathcal{M}\Phi_-(H_{\mathcal{A}})$ and either $F \in \mathcal{M}\Phi_-(H_{\mathcal{A}})$ or that there exist decompositions

$$\begin{split} H_{\mathcal{A}} &= M_1 \tilde{\oplus} N_1 \stackrel{\mathrm{F}}{\longrightarrow} N_2^{\perp} \tilde{\oplus} N_2 = H_{\mathcal{A}}, \\ H_{\mathcal{A}} &= N_1^{\prime \perp} \tilde{\oplus} N_1^{\prime} \stackrel{\mathrm{D}}{\longrightarrow} M_2^{\prime} \tilde{\oplus} N_2^{\prime} = H_{\mathcal{A}}, \end{split}$$

w.r.t. which F, D have the matrices $\begin{bmatrix} F_1 & 0 \\ 0 & F_4 \end{bmatrix}$, $\begin{bmatrix} D_1 & 0 \\ 0 & D_4 \end{bmatrix}$, respectively, where F_1, D_1 are isomorphisms N'_2 , is finitely generated and that there exists some

 $\iota \in B^{a}(N_{2}, N'_{1})$ such that ι is an isomorphism onto its image in N'_{1} . Then $\mathbf{M}_{C}^{\mathcal{A}} \in \mathcal{M}\Phi_{-}(H_{\mathcal{A}} \oplus H_{\mathcal{A}})$ for some $C \in B^{a}(H_{\mathcal{A}})$.

Proof. Since Im ι is closed and $\iota \in B^a(N_2, N'_1)$, Im ι is orthogonally complementable in N'_1 by [5, Theorem 2.3.3], that is $N'_1 = \text{Im } \iota \oplus \tilde{N'_1}$ for some closed submodule $\tilde{N'_1}$.

Hence $H_{\mathcal{A}} = \operatorname{Im} \iota \oplus \tilde{N}'_1 \oplus {N'_1}^{\perp}$, that is $\operatorname{Im} \iota$ is orthogonally complementable in $H_{\mathcal{A}}$. Also, there exists $J \in B^a(\operatorname{Im} \iota, N_2)$ such that $J\iota = id_{N_2}, \iota J = id_{\operatorname{Im} \iota}$. Let $P_{\operatorname{Im} \iota}$ be the orthogonal projection onto $\operatorname{Im} \iota$ and set $C = JP_{\operatorname{Im} \iota}$. Then $C \in B^a(H_{\mathcal{A}})$. Moreover, w.r.t. the decomposition

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = (M_1 \oplus (M'_1 \tilde{\oplus} \mathrm{Im}_{\iota})) \tilde{\oplus} (N_1 \oplus \tilde{N}'_1) \xrightarrow{\mathbf{M}_{\mathcal{C}}^*} (H_{\mathcal{A}} \oplus M'_2) \tilde{\oplus} (\{0\} \oplus N'_2) = H_{\mathcal{A}} \oplus H_{\mathcal{A}},$$

 $\mathbf{M}_{C}^{\mathcal{A}} \text{ has the matrix} \begin{bmatrix} (\mathbf{M}_{C}^{\mathcal{A}})_{1} & (\mathbf{M}_{C}^{\mathcal{A}})_{2} \\ (\mathbf{M}_{C}^{\mathcal{A}}) & (\mathbf{M}_{C}^{\mathcal{A}})_{4} \end{bmatrix}, \text{ where } (\mathbf{M}_{C}^{\mathcal{A}})_{1} \text{ is an isomorphism. This follows by the same arguments as in the proof of Theorem 3.6. Using that <math>N'_{2}$ is finitely generated and proceeding further as in the proof of the above mentiond theorem, we reach the desired conclusion. \Box

Remark 3.14. In the case of ordinary Hilbert spaces, [1, Theorem 4.4] part (1) implies (2) can be deduced as a corollary from Theorem 3.13. Indeed, if F is closed and $D \in \Phi_-(H)$, which gives that ImD is closed also, then the pair of decompositions

$$H = (\ker F)^{\perp} \oplus \ker F \xrightarrow{F} \operatorname{Im} F \oplus \operatorname{Im} F^{\perp} = H,$$
$$H = (\ker F)^{\perp} \oplus \ker D \xrightarrow{D} \operatorname{Im} D \oplus \operatorname{Im} D^{\perp} = H$$

for F and D, respectively, is one particular pair of decompositions that satisfies the hypotheses of Theorem 3.13 as long $(ImF)^{\perp} \leq \ker D$.

Let R(F, D) be the set of all $\alpha \in \mathcal{A}$ such that there exists no decompositions

$$H_{\mathcal{A}} = M_1 \tilde{\oplus} N_1 \xrightarrow{F - \alpha I} N_2^{\perp} \tilde{\oplus} N_2 = H_{\mathcal{A}},$$
$$H_{\mathcal{A}} = N_2^{\prime \perp} \tilde{\oplus} N_1^{\prime} \xrightarrow{D - \alpha I} M_2^{\prime} \tilde{\oplus} N_2^{\prime} = H_{\mathcal{A}},$$

that satisfy the hypotheses of the Theorem 3.13. Set R'(F, D) to be the set of all $\alpha \in \mathcal{A}$ such that there exist no decompositions

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = M_1 \tilde{\oplus} N_1 \xrightarrow{F' - \alpha I} M_2 \tilde{\oplus} N_2 = H_{\mathcal{A}} \oplus H_{\mathcal{A}},$$
$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = M'_1 \tilde{\oplus} N'_1 \xrightarrow{D' - \alpha I} M'_2 \tilde{\oplus} N'_2 = H_{\mathcal{A}} \oplus H_{\mathcal{A}}$$

that satisfy the hypotheses of the Theorem 3.11. Moreover, for $F \in B^a(H_{\mathcal{A}})$ we set $\sigma_{re}^{\mathcal{A}}(F) = \{\alpha \in \mathcal{A} \mid (F - \alpha I) \neq \mathcal{M}\Phi_{-}(H_{\mathcal{A}})\}.$

Then we have the following corollary:

Corollary 3.15. Let $F, D \in B^{a}(H_{\mathcal{A}})$. Then

$$\sigma_{re}^{\mathcal{A}}(\mathsf{D}) \cup (\sigma_{re}^{\mathcal{A}}(\mathsf{F}) \cap R'(\mathsf{F},\mathsf{D})) \subseteq \bigcap_{\mathsf{C} \in B^{a}(H_{\mathcal{A}})} \sigma_{re}^{\mathcal{A}}(\mathbf{M}_{\mathsf{C}}^{\mathcal{A}}) \subseteq \sigma_{re}^{\mathcal{A}}(\mathsf{D}) \cup (\sigma_{re}^{\mathcal{A}}(\mathsf{F}) \cap R(\mathsf{F},\mathsf{D}))$$

Theorem 3.16. Let $\mathbf{M}_{\mathbf{C}}^{\mathcal{A}} \in \mathcal{M}\Phi_{+}(H_{\mathcal{A}} \oplus H_{\mathcal{A}})$. Then $\mathbf{F}' \in \mathcal{M}\Phi_{+}(H_{\mathcal{A}} \oplus H_{\mathcal{A}})$ and either $\mathbf{D} \in \mathcal{M}\Phi_{+}(H_{\mathcal{A}})$ or there exist decompositions

$$\begin{split} H_{\mathcal{A}} \oplus H_{\mathcal{A}} &= M_1 \tilde{\oplus} N_1 \xrightarrow{\mathrm{F}'} M_2 \tilde{\oplus} N_2 = H_{\mathcal{A}} \oplus H_{\mathcal{A}}, \\ H_{\mathcal{A}} \oplus H_{\mathcal{A}} &= M_1' \tilde{\oplus} N_1' \xrightarrow{\mathrm{D}'} M_2' \tilde{\oplus} N_2' = H_{\mathcal{A}} \oplus H_{\mathcal{A}}, \end{split}$$

w.r.t. which F', D' have matrices $\begin{bmatrix} F'_1 & 0 \\ 0 & F'_4 \end{bmatrix}$, $\begin{bmatrix} D'_1 & 0 \\ 0 & D'_4 \end{bmatrix}$, respectively, where F'_1 , D'_1 are isomorphisms, $M_2 \cong M'_1$ and $N_2 \cong N'_1$, N_1 is finitely generated and N_2 , N'_1 are closed, but not finitely generated.

Proof. Since $\mathbf{M}_{C}^{\mathcal{A}} \in \mathcal{M}\Phi_{+}(H_{\mathcal{A}} \oplus H_{\mathcal{A}})$, there exists an $\mathcal{M}\Phi_{+}$ -decomposition for $\mathbf{M}_{C}^{\mathcal{A}}$,

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = M_1 \tilde{\oplus} N_1 \xrightarrow{\mathbf{M}_{\mathsf{C}}^{\mathcal{A}}} M_2' \tilde{\oplus} N_2' = H_{\mathcal{A}} \oplus H_{\mathcal{A}},$$

so N_1 is finitely generated. By the proof of [5, Theorem 2.7.6], we may assume that $M_1 = N_1^{\perp}$. Hence $F'_{|_{M_1}}$, is adjointable. As in the proof of Lemma 2.2 and Theorem 3.2 we may consider a chain of decompositions

$$H_{\mathcal{A}} \oplus H_{\mathcal{A}} = M_1 \tilde{\oplus} N_1 \xrightarrow{F'} R_1 \tilde{\oplus} R_2 \xrightarrow{C'} C'(R_1) \tilde{\oplus} C'(R_2) \xrightarrow{D'} M'_2 \tilde{\oplus} M'_2 = H_{\mathcal{A}} \oplus H_{\mathcal{A}}$$

w.r.t. which F', C', D' have matrices $\begin{bmatrix} F'_1 & 0 \\ 0 & F'_4 \end{bmatrix}, \begin{bmatrix} C'_1 & 0 \\ 0 & C'_4 \end{bmatrix}$ and $\begin{bmatrix} D'_1 & D'_2 \\ 0 & D'_4 \end{bmatrix}$, respectively, where F'_1, C'_1, C'_4, D'_1 are isomorphisms. Then we can proceed in the same way as in the proof of Theorem 3.11. \Box

Remark 3.17. In the case of Hilbert spaces, the implication (2) implies (3) in

[1, Theorem 4.6] follows as a corollary of Theorem 3.16. Indeed, for the implication (2) implies (3b), we may proceed as follows: Since $Im(F)^0 \cong Im(F)^{\perp}$ and $(\ker D)' \cong \ker D$ when one considers Hilbert spaces, then by [1, Remark 4.3], $(ImF)^0 < (\ker D)'$ means simply that dim $ImF^{\perp} < \infty$ whereas dim ker $D = \infty$. If in addition $D \notin \Phi_+(H)$, then $D' \notin \Phi_+(H \oplus H)$. Now, if dim $Im(F)^{\perp} < \infty$, then dim ker $D = \infty$, and $F \in \Phi(H)$ as $F \in \Phi_+(H)$ and dim $Im(F)^{\perp} < \infty$. Then $F' \in \Phi(H \oplus H)$, so by [3, Lemma 2.16] N_2 must be finitely generated. Thus N'_1 must be finitely generated being isomorphic to N₂. By the same arguments as earlier, we have that ker D' \cong ker D and ker D' \subseteq N'₁. Since we consider Hilbert spaces now, the fact that N'_1 is finitely generated means actually that N'_1 is finite dimensional. Hence ker D' must be finite dimensional, so dim ker D = dim ker D' < ∞ . This is in a contradiction to ImF¹ < ker D. So, in the case of Hilbert spaces, if $\mathbf{M}_{C} \in \Phi_{+}(H \oplus H)$, from Theorem 3.16 it follows that $F \in \Phi_{+}(H)$ and either $D \in \Phi_{+}(H)$ or ImF^{\perp} is infinite dimensional.

Theorem 3.18. Let $F \in \mathcal{M}\Phi_+(H_{\mathcal{A}})$ and suppose that either $D \in \mathcal{M}\Phi_+(H_{\mathcal{A}})$ or that there exist decompositions

$$H_{\mathcal{A}} = M_1 \tilde{\oplus} N_1 \xrightarrow{F} N_2^{\perp} \tilde{\oplus} N_2 = H_{\mathcal{A}},$$

 $H_{\mathcal{A}} = N_1' \stackrel{\scriptscriptstyle \perp}{\to} N_1' \stackrel{\scriptscriptstyle D}{\longrightarrow} M_2' \tilde{\oplus} N_2' = H_{\mathcal{A}}$

w.r.t. which F, D have matrices $\begin{bmatrix} F_1 & 0 \\ 0 & F_4 \end{bmatrix}$, $\begin{bmatrix} D_1 & 0 \\ 0 & D_4 \end{bmatrix}$, respectively, where F_1 , D_1 are isomorphisms, N'_1 is finitely generated and in addition there with F_1 . generated and in addition there exists some

 $\iota \in B^{a}(N'_{1}, N_{2})$ such that ι is an isomorphism onto its image. Then

$$\mathbf{M}_{C}^{\mathcal{A}} \in \mathcal{M}\Phi_{+}(H_{\mathcal{A}} \oplus H_{\mathcal{A}}),$$

for some $C \in B^{a}(H_{\mathcal{A}})$.

Proof. Let $C = P_{N'_1} \iota$ where $P_{N'_1}$ denotes the orthogonal projection onto N'_1 , then apply similar arguments as in the proof of Theorem 3.6 and Theorem 3.13 \Box

Remark 3.19. The implication (1) implies (2) in [1, Theorem 4.6] in case of Hilbert spaces could also be deduced as a corollary from Theorem 3.18. Indeed, if ImD is closed, then D is an isomorphism from ker D^{\perp} onto ImD. Moreover, *if* $F \in \Phi_+(H)$, *then* F *is also an isomorphism from* ker F^{\perp} *onto* ImF *and* dim ker $F < \infty$. *If in addition* ker $D \leq ImF^{\perp}$, then the pair of decompositions

$$H = \ker F^{\perp} \oplus \ker F \xrightarrow{F} \operatorname{Im} F \oplus \operatorname{Im} F^{\perp} = H,$$
$$H = \ker D^{\perp} \oplus \ker D \xrightarrow{D} \operatorname{Im} D \oplus \operatorname{Im} D^{\perp} = H$$

is one particular pair of decompositions that satisfies the hypotheses of Theorem 3.18.

Let L'(F, D) be the set of all $\alpha \in \mathcal{A}$ such that there exist no decompositions

$$\begin{split} H_{\mathcal{A}} \oplus H_{\mathcal{A}} &= M_1 \tilde{\oplus} N_1 \xrightarrow{F' - \alpha I} M_2 \tilde{\oplus} N_2 = H_{\mathcal{A}} \oplus H_{\mathcal{A}}, \\ H_{\mathcal{A}} \oplus H_{\mathcal{A}} &= M'_1 \tilde{\oplus} N'_1 \xrightarrow{D' - \alpha I} M'_2 \tilde{\oplus} N'_2 = H_{\mathcal{A}} \oplus H_{\mathcal{A}}, \end{split}$$

for F' – α I, D' – α I respectively, which satisfy the hypotheses of Theorem 3.16. Set *L*(F, D) to be the set of all $\alpha \in \mathcal{A}$ such that there exist no decompositions

$$H_{\mathcal{A}} = M_1 \tilde{\oplus} N_1 \xrightarrow{F-\alpha 1} N_2^{\perp} \tilde{\oplus} N_2 = H_{\mathcal{A}},$$
$$H_{\mathcal{A}} = N_1^{\prime \perp} \tilde{\oplus} N_1^{\prime} \xrightarrow{D-\alpha 1} M_2^{\prime} \tilde{\oplus} N_2^{\prime} = H_{\mathcal{A}},$$

for F – $\alpha 1$, D – $\alpha 1$ respectively which satisfy the hypotheses of Theorem 3.18. Moreover, for $F \in B^a(H_{\mathcal{A}})$ we set $\sigma_{l_e}^{\mathcal{A}}(\mathbf{F}) = \{ \alpha \in \mathcal{A} \mid (F - \alpha I) \neq \mathcal{M}\Phi_+(H_{\mathcal{A}}) \}.$

Then we have the following corollary:

Corollary 3.20. Corollary: Let $F, D \in B^a(H_A)$. Then

$$\sigma_{le}^{\mathcal{A}}(\mathbf{F}) \cup (\sigma_{le}^{\mathcal{A}}(\mathbf{D}) \cap L'(\mathbf{F}, \mathbf{D})) \subseteq \bigcap_{\mathbf{C} \in B^{a}(H_{\mathcal{A}})} \sigma_{le}^{\mathcal{A}}(\mathbf{M}_{\mathbf{C}}^{\mathcal{A}}) \subseteq \sigma_{le}^{\mathcal{A}}(\mathbf{F}) \cup (\sigma_{le}^{\mathcal{A}}(\mathbf{D}) \cap L(\mathbf{F}, \mathbf{D}))$$

Remark 3.21. If we let $Z(\mathcal{A})$ denote the center of the C^* - algebra \mathcal{A} , that is $Z(\mathcal{A}) = \{\beta \in \mathcal{A} \mid \alpha\beta = \beta\alpha \forall \alpha \in \mathcal{A}\}$ then we may also consider the operators $I \cdot \alpha$ given by $(I \cdot \alpha)(x) = x \cdot \alpha$ for all $x \in H_{\mathcal{A}}$, where $\alpha \in Z(\mathcal{A})$. Since $\alpha \in Z(\mathcal{A})$, the operator $I \cdot \alpha$ is obviously \mathcal{A} -linear, bounded and adjointable. Its adjoint is given by $I \cdot \alpha^*$. Here again we use that $\alpha \in Z(\mathcal{A})$, so that $\langle x \cdot \alpha, y \rangle = \alpha^* \langle x, y \rangle = \langle x, y \rangle \alpha^* = \langle x, y \cdot \alpha^* \rangle$ as $Z(\mathcal{A})$ is closed under taking the involution. For $F \in B^a(H_{\mathcal{A}})$ the operators of the form $F - I\alpha$, when α runs throught $Z(\mathcal{A})$, will induce another kind of generalized spectra of the operator F which now takes values in $Z(\mathcal{A})$. All the results in this paper concerning generalized spectra remain valid also if we consider this new kind of generalized spectra in $Z(\mathcal{A})$.

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